

Rosiness in Continuous Logic

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Continuous Logic

Rosiness

The Urysohn Sphere

- ▶ A (bounded) metric structure is a (bounded) complete metric space (M, d) , together with distinguished elements, functions (mapping M^n into M for various n) and predicates (mapping M^n into a bounded interval in \mathbb{R} for various n).
- ▶ Each function and predicate is required to be **uniformly continuous**.
- ▶ For the sake of simplicity, we suppose that the metric is bounded by 1 and the predicates all take values in $[0, 1]$.

Examples of Metric Structures

1. If M is a structure from classical model theory, then we can consider M as a metric structure by equipping it with the discrete metric. If $P \subseteq M^n$ is a distinguished predicate, then we consider it as a mapping $P : M^n \rightarrow \{0, 1\} \subseteq [0, 1]$ by

$$P(a) = 0 \text{ if and only if } M \models P(a).$$

2. Suppose X is a Banach space with unit ball B . Then $(B, 0_X, \|\cdot\|, (f_{\alpha,\beta})_{\alpha,\beta})$ is a metric structure, where $f_{\alpha,\beta} : B^2 \rightarrow B$ is given by $f(x, y) = \alpha \cdot x + \beta \cdot y$ for all scalars α and β with $|\alpha| + |\beta| \leq 1$.
3. If H is a Hilbert space with unit ball B , then $(B, 0_H, \|\cdot\|, \langle \cdot, \cdot \rangle, (f_{\alpha,\beta})_{\alpha,\beta})$ is a metric structure.

Bounded Continuous Signatures

- ▶ As in classical logic, a signature for continuous logic consists of constant symbols, function symbols, and predicate symbols, the latter two coming also with arities.
- ▶ **New to continuous logic:** For every function symbol F , the signature must specify a *modulus of uniform continuity* Δ_F , which is a function $\Delta_F : (0, 1] \rightarrow (0, 1]$. Likewise, a modulus of uniform continuity is specified for each predicate symbol.
- ▶ The metric d is included as a (logical) predicate in analogy with $=$ in classical logic.

An L -structure is a metric structure M whose distinguished constants, functions, and predicates are interpretations of the corresponding symbols in L .

Moreover, the uniform continuity of the functions and predicates is witnessed by the moduli of uniform continuity specified by L .

e.g. If P is a unary predicate symbol, then for all $\epsilon > 0$ and all $x, y \in M$, we have:

$$d(x, y) < \Delta_P(\epsilon) \Rightarrow |P(x) - P(y)| \leq \epsilon.$$

- ▶ Terms are defined as in classical logic.
- ▶ Atomic formulae are of the form $d(t_1, t_2)$ and $P(t_1, \dots, t_n)$ where P is an n -ary predicate symbol and t_1, \dots, t_n are terms.
- ▶ Connectives: If $\varphi_1, \dots, \varphi_n$ are formulae and $u : [0, 1]^n \rightarrow [0, 1]$ is any continuous function, then $u(\varphi_1, \dots, \varphi_n)$ is a formula.
- ▶ Quantifiers: If φ is a formula, then so is $\sup_x \varphi$ and $\inf_x \varphi$. (**sup** “=” \forall and **inf** “=” \exists)
- ▶ If $\varphi(x_1, \dots, x_n)$ is an L -formula, M an L -structure, and a_1, \dots, a_n elements of M , then M gives a value $\varphi^M(a_1, \dots, a_n)$, which is a number in $[0, 1]$ measuring “how true” φ is when a_1, \dots, a_n are plugged in for the free variables.

- ▶ A *condition* is an expression of the form “ $\varphi = 0$ ”, where φ is a formula. If φ is a sentence, then the condition “ $\varphi = 0$ ” is called a *closed condition*.
- ▶ An *L-theory* is a set of closed *L*-conditions.
- ▶ If M is an *L*-structure, then the *theory of M* is the theory

$$\text{Th}(M) := \{\varphi = 0 \mid \varphi \text{ a sentence, } \varphi^M = 0\}.$$

- ▶ An *L*-theory is *complete* if it is of the form $\text{Th}(M)$ for some *L*-structure M .

