

# Nonstandard hulls of locally uniform groups

Isaac Goldbring

UCLA

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# Nonstandard hulls of uniform spaces á la Luxembourg

- Suppose that  $(X, \mathcal{U})$  is a uniform space and  $e \in X$ .
- Let  $P$  be a family of pseudometrics generating the uniformity.
- Set  $X_{\text{fin}, P} := \{x \in X^* \mid p(x, e) \in \mathbb{R}_{\text{fin}} \text{ for all } p \in P\}$ .
- Set  $\mu(\mathcal{U}) := \bigcap_{U \in \mathcal{U}} U^*$ .
- Set  $\hat{X}_P := X_{\text{fin}, P} / \mu(\mathcal{U})$ .
- Then  $\hat{X}_P$  is a uniform space with uniformity generated by the set of pseudometrics  $\{\hat{p} \mid p \in P\}$ , where  $\hat{p}([x], [y]) := \text{st}(p(x, y))$ . We refer to  $\hat{X}_P$  as a *nonstandard hull* of  $X$ .

## Remark

This procedure depends on the choice of  $P$ . For example, given  $P$ , define  $P' := \{\min(p, 1) \mid p \in P\}$ . Then  $X_{\text{fin}, P'} = X^*$ .

# The case of topological groups

Let  $(G, \tau)$  be a topological group. Then  $(G, \mathcal{U})$  is a uniform space, where  $\mathcal{U}$  is either the *left uniformity*  $\mathcal{U}_l$  or the *right uniformity*  $\mathcal{U}_r$ :

- 1  $\mathcal{U}_l$  has base  $\{(x, y) \mid x^{-1}y \in U\}$ , with  $U \in \tau$ .
- 2  $\mathcal{U}_r$  has base  $\{(x, y) \mid xy^{-1} \in U\}$ , with  $U \in \tau$ .

## Question

Is the nonstandard hull of  $(G, \mathcal{U})$ , in a natural way, a topological group again?

## Answer

For certain groups, the answer is **almost yes**.

# Locally uniform groups

$$U^n := \{x_1 \cdots x_n \mid \text{each } x_i \in U\}.$$

## Definition (Enflo)

$G$  is *locally uniform* if there is a symmetric open neighborhood  $U$  of the identity in  $G$  and a uniformity  $\mathcal{U}$  compatible with the topology such that  $(x, y) \mapsto xy : U^2 \times U^2 \rightarrow U^4$  is  $\mathcal{U}$ -uniformly continuous.

## Lemma (Enflo)

*Suppose that  $G$  is locally uniform as witnessed by  $U$  and  $\mathcal{U}$ . Then  $\mathcal{U}|U = \mathcal{U}_l|U = \mathcal{U}_r|U$  and  $x \mapsto x^{-1} : U \rightarrow U$  is  $\mathcal{U}$ -uniformly continuous.*

We will refer to  $G$  as being  $U$ -locally uniform. If  $U = G$ , then we call  $G$  a *uniform group*.

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We will refer to  $G$  as being  $U$ -locally uniform. If  $U = G$ , then we call  $G$  a *uniform group*.

# Examples of locally uniform groups

## Examples

- 1 Locally compact groups
- 2 Locally abelian groups
- 3 Groups that admit locally two-sided invariant metrics
- 4 Certain infinite-dimensional Lie groups

# Equivalent formulations

Let  $P$  (resp.  $Q$ ) be the set of left-invariant (resp. right-invariant) continuous pseudometrics on  $G$ .

