Lecture 1: Derivatives

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Some basic examples

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Never trust a talk which starts with this example! It is just too simple.
Some basic examples

Although, the example can be salvaged, by considering that the “same example” might have leaves that look like this:
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![Diagram of leaves](image)

The suspension construction and its generalizations are very useful for producing examples.
Some basic examples, 2

More interesting are talks which discuss more irregular flows such as this:
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Every orbit limits into the circle, so at least things have a direction.
Earnest foliation talks start with this example, immortalized by Reeb:
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Now begins the real questions – what does it mean to discuss “foliation dynamics”? What is “dynamic” about this example?
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What is the topological shape of minimal sets?
Invariant measures: can you quantify their rates of recurrence?
Foliation dynamics

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  What is the topological shape of minimal sets?
  Invariant measures: can you quantify their rates of recurrence?

• Directions of “stability” and “instability” of leaves -
  Exponents: are there directions of exponential divergence?
  Stable manifolds: dynamically defined transverse invariant manifolds?
Foliation dynamics

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- Quantifying chaos -
  Define a measure of transverse chaos – foliation entropy
  Estimate the entropy using linear approximations
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- Shape of minimal sets -
  Hyperbolic exotic minimal sets
  Distal exceptional minimal sets
First definitions

$M$ is a compact Riemannian manifold without boundary.

$\mathcal{F}$ is a codimension $q$-foliation, transversally $C^r$ for $r \in [1, \infty)$. Transition functions for the foliation charts $\varphi_i \colon U_i \to [-1, 1]^n \times T_i$ are $C^\infty$ leafwise, and vary $C^r$ with the transverse parameter:
Recall for a flow $\varphi_t : M \to M$ the orbits define 1-dimensional leaves of $\mathcal{F}$. Choose a cross-section $\mathcal{N} \subset M$ which is transversal to the orbits, and intersects each orbit (so $\mathcal{N}$ need not be connected) then for each $x \in \mathcal{T}$ there is some least $\tau_x > 0$ so that $\varphi_{\tau_x}(x) \in \mathcal{N}$ – the return time for $x$.

The induced map $f(x) = \varphi_{\tau_x}(x)$ is a Borel map $f : \mathcal{N} \to \mathcal{N}$ the holonomy of the flow.
Let $L_w$ be leaf of $\mathcal{F}$ containing $w$ – no such concept as “future” or “past”. Rather, choose $z \in L_x$ and smooth path $\tau_{w,z} : [0, 1] \to L_w$.

Cover path $\tau_{w,z}$ by foliation charts and slide open subset $U_w$ of transverse disk $S_w$ along path to open subset $W_z$ of transverse disk $S_z$. 
Holonomy pseudogroup

Standardize above by choosing finite covering of $M$ by foliation charts, with transversal sections $\mathcal{T} = \mathcal{T}_1 \cup \cdots \mathcal{T}_k \subset M$.

The holonomy of $\mathcal{F}$ defines pseudogroup $\mathcal{G}_\mathcal{F}$ on $\mathcal{T}$ which is compactly generated in sense of Haefliger.

Given $w \in \mathcal{T}$, $z \in L_w \cap \mathcal{T}$ and path $\tau_{w,z} : [0, 1] \to L_w$ from $w$ to $z$, we obtain $h_{\tau_{w,z}} : U_w \to W_z$ where now

*) $h_{\tau_{w,z}}$ depends on the leafwise homotopy class of the path

*) maximal sizes of the domain $U_w$ and range $W_z$ depends on $\tau_{w,z}$

*) $\{h_{\tau_{w,z}} : U_w \to W_z\}$ generates $\mathcal{G}_\mathcal{F}$. 
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\( \ast \) maximal sizes of the domain \( U_w \) and range \( W_z \) depends on \( \tau_{w,z} \)

\( \ast \) \( \{h_{\tau_{w,z}} : U_w \to W_z\} \) generates \( \mathcal{G}_\mathcal{F} \).

**Proposition:** We can assume \( \tau_{w,z} \) is a leafwise geodesic path.

**Proof:** Each leaf \( L_w \) is complete for the induced metric.
Let $\varphi : \mathbb{R} \times M \to M$ be a smooth non-singular flow for vector field $\vec{X}$. Defines foliation $\mathcal{F}$. 
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Defines foliation $\mathcal{F}$.

For $w = \varphi_t(w)$, the Jacobian matrix $D\varphi_t: T_w M \to T_z M$.

