# Characterizing Statistical Query Learning: Simplified Notions and Proofs^ 

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#### Abstract

The Statistical Query model was introduced in [6] to handle noise in the well-known PAC model. In this model the learner gains information about the target concept by asking for various statistics about it. Characterizing the number of queries required by learning a given concept class under fixed distribution was already considered in [3 for weak learning; then in [8] strong learnability was also characterized. However, the proofs for these results in [3|10|8] (and for strong learnability even the characterization itself) are rather complex; our main goal is to present a simple approach that works for both problems. Additionally, we strengthen the result on strong learnability by showing that a class is learnable with polynomially many queries iff all consistent algorithms use polynomially many queries, and by showing that proper and improper learning are basically equivalent. As an example, we apply our results on conjunctions under the uniform distribution.


## 1 Introduction

The Statistical Query model (called SQ model for short) was introduced by Kearns [6] as an approach to handle noise in the well-known PAC model. The general idea is that - instead of using random examples as in the PAC modelthe learner gains information about the unknown function by asking various statistics (called queries) over the distribution of labeled examples. As it was shown by Kearns [6], any learning algorithm in the SQ model can be transformed to a PAC algorithm without much loss in efficiency. It is even more interesting that the resulting algorithm is robust to noise. He has also shown that many efficient PAC algorithms can also be converted to an efficient SQ algorithm.

Despite the power of the model that is apparent from the above results, it is still weaker than the PAC model. Indeed, already in [6] it was shown that the parities, which is a PAC-learnable class, cannot be efficiently learned in the SQ model under the uniform distribution. The proof used an information theoretic

[^0]argument, which was generalized later by Blum et al. in 3] to characterize weak learnability of a concept class (where the goal is to do slightly better than random guessing) in the SQ model for the distribution dependent case (i.e., when the underlying distribution is fixed in advance and is known by the learner). The characterization is based on the so called $S Q$ dimension of the class which is, roughly, the maximal size of an "almost orthogonal" system in the class. However, the proof in [3] is rather long and complex. Subsequently Yang gave an alternative, elegant proof for basically the same result [10]. In this paper we present yet another, but much shorter proof, thereby significantly simplifying on both existing proofs.

Strong learnability (i.e., when the goal is to approximate the target concept with arbitrary accuracy) of a concept class in the distribution dependent case was first characterized by Köbler and Lindner [7] in terms of a general framework for protocols, called the general dimension. Independently Simon in 8 ] gave another characterization for strong learnability that was based on the SQ dimension (more precisely it was based on the SQ dimension of the class after some translation), and is more of an algebraic flavor. However, both the characterization and the proof are rather complex in [8]; as we shall show in this paper, our simple approach that is successful in characterizing weak learnability, can be also applied for strong learnability, thereby giving an alternative, simple characterization for this problem as well, which might also have the potential to be easier to apply and calculate for concrete concept classes. Recently Feldman has also obtained a simple characterization of strong SQ learnability of a similar flavor [5], however the two papers focus on different perspectives: Feldman is interested in applications to agnostic learning and evolvability, meanwhile our main interest is to find a really simple proof and a unified view of weak and strong learnability. Additionally our approach also reveals that in the distribution dependent case query-efficient learnability is possible if and only if all consistent learning algorithms learn the given concept class query-efficiently ${ }^{1}$ As far as we know, this was not known before. We also show that in the distribution dependent case proper learning (i.e., when the queries of the learner are restricted to use functions from the given concept class) is as strong as improper learning, but we would like to point out that this can be easily deduced already from the characterization result of Simon (see Observation 5).

Finally we show that in the distribution independent case (i.e., when the learner doesn't know anything about the underlying distribution) proper and improper learning can differ significantly, and we contrast this with the above mentioned result on their equivalence in the distribution dependent case.

