

Hanf numbers  
for properties  
of AEC's

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Baldwin  
University of  
Illinois at  
Chicago  
Saharon  
Shelah  
Hebrew  
University-  
Rutgers

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Main  
Construction:  
ZFC version

# Hanf numbers for properties of AEC's

John T. Baldwin  
University of Illinois at Chicago  
Saharon Shelah  
Hebrew University- Rutgers

October 26, 2016

# Background

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First order logic is largely impervious to extensions of ZFC.  
There is a deep entanglement between infinitary logic and axiomatic set theory.

# Spectra of AEC

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# Underlying question

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Do the connections of large cardinals with fundamental properties of AEC's represent *algebraic* or *geometric* rather than *combinatorial* phenomena?

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## Related Issues

What is significant about *complete* sentences of  $L_{\omega_1, \omega}$ ?  
A slightly different method of establishing completeness.

Independence in Boolean Algebras

$\kappa$ -free implies  $\kappa^+$ -free

# Hanf's principle

If a certain property can hold for only set-many objects then it is eventually false.

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# Hanf's principle

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If a certain property can hold for only set-many objects then it is eventually false.

Hanf refines this twice.

- 1 If  $\mathcal{K}$  a set of collections of structures  $\mathbf{K}$  and  $\phi_P(X, y)$  is a formula of set theory such  $\phi(\mathbf{K}, \lambda)$  means some member of  $\mathbf{K}$  with cardinality  $\lambda$  satisfies  $P$ .

$$\mu_{\mathbf{K}} = \sup\{\lambda : P(\mathbf{K}, \lambda) \text{ holds if there is such a sup}\}$$

Hanf number of  $P = \sup_{\mathbf{K}} \mu_{\mathbf{K}}$

- 2 If the property  $P$  is closed down for sufficiently large members of each  $\mathbf{K}$ , then 'arbitrarily large' can be replaced by 'on a tail' (i.e. eventually).

# Examples

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## Large cardinals: Boney- Unger -Shelah

The Hanf number for 'all aec's are tame' (with various decorations) is a compact cardinal with various decorations.

## small cardinals: B, Koerwein, Kolesnikov, Laskowski, Lambdie-Hanson, Shelah, Souldatos

Erratic behavior for amalgamation, disjoint amalgamation, maximal models, joint embedding.

All below  $\beth_{\omega_1}$



# The big gap

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## Theorem. B-Boney

The Hanf number for Amalgamation is at most the first strongly compact cardinal

The best lower bound known is  $\beth_{\omega_1}$ .

# Maximality, JEP, AP, Arbitrarily Large

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A maximal model plus (global) JEP or AP implies a bound on the cardinality of models.

# Test question: non-maximality

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Let  $\mathbf{K}_0$  be the collection of models of a complete sentence in  $L_{\omega_1, \omega}$  in a countable vocabulary.

to avoid negatives:

$\mathbf{K}_0$  is *universally extendible in  $\lambda$*  if every model in  $\lambda$  is extendible – has a proper  $L_{\omega_1, \omega}$  extension.

## Theorem. B-Shelah

The Hanf number for universal extendibility is the first measurable cardinal  $\mu$  if it exists.

Clearly, every model with cardinality at least  $\mu$  has a proper  $L_{\omega, \omega}$ -extension.

# Why complete sentence?

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Consider a class  $\mathbf{K}$  of 4-sorted structures:

- 1  $P_0$  is a copy of  $(\omega, <)$ .
- 2  $P_1$  is a set.
- 3  $P_2$  is a boolean algebra of subsets of  $P_1$  (given by extensional binary  $E$ ).
- 4  $P_3$  is a set of countable sequences from  $P_2$ . via a function  $f(c, n) = b$  maps  $c \in P_3, n \in P_0, b \in P_1$ .)

One further axiom: If a sequence  $c \in P_3$  has the finite intersection property then the intersection is non-empty.

Let  $\psi \in L_{\omega_1, \omega}$  axiomatize  $\mathbf{K}$ .

# Why maximal?

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$M$  is a maximal model of  $\mathbf{K} = \text{mod}(\psi)$  if

- 1  $\lambda < \text{first measurable}$
- 2  $|P_0^M| = \lambda.$
- 3  $P_1^M = \mathcal{P}(P_0^M)$
- 4  $P_2^M = \omega(P_1^M)$

$M$  can only be extended by adding an element  $a^*$  to  $P_0^M$ .  
But then

$$\{b \in P_1^M : E(a^*, b)\}$$

is a non-principal  $\aleph_1$ -complete ultrafilter on  $\lambda$ .

