

# LS category of foliations and Følner properties<sup>1</sup>

Joint work with Carlos Meniño-Cotón

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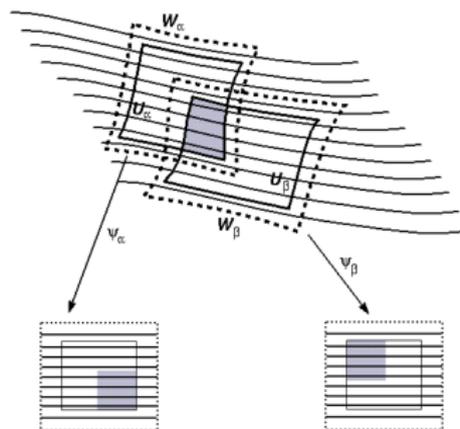
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<sup>1</sup>Séminaire GT3, IRMA, Université de Strasbourg

# Foliation charts

Let  $M$  be a smooth manifold of dimension  $n$ .

**Definition:**  $M$  a smooth manifold of dimension  $n$  is *foliated* if there is a covering of  $M$  by coordinate charts whose change of coordinate functions preserve leaves



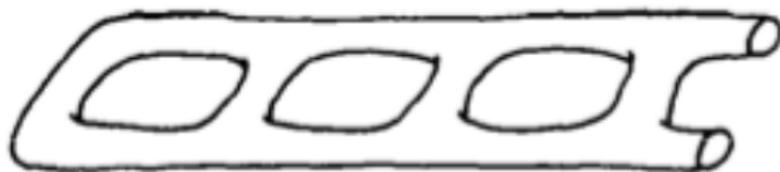
$p$  is leaf dimension,  $q = n - p$  is codimension.

# Average Euler characteristic

A. Phillips and D. Sullivan

*Geometry of leaves*, [Topology, 1981]

Suppose  $L$  is leaf of dimension  $p = 2$  and there is a sequence of connected submanifolds  $K_\ell \subset L$  with  $length(\partial K_\ell)/area(K_\ell) \rightarrow 0$ .



The sets  $\{K_\ell\}$  define an averaging sequence for  $\mathcal{F}$ .

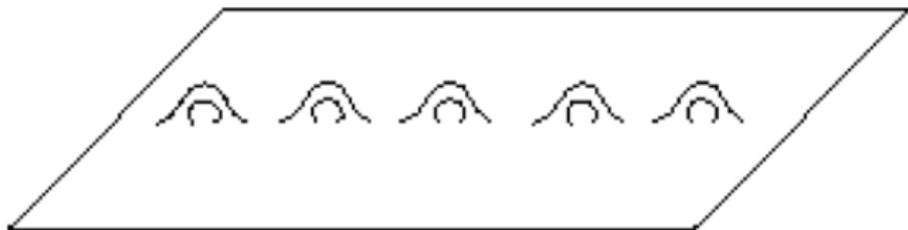
Pass to a subsequence to obtain convergence, then define:

$$\begin{aligned}
 E_\mu &= \text{Average Euler } (\{K_\ell \mid \ell = 1, 2, \dots\}) \\
 &= \lim_{\ell \rightarrow \infty} \frac{\chi(K_\ell)}{\text{Area}(K_\ell)} \\
 &= \lim_{\ell \rightarrow \infty} \frac{1}{\text{Area}(K_\ell)} \cdot \int_{K_\ell} e(T\mathcal{F}) \\
 &= \langle C_\mu, e(T\mathcal{F}) \rangle
 \end{aligned}$$

where  $e(T\mathcal{F})$  is the closed Euler 2-form for  $T\mathcal{F} \rightarrow M$ , and

- $\mu$  is the invariant measure defined by the averaging sequence  $\{K_\ell\}$
- $C_\mu$  is the Ruelle-Sullivan current on 2-forms associated to it.