Examples of Complete Continuous Theories

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1. Infinite Dimensional Hilbert Spaces (over \mathbb{R})
2. Probability Structures based on Atomless Probability Spaces
3. L^p -Banach lattices
4. Richly branching \mathbb{R} -trees

Stability in Continuous Logic

As in classical logic, there are many (equivalent) ways of defining what it means for the complete continuous theory T to be *stable*:

- ▶ λ -stable for some λ ;
- ▶ Existence of a *stable independence relation*
- ▶ Types over models are definable

All four of the theories described on the previous slide are stable. In fact, the first three are ω -stable and the last one is κ -stable if and only if $\kappa^\omega = \kappa$.

Simplicity in continuous logic

One can define *dividing* and *simplicity* in continuous logic exactly as it was defined in classical logic:

- ▶ A type $p_B(x) \in S_x(B)$ *does not divide* over A if whenever I is an A -indiscernible sequence with $B \in I$, then $\{p_{B'}(x) \mid B' \in I\}$ is consistent.
- ▶ T is *simple* if the relation \perp of dividing independence satisfies local character.

Do There Exist Simple Continuous Theories?

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- ▶ All known examples of “essentially continuous” theories are either stable or not simple.
- ▶ Attempts to create essentially continuous simple, unstable theories failed, e.g. adding a generic predicate, applying the Keisler randomization procedure...

Question of Ben-Yaacov

Do there exist any “essentially continuous” simple, unstable theories?

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Defining \downarrow^b

T -classical complete theory, \mathcal{M} a monster model for T .

$$A \downarrow_C^a B \Leftrightarrow \text{acl}(AC) \cap \text{acl}(BC) = \text{acl}(C).$$

Satisfies all axioms for a strict independence relation except base monotonicity.

$$A \downarrow_C^M B \Leftrightarrow \text{for all } C' \text{ such that } C \subseteq C' \subseteq \text{acl}(BC), \text{ we have } A \downarrow_{C'}^a B.$$

Satisfies all axioms for a strict independence relation except local character and extension.

$$A \downarrow_C^b B \Leftrightarrow \text{for all } B' \supseteq B \text{ there is } A' \equiv_{BC} A \text{ such that } A' \downarrow_C^M B'.$$

T -classical complete theory, \mathcal{M} a monster model for T .

$$A \perp_C^a B \Leftrightarrow \text{acl}(AC) \cap \text{acl}(BC) = \text{acl}(C).$$

Satisfies all axioms for a strict independence relation except base monotonicity.

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$$A \perp_C^p B \Leftrightarrow \text{for all } B' \supseteq B \text{ there is } A' \equiv_{BC} A \text{ such that } A' \perp_C^M B'.$$

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Theorem (Adler)

\perp^p is a strict independence relation if and only if \perp^p has local character if and only if there is a strict independence relation for T at all. In this case, \perp^p is the weakest strict independence relation for T , that is, if \perp^* is another strict independence relation for T , then for all small A, B, C , we have $A \perp_C^* B \Rightarrow A \perp_C^p B$.

Definition

T is rosy if and only if \perp^p is a strict independence relation for T^{eq} .

Example

Simple theories and o-minimal theories are rosy.

Algebraic Closure in Continuous Logic

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Suppose now \mathcal{M} is a monster model for the complete **continuous** theory T .

Suppose $a \in \mathcal{M}$ and $B \subseteq \mathcal{M}$ is small. Then a is *algebraic over B* if the set of B -conjugates of a is a (metrically) *compact* subset of \mathcal{M} . (Equivalently, a lies in a compact B -definable subset of \mathcal{M} .)

In continuous logic, if $a \in \text{acl}(B)$, then there need not be a *finite* $B_0 \subseteq B$ such that $a \in \text{acl}(B_0)$. However, there will be a *countable* $B_0 \subseteq B$ such that $a \in \text{acl}(B_0)$; this is because definable sets in continuous logic may need countably many parameters for their definition.