But  $\psi$  is not complete.  $2^{\aleph_0}$  types over empty set.  
 $c_X$  realizes  $p_X$  iff  $|a \in P_0^M : a \in f(c, n)| \in X$ .

# What is a 'complete AEC'?

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Definition: complete sentence  $\phi$  of  $L_{\omega_1, \omega}$

TFAE

- 1 For every  $\psi \in L_{\omega_1, \omega}$ ,  $\phi \rightarrow \psi$  or  $\phi \rightarrow \neg\psi$ .
- 2 Every model of  $\phi$  realizes only countably many distinct  $L_{\omega_1, \omega}$ -types.

Trial definition

$(\mathbf{K}, \prec_{\mathbf{K}})$  is complete iff

$A \prec_{\mathbf{K}} B$  implies  $A \prec_{\infty, \omega} B$ .

# A schema for getting complete sentences

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## Notation

- 1 Let  $(\mathbf{K}_0, \leq)$  be a collection of countably many countable (finitely generated) structures.
- 2 Let  $(\mathbf{K}_1, \leq)$  (often  $\hat{\mathbf{K}}$ ) the collection of direct limits of structures in  $\mathbf{K}_0$ .

If  $(\mathbf{K}_0, \leq)$  has the amalgamation property and joint embedding then it has generic model  $M$  – universal and homogenous with respect to  $(\mathbf{K}_0, \leq)$ .

# Constructing the complete sentence

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## Definition

$M$  in  $\mathbf{K}_1$  is rich if for any  $N_1, N_2 \in \mathbf{K}_0$  with  $N_1 \subseteq N_2$  and  $N_1 \subseteq M$ , there is an embedding of  $N_2$  into  $M$  over  $N_1$ . We denote the class of rich models in  $\mathbf{K}_1$  by  $\mathbf{K}_2 = \mathbf{K}^R$ .

It is easy to construct a back-forth-showing:

## Lemma

The class  $\mathbf{K}_2$  is the collection of models of the Scott sentence of the generic (rich) model.



# First approximation

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$P_1^M$  is an elementary submodel of the natural Boolean algebra on  $\mathcal{P}(\omega)$ ,

$P_4^M$  as the distributive sublattice of finite sets,

$P_0^M$  and  $R^M$  as coding subsets of  $\omega$ .

Note  $P_1^M \approx P_4^M \times \mathcal{B}$  where  $\mathcal{B}$  is the countable atomless Boolean algebra.

$P_2^M$  is an infinite set.

Cofinitely of the  $F_n^M(c)$  give a basis for  $P_1^M$ .

But for each  $c$ , finitely many  $F_n^M(c)$  may be in  $P_4^M \times \mathcal{B}$  but not in either factor.

# Formal setting

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## $K_{-1}$

- 1  $P_1$  is the domain of a Boolean algebra
- 2 In each model there will a (non-definable) **Homomorphism** from  $P_1$  into the BA of subsets of  $P_0$ .  
 $G_1 = H_1^{-1}$  is a bijection between  $P_{4,1}$  (atoms of  $P_1$ ) and  $P_0$ .  
 $R(u, b)$  iff  $H_1(u) \leq b$ .
- 3  $P_2$  is a set with no structure but for each  $n$ , there is a function such that  
 $\{F_n(c) : c \in P_2\}$  is a set of elements of  $P_1$ .  
Cofinitely many of them along with  $P_2$  and  $P_0$  generate the model.
- 4  $P_{4,n}$  is the set of elements of  $P_1$  that are a join of  $n$ -atoms;  $P_4 = \bigcup_n P_{4,n}$

# Key properties of complete theory

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## lemma

If  $M$  is the generic model then

- 1 if  $b_1 \neq b_2 \in P_1^M - P_4^M$  then  $R(b_1, M) \neq R(b_2, M)$ , i.e. the map  $f$  homomorphism above is injective.
- 2 The sets  $R(M, F_n^M(c))$  with  $F_n^M(c) \notin P_4^M$  and  $c \in P_2(M)$  are independent in the algebra of sets on  $P_0^M$ .
- 3 If  $b \in P_1^M - P_4^M$ ,  $R^M(b, M)$  is infinite and  $b$  is not an atom. So  $P_1^M / P_4^M$  is an atomless boolean algebra, hence free.

