# **NOTES ON TOPOLOGY**

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### 1. Cells and spaces

We let  $D^n = \{(x_1, \ldots, x_n) \mid x_1^2 + \cdots + x_n^2 \leq 1\}$  denote the closed unit disk in  $\mathbb{R}^n$  and  $S^n = \{(x_1, \ldots, x_n) \mid x_1^2 + \cdots + x_n^2 = 1\}$  denote its boundary, the unit sphere in  $\mathbb{R}^n$ . (It is a fact that any homeomorphism from  $D^n$  to itself must map  $S^{n-1}$  to itself. We have not proven this, but we will assume it for now.)

**Definition 1.1.** A space homeomorphic to  $D^n$  is called an n-cell. If C is an n-cell then the boundary of C is the image of the (n-1)-sphere under a homeomorphism from  $D^n$  to C. By the assumption mentioned above, this is a well-defined subset of C, which we denote by  $\partial C$ .

Next we will define a class of spaces which includes almost all of the spaces that we will encounter in this course.

**Definition 1.2.** Suppose that X is a topological space. We will say that Y is obtained from X by *attaching n-cells* if there exist

- (1) a family C of disjoint n-cells C disjoint from X; and
- (2) for each  $C \in \mathcal{C}$  a map  $\alpha_C : \partial C \to X$ ,

such that Y is homeomorphic to the quotient space  $(\sqcup \mathcal{C} \sqcup X)/\sim$ , where  $\sim$  is the equivalence relation generated by the relation  $x \sim \alpha_c(x)$  for all  $x \in \partial \mathcal{C} \in \mathcal{C}$ . The map  $\alpha_{\mathcal{C}}$  is called the *attaching map* for  $\mathcal{C}$ .

If Y is obtained from X by attaching n-cells then X is homeomorphic to a subspace of Y. Moreover, for each  $C \in \mathcal{C}$ , the restriction  $q_C : C \to Y$  of the quotient map is called the characteristic map of C. We have that  $q_C|_{\partial C} = \alpha_C$  and  $q_C$  is an embedding of  $C - \partial C$  into X. Note, however, that  $q_C$  is not necessarily injective on  $\partial C$ .

**Definition 1.3.** A 0-dimensional CW-complex is a disjoint union of 0-cells. For n > 0, a space X is an n-dimensional CW-complex if X is obtained by attaching n-cells to an (n-1)-dimensional CW-complex.

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If X is an n-dimensional CW-complex then there are subspaces

$$X^{(0)} \subset X^{(1)} \subset \cdots \subset X^{(n)} = X$$

such that each  $X^{(k)}$  is a k-dimensional CW-complex and, for each k > 0,  $X^{(k)}$  is obtained from  $X^{(k-1)}$  by attaching k-cells. The space  $X^{(k)}$  is called the k-skeleton (determined by the CW-complex structure) of X. Of course, for most spaces X there are many different ways to describe X as a CW-complex, with different skeleta.

Exercise 1.1. Show that the circle is a CW-complex.

Exercise 1.2. Show that any triangulated 2-manifold is a CW-complex.

#### 2. Connectedness

**Definition 2.1.** A space X is said to be *path-connected* or 0-connected when each pair of points of X can be joined by a path. Equivalently, we could define X to be 0-connected if every map  $f: S^0 \doteq \{0, 1\} \to X$  can be extended to a map  $\hat{f}: D^1 \doteq [0, 1] \to X$ .

A space X is said to be *simply connected*, or 1-connected if it is path-connected and every map from the circle  $S^1$  to X extends to a map from  $D^2$  to X.

Continuing inductively for n > 1, we say that X is n-connected if it is (n-1)-connected and every map from  $S^n$  to X extends to a map from  $D^{n+1}$  to X.

We describe here two basic methods for verifying that a space is *n*-connected.

**Proposition 2.2.** A convex subspace of  $\mathbb{R}^n$  is m-connected for all  $m \geq 0$ .

*Proof.* Let X be a convex subspace of  $\mathbb{R}^n$ . Let  $f:S^n\to X$  be a map. Choose any point  $P\in X$ . Every point x in  $D^n$  can be written as x=tv for some  $v\in S^{n-1}$ , and v is uniquely determined unless x=0. (In fact, the disk  $D^n$  is the quotient space of  $[0,1]\times S^n$  under the equivalence relation generated by setting  $(0,v)\sim (0,v')$  for all  $v,v'\in S^n$ . We define  $\hat{f}:[0,1]\times S^n$  by  $\hat{f}(tv)=(1-t)P+tf(v)$ . Since  $\hat{f}$  is constant on equivalence classes, it descends to a map  $\hat{f}:D^n\to X$  which is an extension of f.

Exercise 2.1. Prove that  $\mathbb{R}^n$  is m-connected for all m > 0.

Exercise 2.2. Prove that  $D^n$  is m-connected for all  $m \ge 0$ .

We can generalize the argument above to many more situations.

**Definition 2.3.** Let X be a topological space and P a point in X. We say that X is contractible to P if there exists a continuous function  $H: X \times [0,1] \to X$  such that

- H(x, 0) = x for all  $x \in X$ ;
- H(x, 1) = P for all  $x \in X$ .

(Here  $X \times [0,1]$  has the product topology, of course.) We call H a contraction of X to P.

Exercise 2.3. Prove that if X is contractible to  $P \in X$  then X is m-connected for all  $m \ge 0$ .

Exercise 2.4. Suppose that X is contractible to  $P \in X$ . Show that X is also contractible to  $Q \in X$  for any  $Q \in X$ .

In view of Exercise 2.4 we will simply say that X is contractible if X is contractible to some (and hence any) point  $P \in X$ .

However, it is important to realize that when a space X is contractible to a point  $P \in X$ , it may not be possible to find a contraction which keeps the point P fixed.

Exercise 2.5. Give an example of a space X and a point  $P \in X$  such that X is contractible to P, but there does not exist a contraction  $H: X \times [0,1] \to X$  with the property that H(P,t) = P for all  $t \in [0,1]$ .

Our next criterion for simple connectivity requires a lemma; people who have taken analysis will recognize this as a special case of Lebesgue's covering lemma.

**Lemma 2.4.** Let X be a topological space and  $\mathcal{U}$  an open cover of X. Let  $\sigma: [0,1] \to X$  be continuous. Then there exist  $0 = t_0 < t_1 < \cdots < t_n = 1$  such that, for each  $i = 1, \ldots, n$ , we have  $f([t_{i-1}, t_i]) \subset U$  for some  $U \in \mathcal{U}$ .

*Proof.* We will prove this by the method of bisection. A list S of numbers  $t_i$  with  $0 = t_0 < t_i < \cdots t_n = 1$  determines a *subdivision* of [0,1] into *subintervals*  $[t_0,t_1],\ldots [t_{n-1},t_n]$ . We will say that an interval  $[a,b] \subset [0,1]$  is *good* if  $f([a,b]) \subset U$  for some  $U \in \mathcal{U}$ . With this terminology, our goal is to show that there is a subdivision of [0,1] such that all of the subintervals are good. We will build this subdivision by successively dividing various subintervals in half.

Observe first that every number  $t \in [0, 1]$  is contained in a good interval [a, b] for some a < t < b. In fact, if  $f(t) \in U$ , then t is contained in the interior of an interval that maps into U.

