

Invariants of Normal Surface Singularities

András Némethi

Rényi Institute of Mathematics, Budapest

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M = its link (oriented 3-manifold)

assume: M is a **rational homology sphere** ($H_1(M, \mathbb{Z})$ is finite)

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One has an embedding $L \hookrightarrow L'$ with $L'/L = H_1(M, \mathbb{Z})$

Notation: $H := H_1(M, \mathbb{Z})$, \hat{H} = Pontryagin dual of H

$$\theta : H \rightarrow \hat{H} \text{ natural isomorphism } [l'] \mapsto \theta([l']) := e^{2\pi i(l', \cdot)}$$

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Problem: determine these invariants

Question: when are they topological ? (computable from Γ or M)

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Problem: determine these invariants

Question 1: what are their peculiar/additional properties (for a singularity link M)?

Question 2: how they influence the analytic invariants?

Cohomology of line bundles

Most of the analytic geometry of \tilde{X} (hence of (X, o) too) is described by its line bundles and their cohomology groups.

Basic problem: *For any $\mathcal{L} \in \text{Pic}(\tilde{X})$ and effective cycle $l \in L_{\geq 0}$ recover the dimensions*

$$(a) \dim \frac{H^0(\tilde{X}, \mathcal{L})}{H^0(\tilde{X}, \mathcal{L}(-l))} \quad \text{and} \quad (b) \dim H^1(\tilde{X}, \mathcal{L})$$

from the combinatorics of Γ (at least for some families of singularities).

Natural line bundles

For arbitrary line bundles (and when $Pic^0(\tilde{X}) \neq 0$) this question can be very hard.

There is an increasing optimism to understand this problem for 'special' line bundles: the **natural** line bundles.

They are provided by the splitting of the cohomological exponential exact sequence:

we associate canonically to each $l' \in L' = H^2(\tilde{X}, \mathbb{Z})$
a line bundle on \tilde{X} whose first Chern class is l' :

Natural line bundles

$$\begin{array}{ccccccc}
 & & & & & L & \\
 & & & & & \downarrow & \\
 0 & \longrightarrow & H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}) & \longrightarrow & \text{Pic}(\tilde{X}) & \xrightarrow[\mathcal{O}]{c_1} & L' \longrightarrow 0 \\
 & & & & & \swarrow & \\
 & & & & & L &
 \end{array}$$

The first Chern class c_1 is surjective and it has an obvious section on the subgroup L : it maps every element to its associated line bundle: $l \mapsto \mathcal{O}(l)$

This section has a *unique extension* \mathcal{O} to L' . We call a line bundle *natural* if it is in the image of this section:

$$l' \mapsto \mathcal{O}(l')$$

Natural line bundles

Example of natural line bundle:

Let $c : (Y, o) \rightarrow (X, o)$ be the **universal abelian cover** of (X, o) ,
 $\pi_Y : \tilde{Y} \rightarrow Y$ the normalized pullback of π by c ,
 $\tilde{c} : \tilde{Y} \rightarrow \tilde{X}$ the morphism which covers c . The action of H on
 (Y, o) lifts to \tilde{Y} and one has an H -eigenspace decomposition

$$\tilde{c}_* \mathcal{O}_{\tilde{Y}} = \bigoplus_{l' \in Q} \mathcal{O}(-l'),$$

where $\mathcal{O}(-l')$ is the $\theta([l'])$ -eigenspace of $\tilde{c}_* \mathcal{O}_{\tilde{Y}}$. This is compatible with the eigenspace decomposition of $\mathcal{O}_{Y,o}$ too.

Above: $Q = \{ \sum l'_v E_v \in L', 0 \leq l'_v < 1 \}$.

Equivariant Hilbert series

Once a resolution π is fixed, $\mathcal{O}_{Y,o}$ inherits the *divisorial multi-filtration*

$$\mathcal{F}(I') := \{f \in \mathcal{O}_{Y,o} \mid \operatorname{div}(f \circ \pi_Y) \geq \tilde{c}^*(I')\}.$$

$\mathfrak{h}(I')$ = dimension of the $\theta([I'])$ -eigenspace of $\mathcal{O}_{Y,o}/\mathcal{F}(I')$.