Equivalent models. Ben-David et al. have introduced an equivalent model, called learning by distances [2], and have also given upper and lower bound on the minimal number of queries required for learning. However their upper bound

[^1]is exponential in their lower bound (see also our discussion on the topic in Sect. 77) and the paper does not reveal the relation of the model to noise-tolerant PAC learning (which gave the importance of the SQ model).

In [11] Yang has introduced the model of honest $S Q$ model using stronger queries and less adversarial settings than the ones used in the SQ model. In [9] it is shown how to apply the results and methods of this paper to prove a somewhat surprising result: the equivalence of the honest and the "pure" SQ model.

Organization of the paper. Section 2 contains the formal introduction of the SQ model and also some basic definitions. In Sect. 3 we present our alternative proof for characterizing weak learnability with the SQ dimension, in Sect. 4 we discuss the relation of strong and weak learnability, and then in Sect. 5 we characterize strong learnability. In Sect. 6 we analyze the relation of our strong SQ dimension to the ones of Simon and Feldman. In Sect. 7 as an example, we compute our dimension notion for conjunctions under the uniform distribution. Finally, in Sect. 8 we contrast the result on the equivalence of proper and improper learning in the distribution dependent case with the fact that they occasionally significantly differ in the distribution independent case.

## 2 Preliminaries

A concept is a mapping from some domain to $\{-1,1\}$. A concept class is a set of concepts with the same domain. A Boolean concept over $n$ variables is a concept of the form $\{-1,1\}^{n} \rightarrow\{-1,1\}$. A family of concept classes is an infinite set $\left\{\mathcal{F}_{n}\right\}_{n=1}^{\infty}$, such that each $\mathcal{F}_{n}$ is a concept class. The class of all concepts over some domain $X$ is denoted $\mathcal{C}(X)$.

The correlation of two functions $f, g: X \rightarrow \mathbb{R}$ under some distribution $\mathcal{D}$ over $X$ is defined as $\langle f, g\rangle_{\mathcal{D}}=\mathbb{E}[f(\xi) g(\xi)]$, where $\xi$ is a random variable with distribution $\mathcal{D}$. The norm of $f$ under $\mathcal{D}$ is $\|f\|_{\mathcal{D}}:=\sqrt{\langle f, f\rangle_{\mathcal{D}}} . f$ is said to be a $\gamma$-approximation of $g$, if $\langle f, g\rangle_{\mathcal{D}} \geq \gamma$.

In the Statistical Query model a learner (or learning algorithm) $L$ can make queries of the form $(h, \tau)$, where $\tau$ is a positive constant called tolerance, and $h$ is chosen from some concept class $\mathcal{H}$ called the query class. Each such query is answered with some $c$ satisfying $\left|c-\left\langle f^{*}, h\right\rangle_{\mathcal{D}}\right| \leq \tau$, where $f^{*}$ is some fixed concept, called the target concept that is unknown for the learner, and where $\mathcal{D}$ is some distribution over the input domain of $f^{*}$. (Here the learner is supposed to be familiar with $\mathcal{D}$.) The learner succeeds when he finds some function $f \in \mathcal{H}$ having correlation at least $\gamma$ with $f^{*}$ for some constant $\gamma>0$ fixed ahead of the learning process. Parameter $\gamma$ is called accuracy. Let $q_{\mathcal{F}, \mathcal{H}}^{\mathcal{D}, L}(\tau, \gamma)$ denote the smallest integer $q$ such that $L$ always succeeds in the above setting using at most $q$ queries when the target concept belongs to $\mathcal{F}$. Finally, $\operatorname{SLC}_{\mathcal{F}, \mathcal{H}}^{\mathcal{D}}(\tau, \gamma)$ (or the statistical learning complexity) is defined to be the minimum value of $q_{\mathcal{F}, \mathcal{H}}^{\mathcal{D}, L}(\tau, \gamma)$ over all possible learning algorithms $L$. We would like to emphasize that in this paper we are interested only in the number of queries during the learning process (i.e., the information complexity of learning), and do not consider the running time.