Next consider the following process, starting with the trivial subdivision  $S_o$  corresponding to  $0 = t_0 < t_1 = 1$ . Given a subdivision  $S_n$  of [0, 1],

- (1) if every subinterval of  $S_n$  is good, stop;
- (2) otherwise bisect each bad subinterval to form a new subdivision  $S_{n+1}$ .

If this process stops after finitely many steps we have produced a subdivision in which every subinterval is good. Thus we may assume, for contradiction, that this process can be repeated arbitrarily many times with a bad interval appearing at each stage. Observe that each bad interval is produced by bisecting a bad interval. Thus we obtain an infinite sequence of bad intervals  $I_1 \supset I_2 \supset \cdots$  where the length of  $I_k$  is  $2^{-k}$ . By the nested interval property, there exists  $t \in \bigcap I_n$ . But t is contained in a good interval [a, b] and, since the length of  $I_k$  is  $2^{-k}$ , we have  $I_k \subset [a, b]$  for all sufficiently large k. This is a contradiction, since it is clear that any subinterval of a good interval is good.

Exercise 2.6. Generalize Lemma 2.4 to the case of a continous map  $H:[0,1]\times[0,1]\to X$ .

**Theorem 2.5.** Suppose that a space X can be written as  $X = U \cup V$  where U and V are simply-connected open sets and  $U \cap V$  is path-connected. Then X is simply connected.

*Proof.* Choose a point  $x \in U \cap V$ . Let  $f: S^1 \to X$  be a map. We will construct an extension of f to a map  $\hat{f}: D^2 \to X$ .

Note that  $S^1$  can be realized as the quotient space of [0,1] under the equivalence relation generated by requiring  $0 \sim 1$ . In fact, the map  $q:[0,1] \to S^1$  defined by  $q(t)=(\cos(2\pi t),\sin(2\pi t))$  is a quotient map.

According to Lemma 2.4 there is a subdivision of [0,1] such that  $q \circ f$  maps each subinterval entirely into U or entirely into V. Equivalently, we obtain a subdivision of the circle  $S^1$  into arcs  $\theta_1, \ldots, \theta_n = \theta_0$  such that for each  $i = 1, \ldots, n$  we either have  $f(\theta_i) \subset U$  or  $f(\theta_i) \subset V$ . Let  $p_1, \ldots, p_n = p_0$  be the endpoints of these arcs, so that  $p_i$  is an endpoint of both  $\theta_i$  and  $\theta_{i-1}$  for each  $i = 1, \ldots, n$ . Observe that if f maps one arc into U and an adjacent arc into V then the common endpoint of the two arcs must map into  $U \cap V$ .

Next we consider radial line segments which runs from the origin to  $p_i$ . These segments subdivide the disk  $D^2$  into *pizza slices*. A point on the segment from 0 can be uniquely described as  $tp_i$  for some  $t \in [0, 1]$ .

We first extend f to a map  $\tilde{f}$  defined on the union of  $S^1$  with these radial segments. To define  $\tilde{f}$  on the radial segments, for each  $i=1,\ldots n$  we choose a path  $\rho_i$  which runs from the point  $x\in U\cap V$  to the point  $f(p_i)$ . We impose the following requirements on the paths  $\rho_i$ :

- if  $f(\theta_{i-1}) \subset U$  and  $f(\theta_i) \subset U$  then  $f(\rho_i) \subset U$ ;
- if  $f(\theta_{i-1}) \subset V$  and  $f(\theta_i) \subset V$  then  $f(\rho_i) \subset V$ ;
- otherwise,  $f(\rho_i) \subset U \cap V$ .

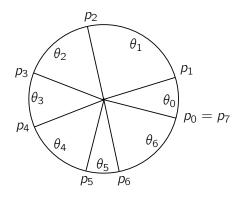


Figure 1.

The last requirement can be satisfied because, in that case, we have  $f(p_i) \in U \cap V$  and we have assumed that  $U \cap V$  is path-connected.

To finish the argument we observe that the map  $\tilde{f}$  has the property that the boundary of each pizza slice is either mapped entirely into U or entirely into V. Since a pizza slice is homeomorphic to  $D^2$ , the simple-connectivity of U and V implies that  $\tilde{f}$  can be extended over each pizza slice to provide a map  $\hat{f}: D^2 \to X$  such that  $\hat{f}|_{S^1} = f$ , as required.  $\square$ 

Exercise 2.7. Prove that  $S^n$  is simply connected for all n > 1. Why does your argument fail for n = 1?

Exercise 2.8. Prove the following

**Theorem 2.6.** Suppose that the space X can be written as  $X = U \cup V$  where U and V are n-connected open sets and  $U \cap V$  is (n-1)-connected. Then X is n-connected.

# 3. The Fundamental Group

In this section we will define our most basic algebraic topological invariant – the fundamental group.

We need to establish some terminology first.

**Definition 3.1.** Suppose that  $f_0$  and  $f_1$  are maps from a space X to a space Y. We say that  $f_0$  is homotopic to  $f_1$  if there exists a family of maps  $f_t: X \to Y$  for  $t \in [0,1]$  such that the function  $H: X \times [0,1] \to Y$  given by  $H(x,t) = f_t(x)$  is continuous, where  $X \times [0,1]$  has the product topology. We may refer to either the map H, or the family  $f_t$  as a homotopy from  $f_0$  to  $f_1$ .

**Definition 3.2.** Suppose that  $f_0$  and  $f_1$  are maps from a space X to a space Y which agree on a subspace  $A \subset X$ . We say that  $f_0$  and  $f_1$  are homotopic rel A if there exists a homotopy  $\{f_t\}_{t\in[0,1]}$  such that  $f_t(a) = f_0(a) = f_1(a)$  for all  $a \in A$  and all  $t \in [0,1]$ .

**Definition 3.3.** By a path in a space X we mean a map from [0,1] to X. If  $a,b\in X$  are points and  $\sigma:[0,1]\to X$  is a path such that  $\sigma(0)=a$  and  $\sigma(1)=b$  then we may say that  $\sigma$  runs from a to b, or that a and b are joined by  $\sigma$ , or that  $\sigma$  starts at a and ends at b. By a loop based at x we mean a path that starts and ends at the point x.

The set of paths in a space X have what one might call a partial operation denoted  $\star$ . If  $\sigma$  and  $\tau$  are two paths such that  $\sigma$  ends at the starting point of  $\tau$ , then we define

$$\sigma \star \tau(t) = \begin{cases} \sigma(2t) & \text{for } t \in [0, \frac{1}{2}] \\ \tau(2t - 1) & \text{for } t \in [\frac{1}{2}, 1] \end{cases}.$$

Continuity of  $\sigma \star \tau$  follows from the condition  $\sigma(1) = \tau(0)$ . Thus the  $\star$  operation concatenates the two paths, if possible, to produce a new path which follows first  $\sigma$  then  $\tau$  at double speed. While this is a natural operation, its algebraic properties are as weak as possible.

Exercise 3.1. Show that the operation  $\star$  is not associative.

To obtain a reasonable algebraic structure we restrict our attention to loops based at a fixed point of X (since the  $\star$  operation is defined for any two loops) and we work with equivalence classes of paths under a suitable equivalence relation.