Strict Countable Independence Relations

Definition

\perp^* is a *strict countable independence relation* if it satisfies all of the axioms for a strict independence relation except that it satisfies *countable character* instead of finite character, that is,

$$A \underset{C}{\perp^*} B \Leftrightarrow A_0 \underset{C}{\perp^*} B \text{ for all countable } A_0 \subseteq A.$$

Theorem

Suppose that T is a complete continuous theory. Then \perp^* is a strict countable independence relation if and only if \perp^{p} has local character if and only if there is a strict countable independence relation for T at all. In this case, \perp^{p} is the weakest strict countable independence relation for T .

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Suppose that T is a complete continuous theory. Then \perp^p is a strict countable independence relation if and only if \perp^p has local character if and only if there is a strict countable independence relation for T at all. In this case, \perp^p is the weakest strict countable independence relation for T .

Rosy Continuous Theories

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We say that a continuous theory T is *rosy* if \perp^b is a strict countable independence relation for T^{eq} . (We will say later what T^{eq} is for continuous logic.)

By the previous theorem, simple continuous theories are rosy.

In the rest of this talk, we aim to show that the theory of the *Urysohn sphere*, which is not simple, is rosy (with respect to finitary imaginaries).

Continuous Logic

Rosiness

The Urysohn Sphere

The Urysohn sphere

Recall that a *Polish metric space* is a complete, separable metric space.

The **Urysohn sphere** \mathfrak{U} is the unique (up to isometry) Polish metric space of diameter ≤ 1 which is *universal* (all Polish metric spaces of diameter ≤ 1 isometrically embed in \mathfrak{U}) and *ultrahomogeneous* (any isometry between finite subsets of \mathfrak{U} extends to an isometry of \mathfrak{U}).

L -the empty metric signature (consists solely of the metric symbol d , $d \leq 1$)

$T_{\mathfrak{U}}$ -the L -theory of \mathfrak{U}

\mathbb{U} -a monster model for $T_{\mathfrak{U}}$

Model Theoretic Properties of $T_{\mathbb{U}}$

Theorem (Henson)

1. $T_{\mathbb{U}}$ is \aleph_0 -categorical;
2. $T_{\mathbb{U}}$ admits QE;
3. $T_{\mathbb{U}}$ is the model completion of the empty L -theory (so is the theory of existentially closed metric spaces of diameter ≤ 1);
4. for all $A \subseteq \mathbb{U}$, we have $\text{acl}(A) = \overline{A}$.

Thus, there appears to be an analogy between the theory of the Urysohn sphere in continuous logic and the theory of the infinite set in classical logic. However,...

$T_{\mathfrak{U}}$ is not simple

Theorem (Pillay)

$T_{\mathfrak{U}}$ is not simple.

Sketch.

- ▶ Let $A \subseteq \mathfrak{U}$ be small with all elements mutually $\frac{1}{2}$ -apart. By QE, there is a unique type $p(x)$ determined by the conditions $\{d(x, a) = \frac{1}{4} \mid a \in A\}$.
- ▶ Let $B \subsetneq A$ be closed. We show that p divides over B , showing that \perp doesn't satisfy local character in $T_{\mathfrak{U}}$.
- ▶ Let $a \in A \setminus B$. We can find a B -indiscernible sequence $(a_i \mid i < \omega)$ of realizations of $\text{tp}(a/B)$ which are mutually 1-apart. Then $d(x, a) = \frac{1}{4}$ 2-divides over B .



T_{\aleph_1} is real rosy

Theorem (Ealy, G.)

T_{\aleph_1} is real rosy, that is, \downarrow^p satisfies local character when restricted to the real sort.

Sketch.

1. By the triviality of acl in T_{\aleph_1} , one can show that

$$A \downarrow_C^M B \Leftrightarrow \overline{A} \cap \overline{B} \subseteq \overline{C}.$$

2. Next, show that $\downarrow^M = \downarrow^p$ in T_{\aleph_1} .
3. Suppose $A, B \subseteq \mathbb{U}$ are small. For $x \in \overline{A} \cap \overline{B}$, let $B_x \subseteq B$ be countable such that $x \in \overline{B_x}$. Let $B_0 := \bigcup \{B_x \mid x \in \overline{A} \cap \overline{B}\}$. Then $A \downarrow_{B_0}^p B$ and $|B_0| \leq \aleph_0 \cdot |\overline{A}|$, showing that \downarrow^p satisfies local character.

