

# A Hanf number for saturation and omission: the superstable case

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## Abstract

Suppose  $\mathbf{t} = (T, T_1, p)$  is a triple of two theories in vocabularies  $\tau \subset \tau_1$  with cardinality  $\lambda$  and a  $\tau_1$ -type  $p$  over the empty set. We show the Hanf number for the property: ‘There is a model  $M_1$  of  $T_1$  which omits  $p$ , but  $M_1 \upharpoonright \tau$  is saturated’ is less than  $\beth_{(2^{(2^\lambda)^+})^+}$  if  $T$  is superstable.

We showed in [2] that with no stability restriction the Hanf number is essentially equal to the Löwenheim number of second order logic.

Hanf observed [4] that if one asks for each  $\mathbf{K}$  in a set of classes of structures, ‘Does  $\mathbf{K}$  have arbitrarily large members?’, there is a cardinal  $\kappa$  (the sup of the maxima of the bounded  $\mathbf{K}$ ) such that any class with a member at least of cardinality  $\kappa$  has arbitrarily large models. In many cases this bound  $\kappa$  can be calculated (For a countable first order theory, it is  $\aleph_0$ .) In this paper we call a Hanf number for a family  $\mathcal{K}$  of classes *calculable* if it is bounded by a function that can be computed by an arithmetic function in ZFC (See Definition 0.1.) and if not it is *incalculable*.

The following definition is more abstract than needed for this paper but we include it for comparison with other works where other Hanf functions are shown to be not calculable.

**Definition 0.1** 1. A function  $f$  (a class-function from cardinals to cardinals) is strongly calculable if  $f$  can (provably in ZFC) be defined in terms of cardinal addition, multiplication, exponentiation, and iteration of the  $\beth$  function.

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2. A function  $f$  is calculable if it is (provably in ZFC) eventually dominated by a strongly calculable function. If not, it is incalculable.

We extend our work on Newelski's [6] question about calculating the Hanf number of the following property:

**Definition 0.2** 1. We say  $M_1 \models \mathbf{t}$  where  $\mathbf{t} = (T, T_1, p) = (T_{\mathbf{t}}, T_{1,\mathbf{t}}, p_{\mathbf{t}})$  is a triple of two theories  $T, T_1$  in vocabularies  $\tau \subset \tau_1$ , respectively, such that  $|\tau_1| \leq \lambda$ ,  $T \subseteq T_1$  and  $p$  is a  $\tau_1$ -type over the empty set if  $M_1$  is a model of  $T_1$  which omits  $p$ , but  $M_1 \upharpoonright \tau$  is saturated.

2. Let  $N_\lambda$  denote<sup>1</sup> the set of  $\mathbf{t}$  with  $|\tau_1| = \lambda$ . Then  $H(N_\lambda)$  denotes the Hanf number of  $N_\lambda$ ,  $H(N_\lambda)$  is least so that if  $\mathbf{t} \in N_\lambda$  has a model of cardinality  $H(N_\lambda)$  it has arbitrarily large models.
3. The Hanf number of a logic  $\mathcal{L}$  (e.g.  $L_{\kappa^+, \kappa}$ ) is the least cardinal  $\mu$  such that if an  $\mathcal{L}$ -sentence has model in cardinal  $\mu$ , then it has arbitrarily large models.

Under mild set theoretic hypotheses, we showed in [2] that  $H(N_\lambda)$  equals the Löwenheim number of second order logic, which is incalculable. In Section 1 we restrict the question by requiring that the theory  $T$  be superstable case; the number is then easily calculable in terms of Beth numbers.

The phenomena that stability considerations can greatly lower Hanf number estimates was earlier explored in [5]. Work in preparation extends the current context to strictly stable theories. References of the form X.x.y are to [7].

Much of this paper depends on a standard way of translating between sentences in languages of the form  $L_{\lambda, \omega}(\tau)$  and first order theories in an expanded vocabulary  $\tau$  that omit a family of types. This translation dates back to [3]; a short explanation of the process appears in Chapter 6.1 of [1]. Chapter VII.5 of [7] is an essential reference for this paper. There, these (equivalent) Hanf numbers of sentences and associated pair of a family of types and theory are calculated using the 'well-ordering number of a class'. We begin with a slight rewording of Definition VII.5.1 of [7], using language from [3].