**Definition 3.4.** We say that two paths  $\sigma$  and  $\tau$  in a space X are path homotopic if they have the same starting and ending points, and are homotopic rel  $\{0,1\}$ . We will write  $\sigma \sim \tau$  when  $\sigma$  and  $\tau$  are path-homotopic, and we will write  $[\sigma]$  for the set of all paths which are path-homotopic to  $\sigma$ .

The next lemma will be used when we define the fundamental group to ensure that the multiplication operation is well-defined.

FOR NOW, REFER TO MASSEY FOR THE DEFINITION OF  $\pi_1(X,x)$ .

Exercise 3.2. Prove that X is simply connected if and only if X is path-connected and  $\pi_1(X, x)$  is trivial for all  $x \in X$ .

*Exercise* 3.3. Suppose that X is simply-connected, and that  $\sigma$  and  $\tau$  are paths in X with  $\sigma(0) = \tau(0)$  and  $\sigma(1) = \tau(1)$ . Prove that  $\sigma$  and  $\tau$  are path-homotopic.

### 4. The circle

FOR NOW, REFER TO CLASS NOTES FOR OUR COMPUTATION OF  $\pi_1(S^1)$ .

This is the key motivating example for the next definitions.

# 5. Coverings

**Definition 5.1.** A map  $p: \widetilde{X} \to X$  is a *covering* if every point  $x \in X$  has an open neighborhood U such that  $p^{-1}(U)$  is a disjoint union of open sets  $U_z$ , for  $z \in p^{-1}(x)$ , such that, for each  $z \in p^{-1}(x)$ ,

- $z \in U_z$ ; and
- $p|_{U_z}:U_z\to U$  is a homeomorphism.

The space  $\widetilde{X}$  is called the *covering space*, the space X is called the *base space* and the set  $p^{-1}(x)$  is called the *fiber* over x.

When an open set U has the property above, it is said to be *evenly covered*, and the open sets  $U_z$  are called the *slices* over U.

We will usually want to keep track of a base point when discussing coverings. When a covering is written as a map of pairs, e.g.  $p:(\tilde{X},\tilde{x})\to(X,x)$ , we mean that  $p:\tilde{X}\to X$  is a covering and  $p(\tilde{x})=x$ .

One of the most natural ways for covering to arise is from group actions.

**Definition 5.2.** An action of a group G on a topological space X is *nice* if it has the following property:

• Every point  $x \in X$  has a neighborhood U such that  $g \cdot U \cap U = \emptyset$  if  $1 \neq g \in G$ .

Exercise 5.1. Prove that a free, properly discontinuous action on a locally compact Hausdorff space is nice. (An action of G on X is free if no point of X is fixed by any element of G other than the identity. It is properly discontinuous if the set  $\{g \in G \mid g \cdot K \cap K \neq \emptyset\}$  is finite for every compact set  $K \subset X$ .

*Exercise* 5.2. If G acts nicely on  $\widetilde{X}$  then the quotient map  $q:\widetilde{X}\to X\doteq Q/G$  is a covering.

*Exercise* 5.3. Prove that the exponential map  $t\mapsto e^{2\pi it}$  is a covering from the real line to the circle.

Exercise 5.4. Prove that the exponential map  $z\mapsto e^z$  is a covering from the complex plane  $\mathbb{C}$  to  $\mathbb{C}-\{0\}$ .

Exercise 5.5. Construct a covering from  $\mathbb{R}^2$  to the torus  $S^1 \times S^1$ .

Exercise 5.6. Construct a covering from the 2-sphere  $S^2$  to the projective plane  $\mathbb{R}P^2$ .

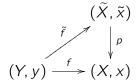
Exercise 5.7. Construct a covering from the hyperbolic plane  $\mathbb{H}^2$  to a surface of genus 2.

### 6. Lifts

**Definition 6.1.** If  $p: \widetilde{X} \to X$  is a covering and if  $f: Y \to X$  is a map, we will say that f lifts if there exists a map  $\widetilde{f}: Y \to \widetilde{X}$  such that  $p \circ \widetilde{f} = f$ . The map  $\widetilde{f}$  is called a *lift* of f. In terms of commutative diagrams,  $\widetilde{f}$  is a lift of f if the following diagram commutes:

$$\begin{array}{c|c}
\widetilde{f} & \widetilde{X} \\
\downarrow p \\
Y & \downarrow p
\end{array}$$

We will also want to keep track of basepoints when discussing lifts. If  $f:(Y,y)\to (X,x)$  is a map and if there exists a lift  $\tilde{f}:(Y,y)\to (\tilde{X},\tilde{x})$  then we will say that f lifts at  $\tilde{x}$ , and  $\tilde{f}$  will be called a lift of f at  $\tilde{x}$ . Thus  $\tilde{f}$  is a lift of f at  $\tilde{x}$  if the following diagram commutes:



### 7. Uniqueness of lifts

Lifts do not always exist. However, if a lift does exist, and if the domain is connected and locally connected, then the lift is completely determined by its value at any point of the domain.

**Proposition 7.1.** Let  $p: \tilde{X} \to X$  be a covering, and let Y be a connected, locally-connected space. Suppose that  $f: Y \to X$  is a map and that  $\tilde{f_1}$  and  $\tilde{f_2}$  are lifts of f. Then the set  $A = \{y \in Y \mid \tilde{f_1}(y) = \tilde{f_2}(y)\}$  is either empty, or equal to Y.

*Proof.* Since Y is connected, it suffices to show that A and Y - A are both open sets.

Suppose  $y \in Y$  and let U be an evenly covered neighborhood of f(y). Let  $V \subset f^{-1}(U)$  be a connected neighborhood of y. If  $U_{z_1}$  and  $U_{z_2}$  are the slices over U that contain  $z_1 = \tilde{f_1}(y)$  and  $z_2 = \tilde{f_2}(y)$ , then  $U_{z_1}$  and  $U_{z_2}$  are either equal (if and only if  $y \in A$ ), or disjoint. Since V is connected,  $\tilde{f_1}(V)$  and  $\tilde{f_2}(V)$  are both connected. It follows that  $\tilde{f_1}(V) \subset U_{z_1}$  and  $\tilde{f_2}(V) \subset U_{z_2}$ .

If  $y \in A$ , we have  $U_{z_1} = U_{z_2}$ . But each slice over U contains exactly one point in the pre-image of each point of U. Since  $\tilde{f}_1(V)$  and  $\tilde{f}_2(V)$  are both contained in the same slice this implies that  $\tilde{f}_1|_V = \tilde{f}_2|_V$  and hence that  $V \subset A$ .

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If  $y \notin A$ ,  $U_{z_1}$  and  $U_{z_2}$  are disjoint, and hence  $\tilde{f}_1$  and  $\tilde{f}_2$  cannot agree at any point of V. Thus we have  $V \subset Y - A$ . This shows that both A and Y - A are open.

# 8. Lifting paths

A crucial property of coverings is that paths, and path homotopies, always lift.

**Remark 8.1.** As we shall see, it also important that the lift of a loop need not be a loop; that is,  $\sigma(0) = \sigma(1)$  does not imply  $\tilde{\sigma}(0) = \tilde{\sigma}(1)$ .