Note that originally in [6] the SQ model allowed much more general queries, but in [4] Bshouty and Feldman have shown that the two models are equivalent 2

We also consider the following variants of the above described learning model. The learning is called proper when $\mathcal{F}=\mathcal{H}$, and is called improper when $\mathcal{F} \subsetneq \mathcal{H}$. Also, in general, a query $(h, \tau)$ is proper if $h \in \mathcal{F}$, otherwise it is improper. The learner is a consistent learner, if $\left|\left\langle h_{i}, h_{j}\right\rangle_{\mathcal{D}}-c_{i}\right| \leq \tau_{i}$ for $i<j$, where $\left(h_{i}, \tau_{i}\right)$ is the $i$-th query of the learner and $c_{i}$ is the answer for it. Finally, note that in the above definition the learner is supposed to be familiar with the underlying distribution, but the model can also be defined for the case when this is not true. We are mainly interested in the former case (except for Sect. 8), but when we want to explicitly refer to one case or the other, we shall call the former the distribution dependent and the latter the distribution independent case.

For simplicity, when it causes no confusion, we omit $\mathcal{D}$ from notations like $\operatorname{SLC}_{\mathcal{F}, H}^{\mathcal{D}}(\tau, \gamma)$ and $\langle f, g\rangle_{\mathcal{D}}$, and simply use $\operatorname{SLC}_{\mathcal{F}, H}(\tau, \gamma)$ and $\langle f, g\rangle$ instead.

Definition 1. We say that a family $\left\{\mathcal{F}_{n}\right\}_{n=1}^{\infty}$ of concept classes is weakly learnable in the $S Q$ model with a family $\left\{\mathcal{H}_{n}\right\}_{n=1}^{\infty}$ of query classes if there exists some $\gamma(n)>0$ and $\tau(n)>0$ such that $1 / \gamma(n), 1 / \tau(n)$ and $\operatorname{SLC}_{\mathcal{F}_{n}, \mathcal{H}_{n}}(\tau(n), \gamma(n))$ are polynomially bounded in $n .\left\{\mathcal{F}_{n}\right\}_{n=1}^{\infty}$ is strongly learnable in the $S Q$ model with queries from $\left\{\mathcal{H}_{n}\right\}_{n=1}^{\infty}$ if there exists some $\tau(n, \epsilon)>0$ such that $1 / \tau(n, \epsilon)$ and $\mathrm{SLC}_{\mathcal{F}_{n}, \mathcal{H}_{n}}(\tau(n), 1-\epsilon)$ are polynomially bounded in $n$ and $1 / \epsilon$.

The following Observation, which we shall apply several times later, is basically the reason for the equivalence of the proper and improper learning in the distribution dependent model.

Observation 1. Let $f, g$ and $h$ be arbitrary concepts. If $\langle f, h\rangle \geq 1-\epsilon$ and $\langle g, h\rangle \geq 1-\epsilon$, then $\langle f, g\rangle=(1 / 2)\langle f+g, f+g\rangle-1 \geq\langle f+g, h\rangle-1 \geq 1-2 \epsilon$.

Although this paper mainly considers concepts and concept classes, we would like to point out that all the results remain valid for classes of functions with norm bounded by 1 (which might be tempting to use for example in query classes) - albeit in some cases, when the proof applies Observation the constants get slightly worse 3 The reason for this is the following lemma which is the generalization of Observation for these functions.

[^2]Proposition 1. When $f, g, h:\{-1,1\}^{n} \rightarrow\{-1,1\}$ have norm at most 1 , and $\langle f, h\rangle \geq 1-\epsilon$ and $\langle g, h\rangle \geq 1-\epsilon$, then $\langle f, g\rangle \geq 1-6 \epsilon$.

