

① (10/16/15 - W. Zhang)

§5 + §6: Separability via Smoothness

Def  $E/F$  separable iff  $E$   $q$ -sm /  $F$ .

Goal (Madaue's Criterion)  $E/F$  separable  
iff  $E/F$  geometrically regular over  $F$ .

Th 5.1:  $E/F$  field ext ess of fin type  
(e.g.  $E = F(x_1, \dots, x_n)$ )  $\dim_E \Omega_{E/F} = \dim_E \Gamma_{E/F} + \text{trdeg}_{E/F}$

Corollary 5.3:  $E/F$  ess fin type

$\Omega_{E/F} = 0 \iff E/F$  finite separable

Def: A set  $\{x_i\}$  of elements in  $K$   
is an  $\mathcal{L}$ -basis of  $K/F$  if  
 $\{dx_i\}$  is  $\mathcal{L}$  (differential basis)  
a basis of  
 $\Omega_{K/F}$  over  $K$ .

Prop 5.4 separably generated  $\iff$  separable

Def ( $p$ -basis) char  $F = p > 0$ ,  $K/F$   
 $a_1, \dots, a_n \in K$  are  $p$ -indep /  $F$  if

$$[K^p(a_1, \dots, a_n) : K^p(F)] = p^n.$$

(2)

$C \subseteq K$  is  $p$ -indep if every finite subset of  $C$  is  $p$ -indep.

A subset  $C \subseteq K$  is a  $p$ -base if  $C$  is  $p$ -indep and  $K = K^p(F, C)$ .

Rank  $C$  is a  $p$ -basis  $\Leftrightarrow$

$a_1^{e_1} \dots a_n^{e_n}$  form a basis for  $K$  over  $K^p F$  for all distinct  $a_1, \dots, a_n \in C$   
( $0 \leq e_i < p$ )

Lemma 5.6: ( $\text{char } p > 0$ )  $K/F$ ,  $K^p \subseteq F \subseteq K$

$\{x_i\} \subseteq K$  set  $a_i = x_i^p$

Then (1)  $K = F(\{x_i\}) \Leftrightarrow \{dx_i\}$  generate

(2)  $\{dx_i\}$  is indep in  $\Omega_{K/F} \Leftrightarrow F[\{T_i\}] / (T_i^p - a_i)$

Corollary 5.7

$\{x_i\}$  is a  $p$ -basis for  $K/F$

$T_i \downarrow x_i$   
 $(T_i^p - a_i) \downarrow K$  is inj.

$\Leftrightarrow$

$\{x_i\}$  is a  $\Omega$ -basis for  $K/F$

(3)

Pf.  $dK^p = 0$  in  $\Omega_{K/F}$

$$\Rightarrow \Omega_{K/F} = \Omega_{K/K^p}$$

Now replace  $F$  by  $K^p$  and use 5.6. ✓

Thm 6.1 (Faltings)  $k = \text{field}$

$(A, \underline{m}) = \text{gsm regular local } k\text{-alg}$

$$K = A/\underline{m} \quad (k \rightarrow A \rightarrow K)$$

we have exact sequence (JZ seq)

$$0 \rightarrow \Gamma_{K/k} \rightarrow \underline{m}/\underline{m}^2 \xrightarrow{d} K \otimes_k \Omega_{A/k} \rightarrow \Omega_{K/k} \rightarrow 0$$

Corollary 6.2 Same hypothesis as 6.1.

$$\text{Then } \dim_k \Gamma_{K/k} < \infty$$

Corollary 6.3 (Mazur's Criterion)

$E/F$  separable  $\Leftrightarrow \overline{E}$  geometrically regular

Pf.  $\Leftarrow$  Assume  $E/F$  geometrically regular. Then 6.1  $\Rightarrow \Gamma_{E/F} = 0$ .

$\Rightarrow$  (well-known)

A smooth local  $k$ -algebra must be regular.