Group Law $\varphi_s \circ \varphi_t = \varphi_{s+t} \implies D\varphi_s(\vec{X}_w) = \vec{X}_z$
Transverse differentials

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Group Law $\varphi_s \circ \varphi_t = \varphi_{s+t} \implies D\varphi_s(\vec{X}_w) = \vec{X}_z$ (...boring!)

Normal bundle to flow $Q = TM/\langle \vec{X} \rangle = TM/T\mathcal{F} \subset T\mathcal{F}$.

Riemannian metric on $TM$ induces metrics on $Q_w$ for all $w \in M$.

Measure for norms of maps $D\varphi_t: Q_w \to Q_z$. 

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Steven Hurder (UIC)  
Dynamics of Foliations  
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**Definition:** $w \in M$ is hyperbolic point of flow if

$$e_{\mathcal{F}}(w) \equiv \lim_{T \to \infty} \sup_{s \geq T} \left\{ \frac{1}{s} \cdot \log \left\{ \| (D\varphi_t : Q_w \to Q_z)^{\pm} \| \right\} \mid -s \leq t \leq s \right\} > 0$$

**Lemma:** Set of hyperbolic points $\mathcal{H}(\varphi) = \{ w \in M \mid e_{\mathcal{F}}(w) > 0 \}$ is flow-invariant.
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**Lemma:** Set of hyperbolic points $\mathcal{H}(\varphi) = \{w \in M \mid e_F(w) > 0\}$ is flow-invariant.

**Pesin Theory** of $C^2$-flows studies properties of the set of hyperbolic points.

**Proposition:** Closure $\overline{\mathcal{H}(\varphi)} \subset M$ contains an invariant ergodic probability measure $\mu^*$ for $\varphi$, for which there exists $\lambda > 0$ such that for $\mu^*$-a.e. $w$,

$$e_F(w) = \lim_{T \to \infty} \left\{ \frac{1}{T} \cdot \log \| D\varphi_T: Q_w \to Q_z \| \right\} = \lambda$$
Un poquito de Pesin Theory

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**Lemma:** Set of hyperbolic points \( \mathcal{H}(\varphi) = \{ w \in M \mid e_{\mathcal{F}}(w) > 0 \} \) is flow-invariant.

**Pesin Theory** of \( C^2 \)-flows studies properties of the set of hyperbolic points.

**Proposition:** Closure \( \overline{\mathcal{H}(\varphi)} \subset M \) contains an invariant ergodic probability measure \( \mu_* \) for \( \varphi \), for which there exists \( \lambda > 0 \) such that for \( \mu_* \)-a.e. \( w \),

\[
e_{\mathcal{F}}(w) = \lim_{T \to \infty} \{ \frac{1}{T} \cdot \log \{ \| D\varphi_T : Q_w \to Q_z \| \} \} = \lambda
\]

**Proof:** Just calculus! (plus usual subadditive tricks)
Let $w \in M$ and consider $L_w$ as complete Riemannian manifold.

For $\vec{v} \in T_w \mathcal{F} = T_w L_w$ with $\|\vec{v}\|_w = 1$, there is unique geodesic $\tau_{w, \vec{v}}(t)$ starting at $w$ with $\tau_{w, \vec{v}}'(0) = \vec{v}$. Define

$$
\varphi_{w, \vec{v}} : \mathbb{R} \to M \quad , \quad \varphi_{w, \vec{v}}(w) = \tau_{w, \vec{v}}(t)
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Let $\hat{M} = T^1 \mathcal{F}$ denote the unit tangent bundle to the leaves, then we obtain the foliation geodesic flow

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\varphi^\mathcal{F}_t : \mathbb{R} \times \hat{M} \to \hat{M}
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Foliation geodesic flow

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Let \( \hat{M} = T^1 \mathcal{F} \) denote the unit tangent bundle to the leaves, then we obtain the foliation geodesic flow

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\varphi^\mathcal{F}_t : \mathbb{R} \times \hat{M} \to \hat{M}
\]

**Remark:** \( \varphi^\mathcal{F}_t \) preserves the leaves of the foliation \( \hat{\mathcal{F}} \) on \( \hat{M} \) whose leaves are the unit tangent bundles to leaves of \( \mathcal{F} \).

