

Plain complexity and randomness

Definition Define  $G: \mathbb{N} \rightarrow \mathbb{N}$  by

$$G(n) = \begin{cases} K_{s+t}(t^*) & \text{if } n = 2^{\langle s,t \rangle} \text{ and } K_{s+t}(t^*) \neq K_s(t^*) \\ n & \text{otherwise.} \end{cases}$$

$$\begin{aligned} \text{Then } \sum_{n \in \mathbb{N}} 2^{-G(n)} &\leq \sum_{n \in \mathbb{N}} 2^{-n} + \sum_{t \in \mathbb{N}} \sum_{m \geq K(t^*)} 2^{-m} \\ &\leq 2 + 2 \cdot \sum_{t \in \mathbb{N}} 2^{-K(t^*)} \leq 2 + 2 < \infty. \end{aligned}$$

Remark: Here  $K_s(t^*)$  is the smallest value among  $|q|$  for  $q \in 2^{\leq s}$  such that  $\exists i \leq s$   
 $\hat{\Phi}_i^s(q) = t^*$ . So  $s, t \mapsto K_s(t^*)$   
 is partial recursive and  $G$  is thus total recursive.

Theorem For  $\alpha \in 2^{\mathbb{N}}$  the following are equivalent:

- (i)  $\alpha$  is 1-random
- (ii)  $\forall n \quad C(\alpha|n) \geq n - K(n^*) - O(1)$
- (iii)  $\forall n \quad C(\alpha|n) \geq n - g(n) - O(1)$  for all partial recursive  $g: \mathbb{N} \rightarrow \mathbb{N}$  such that  $\sum_{n \in \mathbb{N}} 2^{-g(n)} < \infty$
- (iv)  $\forall n \quad C(\alpha|n) \geq n - G(n) - O(1)$ .

Proof (ii)  $\Rightarrow$  (iii) : Notice that if  $g: \mathbb{N} \rightarrow \mathbb{N}$  is partial recursive with

$$\sum_{n \in \mathbb{N}} 2^{-g(n)} = \delta < \infty$$

$$\sum_{n \in \mathbb{N}} 2^{-g(n) - \log \delta} = \frac{1}{\delta} \cdot \sum_{n \in \mathbb{N}} 2^{-g(n)} = 1$$

So  $\hat{P}(n^*) = g(n) + \lceil \log \delta \rceil$  is an information content measure, and thus

$$K(n^*) \leq \hat{P}(n^*) + O(1) \leq g(n) + O(1).$$

Thus, (ii)  $\Rightarrow$  (iii),

That (iii)  $\Rightarrow$  (iv) is trivial.

(i)  $\Rightarrow$  (ii) Let  $I_k = \{x \in \mathbb{Z}^n \mid \exists n \ C(x|n) < n - K(n^*) - k\}$

Then  $x \in I_k \iff \exists n \ \exists s \ C_s(x|n) + K_s(n^*) < n - k$

Now,  $\# \{ \sigma \in \mathbb{Z}^n \mid C(\sigma) < n - K(n^*) - k \}$   
 $\leq 2^{n - K(n^*) - k}$ , so

$$\begin{aligned} \mu(I_k) &\leq \sum_{n \in \mathbb{N}} \mu(\{x \mid C(x|n) < n - K(n^*) - k\}) \\ &\leq \sum_{n \in \mathbb{N}} (2^{n - K(n^*) - k} \cdot 2^{-n}) = \sum_{n \in \mathbb{N}} 2^{-K(n^*) - k} \\ &= 2^{-k} \cdot \sum_{n \in \mathbb{N}} 2^{-K(n^*)} = 2^{-k} \sum_{\sigma \in \mathbb{Z}^{\infty}} 2^{-K(\sigma)} \leq 2^{-k} \end{aligned}$$

Thus, if

$(\sigma, k) \in T \iff \exists s \ C_s(\sigma) + K_s(|\sigma|^*) < |\sigma| - k$

then  $\bigcup_{\sigma \in T(k)} N_\sigma = I_k$  and thus  $T$  is

a Markov chain. So if  $x$  is 1-

random, then  $x \notin \bigcap I_k$  and so for some

$k$  and all  $n$ ,  $C(x|n) \geq n - K(n^*) - k$ .

