

# Foundations A Model Theoretic Perspective

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# What are foundations?

## Steve Simpson

If  $X$  is any field of study, "foundations of  $X$ " refers to a more-or-less systematic analysis of the most basic or fundamental concepts of field  $X$ .

## I add

If  $X$  is a mathematical subject, the foundations of  $X$  also include an investigation of the basic methodologies and proof techniques of the subject.

# Traditional Foundation

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- 1 A single foundation for **all** mathematics;
- 2 Goal is guaranteeing truth via derivability.

# Weaknesses

- 1 Coding into a single system (e.g.  $\Sigma_1$  arithmetic or ZFC) obscures mathematical meaning.
- 2 The focus on derivability obscures the nature of proof.

Derivability asks, 'Is there a sequence of correct deductions from A to B. It does not ask about clarity, irredundance, or ideas.'

# Foundations of Algebraic Geometry

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## Fundamental Notions

curve, surface, variety, genus, Zariski topology  
abstract variety, manifold, point, generic point,  
scheme, cohomology

## Methods

algebraic vrs transcendental  
induction on dimension

We will return to model theoretic explanations of some of these phenomena.

# foundations of mathematics

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## Thesis I:

Studying the model of different (complete first order) theories provides a framework for the understanding of the foundations of **specific areas** of mathematics.

This study cannot be carried out by interpreting the theory into an über theory such as ZFC; too much information is lost.

# The choice of fundamental concepts

We have isolated the fundamental concepts for studying a **tame** structure  $M$  if we can choose a recursive language  $L$  such that:

- 1  $M$  admits quantifier elimination as an  $L$ -structure.
- 2  $M$  admits elimination of imaginaries as an  $L$ -structure.

E.g. Study orderable fields with the order.  
Arithmetic is not tame.

# Mid-Atlantic Model Theory 2008

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- 1 Model theory and non-archimedean geometry
- 2 The valuation inequality for complex analytic structure
- 3 Cherlin's Conjecture and Generix's Adventures in Groupland
- 4  $\omega$ -stable semi-Abelian varieties
- 5 O-minimal triangulation respecting a standard part map
- 6 Some modest attempts at defining the notions of groups and fields of dimension one, and establishing their algebraic properties
- 7 Dependent theories: limit model existence and recounting the number of types
- 8 The non-elementary model theory of analytic Zariski structures
- 9 Difference fields, model theory and applications
- 10 Model Theory of the Adeles



# Three types of model theoretic analysis:

- 1 Properties of first order logic (1930-1965)
- 2 Properties of complete theories (1950-present)
- 3 Properties of classes of theories (1970-present)

# Properties of first order logic (1930-1965):

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- 1 Completeness and Compactness
- 2 Lowenheim-Skolem
- 3 syntactic characterization of preservation theorems
- 4 Interpolation

# Complete Theory

A theory  $T$  is complete if for every sentence  $\phi$ ,

$$T \vdash \phi$$

or

$$T \vdash \neg\phi$$

Note that for any structure  $M$ ,

$$\text{Th}(M) = \{\phi : M \models \phi\}$$

is a complete theory.

# Properties of complete theories (1950's):

- 1 Complete Theories (A. Robinson)
- 2 Elementary Extension (Tarski-Vaught)
- 3 Models Generated by Indiscernibles  
(Ehrenfeucht-Mostowski)
- 4 quantifier elimination and model completeness (Robinson  
and Tarski)

# Algebraic examples: complete theories

## Algebraic Geometry

Algebraic geometry is the study of definable subsets of algebraically closed fields

Not quite: definable by positive formulas

## Chevalley-Tarski Theorem

Chevalley: The projection of a constructible set is constructible.

Tarski:  $\text{Acf}$  admits elimination of quantifiers.

# Algebraic consequences for complete theories

- 1 Artin-Schreier theorem (A. Robinson)
- 2 Decidability and  $qe$  of the real field (Tarski)
- 3 Decidability and  $qe$  of the complex field (Tarski)
- 4 Decidability and model completeness of valued fields (Ax-Kochen-Ershov)
- 5 quantifier elimination for  $p$ -adic fields (Macintyre)

# On Mathematical methodology

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## Thesis II:

Studying classes of theories provides an even more informative framework for the understanding of the methodology of specific areas of mathematics.

# Properties of classes of theories (1970-present)

## The Stability Hierarchy

Every complete first order theory falls into one of the following 4 classes.

- 1  $\omega$ -stable
- 2 superstable but not  $\omega$ -stable
- 3 stable but not superstable
- 4 unstable



# The stability hierarchy: examples

## $\omega$ -stable

Algebraically closed fields (fixed characteristic), differentially closed fields, complex compact manifolds

## strictly superstable

$(\mathcal{Z}, +)$ ,  $(2^\omega, +) = (Z_2^\omega, H_i)_{i < \omega}$ ,

## strictly stable

$(\mathcal{Z}, +)^\omega$ , separably closed fields,

## unstable

Arithmetic, Real closed fields, complex exponentiation, random graph

# Two Mathematical Methodologies

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- 1 chain conditions: topology, ring theory, group theory, commutative algebra
- 2 dimension: vector spaces, fields, fields, algebraic geometry, analysis, ...

# Methodology: The descending chain condition

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General form: There is no proper infinite descending sequence of  $X$ .

e.g.  $X$  might be ideals in rings or closed sets in some topology.  
This looks like a second order condition.

# Methodology: **Definable** descending chain conditions

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- 1 For arbitrary rings: definable ideals
- 2 The Zariski topology – fundamental tool of algebraic geometry
- 3 and many more

# Methodology: Definable descending chain conditions

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- 1 Stability conditions imply definable descending chain conditions.
- 2 Definable descending chain conditions imply mathematical consequences.

# Example: descending chain conditions in Ring Theory

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## Artin-Wedderburn Theorem

If the Jacobson radical of  $R$  is 0 and  $R$  satisfies the descending chain condition on left ideals then  $R$  is a direct sum of matrix rings.

## Stable version

If the Jacobson radical of  $R$  is 0 and  $R$  is stable then  $R$  is a direct sum of matrix rings.

This allows the extension of the idea to suitable classes of groups.

# Methodology: Dimension

The 'dimension theory' of vector spaces or algebraically closed fields can be generalized.

- 1 A first order theory is categorial in one/all uncountable cardinalities iff each model is controlled by definable subset that a very good dimension function.
- 2 A first order theory is stable iff every model has a good dimension function.
- 3 A first order theory is superstable iff each model locally has very good dimension functions.

# Foundations for various areas of mathematics

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- 1 algebraically closed fields ( $\omega$ -stable) and the notion of 'generic' in geometry
- 2 0-minimality and real exponentiation
- 3 stability and definable chain conditions
- 4 quasiminimality and complex exponentiation
- 5 non-standard analysis



# Further model theoretic tools

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- 1 Local dimension
- 2 orthogonality
- 3 geometrical stability
- 4 canonical bases and the elimination of imaginaries
- 5 Zariski Geometries

# In another direction

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Many mathematics educators use the phrase 'variable quantity'.  
I regard this as an oxymoron.  
Can anyone suggest (privately) sources on this issue.