## Lemma

*The following are equivalent:*

- 1  $G$  is  $U$ -locally uniform;
- 2  $\mathcal{U}_l|U = \mathcal{U}_r|U$ ;
- 3  $\mu(\mathcal{U}_l) \cap (U^* \times U^*) = \mu(\mathcal{U}_r) \cap (U^* \times U^*)$ ;
- 4 for all  $x, y \in U^*$ :  $x^{-1}y \in \mu \Leftrightarrow xy^{-1} \in \mu$ ;
- 5  $\mu$  is “normal” in  $U^*$ : for all  $x \in U^*$  and  $y \in \mu$ , we have  $xyx^{-1} \in \mu$ ;
- 6 for all  $x, y \in U^*$ :

$$p(x, y) \approx 0 \text{ for all } p \in P \Leftrightarrow q(x, y) \approx 0 \text{ for all } q \in Q.$$

# Nonstandard hulls

- Suppose that  $G$  is  $U$ -locally uniform, where  $U$  is symmetric, and let  $P$  be a family of left-invariant pseudometrics on  $G$  generating  $\mathcal{U}_l$ .
- Set  $U_{\text{fin},P} := \{x \in U^* \mid \mu(x) \subseteq U^* \text{ and } p(x) \in \mathbb{R}_{\text{fin}} \text{ for all } p \in P\}$ .  
If  $U = G$ , then this is Luxembour's notion of finite.
- Set  $\hat{U}_P := U_{\text{fin},P}/\mu$ , naturally a uniform space just as before.
- Set  $\Omega := \{([x], [y]) \in \hat{U}_P \times \hat{U}_P \mid xy \in U_{\text{fin},P}\}$ .
- Then  $(\hat{U}_P, \Omega)$  is a *local group* with respect to the topology inherited from the uniform structure and the map  $x \mapsto [x] : G|U \rightarrow \hat{U}_P$  is an injective morphism of local groups.

# Local Groups

## Definition

A **local group** is a tuple  $(H, 1, \iota, p)$  where:

- $H$  is a hausdorff topological space with distinguished element  $1 \in H$ ;
- $\iota : \Lambda \rightarrow H$  is continuous, where  $\Lambda \subseteq H$  is open;
- $p : \Omega \rightarrow H$  is continuous, where  $\Omega \subseteq H \times H$  is open;
- $1 \in \Lambda$ ,  $\{1\} \times H \subseteq \Omega$ ,  $H \times \{1\} \subseteq \Omega$ ;
- $p(1, x) = p(x, 1) = x$ ;
- if  $x \in \Lambda$ , then  $(x, \iota(x)) \in \Omega$ ,  $(\iota(x), x) \in \Omega$ , and

$$p(x, \iota(x)) = p(\iota(x), x) = 1;$$

- if  $(x, y), (y, z) \in \Omega$  and  $(p(x, y), z), (x, p(y, z)) \in \Omega$ , then

$$p(p(x, y), z) = p(x, p(y, z)).$$

# The extremes

- If  $P$  is the set of all left-invariant continuous pseudometrics on  $G$ , then  $\hat{U}_P$  is the “smallest” nonstandard hull: for any  $P'$ , the map  $[x]_P \rightarrow [x]_{P'} : \hat{U}_P \rightarrow \hat{U}_{P'}$  is an injective morphism of local groups.
- If  $P_1 := \{\min(p, 1) \mid p \in P\}$ , then  $\hat{U}_{P_1}$  is the “biggest” nonstandard hull: for any  $P'$ , the map  $[x]_{P'} \rightarrow [x]_{P_1} : \hat{U}_{P'} \rightarrow \hat{U}_{P_1}$  is an injective morphism of local groups.

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# Metrizable groups

- Suppose that  $G$  is a metrizable group and you consider the set  $\{d\}$ , where  $d$  is a left-invariant metric. Suppose that  $G$  is  $U$ -locally uniform. Then  $\hat{U}_d$  is metrizable.
- If  $d'$  is another left-invariant metric on  $G$ , then one can show that  $\hat{U}_d$  and  $\hat{U}_{d'}$  are locally isomorphic. Thus, there is a unique *metric nonstandard hull group germ*.