(iv)  $\Rightarrow$  (i): We construct a partial recursive function  $h: 2^{<\mathbb{N}>} \rightarrow 2^{<\mathbb{N}>}$  by enumerating its graph.

First, find by the counting theorem a constant  $c$  such that  $\forall k, t \in \mathbb{N}$

$$(2) \quad \#\{ \sigma \in 2^t \mid K(\sigma) \leq t - k \} \leq 2^{t - K(t^*) - k + c}$$

Fix  $s, t \in \mathbb{N}$  and let  $n = 2^{<s, t>}$ .

Strings of length between  $\frac{n}{2} + c + 1$  to  $n + c$  in the domain of  $h$  will be devoted to the pair  $s, t$ . So different pairs do not have the same strings associated.

By some systematic procedure, we go through all  $s, t, k, i \in \mathbb{N}$  and  $\sigma \in 2^n$ :

Assume that at some stage we consider  $s, t, k, i, \sigma$ .

Let  $m = n - K_{s,t}(t^*) - k + c \leq n + c$ .

Assume that

(i)  $\sigma$  has not been put in range (h) at some previous stage  $s, t, k, j$  ( $j \neq i$ )

(ii)  $\frac{n}{2} + c + 1 \leq m$

(iii)  $K_i(\sigma | t) \leq t - k$

Then, if possible, we take some unused  $g$  of length  $m$  and put  $h(g) = \sigma$ .

Otherwise, we go to the next stage.

Notice that if  $K_{st+1}(t^*) = K(t^*)$ , then  $m = n - K(t^*) - k + c$  and so the number of  $\sigma \in 2^n$  such that

$$K(\sigma | t) \leq t - k \text{ is less than}$$

$$2^{|\sigma| - t} \cdot 2^{t - K(t^*) - k + c} = 2^{n - K(t^*) - k + c}$$

$= 2^m$ . So in this case, we will indeed find  $g \in 2^m$  such that  $h(g) = \sigma$ .

Now, assume that  $\alpha$  is not 1-random and hence that

$$\forall N \exists t \quad K(\alpha|t) < t - N.$$

Then

$$\forall k \exists^\infty t \quad K(\alpha|t) < t - k.$$

Let  $\psi(0^t 1) = t^*$  and  $\psi(\sigma) \nearrow$  otherwise.

Then  $\psi$  is prefix free and hence

$$K(t^*) \leq C_\psi(t^*) + O(1) = t + O(1).$$

So for every  $k$ , we can find  $t$

such that  $K(t^*) \leq 2^{t-1} - k - 1$  and

$$K(\alpha|t) < t - k.$$

Now, let  $s$  be minimal with  $K_{s/k}(\alpha|t^*) = K(\alpha|t^*)$   
and let  $n = 2^{\langle s, t \rangle}$ .

$$\text{Then } m = n - K(\alpha|t^*) - k + c \geq$$

$$n - 2^{t-1} + c + 1 \geq \frac{n}{2} + c + 1$$

$$\text{since } n = 2^{\langle s, t \rangle} \geq 2^t.$$

Thus, there is some  $q \in 2^m$  with

$$h(q) = \alpha|_n$$

Notice that  $G(n) = K_{s+1}(t^*) = K(t^*)$ ,

$$\text{so } C(\alpha/n) \leq C_n(\alpha/n) + O(1)$$

$$\leq m + O(1) = n - K(t^*) - k + c + O(1)$$

$$= n - G(n) - k + (c + O(1))$$

where the constant  $c + O(1)$  is independent of  $\alpha, n$  and  $k$ .

Thus, as  $k$  was arbitrary, we see that

$$\liminf_n C(\alpha/n) - n + G(n) = -\infty$$

Therefore, by contradiction, it

$$(\forall n) \quad C(\alpha/n) \geq n - G(n) - O(1),$$

then  $\alpha$  must be 1-random.  $\square$