Proof. First of all, by Cauchy-Schwarz, $\|f\| \geq\langle f, h\rangle \geq 1-\epsilon$, and similarly $\|g\| \geq 1-\epsilon$. Using this

$$
\begin{aligned}
2(1-2 \epsilon)-2\langle f, g\rangle & \leq\|f\|^{2}+\|g\|^{2}-2\langle f, g\rangle \\
& =\|f-g\|^{2} \\
& \leq(\|f-h\|+\|g-h\|)^{2} \\
& \leq(\sqrt{2-2\langle f, h\rangle}+\sqrt{2-2\langle g, h\rangle})^{2} \\
& \leq 8 \epsilon,
\end{aligned}
$$

implying $1-6 \epsilon \leq\langle f, g\rangle$.
Finally for integer $d$ let $[d]$ denote the set $\{1, \ldots, d\}$.

## 3 Characterizing Weak Learnability

According to the definition, weak learnability is possible if and only if there exists some polynomial $p(n)$ such that $\mathrm{SLC}_{\mathcal{F}_{n}, \mathcal{H}_{n}}(1 / p(n), 3 / p(n)) \leq p(n)$ (simply define $p(n)$ to be a polynomial that upper bounds $1 / \tau(n), 3 / \gamma(n)$ and $\left.\mathrm{SLC}_{\mathcal{F}_{n}, \mathcal{H}_{n}}(\tau(n), \gamma(n))\right)$. This way the task of weak learning is basically to find functions $h_{n, 1}, \ldots, h_{n, p(n)} \in \mathcal{H}_{n}$ such that all $f \in \mathcal{F}_{n}$ has correlation at least $3 / p(n)$ with at least one of $h_{n, 1}, \ldots, h_{n, p(n)}$. Thus $p(n)$ (and this way SLC itself) can be considered as a kind of covering number. Bshouty and Feldman in 4 make this property explicit in their characterization of weak learnability.

On the other hand, the notion of SQ dimension introduced by Blum et al. 3] is rather a packing number in nature:

Definition 2. The SQ dimension (or weak SQ dimension) of a class of real valued functions $\mathcal{F}$ over some domain $X$ and under distribution $\mathcal{D}$ over $X$, denoted $\operatorname{SQDim}_{\mathcal{F}}^{\mathcal{D}}$, is the biggest integer $d$ such that $\mathcal{F}$ contains some distinct functions $f_{1}, \ldots f_{d}$ with pairwise correlations between $-1 / d$ and $1 / d$.
(Note that SQDim is defined not only for concept classes but also for more general classes; Definition 4 will really make use of this generality.) For simplicity, as mentioned, we use $\mathrm{SQDim}_{\mathcal{F}}$ instead of $\operatorname{SQDim}_{\mathcal{F}}^{\mathcal{D}}$ when this leads to no confusion.

The nice feature of the characterization result in [3] is that it binds the two different type of notions. One direction, namely that $\operatorname{SQDim}_{\mathcal{F}}$ queries are enough for weakly learning concept class $\mathcal{F}$ (properly!) is easy: if $\left\{f_{1}, \ldots, f_{d}\right\}$ is a maximal subset of $\mathcal{F}$ fulfilling $\left|\left\langle f_{i}, f_{j}\right\rangle\right| \leq 1 / d$ for $1 \leq i<j \leq d$, then (due to the maximality) it obviously holds that at least one of them has correlation at least $1 / d$ with the target concept, thus the learner simply needs to query $f_{1}, \ldots, f_{d}$ with tolerance $1 /(3 d)$ in order to find an $1 /(3 d)$ approximation of it. However
the proof in 3 for the other direction was rather long and complex. Subsequently Yang in [10] gave another, elegant proof for this direction, based on the eigenvalues of the correlation matrix of the concept class 4

Here we show that basically the same result can be derived using a very simple argument, thus significantly simplifying on both of the above mentioned proofs. The proof in some sense follows the same line of thought they use, but lacks the machineries applied in them.