(4)

Proof of 6.1: Need  $\Gamma_{K/k} \hookrightarrow \underline{m}/\underline{m}^2$

May assume char  $k = p > 0$ .  
 $\mathbb{F} \subseteq k$  is a prime field  
 $\Rightarrow \mathbb{F}$  perfect  $\Rightarrow \Gamma_{k/\mathbb{F}} = 0$ .

$$\begin{array}{c} \hookrightarrow \\ \Gamma_{K/A} \\ A \twoheadrightarrow K \end{array}$$

$$\begin{array}{ccccc} \mathbb{F} & \hookrightarrow & k & \longrightarrow & K \\ \downarrow & & \downarrow & & \downarrow \\ \mathbb{F} & \longrightarrow & A & \longrightarrow & K \end{array}$$

$$\begin{array}{ccccccc} 0 \rightarrow & \Gamma_{K/k} & \rightarrow & K \otimes_k \Omega_{k/\mathbb{F}} & \rightarrow & \Omega_{K/\mathbb{F}} & \rightarrow \Omega_{K/k} \rightarrow 0 \\ & \downarrow & & \downarrow \oplus & & \downarrow & \\ 0 \rightarrow & \Gamma_{K/A} & \rightarrow & K \otimes_A \Omega_{A/\mathbb{F}} & \rightarrow & \Omega_{K/\mathbb{F}} & \rightarrow 0 \end{array}$$

reduce to proving

$$K \otimes_k \Omega_{k/\mathbb{F}} \xrightarrow{\oplus} K \otimes_A \Omega_{A/\mathbb{F}}$$

Will show

$$K' \otimes_k \Omega_{k/\mathbb{F}} \hookrightarrow K' \otimes_A \Omega_{A/\mathbb{F}} \quad K' \text{ ever bigger}$$

Assume  $x_1, \dots, x_n \in k$   
one sees that  $dx_1, \dots, dx_n$   
are lin indep in  $\Omega_{k/\mathbb{F}}$ .

depending on  
elements of  $\ker \oplus$

(5)

Set  $k' = k(x_1^{1/p}, \dots, x_n^{1/p})$ .

$A' = k' \otimes_k A$ , still local, max ideal

regular local as  $A$  geom reg

$\underline{m}' = \{a' \in A' \mid (a')^p \in \underline{m}\}$

$k' = A'/\underline{m}'$ , Since  $k'/F$  is sep we have

$$0 \rightarrow \underline{m}'/\underline{m}'^2 \xrightarrow{d} k' \otimes_{A'} \Omega_{A'/F} \rightarrow \Omega_{k'/F} \rightarrow 0$$

(\*)

$$0 \rightarrow k' \otimes_k (\underline{m}/\underline{m}^2) \rightarrow k' \otimes_A \Omega_{A/F} \rightarrow k' \otimes_k \Omega_{k/F} \rightarrow 0$$

$$\dim_{k'} \underline{m}'/\underline{m}'^2 = \dim_{k'} (k' \otimes_k (\underline{m}/\underline{m}^2))$$

JZ of  $F \rightarrow k \rightarrow k' \Rightarrow$

$$0 \rightarrow \Gamma_{k'/k} \rightarrow k' \otimes_k \Omega_{k/F} \rightarrow \Omega_{k'/F} \rightarrow \Omega_{k'/k} \rightarrow 0$$

By 5.1  $\Rightarrow \dim \Gamma_{k'/k} = \dim \Omega_{k'/k}$

Snake of  $\odot \Rightarrow \dim(\ker(k' \otimes_A \Omega_{A/F}))$

=  $\dim(\text{coker}(\text{--- same thing ---})) \rightarrow k' \otimes_{A'} \Omega_{A'/F}$

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proof follows after we show

$$\dim(\operatorname{coker}(K' \otimes_A \Omega_{A/\mathbb{F}} \rightarrow K' \otimes_{A'} \Omega_{A'/\mathbb{F}}))$$

$$A' = A[T_1, \dots, T_n] / \underbrace{(T_1^p - x_1, \dots, T_n^p - x_n)}_{=I} = n$$

$\Rightarrow d=0$  in

$$0 \rightarrow \Gamma_{A'/A} \rightarrow I/I^2 \xrightarrow{d} \bigoplus A' dt_i \rightarrow \Omega_{A'/A} \rightarrow 0$$

$\Rightarrow \Omega_{A'/A}$  is free on  $n$ -generators

$\Rightarrow \dim(\operatorname{coker}(\_)) = n$  ✓

$\Rightarrow \dim(\operatorname{ker}(\_)) = n$

But  $dx_1, \dots, dx_n$  generate this kernel,  
so they must be lin indep. ✓