**Definition 0.3** 1. The Morley number  $\mu(\lambda, \kappa)$  is the least cardinal  $\mu$  such that if a first order theory  $T$  is a vocabulary of cardinality  $\lambda$  has a model in cardinality  $\mu$  which omits a family of  $\kappa$  types over the empty set, it has arbitrarily large such models.

2. The well-ordering number  $\delta(\lambda, \kappa)$  is the least ordinal  $\alpha$  such that if a first order theory  $T$  is a vocabulary  $\tau$  of cardinality  $\lambda$ , which includes a symbol  $<$  has a model in which omits a family  $\kappa$  types over the empty set  $<$  is well ordering of type  $\alpha$ , then there is such a model where  $<$  is not a well-order.

The connection between these two notions is in section VII of [7].

**Fact 0.4** 1. If  $\kappa > 0$ ,  $\mu(\lambda, \kappa) = \beth_{\delta(\lambda, \kappa)}$ .

<sup>1</sup>Thus, 'there is an  $M \in N_\lambda$  with cardinality  $\kappa$ ' replaces the more cumbersome notation in [2], ' $P_N^\lambda(\mathbf{K}_{\mathbf{t}}, \kappa)$  holds'.

2. For every infinite cardinal  $\theta$ ,  $H(L_{\theta^+, \omega}) \leq \mu(\theta, 1) < \beth_{(2^\theta)^+}$ .

Proof. Item 1 is VII.5.4 of [7]. Recall that Lopez-Escobar and Chang (e.g. [3]) showed how to code sentences of  $L_{\lambda^+, \omega}$  as first order theories omitting types and even (as in proof of VII.5.1.4) a single type.  $H(L_{\lambda^+, \omega}) < \beth_{(2^\lambda)^+}$  is now clear from Theorems VII.5.4 and VII.5.5.7 of [7].

## 1 Computing $H(N_\lambda^{ss})$

### 1.1 Introduction

We study the following notions in this section.

**Definition 1.1** Let  $N_\lambda^{ss}$  denote the set<sup>2</sup> of  $\mathbf{t}$  with  $|\tau_1| = \lambda$  with the additional requirement that  $T_{\mathbf{t}}$  is a superstable theory. Now we have the natural notion of the Hanf number,  $H(N_\lambda^{ss})$  for this set: If  $\mathbf{t} \in N_\lambda^{ss}$  has a model of cardinality  $\geq H(N_\lambda^{ss})$ , it has arbitrarily large models.

We will prove the following theorem:

#### Theorem 1.2

$$H(L_{\lambda^+, \omega}) < \beth_{(2^\lambda)^+} < H(N_\lambda^{ss}) < H(L_{(2^\lambda)^+, \omega}) < \beth_{(2^{(2^\lambda)^+})^+}.$$

The first and fourth of these inequalities are immediate from Fact 0.4.2 taking  $\theta$  first as  $\lambda$  and then as  $2^\lambda$ .

In Subsection 1.2, we give a rather involved proof that  $\beth_{(2^\lambda)^+}$  is strictly less than  $H(N_\lambda^{ss})$ ; together with the first inequality, this implies immediately that  $H(L_{\lambda^+, \omega}) < H(N_\lambda^{ss})$ . Note that less than or equal,  $H(L_{\lambda^+, \omega}) \leq H(N_\lambda^{ss})$  is straightforward. Just set  $\mathbf{t}$  as  $(T_0, T_1, p)$  where  $T_0$  is pure equality and  $(T_1, p)$  encode a given sentence  $\psi \in L_{\lambda^+, \omega}$ . Then  $T_0$  is superstable and every model is saturated, so we have the desired interpretation.

The second and third inequalities are in Subsections 1.2 and 1.3, respectively.