# Maximal Models

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# Maximality and $P_0$ -maximality

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## Definition: Maximal Models

- 1 A model  $M \in \mathbf{K}_1$  is  $P_0$ -maximal (for  $\mathbf{K}_1$ ) if  $M \subseteq N$  and  $N \in \mathbf{K}_1$  implies  $P_0^M = P_0^N$ .
- 2 A model  $M \in \mathbf{K}_2 = \mathbf{K}^R$  is maximal (for  $\mathbf{K}^R$ ) if  $M \subseteq N$  and  $N \in \mathbf{K}_2$  implies  $M = N$ .

# Background Set theory

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## Definition

$[\diamond_S]$  Given a cardinal  $\kappa$  and a stationary set  $S \subseteq \kappa$ ,  $\diamond_S$  is the statement that there is a sequence  $\langle A_\alpha : \alpha \in S \rangle$  such that

- 1 each  $A_\alpha \subseteq \alpha$
- 2 for every  $A \subseteq \kappa$ ,  $\{\alpha \in S : A \cap \alpha = A_\alpha\}$  is stationary in  $\kappa$

## Definition

$[S \text{ reflects}]$  Let  $\kappa$  be a regular uncountable cardinal and let  $S$  be a stationary subset of  $\kappa$ . If  $\alpha < \kappa$  has uncountable cofinality,  $S$  reflects at  $\alpha$  if  $S \cap \alpha$  is stationary in  $\alpha$ .  $S$  reflects if it reflects at some  $\alpha < \kappa$ .

Let  $S_{\aleph_0}^\lambda$  denote the stationary set  $\{\delta < \lambda : \text{cf}(\delta) = \aleph_0, \delta \text{ is divisible by } |\delta|\}$ .

# Main Theorem for Maximality

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## Theorem

If

- 1 there is no measurable cardinal  $\rho$  with  $\rho \leq \lambda$ ,  $\lambda = \lambda^{<\lambda}$ ,
- 2 and there is an  $S \subseteq S_{\aleph_0}^\lambda$ , that is stationary non-reflecting, and  $\diamond_S$  holds.

Then there is a  $P_0$ -maximal model  $M \in \mathbf{K}^R$  of card  $\lambda$

We give this argument first; then sketch a black box to remove the set theoretic hypotheses.

Easy to go from  $P_0$ -max to max.

# Goals of construction

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We will choose  $M_\alpha$  for  $\alpha < \lambda$  by induction to satisfy the following conditions.

## Construction: Goal

Let  $\langle U_\alpha : \alpha < \lambda \rangle$  list  $[\lambda]^{<\lambda}$  so that each subset is enumerated  $\lambda$  times and  $U_\alpha \subseteq \alpha$ .

Let  $\bar{A}^* = \langle A_\delta^* : \delta \in S \rangle$  be a  $\diamond_S$ -sequence.

- 1  $M_\alpha \in \mathbf{K}_1$  has universe an ordinal between  $\alpha$  and  $\lambda$  and  $M_0$  is empty.
- 2  $\langle M_\beta : \beta < \alpha \rangle$  is  $\subseteq$ -continuous.
- 3 If  $\beta \in \alpha - S$  then  $M_\alpha$  is free over  $M_\beta$ .