Theorem 2. Let $\mathcal{F}$ be a concept class and let $d:=\operatorname{SQDim}_{\mathcal{F}}$. Then any learning algorithm that uses tolerance parameter lower bounded by $\tau>0$ requires in the worst case at least $\left(d \tau^{2}-1\right) / 2$ queries for learning $\mathcal{F}$ with accuracy at least $\tau$. In particular, when $\tau=1 / \sqrt[3]{d}$, this means $(\sqrt[3]{d}-1) / 2$ queries.

Proof. Assume that $f_{1}, \ldots, f_{d} \in \mathcal{F}$ fulfill $\left|\left\langle f_{i}, f_{j}\right\rangle\right| \leq 1 / d$ for $i, j \in[d]$ distinct. We show an (adversary) answering strategy that ensures to eliminate only a small number of these functions after each query. Let $h$ be an arbitrary query function used by the learner (having thus norm at most 1) and let $A:=\{i \in$ $\left.[d]:\left\langle f_{i}, h\right\rangle \geq \tau\right\}$. Then, by the Cauchy-Schwarz inequality

$$
\left\langle h, \sum_{i \in A} f_{i}\right\rangle^{2} \leq\left\|\sum_{i \in A} f_{i}\right\|^{2}=\sum_{i, j \in A}\left\langle f_{i}, f_{j}\right\rangle \leq \sum_{i \in A}\left(1+\frac{|A|-1}{d}\right) \leq|A|+\frac{|A|^{2}}{d}
$$

meanwhile, by the choice of $A$ it holds that $\left\langle h, \sum_{i \in A} f_{i}\right\rangle \geq|A| \tau$, and the two together implies that $1 /|A|+1 / d \geq \tau^{2}$ or equivalently, that $|A| \leq d /\left(d \tau^{2}-\right.$ 1). Similar argument shows that at most $d /\left(d \tau^{2}-1\right)$ of the $f_{i}$ functions have correlation at most $-\tau$ with $h$. Thus at most $2 d /\left(d \tau^{2}-1\right)$ of the functions will be inconsistent with the answer if the adversary returns 0 to this query. This, in turn, implies the desired lower bound $\left(d \tau^{2}-1\right) / 2$ on the learning complexity.

It is also worth mentioning that this result is quite tight in the improper case, when the learner can use arbitrary functions of norm 1 in the queries. Indeed, if the concept class itself is $\left\{f_{1}, \ldots, f_{d}\right\}$, then defining $g_{i}:=\sum_{j=i \cdot d^{2 / 3}+1}^{(i+1) \cdot d^{2 / 3}} f_{j}$ for $i=0,1, \ldots, d^{1 / 3}-1$ (assuming for simplicity that $\sqrt[3]{d}$ is integer), at least one $h_{i}=g_{i} /\left\|g_{i}\right\|, i=0,1, \ldots, d^{1 / 3}-1$ will have correlation at least

$$
\begin{equation*}
\left(1-d^{2 / 3} \frac{1}{d}\right) \frac{1}{\sqrt{d^{2 / 3}+d^{2 / 3} d^{2 / 3}(1 / d)}} \tag{1}
\end{equation*}
$$

with the target function. Note that (1) asymptotically equals to $1 / \sqrt[3]{d}$.

## 4 Weak and Strong Learning

Aslam and Decatur [1] apply the boosting techniques from the PAC model to SQ learning and show how to use (efficiently) a weak learning algorithm to achieve