Why $\downarrow^M = \downarrow^b$ in $T_{\mathbb{U}}$

- ▶ It suffices to show that for any small, closed $A, B, C \subseteq \mathbb{U}$, there exists $A' \equiv_C A$ with $A' \downarrow_C^M B$.
- ▶ Let $(a_i \mid i \in I)$ enumerate $A \setminus C$ and $(b_j \mid j \in J)$ enumerate $B \setminus C$.
- ▶ Let $\epsilon_i := d(a_i, C)$ and $\delta_{ij} := \max\{\epsilon_i, d(a_i, b_j)\}$.
- ▶ Let $\Sigma(X) := \text{tp}(A/C) \cup \{|d(x_i, b_j) - \delta_{ij}| = 0 \mid i \in I, j \in J\}$.
It suffices to show that Σ is satisfiable.
- ▶ To show that Σ is satisfiable, it suffices to show that Σ prescribes a metric on $X \cup B \cup C$.
- ▶ Check that all of the various triangle inequalities hold. This follows from the choice of δ_{ij} .

An Application of Real Rosiness

By the universality of \mathfrak{U} , we know that \mathfrak{U}^n isometrically embeds in \mathfrak{U} for any $n \geq 2$. However,

Corollary

For any $n \geq 2$, there is no *definable* isometric embedding $\mathfrak{U}^n \rightarrow \mathfrak{U}$.

Proof.

First show that any definable isometric embedding

$\mathfrak{U}^n \rightarrow \mathfrak{U}$ extends to an isometric embedding $\mathbb{U}^n \rightarrow \mathbb{U}$.

(This actually takes work in continuous logic!) Then show that $U_{\text{real}}^{\text{p}}(\mathbb{U}^n) = n$ and use monotonicity of $U_{\text{real}}^{\text{p}}$ -rank with respect to definable injections. \square

One can also show that, for any $n \geq 2$, there is no A -definable injection $\mathfrak{U}^n \rightarrow \mathfrak{U}$, where $A \subseteq \mathfrak{U}$ is *finite*.

Definable Predicates

- ▶ Many issues around definability in continuous logic revolve around the notion of a **definable predicate**.
- ▶ Suppose, for each $n \in \mathbb{N}$, $\varphi_n(x, y_n)$ is a formula, where the y_n 's are increasing finite tuples of variables. Then we obtain a definable predicate $P(x, Y)$ by taking the *forced limit* of the sequence $(\varphi_n(x, y_n))$.
- ▶ It should be viewed as a “formula” with finitely many object variables x and countably many parameter variables $Y := \bigcup_n y_n$.
- ▶ If $(\varphi_n(x, y_n))$ is a “fast” Cauchy sequence, then $P(x, y_n) = \lim \varphi_n(x, y_n)$.
- ▶ A predicate $P : \mathcal{M}^n \rightarrow [0, 1]$ is definable if and only if the map $\text{tp}(a) \mapsto P(a) : S_n(T) \rightarrow [0, 1]$ is continuous.

\mathcal{M}^{eq} in continuous logic

- ▶ As in classical logic, the eq-construction can be viewed as adding *canonical parameters* for formulae (or definable predicates in our case).
- ▶ Suppose $P(x, Y)$ is a definable predicate. On \mathcal{M}_Y , define the pseudometric
$$d_P(B, B') := \sup_x |P(x, B) - P(x, B')|.$$
- ▶ In \mathcal{M}^{eq} , we add a sort \mathcal{M}_P , which is the metric space $\mathcal{M}_Y / (d_P = 0)$, as well as relevant “projection maps.”
- ▶ The elements of \mathcal{M}_P are canonical parameters of instances of $P(x, Y)$.
- ▶ If $|Y| < \omega$, we say that $P(x, Y)$ is a *finitary definable predicate* and, if $P(x, Y)$ is a finitary definable predicate, then the elements of \mathcal{M}_P are called *finitary imaginaries*.
- ▶ \mathcal{M}^{feq} is the reduct of \mathcal{M}^{eq} where one only considers finitary imaginaries.