# Goals of construction II

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- 4** If  $\alpha = \beta + 2$  and  $U_\beta \subseteq P_0^{M_\beta}$  then there is a  $b_\beta \in P_1^{M_\alpha}$  such that  $R(M_\alpha, b_\beta) \cap M_{\beta+1} = U_\beta$  and in the Boolean algebra  $P_1^{M_\alpha}$ ,  $\{b_\beta\}$  is free over  $P_1^{M_{\beta+1}} \cup P_4^{M_\alpha}$  and  $M_\alpha \in \mathbf{R}$ .
- 5** If  $\delta \in \mathbf{S}$  and  $\alpha = \delta + 1$  then a) implies b), where:
- a) there is an increasing sequence  $\bar{\gamma} = \langle \gamma_{\delta,n}, b_{\delta,n} : n < \omega \rangle$ , where the  $\gamma_{\delta,n}$  are not in  $\mathbf{S}$  and increasing with  $n$  satisfying:
- i)  $\gamma_{\delta,n} < \gamma_{\delta,n+1} < \delta$  with  $\sup_n \gamma_{\delta,n} = \delta$ ;
  - ii)  $b_{\delta,n} \in P_1^{M_{\gamma_{\delta,n+1}}} \cap A_\delta^*$  and so  $b_{\delta,n} \in P_1^{M_\delta}$ ;
  - iii)  $\{b_{\delta,n} : n < \omega\}$  is independent over  $P_1^{M_{\gamma_n}} \cup P_4^{M_\delta}$ ;
  - iv) if  $a \in P_0^{M_\delta}$  then for all but finitely many  $n$ ,  $\neg R(a, b_{\delta,n})$ .
- b) For some  $\bar{\gamma} = \langle \gamma_{\delta,n}, b_n^\delta : n < \omega \rangle$ , there is a  $c_\delta \in P_2^{M_{\delta+1}}$  such that for each  $n$ ,  $F_n^{M_{\delta+1}}(c_\delta) = b_{\delta,n}$ .

# The actual inductive Construction

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1-4a are easy

*Case 1:*  $\alpha = 0$ .

*Case 2:*  $\alpha = \beta + 1$  and  $\beta \notin S$ .

*Case 3:*  $\alpha = \delta$ , a limit ordinal that is not in  $S$ .

Use the fact that  $S$  does not reflect to show  $M_\delta$  is free.

*Case 4a:*  $\alpha = \delta + 1$ ,  $\delta \in S$ , and clause 5a fails.  
This is just as in case 2.

*Case 4b:*  $\alpha = \delta + 1$ ,  $\delta \in S$ , but clause 5a holds.  
[Use the following lemma.](#)

# Key Lemma

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## Claim

Suppose that for  $n < \omega$ ,  $M_n \subset_{fr} M_{n+1}$  are in  $\widehat{\mathbf{K}}$ . If Condition A) holds then so does condition B).

- A
- 1  $P_2^{M_{n+1}} - P_2^{M_n}$  is infinite
  - 2 there is a  $b_n \in P_1^{M_{n+1}}$  so that  $\{b_n\}$  is free over  $P_1^{M_n}$ .
  - 3 if  $a \in P_1^{M_i}$ , then for all but finitely many  $n \geq i$ ,  $a \notin R(M_{n+1}, b_n)$ .

B) then there is a pair  $(M, c)$

- 1  $M = \bigcup M_n \cup \{c\}$ ,  $c \in P_2^M$ ,  $c$  is not in any  $M_n$ ,
- 2  $M_n \subset_{fr} M$  for each  $n$ ,
- 3  $F_n^M(c) = b_n$ .

# The Construction Suffices

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Models

Free  
Extensions

Main  
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$M$  is  $P_0$ -maximal for  $K^R$

Suppose for contradiction there exists  $N$  extending  $M$  in  $\widehat{K}$  such that  $P_0^N \not\supseteq P_0^M$ . Choose  $a^* \in P_0^N - P_0^M$ . Let

$$A = \{b \in P_1^M : R^N(a^*, b)\}.$$

Then  $A$  is a non-principal ultrafilter on  $P_1^M$ .

We will derive a contradiction using the choice of the stationary set  $S_A = \{\delta \in S : M_\delta \text{ has universe } \delta \ \& \ A_\delta^* = A \cap \delta\}$ .

# 2 cub's

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Free  
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## $C_1$

There is a closed unbounded set  $C_1$  such that if  $\delta \in C_1$ , for every sequence  $\bar{\gamma} \in M_\delta^\omega$  satisfying condition 5a), there is a  $c_\delta \in P_2^{M_{\delta+1}}$  such that for each  $n$ ,  $F_n^{M_{\delta+1}}(c_\delta) = b_{\delta,n}$ .

## $C_2$

$C_2 = \{\delta < \lambda : \delta \text{ limit \& } \alpha < \lambda \rightarrow b_\alpha < \delta\}$  is a club of  $\lambda$ .

Fix  $\alpha^* \in S \cap C_1 \cap C_2$ .