[^3]strong learnability. Their primary concern is the distribution independent case, but their result (combined with results for weak learning) also has the following consequence in the distribution dependent case:
$$
\max _{\mathcal{D}} \operatorname{SLC}_{\mathcal{F}, \mathcal{H}}^{\mathcal{D}}\left(\frac{\epsilon}{3 d} \log \frac{1}{\epsilon}, 1-\epsilon\right)=O\left(d^{5} \log ^{2} \frac{1}{\epsilon}\right)
$$
when $\mathcal{H} \supseteq \mathcal{F}$, and where $d=\max _{\mathcal{D}}$ SQDim $_{\mathcal{F}}^{\mathcal{D}}$. However, this does not imply any result on fixed distributions in general. Indeed, when the support of a distribution consists of only a single input, then one query is enough both in the weak and in the strong setting-for any concept class. Thus the gap between the upper bound in the above equation and the number of queries required for strong learning under some given (known) distribution can be as big as possible: exponential versus constant. What is more, we cannot expect to bound the strong SQ dimension under some distribution $\mathcal{D}$ using the weak SQ dimension under the same distribution. Indeed, consider for example the uniform distribution and the concept class $\mathcal{F}_{n}$ consisting of all the functions of the form $v_{1} \vee f$, where $f$ is any parity function over variables $v_{2}, \ldots, v_{n}$. Then $\left|\mathcal{F}_{n}\right|=2^{n-1}$, and any two distinct elements $\left(v_{1} \vee f\right),\left(v_{1} \vee f^{\prime}\right) \in \mathcal{F}_{n}$ have correlation $1 / 2$ :
$$
\left\langle v_{1} \vee f, v_{1} \vee f^{\prime}\right\rangle=2 \mathbb{P}\left[\left(v_{1} \vee f\right)=\left(v_{1} \vee f^{\prime}\right)\right]-1=2\left(\frac{1}{2}+\frac{1}{2} \mathbb{P}\left[f=f^{\prime}\right]\right)-1=\frac{1}{2}
$$
(as the parity functions are uncorrelated under the uniform distribution), and so by Theorem 4 strong learning of $\mathcal{F}_{n}$ requires superpolynomial number of queries, meanwhile weak learning requires none 5

## 5 Characterizing Strong Learnability

In this section we give a complete characterization of strong learnability. More precisely we define a dimension notion that is a generalization of the weak SQ dimension SQDim from Sect. 3, and show that it is closely related to the learning complexity.

Definition 3. For a concept class $\mathcal{F}$ let $d_{0}(\mathcal{F}, \gamma)$ denote the largest $d$ such that some $f_{1}, \ldots, f_{d} \in \mathcal{F}$ fulfill

- $\left|\left\langle f_{i}, f_{j}\right\rangle\right| \leq \gamma$ for $1 \leq i<j \leq d$, and
- $\left|\left\langle f_{i}, f_{j}\right\rangle-\left\langle f_{k}, f_{\ell}\right\rangle\right| \leq 1 / d$ for all $1 \leq i<j \leq d$ and $1 \leq k<\ell \leq d$.

Actually, this dimension notion is a kind of combination of the strong SQ dimension of Simon [8] (see also Sect. 6) and Yang [10].

[^4]Theorem 3. Let $\mathcal{F}$ be a concept class and let $d:=d_{0}(\mathcal{F}, 1-\epsilon / 2)$. Then any consistent algorithm that uses tolerance $\tau \leq \min \{1 /(4 d+4), \epsilon / 4\}$ requires at most $d / \tau$ queries to learn $\mathcal{F}$ with accuracy $1-\epsilon$. Specifically, setting $\tau=\min \{1 /(4 d+$ $4), \epsilon / 4\}$, the algorithm finds an $(1-\epsilon)$-approximation of the target concept after $4 d \cdot \max \{d+1,1 / \epsilon\}$ queries, implying $\operatorname{SLC}_{\mathcal{F}, \mathcal{F}}(\tau, 1-\epsilon) \leq 4 d \cdot \max \{d+1,1 / \epsilon\}$.