It is easy to get zero for an answer:

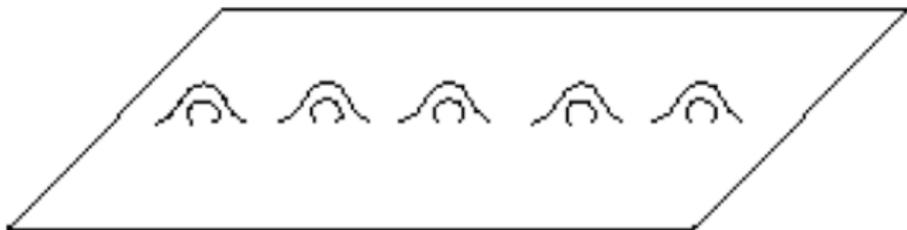


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# Average leaf topology

The Phillips-Sullivan and Januszkiewicz results suggest:

**Question:** How do you measure the “average topology” of the leaves of foliations, not just their average characteristic invariants?

First, you need an invariant measure to average with.

Second, you need a way to “count” the topology of a space, like a leaf.

# Covering number

Here is a question that Reeb must have wondered at some point:

**Question:** Let  $\mathcal{F}$  be a foliation of closed manifold  $M$  with  $p, q > 0$ . What is the least number of foliation charts required to cover  $M$ ?

This can be asked for topological and smooth foliations. Let  $Cov(M, \mathcal{F})$  be the minimum number of foliation charts required.

**Theorem:** [Foulon, 1994]  $Cov(M, \mathcal{F}) > 2$ .

There does not seem to be much more known about  $Cov(\mathcal{F})$ , and its relation to the topology of  $M$  or dynamics of  $\mathcal{F}$ . One obvious relation:

- $Cov(M, \mathcal{F}) \geq cat(M) + 1$ , the Lusternik-Schnirelmann category of  $M$ .

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- $Cov(M, \mathcal{F}) \geq cat(M) + 1$ , the Lusternik-Schnirelmann category of  $M$ .

# Lusternik-Schnirelmann category

L. Lusternik and L. Schnirelmann,

*Méthodes topologiques dans les problèmes variationnels*, [Hermann, Paris, 1934].

$X$  a connected topological space,  $x_0 \in X$  a basepoint.

$U \subset X$  is *categorical* (in  $X$ ) if there exists a homotopy  $H_t: U \rightarrow X$  with  $H_0 = Id$  and  $H_1(U) = x_0$ .

**Definition:**  $cat(X) \leq k$  if there is an open covering  $\{U_0, U_1, \dots, U_k\}$  of  $X$  where each  $U_i$  is an open set which is categorical in  $X$ .

- $cat(\mathbb{S}^n) = 1$ .
- $cat(\mathbb{T}^n) = n$ .
- $cat(M^n) \leq n$ .

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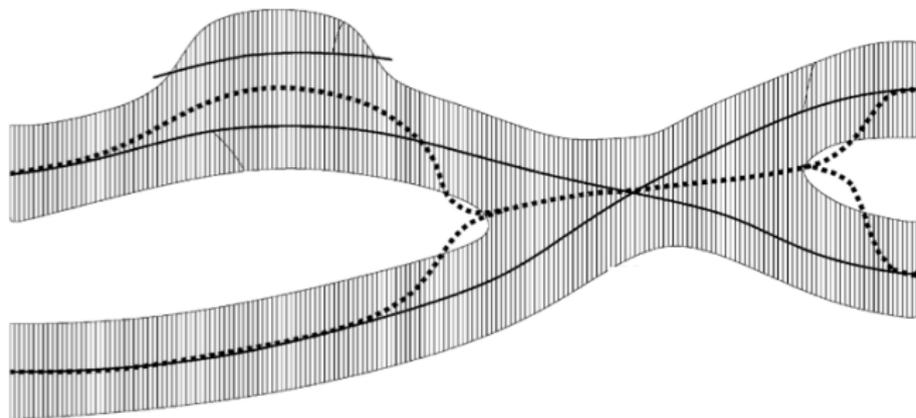
# Tangential Lusternik-Schnirelmann category

H. Colman and E. Macias-Virgós

*Tangential Lusternik-Schnirelmann category of foliations*, [Journal L.M.S., 2002]

$H_t$  is *foliated homotopy map* if the curves  $t \mapsto H_t(x)$  remains in the leaf  $L_x$  containing  $x$ , for all  $x \in M$ . In particular,  $H_t(L_x) \subset L_x$  for all  $x \in M$ ,  $0 \leq t \leq 1$ .