### 1.2 The Second Inequality

To show  $H(L_{\lambda^+, \omega}) < H(N_\lambda^{ss})$ , we actually show

**Theorem 1.3**  $H(L_{\lambda^+, \omega}) < \beth_{(2^\lambda)^+} < H(N_\lambda^{ss})$ .

As noted the first inequality in Theorem 1.2.1 is standard. The following Lemma will be key to showing  $\beth_{(2^\lambda)^+} < H(N_\lambda^{ss})$ . We will construct a sequence of  $K_\alpha \in N_\lambda^{ss}$  which code sentences of  $L_{\lambda^+, \omega}$  which, in turn, code increasingly large

<sup>2</sup>Technically, this is not a set since a vocabulary is a sequence of relation symbols and we could use different names for the symbols; this pedantry can be avoided in at least two ways: restrict the symbols to come from a specified set; return to Tarski's convention of discussing not vocabularies but similarity types, the equivalence classes of enumerated vocabularies such that the  $i$ th symbol has arity  $n_i$ .

well-orders. Then in Theorem 1.10, we will combine the  $\mathbf{K}_\alpha$  into a single  $\mathbf{K} \in \mathbf{N}_\lambda^{ss}$  which codes the supremum of these well-orders. The proof of Lemma 1.4 takes several steps.

**Lemma 1.4** *Fix a sentence  $\phi \in L_{\lambda^+, \omega}$  in a vocabulary of cardinality at most  $\lambda$ , which has a model of cardinality at least  $2^\lambda$  but for some (least)  $\mu = \mu_\phi$  has no model of cardinality  $\geq \mu_\phi$ .*

1. *There is an associated  $\psi = \psi_\phi \in L_{\lambda^+, \omega}$  and a class  $\mathbf{K}_\psi$  of models of  $\psi$  such that  $\mathbf{K}_\psi$  satisfies Requirements 1.5 and 1.6, and each model of  $\mathbf{K}_\psi$  has cardinality  $\chi$  for some  $\chi$  with  $\lambda \leq \chi < \mu$ .*
2. *Moreover, for each such  $\mathbf{K}_\psi$  we can define a  $\mathbf{t} = \mathbf{t}_\psi \in \mathbf{N}_\lambda^{ss}$  such that the following are equivalent.*

$$(a) \quad \text{spec}(\mathbf{K}_\psi) = \{\chi : \lambda \leq \chi < \mu\}.$$

$$(b) \quad \text{spec}(\mathbf{t}_\psi) = \{\chi : 2^\lambda \leq \chi < \mu\}.$$

*Proof.* First we construct  $\psi = \psi_\phi$  and  $\mathbf{K}_\psi$ . Recall  $\phi$  is in some vocabulary  $\sigma$  of cardinality at most  $\lambda$ . The vocabulary  $\tau_*$  of  $\psi_\phi$  adds to  $\sigma$ , unary predicates  $P, Q_0, Q_1$ , a binary relation  $R$ , a unary function  $G$ , and  $\lambda$  constants  $c_i$ .

Here is a sketch of the argument. By omitting a type we can guarantee  $P^M$  has cardinality  $\lambda$ .  $Q_1^M$  is a family of subsets of  $P^M$ . As we describe immediately after Notation 1.9, by the saturation of the reduct to a model of a superstable theory, we can guarantee that every subset of  $P^M$  is represented in  $Q_1^M$ . Via a pairing function on  $P^M$ , we can show every subset of  $Q_1^M$  with cardinality  $< \lambda$  can be coded; hence we can ‘say’  $<^M$  well-orders  $Q_1^M$ .

**Requirement 1.5**  *$\psi$  asserts that*

1. *the symbols of  $\sigma$  are defined only on  $Q_0$  and if  $M \models \psi$ , and  $N$  is the restriction of  $M$  to  $Q_0^M$ ,  $N \upharpoonright \sigma \models \phi$ ;*
2. *the  $c_i$  are all in  $P$  and exhaust  $P$ ;  $P, Q_0, Q_1$  partition the universe;*
3.  *$R$  is an extensional binary relation between  $P$  and  $Q_1$ ; thus the elements of  $Q_1$  code subsets of  $P$ .*
4.  *$G$  is a 1-1 function from  $Q_1$  into  $Q_0$ .*