Proof. Assume that some consistent algorithm used tolerance as above, queried $h_{1}, \ldots, h_{q}$ in this order, and got the answers $c_{1}, \ldots, c_{q}$ in this order. Suppose that for some $1 \leq i_{1}<i_{2}<\cdots<i_{\ell} \leq q$ and some $c \in[-1,1]$ it holds that $c_{i_{j}} \in[c-\tau, c+\tau]$ for $j=1, \ldots, \ell$. The algorithm is consistent, thus $\left\langle h_{i_{j}}, h_{i_{k}}\right\rangle \in$ $\left[c_{i_{j}}-\tau, c_{i_{j}}+\tau\right]$ for $1 \leq j<k \leq \ell$, consequently $\left\langle h_{i_{j}}, h_{i_{k}}\right\rangle \in[c-2 \tau, c+2 \tau] \subseteq$ $[c-1 /(2 d+2), c+1 /(2 d+2)]$ for $1 \leq j<k \leq \ell$. Also note that $\left|\left\langle h_{i}, h_{j}\right\rangle\right| \leq$ $\left|c_{i}\right|+\tau \leq 1-\epsilon / 2$ for $1 \leq i<j \leq q$, since $c_{1}, \ldots, c_{q}$ have absolute value less then $1-3 \epsilon / 4$ (as otherwise the algorithm would have successfully terminated). The two together imply however that $\ell \leq d_{0}(\mathcal{F}, 1-\epsilon / 2)$. As this was true for any $c$, it follows that $q \leq d_{0}(\mathcal{F}, 1-\epsilon / 2)(2 /(2 \tau))$.

The proof for the other direction has the same structure as the proof for Theorem 2) with some necessary modifications.

Theorem 4. Let $\mathcal{F} \subseteq \mathcal{C}(X)$ be any concept class for some domain $X$, and assume $d:=d_{0}(\mathcal{F}, 1-2 \epsilon) \geq 3$. Then if the tolerance $\tau$ is bigger than $\sqrt{3 /(2\lfloor d / 2\rfloor)}$, then $\operatorname{SLC}_{\mathcal{F}, \mathcal{C}(X)}(\tau, 1-\epsilon) \geq d \tau^{2} / 3$. In particular $\operatorname{SLC}_{\mathcal{F}, \mathcal{C}(X)}(1 / \sqrt[3]{d}, 1-\epsilon) \geq \sqrt[3]{d} / 3$. Proof. Assume $3 /\left(2 \tau^{2}\right) \leq\lfloor d / 2\rfloor$ and let $d^{\prime}:=\left\lceil 3 /\left(2 \tau^{2}\right)\right\rceil$. By the choice of $d$ there exist $f_{1}, \ldots, f_{d} \in \mathcal{F}$ and $c \in(-1+2 \epsilon, 1-2 \epsilon)$ satisfying $\left|\left\langle f_{i}, f_{j}\right\rangle-c\right| \leq 1 /(2 d)$ for all $1 \leq i<j \leq d$. We show an (adversary) answering strategy that ensures to eliminate only a small number of the $f_{i}$ functions after each query. Let $h \in \mathcal{C}(X)$ be an arbitrary query function used by the learner, and assume for simplicity that $\left\langle f_{1}, h\right\rangle \geq\left\langle f_{2}, h\right\rangle \geq \cdots \geq\left\langle f_{d}, h\right\rangle$. Define $\alpha:=\left\langle f_{d^{\prime}}, h\right\rangle, \beta:=\left\langle f_{d-d^{\prime}+1}, h\right\rangle$, $A:=\left[d^{\prime}\right]$ and $B:=\left\{d-d^{\prime}+1, d-d^{\prime}+2, \ldots, d\right\}$. Then $1-\epsilon \geq \alpha \geq \beta \geq-1+\epsilon$ whenever $d \geq 3$ (recall Observation 1 and that $d^{\prime} \leq d / 2$ by our assumption on $\tau)$, furthermore $A$ and $B$ are disjoint sets of cardinality $d^{\prime}$. First note that