$U \subset M$  is  $\mathcal{F}$ -*categorical* if there exists a foliated homotopy  $H_t: U \rightarrow M$  with  $H_0 = Id$  and  $H_1(L'_y|U) = x_y$  where  $L'_y$  is the connected leaf of the restricted foliation  $\mathcal{F}|U$  which contains  $y \in U$ .



## $cat_{\mathcal{F}}(M)$ calculations

**Definition:**  $cat_{\mathcal{F}}(M) \leq k$  if there is an open covering  $\{U_0, U_1, \dots, U_k\}$  of  $M$  where each  $U_i$  is an open set which is  $\mathcal{F}$ -categorical.

Calculating  $cat_{\mathcal{F}}(M)$  is surprisingly subtle. A basic tool remains the observation:

**Remark:**  $cat_{\mathcal{F}}(M) \geq cat(L_x)$  for all leaves  $L_x$  of  $\mathcal{F}$ .

- $cat_{\mathcal{F}}(\mathbb{T}^2) = 1$  where  $\mathcal{F}$  is the Reeb foliation of  $\mathbb{T}^2$ .
- $cat_{\mathcal{F}}(\mathbb{S}^3) = 2$  where  $\mathcal{F}$  is the Reeb foliation of  $\mathbb{S}^3$ .
- $cat_{\mathcal{F}}(M) = p$  where  $\mathcal{F}$  is a linear foliation of  $\mathbb{T}^n$  with dense leaves.

## Relation to topology

W. Singhof and E. Vogt

*Tangential category of foliations*, [Topology, 2003]

**Theorem:**  $\mathcal{F}$  is  $C^2$ -foliation implies that  $cat_{\mathcal{F}}(M) \leq p$ .

**Corollary:**  $\mathcal{F}$  is  $C^2$ ,  $L \subset M$  with  $cat(L) = p$ , then  $cat_{\mathcal{F}}(M) = p$ .

**Corollary:**  $\mathcal{F}$  is  $C^2$ ,  $q = 1$ ,  $p \geq 2$ ,  $cat_{\mathcal{F}}(M) = 1 \Rightarrow \mathcal{F}$  is foliation by spheres.

There has been recent work relating it to more standard notions of topology.

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# Foliated cohomology

H. Colman and S. Hurder, *Tangential LS category and cohomology for foliations*, [Contemp. Math. Vol. 316, 2002].

$\Omega^r(\mathcal{F})$  the space of smooth  $r$ -forms along the leaves.

$d_{\mathcal{F}}: \Omega^r(\mathcal{F}) \rightarrow \Omega^{r+1}(\mathcal{F})$  leafwise differential.

The *foliated cohomology*  $H_{\mathcal{F}}^r(M)$  is the cohomology of the complex  $(\Omega^r(\mathcal{F}), d_{\mathcal{F}})$ .

Product of forms yields product of foliated cohomology

$$\wedge: H_{\mathcal{F}}^r(M) \otimes H_{\mathcal{F}}^s(M) \longrightarrow H_{\mathcal{F}}^{r+s}(M)$$

**Theorem:**  $cat_{\mathcal{F}}(M) \geq 1 + \text{nil } H_{\mathcal{F}}^+(M)$ .

**Theorem:**  $\mathcal{F}$  a  $C^2$ -foliation:  $0 \neq GV(\mathcal{F}) \in H^{2q+1}(M; \mathbb{R}) \Rightarrow cat_{\mathcal{F}}(M) \geq q + 2$ .

*Leafwise cohomology yields tangential categorical invariants.*

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# Holonomy pseudogroup of $\mathcal{F}$

**Idea:** Use the tangential LS category to define an “average topology of leaves”.  
Need some preliminary notions.

Let  $\mathcal{T} = \mathcal{T}_1 \cup \cdots \cup \mathcal{T}_k$  be a complete transversal for  $\mathcal{F}$  associated to an open covering of  $M$  by foliation charts  $\{\varphi_i: U_i \rightarrow (-1, 1)^p \times (-1, 1)^q \mid 1 \leq i \leq k\}$ .