**Theorem 8.2.** Let  $p: \widetilde{X} \to X$  be a covering. Suppose that  $\sigma: [0,1] \to X$  is a path with  $\sigma(0) = x$ . Let  $\widetilde{x}$  be any point in  $p^{-1}(x)$ . Then there exists a unique path  $\widetilde{\sigma}: [0,1] \to \widetilde{X}$  such that  $\widetilde{\sigma}$  is a lift of  $\sigma$  and  $\widetilde{\sigma}(0) = \widetilde{x}$ .

*Proof.* Since every point of X has an evenly covered neighborhood, Lemma 2.4 implies that there exists an integer N>0 such that  $\sigma([\frac{i}{N},\frac{i+1}{N}])$  is contained in an evenly covered open set for each  $i=0,\ldots,N-1$ . It is obvious that the conclusion holds for  $\sigma$  if and only if it holds for any reparametrization of  $\sigma$ . Therefore we may assume that  $\sigma=\sigma_1*\cdots*\sigma_N$  (parentheses omitted since reparametrization is irrelevant), where each path  $\sigma_i$  maps the unit interval into an evenly covered open set. The proof will be by induction on N.

First suppose N=1, so  $\sigma_1=\sigma$ . Let U be an evenly covered neighborhood of  $\sigma([0,1])$ . Let  $q:U\to U_{\tilde{x}}$  be the inverse map of the homeomorphism  $p|_{U_{\tilde{x}}}:U_{\tilde{x}}\to U$ . Define  $\tilde{\sigma}=q\circ\sigma$ .

For the induction step we have  $\sigma = (\sigma_1 * \cdots * \sigma_{N-1}) * \sigma_N$  and we know that  $\tau = \sigma_1 * \cdots * \sigma_{N-1}$  has a unique lift  $\tilde{\tau}$  at  $\tilde{x}$ . But we also know by induction that  $\sigma_N$  has a unique lift  $\tilde{\sigma}_N$  at  $\tilde{\tau}(1)$ . We define  $\tilde{\sigma} = \tilde{\tau} * \tilde{\sigma}_N$ . This path is continuous since  $\tilde{\tau}(1) = \tilde{\sigma}_N(0)$ , and it is clearly a lift.

The uniqueness follows immediately from Proposition 7.1.  $\Box$ 

**Proposition 8.3.** Suppose that  $p: \tilde{X} \to X$  is a covering and that  $\sigma: [0,1] \to X$  and  $\tau: [0,1] \to X$  are paths with  $\sigma(0) = \tau(0) = x_0$  and  $\sigma(1) = \tau(1) = x_1$ . Let  $\tilde{x}_0$  be any point in  $p^{-1}(x_0)$  and let  $\tilde{\sigma}$  and  $\tilde{\tau}$  be the lifts of  $\sigma$  and  $\tau$  at  $\tilde{x}_0$ . If  $\sigma$  and  $\tau$  are path-homotopic then so are  $\tilde{\sigma}$  and  $\tilde{\tau}$ . In particular,  $\tilde{\sigma}(1) = \tilde{\tau}(1)$ .

*Proof.* Assume that  $H:[0,1\times[0,1]\to X$  is a path-homotopy from  $\sigma$  to  $\tau$ , so that  $H(\{0\}\times[0,1])=\{x_0\};\ H(\{1\}\times[0,1])=\{x_1\};\ H(t,0)=\sigma(t);\ \text{and}\ H(t,1)=\tau(t).$  It suffices to show that H has a lift  $\widetilde{H}$  such that  $\widetilde{H}(0,0)=\widetilde{x}_0$ . For then by uniqueness of path-lifting (including the fact that a lift of a constant path is constant) we must have  $\widetilde{H}(\{0\}\times[0,1])=\{\widetilde{x}_0\};\ \widetilde{H}(\{1\}\times[0,1])=\widetilde{\sigma}(1)=\widetilde{\tau}(1);\ \widetilde{H}(t,0)=\widetilde{\sigma}(t);\ \text{and}\ \widetilde{H}(t,1)=\widetilde{\tau}(t).$ 

By Exercise 2.6, there is an integer N > 0 such that each of the squares  $S_{i,j} = \left[\frac{i}{N}, \frac{i+1}{N}\right] \times \left[\frac{j}{N}, \frac{j+1}{N}\right]$  is mapped by H into an evenly covered open set in X, which we regard as a neighborhood of the point  $Z_{i,j} = H\left(\frac{i}{N}, \frac{j}{N}\right)$ .

Given any point  $\tilde{z}_{i,j} \in p^{-1}(z_{i,j})$ , it is easy to construct a lift of  $H|_{S_{i,j}}$  which maps  $(\frac{i}{N}, \frac{j}{N})$  to  $\tilde{z}_{i,j}$ : if U is an evenly covered open set containing  $H(S_{i,j})$  and  $\widetilde{U}$  is the slice over U which contains  $\tilde{z}_{i,j}$  then we take the lift to be  $q \circ H|_{S_{i,j}}$ , where q is the inverse map of the homeomorphism  $p|_{\widetilde{U}}: \widetilde{U} \to U$ .

Define lifts  $\widetilde{H}_{i,j}$  of  $H|_{S_{i,j}}$  inductively. First, we define  $\widetilde{H}_{0,0}$  to be the lift of  $H|_{S_{0,0}}$ , constructed as above, which sends (0,0) to  $\widetilde{\sigma}(0)$ . Next we define  $\widetilde{H}_{0,1}$  to be the lift of  $H|_{S_{1,0}}$  which sends  $(\frac{1}{N},0)$  to  $\widetilde{\sigma}(\frac{1}{N})$ . We observe that the restrictions of  $\widetilde{H}_{0,0}$  and  $\widetilde{H}_{1,0}$  to the segment  $S_{0,0}\cap S_{1,0}$  agree, since they are lifts of the same path and they agree at one point. Thus the pasting lemma gives a lift of the restriction of H to  $S_{0,0}\cup S_{1,0}$ . Continuing by induction we obtain a lift of the restriction of H to the first row  $S_{0,0}\cup\cdots\cup S_{N-1,0}$ . To continue to the second row, we let  $\widetilde{H}_{0,1}$  be the lift of  $H|_{S_{0,1}}$  which agrees with  $\widetilde{H}_{0,0}$  at the point  $(0,\frac{1}{N})$ . Again, uniqueness of path lifting guarantees that  $\widetilde{H}_{0,0}$  and  $\widetilde{H}_{1,0}$  agree on the entire segment  $S_{0,0}\cap S_{0,1}$ , giving a continuous lift of the restriction of H to the union of the first row and the first square of the second row. We continue across the second row, observing that uniqueness of path-lifting ensures that each new lift agrees with all previous lifts on both segments where the new square meets the union of the previous squares. The proposition follows by induction.

# **9.** The right action of $\pi_1(X,x)$ on the fiber over x

The results of the preceding section have the following important consequence. Suppose that  $p: \widetilde{X} \to X$  is a covering and that  $\sigma: [0,1] \to X$  is a loop based at a point  $x \in X$ . Then

- for each point  $z \in p^{-1}(x)$ , there is lift  $\tilde{\sigma}$  with  $\tilde{\sigma}(0) = z$ ;
- $\tilde{\sigma}$  is a path, but may or may not be a loop;
- The endpoint  $\tilde{\sigma}(1)$  depends only on the path-homotopy class of  $\sigma$ .