\( \implies D\varphi^\mathcal{F}_t \) preserves the normal bundle \( \hat{Q} \to \hat{M} \) for \( \hat{\mathcal{F}} \).
Foliation exponents

Definitions:

(H) $\hat{w} \in \hat{M}$ is hyperbolic if

\[
e^{\mathcal{F}(\hat{w})} \equiv \lim_{T \to \infty} \sup_{s \geq T} \left\{ \frac{1}{s} \cdot \log \{ \| (D\varphi_t^\mathcal{F} : \hat{Q}_{\hat{w}} \to Q_{\hat{z}})^{\pm} \| \} \mid -s \leq t \leq s \right\} > 0
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(E) \( \hat{w} \in \hat{M} \) is elliptic if \( e_{\mathcal{F}}(\hat{w}) = 0 \), and there exists \( \kappa(\hat{w}) \) such that

\[
\{ \| (D\varphi^\mathcal{F}_t : \hat{Q}_w \to \hat{Q}_z)\|^\pm \leq \kappa(\hat{w}) \text{ for all } t \in \mathbb{R} \}
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Foliation exponents

Definitions:

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e_F(\hat{w}) \equiv \lim_{T \to \infty} \sup_{s \geq T} \left\{ \frac{1}{s} \cdot \log \left\{ \| (D\varphi_t^F : Q_{\hat{w}} \to Q_{\hat{z}})^{\pm} \| \right\} \right\} | -s \leq t \leq s \) > 0
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\]

(P) \( \hat{w} \in \hat{M} \) is parabolic if \( e_F(\hat{w}) = 0 \), and \( \hat{w} \) is not elliptic.
Dynamical decomposition of foliations

**Theorem:** Let $\mathcal{F}$ be a $C^1$-foliation of a compact Riemannian manifold $M$. Then there exists a decomposition of $M$ into $\mathcal{F}$-saturated Borel subsets

$$M = M_\mathcal{H} \cup M_\mathcal{P} \cup M_\mathcal{E}$$

where the derivative for the geodesic flow of $\mathcal{F}$ satisfies:

- $D\varphi_t^\mathcal{F}$ is “transversally hyperbolic” for $L_w \subset M_\mathcal{H}$
- $D\varphi_t^\mathcal{F}$ is bounded (in time) for $L_w \subset M_\mathcal{E}$
- $D\varphi_t^\mathcal{F}$ has subexponential growth (in time), but is not bounded, for $L_w \subset M_\mathcal{P}$
**Definition:** An invariant probability measure $\mu_*$ for the foliation geodesic flow on $\hat{M}$ is said to be transversally hyperbolic if $e^F(\hat{w}) = \lambda > 0$ for $\mu_*$-a.e. $\hat{w}$. 

**Theorem:** Let $F$ be a $C^1$ foliation of a compact manifold. If $\mathcal{H} \neq \emptyset$, then the foliation geodesic flow has at least one transversally hyperbolic ergodic measure.

**Proof:** The proof is technical, but is actually just calculus applied to the foliation pseudogroup.
Transversally hyperbolic measures

**Definition:** An invariant probability measure $\mu_*$ for the foliation geodesic flow on $\hat{M}$ is said to be transversally hyperbolic if $e^F(\hat{w}) = \lambda > 0$ for $\mu_*$-a.e. $\hat{w}$.

**Theorem:** Let $\mathcal{F}$ be a $C^1$ foliation of a compact manifold. If $M_\mathcal{H} \neq \emptyset$, then the foliation geodesic flow has at least one transversally hyperbolic ergodic measure.
**Definition:** An invariant probability measure $\mu_*$ for the foliation geodesic flow on $\hat{M}$ is said to be transversally hyperbolic if $e^{\mathcal{F}}(\hat{w}) = \lambda > 0$ for $\mu_*$-a.e. $\hat{w}$.

**Theorem:** Let $\mathcal{F}$ be a $C^1$ foliation of a compact manifold. If $M_\mathcal{H} \neq \emptyset$, then the foliation geodesic flow has at least one transversally hyperbolic ergodic measure.

**Proof:** The proof is technical, but is actually just calculus applied to the foliation pseudogroup.
For the linear foliation, every point is elliptic (it is Riemannian!)

However, if $\mathcal{F}$ is a $C^1$-foliation which is topologically semi-conjugate to a linear foliation, so is a generalized Denjoy example, then $M = M_P$!
The case of the Reeb foliation on the solid torus is more interesting:

Pick \( w \in M \) and a direction, \( \vec{v} \in T_wL_w \), then follow the geodesic \( \tau_{w,\vec{w}}(t) \). It is asymptotic to the boundary torus, so defines a limiting Schwartzman cycle on the torus for some flow. Thus, it limits on either a circle, or a lamination. This will be a hyperbolic measure if the holonomy of the compact leaf is hyperbolic. The exponent depends on the direction!