Definition

We say that T has *weak elimination of finitary imaginaries*, abbreviated *WEFI*, if for every $e \in \mathcal{M}^{\text{feq}}$, there is a finite tuple $I(e)$ from \mathcal{M} such that $e \in \text{dcl}(I(e))$ and $I(e) \in \text{acl}(e)$.

Equivalently, T has WEFI if and only if for every finitary definable predicate $\varphi(x)$, there is a finite tuple c from \mathcal{M} such that $\varphi(x)$ is definable over c and whenever $\varphi(x)$ is defined over a finite tuple d , then $c \in \text{acl}(d)$.

A Fact About $\text{Iso}(\mathbb{U})$

We will need the following fact in our proof that $T_{\mathbb{U}}$ has WEFI.

Theorem (J. Melleray)

Let A and B be finite subsets of \mathbb{U} . Set $G := \text{Iso}(\mathbb{U}|A \cap B)$ and $H :=$ the subgroup of G generated by $\text{Iso}(\mathbb{U}|A) \cup \text{Iso}(\mathbb{U}|B)$. Then H is dense in G with respect to the topology of pointwise convergence.

$T_{\mathcal{U}}$ has WEFI

- ▶ Suppose that $\varphi(x, a)$ is a finitary definable predicate.
- ▶ Let b be a subtuple of a such that $\varphi(x)$ is definable over b and $\varphi(x)$ is not definable over any proper subtuple of b .
- ▶ Now suppose that $\varphi(x)$ is definable over the finite tuple d . Let $c \in \mathbb{U}$. Let $G := \text{Iso}(\mathbb{U}|b \cap d)$ and let H be the subgroup of G generated by $\text{Iso}(\mathbb{U}|b) \cup \text{Aut}(\mathbb{U}|d)$.
- ▶ If $\tau \in H$, then $\varphi(\tau(c)) = \varphi(c)$.
- ▶ If $\tau \in G$, then by the above theorem, there is a sequence (τ_n) from H such that $\tau_n(c) \rightarrow \tau(c)$.
- ▶ Since φ is continuous, we have

$$\varphi(\tau(c)) = \varphi(\lim \tau_n(c)) = \lim \varphi(\tau_n(c)) = \varphi(c).$$

- ▶ Thus, φ is defined over $b \cap d$.
- ▶ By choice of b , we have $b \cap d = b$, i.e. $b \in \text{acl}(d)$.

Thus, we have that $T_{\mathcal{U}}$ has WEFI.

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- ▶ Suppose that $\varphi(x, a)$ is a finitary definable predicate.
- ▶ Let b be a subtuple of a such that $\varphi(x)$ is definable over b and $\varphi(x)$ is not definable over any proper subtuple of b .
- ▶ Now suppose that $\varphi(x)$ is definable over the finite tuple d . Let $c \in \mathbb{U}$. Let $G := \text{Iso}(\mathbb{U}|b \cap d)$ and let H be the subgroup of G generated by $\text{Iso}(\mathbb{U}|b) \cup \text{Aut}(\mathbb{U}|d)$.
- ▶ If $\tau \in H$, then $\varphi(\tau(c)) = \varphi(c)$.
- ▶ If $\tau \in G$, then by the above theorem, there is a sequence (τ_n) from H such that $\tau_n(c) \rightarrow \tau(c)$.
- ▶ Since φ is continuous, we have

$$\varphi(\tau(c)) = \varphi(\lim \tau_n(c)) = \lim \varphi(\tau_n(c)) = \varphi(c).$$

- ▶ Thus, φ is defined over $b \cap d$.
- ▶ By choice of b , we have $b \cap d = b$, i.e. $b \in \text{acl}(d)$.

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Thus, we have that $T_{\mathcal{U}}$ has WEFI.

Real Rosy + WEFI \Rightarrow Rosy w.r.t. \mathcal{M}^{feq}

Rosiness in
Continuous Logic

Isaac Goldbring
(joint work with
Clifton Ealy)

Continuous Logic

Rosiness

The Urysohn
Sphere

In order to show that $T_{\mathcal{U}}$ is rosy with respect to finitary imaginaries, it remains to prove

Theorem (Ealy, G.)

If T is real rosy and has WEFI, then T is rosy w.r.t. finitary imaginaries.