Let  $\gamma: [0, 1] \rightarrow M$  be a leafwise path with  $\gamma(0) = x \in \mathcal{T}$  and  $\gamma(1) = y \in \mathcal{T}$ .

Let  $h_\gamma: U_x \rightarrow V_y$  denote the holonomy map defined by  $\gamma$ , where  $U_x, V_y \subset \mathcal{T}$ .

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# Transverse invariant measures

A Borel measure  $\mu$  on  $\mathcal{T}$  is *holonomy invariant* if  $\mu(E) = \mu(h_\gamma(E))$  for every leafwise path  $\gamma$  and Borel set  $E \subset \text{domain}(h_\gamma)$ .

**Remark:** A holonomy invariant Borel measure  $\mu$  extends to a measure on Borel transversals  $f: X \rightarrow M$ .  $\mu$  is *probability measure* means that  $\mu(\mathcal{T}) = 1$ .

**Theorem:** [Plante 1975] An averaging sequence  $\{K_\ell\}$  determines a Borel probability measure  $\mu$  on  $\mathcal{T}$  which is holonomy invariant.

**Definition:** For  $X$  compact topological space, a Borel map  $f: X \rightarrow M$  is a transversal for  $\mathcal{F}$  if the intersection  $f(X) \cap L$  is discrete for all leaves  $L$ .

# Measured LS category

Carlos Meniño-Cotón:

*LS category, foliated spaces and transverse invariant measure*, [Thesis, 2012].

Suppose the foliation  $\mathcal{F}$  admits a transverse invariant measure  $\mu$ .

**Question:** Is there a way to average the leafwise LS category?

Can the idea of LS category be used to define numerical invariants of  $(\mathcal{F}, \mu)$ ?  
What would they measure?

Let  $U \subset M$  be categorical set and  $H_t: U \rightarrow M$  be foliated homotopy.

$\Rightarrow T_U = H_1(U)$  intersects each leaf in a discrete set of points,

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Let  $\mu$  be a holonomy invariant, Borel probability measure  $\mu$  on  $\mathcal{T}$ .

**Definition:** ( $\mu$ -category of  $\mathcal{F}$ )

$$cat_{\mathcal{F},\mu}(M) = \inf \left\{ \sum_{i=0}^k \mu(T_{U_i}) \mid \{U_0, U_1, \dots, U_k\} \text{ is an } \mathcal{F} \text{ categorical cover} \right\}$$

- $cat_{\mathcal{F},\mu}(M)$  measures how “efficiently” the space  $M$  can be decomposed and squeezed into transversals.
- If all leaves of  $\mathcal{F}$  are compact and bounded, then  $cat_{\mathcal{F},\mu}(M) > 0$  depends on the orbifold quotient  $M/\mathcal{F}$  and LS-category of fibers.
- If all leaves of  $\mathcal{F}$  are dense, then  $cat_{\mathcal{F},\mu}(M) = 0$ .

This last result seems surprising, but follows because there is no “price to pay” for moving parts of leaves long distances. The “price” should be a measure of how far a point in  $U_i$  has to travel to the basepoint  $x_0$ .

- The highest “prices” should be along the boundary  $\partial U_i$  of each  $U_i$ .

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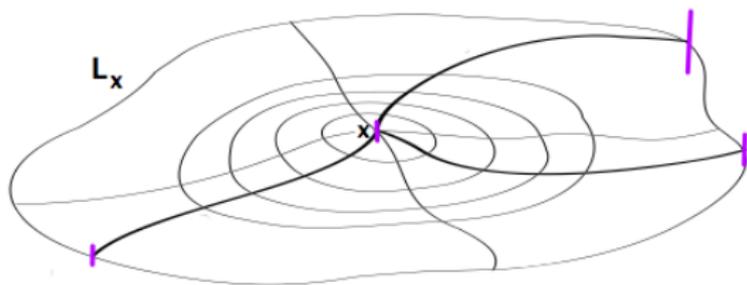
# Isoperimetric measured LS category

**Definition:** (Iso- $\mu$ -category of  $\mathcal{F}$ )

$$cat_{\mathcal{F}, \mu}^{\partial}(M) = \inf \left\{ \sum_{i=0}^k \mu(\partial U_i) \mid \{U_0, U_1, \dots, U_k\} \text{ is an } \mathcal{F} \text{ categorical cover} \right\}$$

The notion of  $\mu(\partial U_i)$  requires some explanation. Cover  $U_i$  by flow charts, then take the measure of the transversals corresponding to the boundary plaques of  $U_i$ .