**Proposition 9.1.** Suppose that  $P: \widetilde{X} \to X$  is a covering and that x is a point of X. There is a unique right action of  $\pi_1(X,x)$  on the set  $p^{-1}(x)$  such that

• if  $g \in \pi_1(X, x)$  is represented by the loop  $\sigma$  and if z is a point in  $p^{-1}(x)$  then the lift  $\tilde{\sigma}$  of  $\sigma$  at z is a path from z to  $z \cdot g$ .

*Proof.* For  $g \in \pi_1(X, x)$  and  $z \in p^{-1}(x)$  we would like to define  $z \cdot g$  by the following procedure: choose a loop  $\sigma$  representing g; let  $\tilde{\sigma}$  be the lift of  $\sigma$  with  $\tilde{\sigma}(0) = z$ ; and set  $z \cdot g = \tilde{\sigma}(1)$ . Of course we must check that this is well-defined, i.e that it does not depend on the choice of a loop  $\sigma$  representing the element g of  $\pi_1(X, x)$ . But that is

an immediate consequence of the last sentence of Proposition 8.3: if  $\tau$  is another loop representing g and if  $\tilde{\tau}$  is the lift of  $\tau$  at z, then  $\tilde{\sigma}(1) = \tilde{\tau}(1)$ .

Next we must verify that this gives a group action, i.e. that  $(z \cdot g) \cdot h = z \cdot (gh)$ . To describe  $(z \cdot g) \cdot h$  we choose loops  $\sigma$  representing h and  $\tau$  representing g. Let  $\tilde{\tau}$  be the lift of  $\tau$  at z. Then  $\tilde{\tau}(1) = z \cdot g$ . If we let  $\tilde{\sigma}$  be the lift of  $\sigma$  at  $\tilde{\tau}(1)$ , then  $(z \cdot h) \cdot g = \tilde{\sigma}(1)$ . By construction,  $\tilde{\sigma}(0) = \tilde{\tau}(1)$ . Thus the path product  $\tilde{\tau} * \tilde{\sigma}$  is defined, and gives a path from z to  $(z \cdot g) \cdot h$ . The path  $\tilde{\tau} * \tilde{\sigma}$  is a lift of the path  $\tau * \sigma$ , which represents the element gh in  $\pi_1(X,x)$ . Thus we have shown  $(z \cdot g) \cdot h = z \cdot (gh)$ .

## 10. Nice actions on simply connected spaces

Here we will show that if a group G acts nicely on a simply connected space  $\widetilde{X}$  then the fundamental group of  $\widetilde{X}/G$  is isomorphic to G. This gives a powerful method for determining the fundamental group of a space.

**Theorem 10.1.** Suppose that the group G acts nicely on a simply connected space  $\widetilde{X}$ . Let  $X = \widetilde{X}/G$ . Then  $\pi_1(X, x)$  is isomorphic to G for any point  $x \in X$ .

*Proof.* Since G acts nicely, the quotient map from  $\widetilde{X}$  to X is a covering. Choose a point  $\widetilde{x} \in p^{-1}(x)$ . Since nice actions are free, and  $p^{-1}(x)$  is by definition an orbit, the group G acts freely and transitively on  $p^{-1}(x)$ . This gives a bijection between elements of G and points of  $p^{-1}(x)$ :  $g \leftrightarrow \widetilde{x} \cdot g$ .

Next we consider the right action of  $\pi_1(X,x)$  on  $p^{-1}(x)$ . We claim that this action is also free and transitive. To see that it is transitive, let z be any point of  $p^{-1}(x)$ . Since X is path-connected there is a path  $\tilde{\sigma}$  from  $\tilde{x}$  to z. Its projection  $\sigma = p \circ \tilde{\sigma}$  is a loop in X based at x. If  $\gamma$  is the element of  $\pi_1(X,x)$  represented by  $\sigma$  then we have  $\tilde{x} \cdot \gamma = z$ . To see that the action is free, suppose that  $z \cdot \gamma = z$ . Let  $\sigma$  be a loop in X which represents  $\gamma$  and let  $\tilde{\sigma}$  be the lift of  $\sigma$  at z. Since  $z \cdot \gamma = z$ , we have  $\tilde{\sigma}(0) = \tilde{\sigma}(1) = z$ . But this implies that  $\gamma$  is in the image of  $p_*: \pi_1(\tilde{X},z) \to \pi_1(X,x)$ , which contains only the identity element since  $\pi_1(\tilde{X},z)$  is trivial. This shows that the right action is trivial, and gives a bijection between elements of  $\pi_1(X,x)$  and points of  $p^{-1}(x)$ :  $\gamma \leftrightarrow \tilde{x} \cdot \gamma$ .

Now we consider how the left action of G is related to the right action of  $\pi_1(X,x)$ . For  $g \in G$ ,  $\gamma \in \pi_1(X,x)$  and  $z \in p^{-1}(x)$ , we claim that  $g \cdot (z \cdot \gamma) = (g \cdot z) \cdot \gamma$ . To see this, let  $\sigma$  be a loop in X representing  $\gamma$ . If  $\tilde{\sigma}$  is the lift of  $\sigma$  at z then  $\tilde{\sigma}(1) = z \cdot \gamma$ ). Consider the path  $g \circ \tilde{\sigma}$  (where we regard g as a function from  $\tilde{X}$  to itself given by  $y \mapsto g \cdot y$ ). We have  $g \circ \tilde{\sigma}(0) = g \cdot z$ , and  $g \circ \tilde{\sigma}$  is a lift of  $\sigma$ . Thus  $g \circ \tilde{\sigma}$  is the lift of  $\sigma$  at  $g \cdot z$  and we have

$$g \cdot (z \cdot \gamma) = g \cdot \widetilde{\sigma}(1) = g \circ \widetilde{\sigma}(1) = (g \cdot z) \cdot \gamma$$

as claimed.

If we compose our two bijections we obtain a bijection between the groups G and  $\pi_1(X, x)$  given by:

$$g \leftrightarrow \gamma \Leftrightarrow g \cdot \tilde{x} = \tilde{x} \cdot \gamma$$
.

We will check that this is a group homomorphism. Suppose that  $g \leftrightarrow \gamma$  and  $h \leftrightarrow \eta$ . Then

$$(gh) \cdot \tilde{x} = g \cdot (h \cdot \tilde{x}) = g \cdot (\tilde{x} \cdot \eta) = (g \cdot \tilde{x}) \cdot \eta = (\tilde{x} \cdot \gamma) \cdot \eta = \tilde{x} \cdot (\gamma \eta).$$

This shows that our bijection is a group isomorphism, and completes the proof of the Theorem.  $\Box$ 

Exercise 10.1. Show that  $\pi_1(S^1) \cong C_{\infty}$ , the infinite cyclic group.

Exercise 10.2. Show that  $\pi_1(\mathbb{C} - \{0\}) \cong C_{\infty}$ .

Exercise 10.3. Show that  $\pi_1(\mathbb{R}P^2) \cong C_2$ , the cyclic group of order 2.

Exercise 10.4. Show that the fundamental group of the torus  $\mathbb{T}^2$  is a free abelian group of rank 2.