For any  $M \models \psi$ , for  $b \in Q_1^M$ , let  $u_M(b) = \{i : M \models R(c_i, b)\}$ . To complete the definition of  $\mathbf{K}_\psi$ , we add the additional requirement (not axiomatizable in  $L_{\lambda^+, \omega}$ ):

**Requirement 1.6** *For  $M \in \mathbf{K}_\psi$ , for every  $w \subset \lambda$  there is a  $b \in Q_1^M$  such that  $u_M(b) = w$ .*

Conditions 1) and 4) of Requirement 1.5 guarantee each member of  $\mathbf{K}_\psi$  has cardinality less than  $\mu$ . Condition 2) implies  $|P^M| = \lambda$ . Conditions 2) and 3) guarantee that  $Q_1^M$  has cardinality at most  $2^\lambda$ . Condition 4) guarantees that  $|Q_0^M| \geq |Q_1^M|$ . And Requirement 1.6 asserts  $|Q_1^M|$  is at least  $2^\lambda$ .

**Definition 1.7**  $\mathbf{K}_\psi$  is the class of  $\tau_*$ -structures satisfying Requirements 1.5 and 1.6.

We have constructed  $\psi$  from  $\phi$  proving Lemma 1.4.1. Now we construct  $\mathbf{t}_\psi$  to satisfy Lemma 1.4.2. As in Fact 0.4  $\psi \in L_{\lambda^+, \omega}$  by a first order theory  $T_\psi$  of cardinality  $\lambda$  and omitting a single type:  $\{P(x), x \neq c_i : i < \lambda\}$ .

The vocabulary  $\tau$  is a set of  $\lambda$  unary predicates  $P_i$ . The theory  $T$  asserts the  $\langle P_i : i < \lambda \rangle$  are an independent family of unary predicates on the entire model. The theory  $T$  is superstable as it is formulated in a language with only unary predicates.

The vocabulary  $\tau_1$  adds the rest of  $\tau_*$  (from the proof of Lemma 1.4) and additionally a binary function  $F$  and a unary function  $H$ .

**Requirement 1.8**  $T_1$  asserts:

1. The axioms of  $T_\psi$ .
2.  $(\forall x)[Q_1(x) \rightarrow (P_i(x) \leftrightarrow c_i R x)]$ .
3. If  $M \models T_1$ ,  $F$  maps  $M \times M$  to  $M$  so that
  - (a) for each  $x$ ,  $F(x, -)$  is a 1-1 function from  $M$  to  $M$ .
  - (b)  $(\forall x)(\forall y)[P_i(x) \rightarrow P_i(F(x, y))]$ .
4.  $H$  is a function that preserves each  $P_i$  and maps into  $Q_1$ .

**Notation 1.9** The crucial type  $p_{\mathbf{t}}$  is  $\{P(x), x \neq c_i : i < \lambda\}$ . Omitting  $p$  guarantees that structure satisfies (the translation of)  $\psi$  (by the choice of  $T_\psi$  and  $p_{\mathbf{t}}$ ).

Requirement 1.6 is guaranteed by the saturation: For every  $w \subset \lambda$ , the type

$$q_w(y) = \{P_i(y) \text{ if } i \in w : i < \lambda\}$$

is consistent and so realized by some  $b_w$ . Then,  $H$  guarantees there is a realization  $H(b_w)$  satisfying  $Q_1$ . Requirement 1.8.2 implies  $R(c_i, H(b_w))$  if and only if  $i \in w$ . So  $M \upharpoonright \tau_*$  satisfies Requirement 1.6 and so is in  $\mathbf{K}_\psi$ .