Outline of the Proof

Let us outline the proof of the above theorem. Suppose $A \subseteq \mathcal{M}^{\text{feq}}$ is small. We need to find a small cardinal κ such that for all small $D \subseteq \mathcal{M}^{\text{feq}}$, there is $C \subseteq D$ with $|C| < \kappa$ and such that $A \downarrow_C^p D$. Fix such a D .

- ▶ Let B be a small set of real elements whose image under the canonical maps equals A . Let κ witness local character for B (exists by real rosiness). We show that this is the desired κ .
- ▶ By choice of κ , there is $E \subseteq I(D)$ with $|E| < \kappa$ and $B \downarrow_E^p I(D)$.
- ▶ Let $C \subseteq D$ be such that $|C| < \kappa$ and such that $E \subseteq I(C)$. By base monotonicity, we have $B \downarrow_{I(C)}^p I(D)$.
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- ▶ Show that $A \downarrow_C^p D$.

In classical logic, a rosy theory is said to be *superrosy* if any type does not \wp -fork over a finite subset of its domain. In analogy with the definition of supersimplicity in continuous logic, we make the following definition:

Definition

Suppose T is a rosy continuous theory with monster model \mathcal{M} . We say that T is *superrosy* if for all $a \in \mathcal{M}^{\text{eq}}$, all small $B \subseteq \mathcal{M}^{\text{eq}}$, and all $\epsilon > 0$, there is $c \in \mathcal{M}^{\text{eq}}$, in the same sort as a with $d(a, c) < \epsilon$, and a finite $B_0 \subseteq B$ such that $c \downarrow_{B_0}^{\wp} B$

$T_{\mathcal{U}}$ is superrosy w.r.t. \mathbb{U}^{feq}

Theorem (Ealy, G.)

$T_{\mathcal{U}}$ is superrosy with respect to finitary imaginaries.

Sketch of Proof

- ▶ First fix $a = (a_1, \dots, a_n)$ a finite tuple from \mathbb{U} , $B \subseteq \mathbb{U}$ small, and $\epsilon > 0$.
- ▶ For each $i \in \{1, \dots, n\}$, set $c_i := a_i$ if $a_i \notin \text{acl}(B)$. Otherwise, set c_i to be an element of B within ϵ of a_i .
- ▶ Set $B_0 := \{c_1, \dots, c_n\} \cap B$. Then $c \downarrow_{B_0}^p B$, whence $T_{\mathcal{U}}$ is real superrosy.

The Proof Continued

- ▶ Now suppose $a \in \mathbb{U}^{\text{feq}}$, $B \subseteq \mathbb{U}^{\text{feq}}$ is small, and $\epsilon > 0$.
- ▶ Let a' be a representative of the equivalence class a . Choose $\delta > 0$ so that whenever c' is a tuple from \mathbb{U} of the same kind as a' which is within δ of a' , then $d(a, c) < \epsilon$, where c is the equivalence class of c' .
- ▶ By real superrosiness, we can find a finite tuple c' from \mathbb{U} of the same kind as a' within δ of a' and such that $c' \downarrow_C^b I(B)$ for some finite $C \subseteq I(B)$.
- ▶ By base monotonicity, we may assume that $C = I(B_0)$ for some finite $B_0 \subseteq B$.
- ▶ Then, by earlier arguments, $c \downarrow_{B_0}^b B$, where c is the equivalence class of c' . \square

Questions

Question 1

Recall that we showed that Real Rosy + WEFI \Rightarrow Rosy w.r.t. \mathcal{M}^{eq} . This proof shows that, in classical logic, Real Rosy + WEI \Rightarrow Rosy. Does this have any applications in the classical setting?

Question 2

Is T_{\aleph_1} rosy? What does $T_{\aleph_1}^{\text{eq}}$ look like? Does T_{\aleph_1} (weakly) eliminate hyperimaginaries?

Question 3

It is known that if T is a classical theory,

$$T \text{ simple, unstable} \Rightarrow T^R \text{ not simple,}$$

where T^R stands for the *Keisler randomization* of T . Is it true that T rosy implies T^R rosy? This would require having a better understanding of acl in T^R .