Exercise 10.5. Construct a group of isometries of the hyperbolic plane which is isomorphic to the fundamental group of a sphere with two handles (a surface of genus 2).

# 11. The general lifting criterion

We are now ready to analyze when a map from a (fairly) general space lifts to a covering. First, an easy but important observation.

**Proposition 11.1.** If  $p:(\widetilde{X},\widetilde{x})\to (X,x)$  is a covering then  $p_*:\pi_1(\widetilde{X},\widetilde{x})\to (X,x)$  is an injection.

*Proof.* . Suppose that  $\tilde{\sigma}$  is a loop based at  $\tilde{x}$  in  $\tilde{X}$  which represents an element g of the kernel of  $p_*$ . We will show g=1. Let  $\sigma=p\circ\tilde{\sigma}$ . Since  $\sigma$  represents  $p_*(g)$ , we know that there exists a path-homotopy from  $\sigma$  to the constant loop. Since path-homotopies lift, this implies that  $\tilde{\sigma}$  is homotopic to the constant loop, and hence g=1.

**Remark 11.2.** If  $p:(\tilde{X},\tilde{x})\to (X,x)$  is a covering then the group  $p_*:\pi_1(\tilde{X},\tilde{x})\to (X,x)$  can be characterized as the subgroup of  $\pi_1(X,x)$  consisting of all elements which can be represented by a loop which lifts to a loop at  $\tilde{x}$ . This is just a tautology, but it is a useful way of thinking about the image group.

Now we can state the general lifting criterion. In view of the remark above, the lifting criterion says that a map  $f:(Y,y)\to (X,x)$  lifts at  $\tilde x$  if and only if f maps each loop in Y based at y to a loop in X based at x which, in turn, lifts to a loop in  $\tilde X$  based at  $\tilde x$ .

**Theorem 11.3.** Suppose that  $p: (\widetilde{X}, \widetilde{x}) \to (X, x)$  is a covering, Y is a path-connected, locally path-connected space and  $f: (Y, y) \to (X, x)$  is a map. Then f lift to  $\widetilde{f}: (Y, y) \to (\widetilde{X}, \widetilde{x})$  if and only if the subgroup  $p_*(\pi_1(Y, y))$  is contained in the subgroup  $p_*(\pi_1(\widetilde{X}, \widetilde{x}))$ .

*Proof.* If the lift  $\tilde{f}$  exists, then by definition we have  $p \circ \tilde{f} = f$ , so it follows from functoriality of  $\pi_1$  that the image of  $f_*$  is contained in the image of  $p_*$ .

Now assume that  $p_*(\pi_1(Y,y)) < p_*(\pi_1(\widetilde{X},\widetilde{x}))$ . We must construct the map  $\widetilde{f}$ . In view of the fact that paths always lift, the following definition of  $\widetilde{f}$  is forced upon us. Given  $z \in Y$ , choose a path  $\tau$  from y to z. (Such a path exists since Y is path-connected.) Then  $\sigma = f \circ \tau$  is a path in X from x to f(z). Let  $\widetilde{\sigma}$  be the lift of  $\sigma$  at  $\widetilde{x}$ . Define  $\widetilde{f}(z) = \widetilde{\sigma}(1)$ .

Of course, we must check that this is well-defined, i.e. that if we had chosen a different path from y to z our construction would have produced the same value for f(z). Suppose that  $\tau_1$  and  $\tau_2$  are paths from y to z. Observe that  $\tau_1 * \overline{\tau_2}$  is a loop based at y. If we set  $\sigma_1 = p \circ \tau_1$  and  $\sigma_2 = p \circ \tau_2$  then  $\sigma_1 * \overline{\sigma_2}$  is a loop based at x which represents an element of  $p_*(\pi_1(Y,y))$ . Since  $p_*(\pi_1(Y,y)) < p_*(\pi_1(\widetilde{X},\widetilde{X}))$ , the loop  $\sigma_1 * \overline{\sigma_2}$  represents an element of  $p_*(\pi_1(\widetilde{X},\widetilde{X}))$ , which is to say that it lifts to a loop in  $\widetilde{X}$  based at  $\widetilde{X}$ . Let  $\widetilde{\sigma_1}$  and  $\widetilde{\sigma_2}$  denote the lifts of  $\sigma_1$  and  $\sigma_2$  at  $\widetilde{X}$ . The statement that  $\sigma_1 * \overline{\sigma_2}$  lifts to a loop at  $\widetilde{X}$  is equivalent to the statement that the lift of  $\overline{\sigma_2}$  at  $\widetilde{\sigma_1}(1)$  is a path from  $\widetilde{\sigma_1}(1)$  to  $\widetilde{Z} = \widetilde{\sigma_1}(0)$ . By uniqueness of path lifting, the lift of  $\overline{\sigma_2}$  must therfore be equal to  $\overline{\widetilde{\sigma_2}}$ . Thus  $\widetilde{\sigma_2}(1) = \widetilde{\sigma_1}(1)$ , which shows that our function  $\widetilde{f}$  is well-defined.

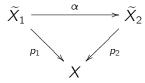
It remains to check that  $\tilde{f}$  is continuous. This is where we will use that Y is locally path-connected. For  $z \in Y$ , let W be a neighborhood of  $\tilde{f}(z)$ . We may choose an evenly covered neighborhood U of f(z) such that W contains the slice  $U_{f(z)}$  over U which contains f(z). We will show that  $\tilde{f}(V) \subset U_{f(z)} \subset W$ . Fix a path  $\tau$  from y to z. For  $w \in V$ , let  $\nu_w$  be a path from z to w in V. Since the definition of  $\tilde{f}$  does not depend on the choice of path, we may use the path  $\tau * \nu_w$  to determine  $\tilde{f}(w)$ . If we set  $\sigma_w = f \circ \nu_w$ , and let  $\tilde{\sigma}_w$  be the lift of  $\sigma_w$  at  $\tilde{f}(z)$ , then according to our definition we have  $\tilde{f}(w) = \tilde{\sigma}_w(1)$ . Since the image of  $\sigma_w$  is contained in the evenly covered open neighborhood U of f(z), the lift  $\tilde{\sigma}_w$  has image contained in  $U_{f(z)}$  and  $\tilde{f}(z) \in U_{f(z)} \subset W$ . This shows that  $\tilde{f}$  is continuous at z, which was an arbitrary point of Y.

## 12. The correspondence theorem

In order to classify coverings, we need to address the question of when two covers are the "same".

**Definition 12.1.** Suppose that  $p_1: \widetilde{X}_1 \to X$  and  $p_2: \widetilde{X}_2 \to X$  are coverings. By a morphism from  $\widetilde{X}_1$  to  $\widetilde{X}_2$  we mean a map  $\alpha: X_1 \to X_2$  such that  $p_2 \circ \alpha = p_1$ . In other

words, it is a map which makes the following diagram commute:



The coverings are *isomorphic* if there exist morphisms  $\alpha: \tilde{X}_1 \to \tilde{X}_2$  and  $\beta: \tilde{X}_2 \to \tilde{X}_1$  such that  $\alpha \circ \beta$  and  $\beta \circ \alpha$  are identity maps.