Conversely, each  $\tau_*$ -model  $N$  of  $\mathbf{K}_\psi$  of cardinality less than  $\mu$  expands to a  $\tau_1$ -structure  $N'$  satisfying  $\mathbf{t}_\psi$  of the same cardinality in the obvious way. It omits  $p_{\mathbf{t}}$  by Requirement 1.5. Moreover,  $N' \upharpoonright \tau$  is saturated. All that is needed for this is that each conjunction of the  $P_i$  is realized  $|N|$ -times; this is guaranteed by Requirement 1.8.3 on  $F$ .  $\square_{1.4}$

**Lemma 1.10**  $\beth_{(2^\lambda)^+} < H(N_\lambda^{ss})$ .

Proof: Fix a sentence  $\psi \in L_{\lambda^+, \omega}(\tau)$  with  $|\tau| = \lambda$  and with a model in  $2^\lambda$  and no larger. Construct  $\mathbf{t}_\psi$  by Lemma 1.4. We now construct an extension  $\mathbf{t}_\psi^* = (T^*, T, p)$ . Without loss of generality, we can expand the vocabulary and add a pairing function  $\text{pr}$  on  $P$ , a new index sort  $I$ , a linear ordering  $<$  of  $P$  such that  $c_\alpha < c_\beta$  if and only if  $\alpha < \beta$  and a ternary relation  $W$ . Let  $W \subset I \times Q_1 \times Q_1$  and for each  $a \in I$  assert that  $W(a, x, y)$  (written  $x <_a y$ ) defines a well ordering on  $Q_1$ . For this, note that each  $x \in Q_1$  defines a family  $U_y^x$  for  $y \in P$ ,  $U_y^x = \{z : \text{pr}(y, z)Rx\}$ . By Requirement 1.6, there is an  $e_{x,y} \in Q_1$  such that  $U_y^x = \{z : zRe_{x,y}\}$ . Now to say  $W(a, -, -)$  defines a well ordering on  $Q_1$ , write

$$\neg(\exists x \in Q_1)(\forall y_1 < y_2 < c_\omega)e_{x,y_2} <_a e_{x,y_1}.$$

Moreover, we can say

$$(\exists a)(\exists z \in Q_1)(\forall x \in Q_1)(\forall y \in P)e_{x,y_1} <_a z.$$

That is, there is a well ordering  $<_a$  of cofinality greater than  $\lambda$ . The well-orderings on  $Q_1$  induces a well-ordering  $a <^* b$  on  $I$  by  $W(a, -, -)$  is an initial segment of  $W(b, -, -)$ . Now let  $J$  be a partial function from  $I$  to  $Q_1$  and assert that each  $<^*$ -initial segment of  $I$  is embeddible in  $Q_1$ . So  $|I| \leq (2^\lambda)^+$ . Since there is a model of  $\psi$  with cardinality  $2^\lambda$  and our expansion codes every well ordering of that model, there is an  $M \in \mathbf{t}$  with  $I^M$  well-ordered of type  $(2^\lambda)^+$ .

Now expand the vocabulary still further by adding binary predicates  $S(x, y)$  and ternary predicates  $R(x, y, z)$ . Assert in a new theory  $T^{**}$  that the  $S(a, x)$  for  $x \in I^M$  are disjoint if the order type of  $a$  with respect to  $<^*$  is a successor ordinal and if it is a limit ordinal  $S(a, x) \leftrightarrow (\exists b)[b <^* a \wedge S(b, x)]$ . Further, for  $a$  the  $<^*$ -successor of  $b$ ,  $R(a, y, z)$  is an extensional relation coding subsets of  $S(b, x)$  by elements  $S(a, x)$ . Let  $\mathbf{t}^{**}$  be  $(T^{**}, T, p)$ . Then  $\mathbf{t}^{**}$  has a model in  $\beth_{(2^\lambda)^+}$  but no larger, as required.

□<sub>1.10</sub>

Note that since we have a well-order of  $I^M$  of order type  $(2^\lambda)$ , we can get even larger bounds on the Hanf number by replacing the well-order on  $M$  by one of higher ordinality.

This completes the proof of the second inequality in Theorem 1.2; we pass to the third.