Each  $U_i$  defines a Borel subset  $E_i \subset \mathcal{T}$  for the plaques in  $U_i$ . Let  $E_i^{\partial}$  denote the transversal points for the boundary plaques. Then  $\mu(\partial U_i) = \mu(E_i^{\partial})$ .



$cat_{\mathcal{F},\mu}^{\partial}(M)$  is well-defined, for:

- fixed covering of  $M$  by foliation charts
- fixed transverse invariant measure  $\mu$ .

Finally, take the infimum over all open coverings of  $M$  by foliation charts.

### Remarks:

- $cat_{\mathcal{F},\mu}^{\partial}(M)$  is always finite: take finite cover of  $M$  by foliation flow boxes.
- Suppose that  $L = \mathbb{S}^p$  is compact leaf supporting  $\mu$ . Then  $cat_{\mathcal{F},\mu}^{\partial}(M) = 0$ .
- Suppose that  $L = \mathbb{T}^p$  is compact leaf supporting  $\mu$ . Then  $cat_{\mathcal{F},\mu}^{\partial}(M) > 0$ .
- $cat_{\mathcal{F},\mu}^{\partial}(\mathfrak{M})$  defined for foliated spaces  $\mathfrak{M}$  and *matchbox manifolds* (transversally Cantor foliated spaces).

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# Suspensions

Let  $\Gamma = \pi_1(B, b_0)$  be fundamental group of closed manifold  $B$ , and  $\phi: \Gamma \times X \rightarrow X$  an action on compact space  $X$  preserving a Borel probability measure  $\mu$  on  $X$ . The *suspension* of  $\phi$  is the foliated space

$$\mathfrak{M}_\phi = \tilde{B} \times X / (b, x) \sim (b \cdot \gamma^{-1}, \phi(\gamma)(x))$$

**Problem:** How is  $\text{cat}_{\mathcal{F}, \mu}^{\partial}(\mathfrak{M})$  related to:

- $\text{cat}(B)$ ?
- properties of  $\Gamma$ ?
- dynamics of  $\phi$ ?

Suppose that  $\mathfrak{M}_\phi$  is foliated space defined by suspension of minimal action  $\phi: \Gamma \times X \rightarrow X$  on Cantor set  $X$ , preserving measure  $\mu$ .

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For free, minimal Cantor actions by  $\Gamma = \mathbb{Z}^p$ , all such actions are *topologically hyperfinite*, or *affable*, a topological form of the Følner condition.

**Theorem:** [Forrest, 1999] Let  $\phi: \mathbb{Z}^p \times X \rightarrow X$  be a free, minimal action, then  $\phi$  is *topologically Følner* on a subset  $X_0 \subset X$ , whose complement  $Z = X - X_0$  has measure zero for any transverse invariant measure  $\mu$  on  $X$ .

**Corollary:** Let  $\mathfrak{M}_\phi$  be the suspension for  $B = \mathbb{T}^p$  of a minimal action of  $\mathbb{Z}^p$  on a Cantor set  $X$ , preserving a measure  $\mu$ . Then  $\text{cat}_{\mathcal{F}, \mu}^{\partial}(\mathfrak{M}) = 0$ .

In the case where the leaves of  $\mathcal{F}$  are contractible,  $\text{cat}_{\mathcal{F}, \mu}^{\partial}(\mathfrak{M})$  is a form of average Cheeger isoperimetric constant  $\lambda(\Gamma)$  for  $\Gamma$ .