## Theorem (G.)

*Let  $MGrp$  denote the category of metrizable groups and let  $LocGrp$  denote the category of local group germs. Then the metric nonstandard hull construction is a functor  $MGrp \rightarrow LocGrp$ .*

# Mal'cev hulls

- Suppose that  $H$  is a local group. Let  $H^M$  be the quotient of the set of words on  $H$  modulo the transitive closure of the “natural” contraction and expansion operations on words.
- Then  $H^M$  is naturally a group, called the *Mal'cev hull* of  $H$ .
- $H^M$  satisfies the obvious universal mapping property for local group morphisms from  $H$  into topological groups.

## Theorem (Mal'cev; van den Dries-G.)

If  $H$  is *globally associative*, then the canonical map  $H \rightarrow H^M$  is injective.

# Global nonstandard hulls

- It is easy to see that the nonstandard hull  $\hat{U}$  is globally associative.
- Thus, we have the Mal'cev hull of  $\hat{U}$ , which we will call  $\hat{G}$ , the *global nonstandard hull of  $G$* .
- What is the relationship between  $G$  and  $\hat{G}$ ?
- Let  $U^M$  be the Mal'cev hull of  $U$  (as a local group). Then we have a unique injective group morphism  $U^M \rightarrow \hat{G}$ .
- Thus, if the natural map  $U^M \rightarrow G$  is an isomorphism, we then have that  $G$  embeds as a subgroup of  $\hat{G}$ .
- **Fact (van den Dries):** If  $G$  is locally path connected and simply connected and  $U$  is connected, then  $U^M \rightarrow G$  is an isomorphism.
- In general, the Mal'cev hulls of  $\hat{U}$  and  $\hat{V}$  will be non-isomorphic, so the global nonstandard hull of  $G$  is *non-canonical*.

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# UNSS groups

Recall that  $G$  is *NSS* if there is a neighborhood  $U$  of 1 in  $G$  such that the only subgroup of  $G$  contained in  $U$  is  $\{1\}$ ; equivalently, the only internal subgroup of  $\mu$  is  $\{1\}$ . *NSS* is the key property used in the study of Hilbert's fifth problem. In order to pursue Hilbert's fifth problem in infinite-dimensions, Enflo introduced the following notion:

## Definition (Enflo)

$G$  is *UNSS* (uniformly free from small subgroups) if there is a neighborhood  $U$  of 1 in  $G$  such that, for all neighborhoods  $V$  of 1, there is  $n_V \in \mathbb{N}$  such that for all  $x \in G \setminus V$ ,  $x^n \notin U$  for some  $n \leq n_V$ .

## Lemma (Enflo)

*If  $G$  is UNSS, then  $G$  is locally uniform and metrizable.*

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## Lemma (Enflo)

*If  $G$  is UNSS, then  $G$  is locally uniform and metrizable.*

# Examples of UNSS groups

- Locally compact groups
- Banach-Lie groups
- **Fact (G.):** If  $G$  is a locally exponential Lie group, then  $G$  is UNSS if and only if the Lie algebra of  $G$  is normable.
- Continuous inverse algebras (“linear groups”)
- (Omori) *Strong ILB Lie groups*; in particular, when  $M$  is a compact manifold, then  $G := \text{Diff}(M)$  is a strong ILB Lie group (that is not locally exponential)

# Nonstandard characterization of UNSS

## Theorem (G.)

*Let  $G$  be a locally uniform group. Then the following are equivalent:*

- 1  $G$  is uniformly NSS;*
- 2  $G$  is metrizable and the metric nonstandard hull is uniformly NSS;*
- 3  $G$  is metrizable and the metric nonstandard hull is NSS;*
- 4  $G$  is metrizable and every nonstandard hull of  $G$  is NSS.*

# An Example: Unit groups of Banach algebras

- Suppose that  $\mathcal{A}$  is a Banach algebra and  $G := \mathcal{A}^\times$ , the unit group of  $\mathcal{A}$ .
- Then  $G$  is a Banach-Lie group, whence locally uniform.
- Let  $d$  be a left-invariant metric on  $G$  and let  $\epsilon > 0$  be such that, setting  $W := B_d(1; \epsilon)$ , we have  $W \subseteq \{x \in \mathcal{A} : \|x - 1\| < 1\} \subseteq G$  and  $G$  is  $W$ -uniform.
- Let  $\hat{\mathcal{A}}$  be the nonstandard hull of  $\mathcal{A}$ , once again a Banach algebra.