### 1.3 The third inequality

**Lemma 1.11**  $H(\mathbf{N}_\lambda^{ss}) < H(L_{(2^\lambda)^+, \omega})$ .

We first show  $H(\mathbf{N}_\lambda^{ss}) \leq H(L_{(2^\lambda)^+, \omega})$  by constructing a map from  $\mathbf{t} \in \mathbf{N}_\lambda^{ss}$  to  $\psi_{\mathbf{t}} \in L_{(2^\lambda)^+, \omega}$ ; this construction depends heavily on the superstability hypothesis. Then we use some observations on Hanf numbers to show the inequality is strict:  $H(\mathbf{N}_\lambda^{ss}) < H(L_{(2^\lambda)^+, \omega})$ .

**Lemma 1.12** For each  $\mathbf{t} = (T, T_1, p) \in \mathbf{N}_\lambda^{ss}$ , there is a  $\tau_2$  extending  $\tau_1$  with  $|\tau_1| = |\tau_2| = \lambda$  and a  $\psi \in L_{(2^\lambda)^+, \omega}$  such that  $\text{spec}(\mathbf{t}) = \text{spec}(\psi)$ .

Proof. In preparation consider a fixed saturated model  $M$  of cardinality  $2^{|T|}$  of  $T$ .

To form  $\tau_2$ , we add to  $\tau_1$  constants  $\langle c_\alpha : \alpha < (2^\lambda) \rangle$  and as described below  $2n + 1$ -ary functions  $H_n$  and function symbols  $G_{n,m}$  indexing maps from  $N$  to  $N^m$  by  $n + m$  tuples.

**Notation 1.13** 1. We write  $\mathbf{y}_1 \equiv_{\mathbf{x}_1, \mathbf{x}_2} \mathbf{y}_2$  to mean for every  $\phi(\mathbf{v}, \mathbf{w})$ ,  $\phi(\mathbf{x}_1, \mathbf{y}_1) \leftrightarrow \phi(\mathbf{x}_2, \mathbf{y}_2)$ .

2.  $\mathbb{F}(\mathbf{x})$  is the collection (for  $i < 2^\lambda$ ) of  $m$ -ary finite equivalence relations  $E_i(\mathbf{x}; \mathbf{y}, \mathbf{z})$  over  $\mathbf{x}$ .

We need these notions below.

**Definition 1.14** 1. Recall that a model  $N$  is  $F_{\kappa(T)}^a$ -saturated (also called  $a$ -saturated and  $\epsilon$ -saturated if each strong type over a set of size less  $\kappa(T)$  is realized. For superstable theories  $F_{\kappa(T)}^a$ -saturated is just  $F_{\aleph_0}^a$ -saturated (each strong type over a finite set is realized).

2. A model  $N$  is strongly  $\omega$ -homogeneous, if any two finite sequences that realize the same type over the empty set are automorphic in  $N$ .

**Fact 1.15 (III.3.10.2)** If a model  $M$  of a stable theory is  $F_{\kappa(T)}^a$ -saturated and for each set of infinite indiscernibles  $\mathbf{I}$  in  $M$  there is an equivalent set of indiscernibles  $\mathbf{I}'$  in  $M$  that has cardinality  $|M|$ , then  $M$  is saturated.

**Notation 1.16** Now let  $\psi_{\mathbf{t}} \in L_{(2^\lambda)^+, \omega}(\tau_1)$  assert of a model  $N$ :

1. The specified  $p = p_{\mathbf{t}}$  is omitted.
2. The complete diagram of  $M$  where the  $c_\alpha$  enumerate  $M$ . ( $M$  is the saturated model of cardinality  $2^{|T|}$  specified at the beginning of the proof.)
3.  $N \upharpoonright \tau$  is strongly  $\omega$ -homogeneous. (Add  $2n + 1$ -ary functions  $H_n$  satisfying if  $\mathbf{a} \equiv \mathbf{b}$ ,  $(\lambda z)H_n(\mathbf{a}, \mathbf{b}, z)$  is a  $\tau$ -automorphism taking  $\mathbf{a}$  to  $\mathbf{b}$ . This is expressible since having the same type over the empty set is expressible in  $L_{(2^\lambda)^+, \omega}(\tau)$ .)
4. For each  $n < \omega$ ,  $m < \omega$  there is an  $n + 2m$ -ary function  $G$  such that  $G$  witnesses that for any  $n$ -tuple  $\mathbf{a}$  and  $m$ -tuple  $\mathbf{b}$ , if  $\text{stp}(\mathbf{b}/\mathbf{a})$  is realized infinitely often then it is realized  $|N|$ -times. Formally,  $N \models \psi_{\mathbf{t}}$ :