**Theorem:** Let  $\mathfrak{M}_\phi$  be the suspension of a free, minimal action  $\phi: \Gamma \times X \rightarrow X$  which preserves a probability measure  $\mu$ . Then  $\text{cat}_{\mathcal{F}, \mu}^{\partial}(M) \geq \lambda(\Gamma)$ .

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**Theorem:** Let  $\mathfrak{M}_\phi$  be the suspension of a free, minimal action  $\phi: \Gamma \times X \rightarrow X$  which preserves a probability measure  $\mu$ . Then  $\text{cat}_{\mathcal{F}, \mu}^\partial(M) \geq \lambda(\Gamma)$ .

For free, minimal Cantor actions by  $\Gamma = \mathbb{Z}^p$ , all such actions are *topologically hyperfinite*, or *affable*, a topological form of the Følner condition.

**Theorem:** [Forrest, 1999] Let  $\phi: \mathbb{Z}^p \times X \rightarrow X$  be a free, minimal action, then  $\phi$  is *topologically Følner* on a subset  $X_0 \subset X$ , whose complement  $Z = X - X_0$  has measure zero for any transverse invariant measure  $\mu$  on  $X$ .

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## Average Euler class, revisited

Consider again the example of “Jacob’s Ladder”



A decomposition of this leaf into categorical sets for a foliation  $\mathcal{F}$  must have “very long edges”, which have uniform fraction of total mass.

Let  $U \subset M$  be  $\mathcal{F}$ -categorical set, and  $\mathcal{P} \subset U$  a leaf for  $\mathcal{F}|_U$ . Then the Euler form  $e(T\mathcal{F})|_{\mathcal{P}} = d_{\mathcal{F}}(T(e|_{\mathcal{P}}))$  for a *uniformly bounded* 1-form on  $U$ .

$$\int_{\mathcal{P}} e(T\mathcal{F}) = \int_{\mathcal{P}} d_{\mathcal{F}}(T(e|_{\mathcal{P}})) = \int_{\partial\mathcal{P}} T(e|_{\mathcal{P}}) \leq C_e \cdot \text{length}(\partial\mathcal{P})$$

# Semi-locality

DeRham cohomology invariants of bundles  $\mathcal{E} \rightarrow M$  have a semi-local property:

**Proposition:** [Folklore] Let  $L$  have bounded geometry and let  $U \subset L$  be a “nice” categorical set. Then for any geometric class  $P(\mathcal{E})$  for  $\mathcal{E}$  of degree  $p$ , formed from products of the Euler, Chern and Pontrjagin classes, there exists a bounded  $(p-1)$ -form  $TP(\mathcal{E})$  on  $U$  such that  $d_{\mathcal{F}}TP(\mathcal{E}) = P(\mathcal{E})$ , where the bound depends on the geometry of  $M$  and  $\mathcal{E}$  but not on  $U$ .

**Theorem:** Let  $(M, \mathcal{F})$  have invariant measure  $\mu$ , and let  $P(T\mathcal{F})$  be a geometric form of degree  $p$ . If  $\langle C_{\mu}, P(\mathcal{E}) \rangle \neq 0$  then  $cat_{\mathcal{F}, \mu}^{\partial}(M) > 0$ .

**Corollary:** If  $\mathcal{F}$  contains a leaf  $L$  which is quasi-isometric to the Jacobs Ladder, and  $\mu$  is a transverse invariant measure associated to an averaging sequence defined by  $L$ , then  $cat_{\mathcal{F}, \mu}^{\partial}(M) > 0$ .

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# Average topology of leaves

The invariant  $cat_{\mathcal{F},\mu}^{\partial}(M) > 0$  depends on:

- The isoperimetric constant of leaves of  $\mathcal{F}$ .
- The topology of leaves in the support of  $\mu$ .

**Remark:**  $cat_{\mathcal{F},\mu}^{\partial}(M)$  is analogous to the invariants that Robert Brooks studied:  
*The spectral geometry of foliations*, [Amer. Journal Math., 1984]

**Problem:** Relate  $cat_{\mathcal{F},\mu}^{\partial}(M)$  to the spectrum of leafwise elliptic operators for  $\mathcal{F}$ .

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Thank you for your attention!