**Remark 12.2.** It is essential to make the easy obervation that morphisms of covers are, in particular, lifts. One consequence of this is that a morphism is completely determined by the image of a single point. Accordingly, one should keep track of basepoints when analyzing morphisms.

**Proposition 12.3.** Suppose  $p_1: (\tilde{X}_1, \tilde{x}_1) \to (X, x)$  and  $p_2: (\tilde{X}_2, \tilde{x}_2) \to (X, x)$  are coverings, where X,  $\tilde{X}_1$  and  $\tilde{X}_2$  are connected and locally path-connected. There exists an isomorphism  $\alpha: (\tilde{X}_1, \tilde{x}_1) \to (\tilde{X}_2, \tilde{x}_2)$  if and only if  $p_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = p_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$ .

*Proof.* This follows immediately from the lifting criterion given in Theorem 11.3.  $\Box$ 

We can now prove a converse to Theorem 10.1 under the extra hypothesis that X is connected and locally path-connected.

**Theorem 12.4.** Suppose that X is a connected, locally path-connected space and that  $p: \widehat{X} \to X$  is a covering with  $\widehat{X}$  simply connected. Then  $\pi_1(X)$  acts nicely on  $\widehat{X}$  with quotient space X.

*Proof.* Let us fix basepoints  $x \in X$  and  $\hat{x} \in p^{-1}(x) \subset \hat{X}$ . Since  $\pi_1(\hat{X}, \hat{x})$  is trivial, Proposition 12.3 implies that the group A of automorphisms of the covering p acts transitively on  $p^{-1}(x)$ . Proposition 7.1 implies that this action is free, since an isomorphism which fixes a point must be the identity map. As shown in the proof of Theorem 10.1, the right action of  $\pi_1(X,x)$  on  $p^{-1}(x)$  is also free and transitive. Moreover, the same argument that was used in the proof of Theorem 10.1 gives us an isomorphism between A and  $\pi_1(X,x)$ , where  $\alpha \in A$  corresponds with  $\gamma \in \pi_1(X,x)$  if and only if  $\alpha \cdot \hat{x} = \hat{x} \cdot \gamma$ .

Since the choice of x was arbitrary, we have that the orbits of A are exactly the fibers of p. Thus the only additional thing which must be proven here is that each point z of  $\widehat{X}$  has a neighborhood  $U_z$  such that  $\alpha \cdot U_z \cap U_z = \emptyset$  for every  $\alpha \in A - \{1\}$ . To see this we use local path-connectedness for the second time. Since X is locally path-connected there exists an evenly covered neighborhood U of p(z) which is path-connected. The slices above U are the path-components of  $p^{-1}(U)$ , and these must be permuted by each automorphism  $\alpha$ . In particular, if we take  $U_z$  to be the slice containing z then z then z the slice containing z then z then z to be the slice containing z then z then z the slice containing z then z that z then z

We have that each isomorphism class of connected covering spaces of X corresponds to a unique subgroup of the fundamental group of X. It is natural to ask whether every subgroup is associated to a covering. For this to be true, there would have to exist a simply connected covering space, to go with the trivial subgroup. It turns out that the existence of a simply connected covering space is sufficient to give a one-to-one correspondence between subgroups and covering.

**Theorem 12.5** (Correspondence Theorem). Let X be a connected, locally path-connected space and assume that there exists a covering  $p: \hat{X} \to X$  where  $\hat{X}$  is simply connected. Choose a basepoint  $x \in X$ . Then

- for each subgroup  $H < \pi_1(X, x)$  there exists a covering  $p_H : (\widetilde{X}_H, \widetilde{x}_H) \to (X, x)$  such that  $\widetilde{X}_H$  is connected and  $p_H * (\pi_1(\widetilde{X}_H, \widetilde{x}_H)) = H$ ;
- the coverings  $p_H$  and  $p_K$  are isomorphic if and only if the subgroups H and K are equal;
- any covering  $p: (\widetilde{X}, \widetilde{x}) \to (X, x)$ , with  $\widetilde{X}$  connected, is isomorphic to  $p_H$  for some subgroup  $H < \pi_1(X, x)$ .

*Proof.* All of the conclusions follow from Proposition 12.3 except for the existence of the cover  $\widetilde{X}_H$ . For this we need to use the simply connected covering space  $\widehat{X}$ . Since  $\pi_1(X,x)$  acts nicely on  $\widehat{X}$ , the subgroup H also acts nicely. We define  $\widetilde{X}_H = \widehat{X}/H$  and we define  $\widetilde{X}_H$  to be the orbit of  $\widehat{X}$  under the action of H.

We know from Theorem 10.1 that  $\pi_1(tX_h)$  is isomorphic to H, but to show that  $p_H * (\pi_1(\widetilde{X}_H, \widetilde{x}_H)) = H$  we need to identify exactly how H is acting.

Recall from the proof of Theorem 10.1 that our identification of  $\pi_1(X,x)$  with the automorphism group of the covering  $p:(\widehat{X},\widehat{x})\to (X,x)$  pairs an element g of  $\pi_1(X,x)$  with an automorphism  $\alpha$  when  $\alpha(\widehat{x})=\widehat{x}\cdot g$ . Thus a loop  $\sigma$  in X based at x will lift to a loop in  $\widetilde{X}_H$  based at  $\widetilde{X}_H$  precisely when it lifts to a path in  $\widehat{X}$  joining  $\widehat{x}$  to  $\widehat{x}\cdot h$  for some  $h\in H$ . Since the image of  $p_H*$  consists of those elements of  $\pi_1(X,x)$  which are represented by loops that lift to loops at  $\widetilde{X}_H$  in  $\widetilde{X}_H$ , this shows that the image is equal to H.

# 13. Automorphisms of coverings

We can now determine the structure of the autormorphism group of an arbitrary connected, locally path-connected covering space.

**Theorem 13.1.** Suppose that X is a connected, locally path-connected space and that  $p_H: (\tilde{X}_H, \tilde{x}_H) \to (X, x)$  is the covering corresponding to the subgroup  $H < \pi_1(X, x)$ . Then the automorphism group of  $p_H$  is isomorphic to N(H)/H, the quotient of the normalizer of H by H.

Proof. Let A denote the automorphism group of  $p_H$  and choose  $\alpha \in A$ . According to the easy direction of the lifting criterion, we have  $p_{H*}(\pi_1(\widetilde{X},\alpha(\widetilde{x}))) = p_{H*}(\pi_1(\widetilde{X},\widetilde{x})) = H$ . On the other hand, if  $\widetilde{\sigma}$  is a path from  $\widetilde{x}$  to  $\alpha(\widetilde{x})$  then every element of  $\pi_1(\widetilde{X},\alpha(\widetilde{x}))$  is represented by a loop of the form  $\widetilde{\sigma}*\widetilde{\tau}*\overline{\widetilde{\sigma}}$  where  $\widetilde{\tau}$  is a loop based at  $\alpha(\widetilde{x})$ . Setting  $\sigma=p_H\circ\widetilde{\sigma}$ , and letting g be the element of  $\pi_1(X,x)$  represented by  $\sigma$ , this gives  $gHg^{-1}=H$ . Thus any path from  $\widetilde{x}$  to  $\alpha(\widetilde{x})$  projects to a loop in X representing an element of the normalizer of H. Conversely, if g is an element of the normalizer of H, represented by a loop  $\sigma$ , and if  $\widetilde{\sigma}$  is the lift of  $\sigma$  at  $\widetilde{x}$ , then  $p_{H*}(\pi_1(\widetilde{X}_H,\widetilde{\sigma}(1))) = gHg^{-1} = H$ . Thus by the harder direction of the lifting criterion, there exists an automorphism  $\alpha$  with  $\alpha(\widetilde{x})=\widetilde{\sigma}(1)$ .