$$[(\forall \mathbf{x} \mathbf{y}) \bigwedge_{n < \omega} (\exists^{\geq n} \mathbf{z}) (\bigwedge_{E_i(\mathbf{x}; \mathbf{y}, \mathbf{z}) \in \mathbb{F}(\mathbf{x})} E_i(\mathbf{x}, \mathbf{z}, \mathbf{y}))] \rightarrow \bigwedge_{E_i(\mathbf{x}; \mathbf{y}, \mathbf{z}) \in \mathbb{F}(\mathbf{x})} E_i(\mathbf{x}, G(\mathbf{x}, \mathbf{z}, w), \mathbf{y}))$$

where for every  $\mathbf{x}, \mathbf{z}$   $\lambda w G(\mathbf{x}, \mathbf{z}, w)$  is a 1-1 map from  $N$  into  $N^m$ .

Proof of  $H(N_\lambda^{ss}) \leq H(L_{(2^\lambda)^+, \omega})$ : Suppose  $t \in N_\lambda^{ss}$ ,  $\psi_t$  is constructed to satisfy Notation 1.16, and  $N \models \psi_t$ . Since  $|N| = |N \upharpoonright \tau_1|$ , it suffices to show  $N \upharpoonright \tau_1 \models t$ . Clearly  $N$  omits  $p_t$  and  $N \upharpoonright \tau$  is superstable; in particular it is an elementary extension of  $F_{\aleph_0}^a$ -saturated the model  $M$ . We must show  $N \upharpoonright \tau$  is saturated. But  $N$  is strongly  $\omega$ -homogeneous by Notation 1.16.2. So each consistent strong type  $p$  over an  $n$ -element sequence  $\mathbf{a} \in N$  is realized by  $H_n^{-1}(\mathbf{a}, \mathbf{b}, \mathbf{c})$  where  $\mathbf{b} \in M$  satisfies  $\mathbf{a} \equiv \mathbf{b}$  and  $\mathbf{c} \models H_n(\mathbf{a}, \mathbf{b}, q)$  (where the  $H_n$  transforms a strong type over  $\mathbf{a}$  to one over  $\mathbf{b}$  in the natural manner. Thus,  $N$  is  $F_{\aleph_0}^a$ -saturated so we may apply Fact 1.15. Every infinite indiscernible set  $J$  in  $N \upharpoonright \tau$  is based on a finite  $\mathbf{d}$ . That is, there is a strong type  $p_J$  over  $\mathbf{d}$  such that  $J$  contains infinitely many realizations of  $p$ . Now the conditions on  $G$  of Notation 1.16.4 guarantee that  $p_J$  is realized  $N$  times in  $N$  as required.  $\square_{1.12}$

Now we strengthen the inequality  $H(N_\lambda^{ss}) \leq H(L_{(2^\lambda)^+, \omega})$  to a strict one.

**Claim 1.17**  $H(N_\lambda^{ss}) < H(L_{(2^\lambda)^+, \omega})$ .

Proof. VII.5.4 and VII.5.5.1 of [7] shows for any  $\mu$ ,  $\text{cf}(H(L_{\mu^+, \omega})) \geq \mu^+$ ; in particular,  $\text{cf}(H(L_{(2^\lambda)^+, \omega})) \geq (2^\lambda)^+$ . But there are at most  $2^\lambda$ -classes in  $N_\lambda^{ss}$  and Lemma 1.12 implies that the supremum of the spec of each is less than  $H(L_{(2^\lambda)^+, \omega})$ . Thus,  $H(N_\lambda^{ss}) < H(L_{(2^\lambda)^+, \omega})$ .  $\square_{1.17}$

Note that our result is even stronger than advertized. The coding theory  $T$  is not merely superstable but weakly minimal with trivial forking.

## References

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