

Solutions to CS/MCS 401 Exercise Set #7 (Fall 2007)

Exercise 8.1-1 The minimum depth of a leaf node is $n-1$, as every comparison sorting algorithm requires at least $n-1$ comparisons, even in the best case. Suppose the sorted order for an array a of size n is $a[i_1], a[i_2], a[i_3], \dots, a[i_{n-1}], a[i_n]$.

The sorting algorithm must compare $a[i_1]$ with $a[i_2]$; otherwise it has no way to distinguish the order

$$a[i_1], a[i_2], a[i_3], \dots, a[i_{n-1}], a[i_n]$$

from

$$a[i_2], a[i_1], a[i_3], \dots, a[i_{n-1}], a[i_n],$$

since every comparison other than that of $a[i_1]$ with $a[i_2]$ turns out the same in both cases.

Likewise, it must compare $a[i_2]$ with $a[i_3]$, ..., $a[i_{n-1}]$ with $a[i_n]$.

Exercise 8.1-3 Suppose a comparison sorting algorithm runs in linear time from some fraction $\delta(n)$ of its inputs. This means that there exists a constant C (not depending on n) such that, for all n sufficiently large, the algorithm performs at most Cn comparisons for $\delta(n)n!$ of its $n!$ inputs. In the decision tree, there must be at least $\delta(n)n!$ leaves at depth Cn or less. But we know that the number of leaves at depth Cn or less is bounded by 2^{Cn} . So $\delta(n)n! \leq 2^{Cn}$, or $\delta(n) \leq 2^{Cn}/n!$. Approximating $n!$ by Stirling's formula gives

$$\delta(n) \leq 2^{Cn}/n! \leq 2^{Cn}/((n/e)^n \text{sqrt}(2\pi n)) = (2^C e/n)^n / \text{sqrt}(2\pi n).$$

Exercise 8.1-3 asks specifically about the case $\delta(n) = 1/2$, $\delta(n) = 1/n$, and $\delta(n) = 1/2^n$.

In none of these cases is $\delta(n) \leq (2^C e/n)^n / \text{sqrt}(2\pi n)$ for some constant C and all n sufficiently large. If $\delta(n) = 1/2^n$, then $\delta(n)/((2^C e/n)^n / \text{sqrt}(2\pi n)) = (n/2^{1+C} e)^n \text{sqrt}(2\pi n)$ approaches ∞ as n approaches ∞ , since $n/2^{1+C} e > 1$ for all n sufficiently large. So a comparison sorting algorithm cannot run in linear time even for $1/2^n$ of its inputs.

Exercise K