We may therefore define a surjective function  $\phi: N(H) \to A$  as follows. Given  $g \in N(A)$ , let  $\sigma$  be a loop representing g and let  $\tilde{\sigma}$  be the lift of  $\sigma$  at  $\tilde{x}$ . Define  $\phi(g)$  to be the automorphism which maps  $\tilde{x}$  to  $\tilde{\sigma}(1)$ . We may express the defining property of  $\phi$  in terms of the path-lifting right action:  $\phi(g) = \alpha \Leftrightarrow \alpha(\tilde{x}) = \tilde{x} \cdot g$ . It follows formally, much as in the proof of Theorem 10.1 that  $\phi$  is a homomorphism. If  $\phi(g) = \alpha$  and  $\phi(h) = \beta$  then we have

$$\alpha \circ \beta(\tilde{x}) = \alpha(\tilde{x} \cdot h) = \alpha(\tilde{x}) \cdot h = (\tilde{x} \cdot g) \cdot h = \tilde{x} \cdot (gh),$$

which implies that  $\phi(gh)$  maps  $\tilde{x}$  to the same point as  $\phi(g) \circ \phi(h)$  and, since an automorphism is determined by the image of one point, this implies  $\phi(gh) = \phi(h) \circ \phi(h)$ .

It remains to show that the kernel of  $\phi$  is H. According to our definition of  $\phi$ , an element of  $\pi_1(X, x)$  will be in the kernel of  $\phi$  if it is represented by a loop which lifts to a loop at  $\tilde{x}$ . According to Remark 11.2 this property characterizes the subgroup H.

In fact, this theorem could be proved formally, with no reference to path-lifting by identifying the automorphism group with a group A of permutations of  $p_H^{-1}(x)$  which acts freely and satisfies  $\alpha(\tilde{x} \cdot g) = \alpha(\tilde{x}) \cdot g$  for all  $g \in \pi_1(X, x)$ . Note that H is the stabilizer of  $\tilde{x}$  under the right action.

Given such a permutation  $\alpha$ , transitivity of the right action ensures that there exists  $g \in \pi_1(X, x)$  such that  $\alpha(\tilde{x}) = \tilde{x} \cdot g$ . We observe that  $g \in N(H)$ : if  $h \in H$  then

$$\alpha(\tilde{x} \cdot g^{-1}hq)) = \alpha(\tilde{x}) \cdot g^{-1}hq = (\tilde{x} \cdot q) \cdot g^{-1}hq = \tilde{x} \cdot hq = \tilde{x} \cdot q = \alpha(\tilde{x}).$$

Thus  $\tilde{x} = \tilde{x} \cdot g^{-1}hg$ , which shows  $g^{-1}Hg \subset H$ . On the other hand,

$$\tilde{x} \cdot (ghg^{-1}) = (\tilde{x} \cdot g) \cdot hg^{-1} = (\alpha(\tilde{x}) \cdot h) \cdot g^{-1} = \alpha(\tilde{x} \cdot h) \cdot g^{-1} = \alpha(\tilde{x}) \cdot g^{-1} = (\tilde{x} \cdot g) \cdot g^{-1} = \tilde{x},$$
 which shows  $gHg^{-1} \subset H$ . Thus  $gHg^{-1} = g^{-1}Hg = H$ .

Conversely, if  $g \in N(H)$ , we claim there exists a unique permutation  $\alpha \in A$  with  $\alpha(\tilde{x}) = \tilde{x} \cdot g$ . Every point of  $p_H^{-1}(x)$  can be written as  $\tilde{x} \cdot k$  for some  $k \in \pi_1(X, x)$ , so we can attempt to define  $\alpha$  by the rule  $\alpha(\tilde{x} \cdot k) = \tilde{x} \cdot (gk)$ . This uniquely determines  $\alpha$ , provided it is well-defined. To show it is well defined we observe that if  $k_1 k_2^{-1} \in H$  then

$$\tilde{x} \cdot g k_1 k_2^{-1} = \tilde{x} \cdot g k_1 k_2^{-1} g^{-1} g = \tilde{x} \cdot g$$

and hence  $\tilde{x} \cdot (gk_1) = \tilde{x} \cdot (gk_2)$ .

Now we define  $\phi: N(H) \to A$  by letting  $\phi(g)$  be the unique element  $\alpha$  of A such that  $\alpha(\tilde{x}) = \tilde{x} \cdot g$  and proceed as before.

**Definition 13.2.** Let X and  $\widetilde{X}$  be connected, locally path-connected spaces and let  $p:\widetilde{X}\to X$  be a covering. We say that p is *normal* if the subgroup  $p_*(\pi_1(\widetilde{X},\widetilde{X}))$  is normal in  $\pi_1(X,x)$  for some (hence every) choice of  $x\in X$  and  $\widetilde{X}\in p^{-1}(X)$ .

Observe that if H is a normal subgroup of  $\pi_1(X, x)$  then the automorphism group of the covering  $p_H: (\widetilde{X}_H, \widetilde{X}_H) \to (X, x)$  is isomorphic to the quotient  $\pi_1(X, x)/H$ .

**Proposition 13.3.** A connected covering of a connected, locally path-connected space is normal if and only if its automorphism group acts transitively on each fiber.

*Proof.* Suppose X and  $\widetilde{X}$  are connected, locally path-connected spaces and  $p:(\widetilde{X},\widetilde{x})\to (X,x)$  is a covering. Set  $H=p_*(\pi_1(\widetilde{X},\widetilde{x}))$ . Recall, from the proof of Theorem 13.1 that there is an automorphism taking  $\widetilde{x}$  to  $z\in p^{-1}(x)$  if and only if any path from  $\widetilde{x}$  to z projects to a loop representing an element of N(H).

Assume that H is normal. Given any point  $z \in p^{-1}(x)$ , let  $\tilde{\sigma}$  be a path from  $\tilde{x}$  to z. The projection  $\sigma = p \circ \tilde{\sigma}$  obviously represents an element of N(H), since  $N(H) = \pi_1(X, x)$ . Thus there exists an automorphism mapping  $\tilde{x}$  to z. This implies that the automorphism group acts transitively

Conversely, suppose that the automorphism group acts transitively on  $p^{-1}(x)$ . We will show that  $N(H) = \pi_1(X, x)$ , hence H is normal. Choose any element  $g \in \pi_1(X, x)$ . Let  $\sigma$  be a loop representing g and let  $\tilde{\sigma}$  be its lift at  $\tilde{x}$ . Consider the point  $z = \tilde{\sigma}(1)$ . By transitivity, there exists an automorphism taking  $\tilde{x}$  to z. Thus  $g \in N(H)$ , as required.  $\square$ 

# 14. Gluing spaces together: Van Kampen's theorem

Stay tuned!