The *equivariant divisorial Hilbert series* is

$$\mathcal{H}(\mathbf{t}) = \sum_{I' = \sum I_\nu E_\nu \in L'} \mathfrak{h}(I') t_1^{I'_1} \cdots t_s^{I'_s} = \sum_{I' \in L'} \mathfrak{h}(I') \mathbf{t}^{I'} \in \mathbb{Z}[[L']].$$

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$$\sum_{I \in L} \mathfrak{h}(I) \mathbf{t}^I = H\text{-invariants of } \mathcal{H}$$

Hilbert series of the $\pi^{-1}(o)$ -divisorial multi-filtration of $\mathcal{O}_{X,o}$

Second central problem: Recover $\mathcal{H}(\mathbf{t})$ from Γ (for some families of singularities).

The Campillo–Delgado–Guzein-Zade series

$$\mathcal{P}(\mathbf{t}) = -\mathcal{H}(\mathbf{t}) \cdot \prod_v (1 - t_v^{-1}) \in \mathbb{Z}[[L']].$$

(Above, $\mathbb{Z}[[L']]$ is regarded as a module over $\mathbb{Z}[L']$.)

Note: $\mathcal{P}(\mathbf{t})$ and $\mathcal{H}(\mathbf{t})$ determine each other.

Campillo, Delgado and Gusein-Zade for rational singularities proposed a *topological description for $\mathcal{P}(\mathbf{t})$* .

Question: *how general is this topological characterization, and where are its limits?*

Some results:

Theorem [N.] With the notation $E_I = \sum_{v \in I} E_v$, consider

$$h_{\mathcal{L}} := \sum_{I \subseteq \mathcal{V}} (-1)^{|I|+1} \dim \frac{H^0(\mathcal{L})}{H^0(\mathcal{L}(-E_I))},$$

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Then

$$\dim \frac{H^0(\mathcal{L})}{H^0(\mathcal{L}(-l))} = \sum_{a \in L_{\geq 0}, a \neq l} h_{\mathcal{L}(-a)}.$$

This, for any $l' \in L'$ implies

$$h(l') = \sum_{a \in L, a \neq 0} h_{\mathcal{O}(-l'-a)}.$$

$$\mathcal{P}(\mathbf{t}) = \sum_{l' \in L'} h_{\mathcal{O}(-l')} \mathbf{t}^{l'}.$$

Some results:

Moreover,
there exists a constant $\text{const}_{[\mathcal{L}]}$, depending only on the class of $[\mathcal{L}] \in \text{Pic}(\tilde{X})/L$, such that

$$-h^1(\mathcal{L}) = \sum_{a \in L, a \neq 0} h_{\mathcal{L}(a)} + \text{const}_{[\mathcal{L}]} + \frac{(K - 2c_1(\mathcal{L}))^2 + |\mathcal{V}|}{8}.$$

(Above: K = canonical class in L' , $|\mathcal{V}|$ = number of vertices in Γ .)

Corollary:

The invariants

$$\dim \frac{H^0(\mathcal{L})}{H^0(\mathcal{L}(-l))} \quad \text{and} \quad h^1(\mathcal{L})$$

(for natural line bundles), and the Hilbert series $\mathcal{H}(\mathbf{t})$
are determined by

$$\mathcal{P}(\mathbf{t}) \quad \text{and} \quad \{\text{const}_h\}_{h \in H}.$$

Question: *When are $\mathcal{P}(\mathbf{t})$ and $\{\text{const}_h\}_{h \in H}$ topological?*

Positive result:

Theorem [N.] If (X, o) is splice quotient singularity (including rational, minimally elliptic, weighted homogeneous singularities), then

$$\mathcal{P}(\mathbf{t}) = \prod_{v \in \mathcal{V}} (1 - \mathbf{t}^{E_v^*})^{\delta_v - 2},$$

($E_v^* \in L'$ dual basis: $(E_v^*, E_w) = -\delta_{v,w}$; $\delta_v =$ degree of vertex v).

$$\text{const}_h = \text{SW}_{h^* \sigma_{\text{can}}},$$

the Seiberg–Witten invariant of M associated with the spin^c -structure $\sigma = h^* \sigma_{\text{can}}$.

($\text{Spin}(M)$ is an H -torsor, with a canonical element σ_{can} .)