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Free  
Extensions

Main  
Construction:  
ZFC version

Now show that  $A$  (the ultrafilter on  $P_1^M$ ) induces via  $R$  a non-principal,  $\aleph_1$ -complete ultrafilter contained in  $\mathcal{P}(P_0^{M_{\alpha^*}})$ .

# $K_2$ -maximal

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Free  
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We can construct  $M_\lambda$  to be in  $K_2$  as well as  $P_0$ -maximal.

If  $M$  is not maximal build an increasing sequence of proper extensions (freely extend if possible) in  $K_2$  with the same  $P_0$ , we find an actual maximal model  $M'$  in  $K_2$ .

This must happen before  $(2^\lambda)^+$  steps.

We know  $M$  itself satisfies every subset of size less than  $\lambda$  is embedded in a free

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Free  
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- 1 Non-reflecting is used in the construction to guarantee clause 5a) at limits in  $S$  while maintaining freeness.
- 2  $\diamond$  is used to verify the construction works.
- 3 It is crucial that we preserve freeness at each stage.

There are non-free subalgebras of some free Boolean algebras -so the choice of filtration is essential.



# Free Extensions

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# Relative Independence

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## Definition

- 1 For  $X \subseteq B$  and  $B$  a Boolean algebra,  $\overline{X} = X_B = \langle X \rangle_B$  be the subalgebra of  $B$  generated by  $X$ .
- 2 A set  $Y$  is independent from  $X$  over an ideal  $I$  in a Boolean algebra  $B$  if and only if for any Boolean-polynomial  $p(v_0, \dots, v_k)$  (that is not identically 0), and any  $a \in \overline{X} - I$ ,

$$p(y_0, \dots, y_k) \wedge a \notin I.$$

## Observations

- 1 If  $I$  is the 0 ideal, (read  $Y$  is independent from  $X$ ), the condition becomes any  $a \in \overline{X} - \{0\}$ ,  
 $B \models p(y_0, \dots, y_k) \wedge a > 0$ .
- 2 It is easy to check that 'Y is independent from X over  $I$ ' implies the image of  $Y$  is free from the image of  $X$  in  $B/I$ .

# Free Amalgamation

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ZFC version

We can amalgamate Boolean algebras  $B$  and  $A$  over  $C$ .

## notation

Let  $C \subseteq A, B$  be Boolean algebras.

The disjoint amalgamation  $D = A \otimes_C B$  is the Boolean algebra obtained as the pushout of  $A$  and  $B$  over  $C$ .

It is characterized internally by the following condition. For  $a \in A - C, b \in B - C$ :  $a \leq b$  in  $D$  if and only if there is a  $c \in C$  with  $a < c < b$  (and symmetrically).  $D$  is generated as a Boolean algebra by  $A \cup B$ .

# Amalgamation preserving atoms

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## Theorem

Let  $A_0 \subseteq A_1, A_2$  be Boolean algebras. There is a Boolean algebra amalgamating  $A_1$  and  $A_2$  such that  $\text{At}(A_3) = \text{At}(A_1) \cup \text{At}(A_2)$ .

The proof uses the notion of independence defined above.

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## Definition

$M_2$  is free over  $M_1$  written  $M_1 \subseteq_{fr} M_2$  if

- 1 There is an  $I$  with  $I \subset (P_1^{M_2} - P_1^{M_1}) \cup P_4^{M_2}$  such that:
  - i  $I \cup P_1^{M_1} \cup P_4^{M_2}$  generates  $P_1^{M_2}$
  - ii  $I$  is independent from  $P_1^{M_1}$  over  $P_4^{M_2}$  in  $P_1^{M_2}$ .
- 2 There is a function  $H$  from  $P_2^{M_2} \setminus P_2^{M_1}$  to  $\mathbb{N}$  such that the  $F_n(c)$  for  $n \geq H(c)$  are distinct and

$$\{F_n^M(c) : c \in P_2^{M_2} \setminus P_2^{M_1} \text{ and } n \geq H(c)\} \subset I.$$

$M$  is free if it is free over the empty model i.e.,  $P_1^M$  has a free basis over  $P_4^M$ .