Proposition (G.)

$\hat{W}$  is a restriction of  $U(\hat{\mathcal{A}})$  to a symmetric neighborhood of the origin.

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# Pestov's Nonstandard Hull for Banach-Lie groups

- Suppose that  $G$  is a Banach-Lie group with Lie algebra  $\mathfrak{g}$ .
- Let  $\exp : \mathfrak{g} \rightarrow G$  be the usual exponential map.
- Let  $G_{\text{fin}, \text{Pestov}} := \exp(\mathfrak{g}_{\text{fin}})$
- **Fact:** (Pestov)  $G_{\text{fin}, \text{Pestov}}$  is a group and  $\mu_G$  is a normal subgroup of  $G_{\text{fin}}$ . (Uses some nontrivial Lie theory)
- Let  $\hat{G}_{\text{Pestov}} := G_{\text{fin}, \text{Pestov}} / \mu_G$ .

## Theorem (G.)

*Suppose that  $G$  is a Banach-Lie group and  $U$  is such that  $G$  is  $U$ -uniform. Then  $\hat{U}$  is locally isomorphic to  $\hat{G}_{\text{Pestov}}$ .*

## Theorem (G.)

*For suitable  $U$ , the Mal'cev hull of  $\hat{U}$  is the universal covering group of  $\hat{G}_{\text{Pestov}}$ .*

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# Another approach

## Definition (Henson, Moore)

Suppose that  $(X, \mathcal{U})$  is a uniform space. Then  $a \in X^*$  is  $\mathcal{U}$ -finite if, for every  $A \in \mathcal{U}$ , there is a sequence  $a_0, \dots, a_n \in X^*$  such that  $a_0 = a$ ,  $a_n \in X$ , and  $(a_i, a_{i+1}) \in A^*$  for each  $i < n$ . Let  $X_{\text{fin}}^{\mathcal{U}}$  denote the  $\mathcal{U}$ -finite points.

It is easy to see that  $X_{\text{fin}}^{\mathcal{U}} \subseteq X_{\text{fin}, P}$  for any  $P$ .

## Lemma (G.)

*Suppose that  $G$  is  $U$ -locally uniform and that  $V \subseteq U$  is a symmetric neighborhood of 1 satisfying  $V^2 \subseteq U$ . Then  $V_{\text{fin}}^{\mathcal{U}} \cdot V_{\text{fin}}^{\mathcal{U}} \subseteq U_{\text{fin}}^{\mathcal{U}}$  and  $(U_{\text{fin}}^{\mathcal{U}})^{-1} = U_{\text{fin}}^{\mathcal{U}}$ .*

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## Another approach (cont'd)

### Corollary

If  $G$  is a uniform group, then  $G_{\text{fin}}^{\mathcal{U}}$  is a subgroup of  $G_{\text{fin}}$ , yielding a smaller nonstandard hull  $\hat{G}^{\mathcal{U}} := G_{\text{fin}}^{\mathcal{U}} / \mu_G \leq \hat{G}_P$ .

### Example

If  $E$  is a locally convex vector space, then  $E_{\text{fin}} = E_{\text{fin}}^{\mathcal{U}} = E_{\text{fin}}^{\text{tvs}}$ .

### Question

Is there a uniform group  $G$  such that  $\hat{G}^{\mathcal{U}} < \hat{G}_P$ ?

### Question

$X_{\text{fin}}^{\mathcal{U}} = X^*$  if and only if every uniformly continuous function  $X \rightarrow \mathbb{R}$  is bounded. (Henson) Which groups have this property? (If  $G$  satisfies this property and is complete, then every morphism  $G \rightarrow \mathbb{R}$  is trivial.)

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