Principal cycles:

Principal cycles $\mathcal{P}r$ = it consists of the restrictions to E of the divisors of π -pullbacks of analytic functions from $\mathcal{O}_{X,o}$.

Principal \mathbb{Q} -cycles: $\mathcal{P}r' =$ those rational cycle $l' \in L'$ for which $\mathcal{O}(-l')$ has a global holomorphic section which is not zero on any of the exceptional components. ($\mathcal{P}r' \cap L = \mathcal{P}r$)

Natural topological background for $\mathcal{P}r$ and $\mathcal{P}r'$:

$$\mathcal{S}' := \{l' \in L' : (l', E_v) \leq 0 \text{ for all } v \in \mathcal{V}\}.$$

and

$$\mathcal{S} := \mathcal{S}' \cap L \quad (\text{Lipman's cone})$$

Fact: $\mathcal{P}r$ (resp. $\mathcal{P}r'$) sub-semigroup of \mathcal{S} (resp. $\mathcal{P}r$)

Problem: *Find $\mathcal{P}r$ and $\mathcal{P}r'$.*

Are they topological (for some singularities)?

Principal cycles:

Theorem [N.] Assume that (X, o) is splice-quotient.
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Then $l' \in \mathcal{P}r'$ iff there exists finitely many monomial cycles $\{D(\alpha_{(k)})\}_k \in l' + L$ so that $l' = \inf_k D(\alpha_{(k)})$.

$\pi^* m_{X,o}$, multiplicity

Theorem [N.] Assume that (X, o) is splice-quotient, $m_{X,o}$ the maximal ideal of $\mathcal{O}_{X,o}$, and write

$$\pi^* m_{X,o} = \mathcal{O}_{\tilde{X}}(-Z_{max}) \otimes \bigotimes_{P \in \mathcal{B}} \mathcal{I}_P$$

(Z_{max} = maximal (ideal) cycle, \mathcal{B} base points.)

Then, Z_{max} and $\{\mathcal{I}_P\}_{P \in \mathcal{B}}$ can be characterized topologically. Moreover, there is an explicit closed combinatorial formula for the multiplicity too.

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(Rational singularities: Artin; minimally elliptic: Laufer; elliptic: N.)

Heegaard Floer homology

Expectation: Besides the ‘Seiberg–Witten invariant formula’, there is a deeper, and more complex relation at the level of Heegaard–Floer homology (of Ozsváth–Szabó)

$HF^+(M, \sigma)$ is a $\mathbb{Z}[U]$ -module, with two gradings (a \mathbb{Z}_2 and a \mathbb{Q} -grading), depending on $\sigma \in Spin^c(M)$.

In the sequel we assume σ is the ‘canonical $spin^c$ -structure (induced by the complex analytic structure, but it can be identified topologically too).

Lattice (co)homology

The bridge between singularity invariants and Heegaard–Floer theory is realized by the **lattice cohomology** (introduced by the author).

$M =$ singularity link, $\sigma \in Spin^c(M)$, $q \geq 0$ integer:

We define: $\mathbb{H}^q(M, \sigma)$, a $\mathbb{Z}[U]$ -module.

(we will consider here only $\sigma = \sigma_{can}$)

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Each $I \in L$ and $I \subset \mathcal{V}$ with $|I| = k$ determines a

\square_k = a k -dimensional cube in $L \otimes \mathbb{R}$, which has its vertices in the lattice points $(I + \sum_{j \in I'} E_j)_{I'}$, where I' runs over all subsets of I .

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For any $n \in \mathbb{Z}$: S_n = union of all the cubes (of any dimension) \square_k , such that

$$\chi(\text{any vertex of } \square_k) \leq n$$

Lattice cohomology

Definition:

$$\mathbb{H}^q(\Gamma) := \bigoplus_n H^q(S_n, \mathbb{Z})$$

$\mathbb{Z}[U]$ -module structure (U -action): restriction

$$\dots \rightarrow H^q(S_{n+1}, \mathbb{Z}) \rightarrow H^q(S_n, \mathbb{Z}) \rightarrow \dots$$

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Examples: \mathbb{H}^* is computed for any 'almost rational graph' (including rational, elliptic and 'star-shaped graphs').
Rational and elliptic singularities can be characterized via \mathbb{H}^* .

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Conjecture: $\mathbb{H}^*(M)$ contains a lot of information about the analytic structure.