# Properties of Free Extensions

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Free  
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Construction:  
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## Transitivity

- 1 If  $M_1 \subseteq_{fr} M_2$  by  $I_1$  and  $M_2 \subseteq_{fr} M_3$  by  $I_2$  then  $M_1 \subseteq_{fr} M_3$  by  $I_1 \cup I_2$ . Thus,  $\subseteq_{fr}$  is a partial order.
- 2 More generally if  $M_\alpha$  with  $\alpha < \delta$  is continuous  $\subseteq_{fr}$  increasing then  $M = \bigcup M_\alpha$  satisfies  $M_\alpha \subseteq_{fr} M$  witnessed by  $\bigcup_{\alpha < \beta < \delta} I_\beta$ .

Proof is immediate from analogous result for Boolean algebras. This proof depends heavily on the notion of free -from -over.

# Amalgamation of finitely generated with free

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## Theorem

Suppose  $M_1 \in \mathbf{K}_1$  is free and  $N_1 \subset M_1$ . Let  $N_1 \subset N_2$  with both in  $\mathbf{K}_0$  (i.e. finitely generated).

Then there are an  $M_2$  and an  $f$  such that:

- 1  $M_2 \in \mathbf{K}_1$ ,  $M_1 \subseteq_{fr} M_2$  and so  $M_2$  is free. Similarly  $N_2 \subseteq_{fr} M_2$ .
- 2 Suppose  $A \subset P^{M_1}$ . We can choose  $M_2$  and  $b_0 \in P_1^{M_2}$  such that  $b$  is free from  $P_1^{M_1}$  over  $P_4^{M_2}$  and  $R(M_2, b_0) = A$ .
- 3  $f$  maps  $N_2$  into  $M_2$  over  $N_1$ . Moreover, the image of  $N_2$  is free in  $M_2$ .

Amalgamation depends on free extension and finite base and uses our atom-preserving amalgamation.



# Existence of Free Extensions

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## Theorem

Let  $M_1$  be free in  $\mathbf{K}_1$ .

- 1 There exists an  $M_2$  which is a free extension of  $M_1$ .
- 2 We can choose  $M_2 \in \mathbf{K}_2$ .

Proceeding inductively we get:

## Corollary

For every  $\mu$  there is a free  $M \in \mathbf{K}_1$ , ( $\mathbf{K}_2$ ) of cardinality  $\mu$ .

# Main Construction: ZFC version

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Free  
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**Main**  
Construction:  
ZFC version

# Main Theorem

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Free  
Extensions

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## Theorem

Suppose  $\lambda = (2^\chi)^+$  and there is no measurable cardinal less than or equal  $\lambda$ , then there is a  $P_0$ -maximal model of  $\mathbf{K}_1$ . (Hence a maximal model of  $\mathbf{K}_1$  of cardinality at most  $2^\lambda$ .)

# Reduction

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Free  
Extensions

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Construction:  
ZFC version

## Lemma

If  $\mu = 2^\chi$  and  $\chi$  is not measurable there is a Boolean algebra  $B$  of cardinality  $\mu$  contained in  $\mathbb{P}(\mu)$  which has no  $\aleph_1$ -complete non-principal ultrafilter.

Straightforward diagonalization.

# Blackbox

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Free  
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Main  
Construction:  
ZFC version

## Göbel-Shelah blackbox

Assume  $\lambda = \mu^+$  and  $\lambda = \mu^\theta$  and  $\mathcal{S} \subseteq \{\delta : \delta < \lambda, \text{cf}(\delta) = \aleph_0\}$  is a stationary subset of  $\lambda$  and  $\langle \mathcal{C}_\delta : \delta \in \mathcal{S} \rangle$  guess clubs (and  $\mathcal{C}_\delta$  is an unbounded subset of  $\delta$  of order type  $\omega$ , of course). Then, we can find  $\langle \overline{N}_\eta : \eta \in \Gamma \rangle$  such that:

- (a)  $\Gamma = \cup \{\Gamma_\delta : \delta \in \mathcal{S}\}$  where  $\Gamma_\delta \subseteq \{\eta : \eta \text{ in an increasing } \omega\text{-sequence of ordinals } < \delta \text{ with limit } \delta\}$  and  $\delta(\eta) = \delta$  when  $\eta \in \Gamma_\delta, \delta \in \mathcal{S}$
- (b)  $\overline{N}_\eta$  is  $\langle N_{\eta,n} : n \leq \omega \rangle$  in  $\prec$ -increasing, and we let  $N_\eta = N_{\eta,\omega}$
- (c) each  $N_\eta$  is a model of cardinality  $\kappa$  with vocabulary  $\subseteq H(\kappa^+)$  for notational simplicity, and universe  $\subseteq \delta := \delta(\eta)$  and  $N_{\eta,n} = N_\eta \upharpoonright \gamma_n^\delta$  where  $\gamma_n^\delta$  is the  $n$ -th member of  $\mathcal{C}_\delta$

# Blackbox continued

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Free  
Extensions

Main  
Construction:  
ZFC version

- (d) for every distinct  $\eta, \nu \in \Gamma_\delta$  where  $\delta \in S$ , for some  $n < \omega$  we have  $N_\eta \cap N_\nu = N_{\eta,n} = N_{\nu,n}$
- (e) for every  $\eta, \nu \in \Gamma_\delta$  the models  $N_\eta, N_\nu$  are isomorphic, moreover there is such isomorphism  $f$  which preserve the order of the ordinals and maps  $N_{\eta,n}$  onto  $N_{\nu,n}$
- (f) if  $\mathcal{A}$  is a model with universe  $\lambda$  and vocabulary  $\subseteq \mathcal{A}(\kappa^+)$  then for stationarily many  $\delta \in S$  for some  $\eta \in \Gamma_\delta \subseteq \Gamma$  we have  $N_\eta \prec \mathcal{A}$ . Moreover, if  $\kappa^{<\kappa} = \kappa$  and  $h$  is a one to one function from  ${}^{\aleph_0}\lambda$  into  $\lambda$  then, we can add: if  $\rho \in {}^{\aleph_0}(N_{\eta,n})$  then  $h(\rho) \in N_{\eta,n}$ .

# The role of Set theory

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Free  
Extensions

Main  
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ZFC version

In the ZFC+ case:

- 1 Non-reflecting is used in the construction to guarantee clause 5a) at limits in  $S$  while maintaining freeness at limits in  $S$ .
- 2  $\diamond$  is used to verify the construction works.

Here we give up the freeness and blackbox guesses the clubs from a collection of possibilities.

We must give up freeness since by Magidor-Shelah, assuming the consistency of countably many supercompacts), one cannot prove in set theory that there are almost free nonfree Abelian groups (Boolean algebras) whose cardinality is above the first cardinal fixed point.

# Proof Sketch

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Construction:  
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Let  $\mu = 2^\chi$ . Then  $\mu = \mu^{\aleph_0}$  and there is no measurable cardinal  $\leq \mu$ .

By the reduction, there is a Boolean algebra  $B$  of cardinality  $\mu$  contained in  $\mathbb{P}(\mu)$  which has no  $\aleph_1$ -complete non-principal ultrafilter.

We will now construct a model  $M$  on  $\lambda$  and apply the fact taking  $M$  as the  $\mathcal{A}$  in Fact39. In particular, we include a unary predicate  $Q$  which will approximate the diamond sequence in the ZFC+ proof.



# Construction

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- 1 Define  $M_\gamma^1$  by induction for  $\gamma \leq \lambda$  as  $\{\mathbf{m} = \langle \mathbf{M}_\alpha : \alpha < \gamma \rangle\}$  so that  $\mathbf{m}$  mimics an initial stage of the construction in the ZFC+ argument.
- 2 Replace the diamond sequence by requiring: if  $\alpha < \gamma$  and  $\alpha \notin S$  and  $A \in \mathbf{B}_{\leq \alpha}$  there is a  $b \in P^{M_{\beta+1}}$  that is free from  $P^{M_\beta}$  over  $P_4^{M_{\beta+1}}$  such that  $R(M_\alpha, b) = A$  and even  $R(M_\beta, b) = A$ .
- 3 Expand each  $N_\lambda$  by a predicate  $Q$  with gives a non-principal ultrafilter on  $P_1^N$ .
- 4 Construct sequences  $c_{\delta, \eta}, c_{\delta, \eta, n}$ ; the black box ensures that one  $\eta$  works as required.

# Further considerations

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Extensions

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Is this a Geometric or Algebraic or combinatorial problem?  
Can this example be modified with free Abelian groups?  
Hart-Shelah?

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Free  
Extensions

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Models

Free  
Extensions

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Construction:  
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Is there an AEC such that the set of cardinals where there is  
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# Further considerations

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Models

Free  
Extensions

Main  
Construction:  
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What (if anything) is special about measurable cardinals?