$$
\begin{aligned}
& \left\|\frac{1}{d^{\prime}} \sum_{i \in A} f_{i}-\frac{1}{d^{\prime}} \sum_{i \in B} f_{i}\right\|^{2} \\
& =\frac{1}{\left(d^{\prime}\right)^{2}}\left(\sum_{i \in A}\left\|f_{i}\right\|^{2}+\sum_{i \in B}\left\|f_{i}\right\|^{2}+\sum_{i, j \in A: i \neq j}\left\langle f_{i}, f_{j}\right\rangle\right. \\
& \\
& \left.+\sum_{i, j \in B: i \neq j}\left\langle f_{i}, f_{j}\right\rangle-2 \sum_{i \in A} \sum_{j \in B}\left\langle f_{i}, f_{j}\right\rangle\right) \\
& \leq \frac{1}{\left(d^{\prime}\right)^{2}}\left(2 d^{\prime}+d^{\prime}\left(d^{\prime}-1\right)\left(c+\frac{1}{2 d}\right)+d^{\prime}\left(d^{\prime}-1\right)\left(c+\frac{1}{2 d}\right)-2\left(d^{\prime}\right)^{2}\left(c-\frac{1}{2 d}\right)\right) \\
& \leq \frac{4}{d^{\prime}}+\frac{2}{d},
\end{aligned}
$$

and so, by the Cauchy-Schwarz inequality

$$
\left\langle h, \frac{1}{d^{\prime}} \sum_{i \in A} f_{i}-\frac{1}{d^{\prime}} \sum_{i \in B} f_{i}\right\rangle \leq\left\|\frac{1}{d^{\prime}} \sum_{i \in A} f_{i}-\frac{1}{d^{\prime}} \sum_{i \in B} f_{i}\right\| \leq \sqrt{\frac{4}{d^{\prime}}+\frac{2}{d}} \leq \sqrt{\frac{6}{d^{\prime}}} .
$$

On the other hand, by the definition of $A$ and $B$ it also holds that

$$
\left\langle h, \frac{1}{d^{\prime}} \sum_{i \in A} f_{i}-\frac{1}{d^{\prime}} \sum_{i \in B} f_{i}\right\rangle=\frac{1}{d^{\prime}} \sum_{i \in A}\left\langle h, f_{i}\right\rangle-\frac{1}{d^{\prime}} \sum_{j \in B}\left\langle h, f_{j}\right\rangle \geq \alpha-\beta,
$$

and so $\alpha-\beta \leq \sqrt{6 / d^{\prime}} \leq 2 \tau$. Thus, answering the learner's query with $(\alpha+\beta) / 2$, all but at most $2 d^{\prime}-2$ functions will be consistent with the answer. This, in turn, implies the desired lower bound $d /\left(2 d^{\prime}-2\right) \geq d \tau^{2} / 3$ on the learning complexity.

The main result of this section is the following corollary of the two theorems above:

Corollary 1. The following statements are equivalent for any family $\left\{\mathcal{F}_{n}\right\}_{n=1}^{\infty}$ of concept classes under arbitrary (fixed) distribution:
$-d_{0}\left(\mathcal{F}_{n}, 1-\epsilon\right)$ is polynomially bounded in $n$ and $1 / \epsilon$,
$-\left\{\mathcal{F}_{n}\right\}_{n=1}^{\infty}$ is strongly learnable by some (possibly improper) algorithm,
$-\left\{\mathcal{F}_{n}\right\}_{n=1}^{\infty}$ is strongly learnable by all consistent learning algorithms.

## 6 Other Dimension Notions for Strong Learnability

In this section we consider the relation of $d_{0}$ and the strong SQ dimensions of Simon [8] and Feldman [5]. For this let us first introduce SQDim* from [8].

Definition 4 ([8]). Given some concept class $\mathcal{F}$, a subclass $\mathcal{F}^{\prime}$ of it is $(\gamma, \mathcal{H})$ trivial for some query class $\mathcal{H}$ and constant $0<\gamma<1$, if some function $h \in \mathcal{H}$ has correlation of at least $\gamma$ with at least half of the functions in $\mathcal{F}^{\prime}$. The remaining subclasses of $\mathcal{F}$ are said to be $(\gamma, \mathcal{H})$-nontrivial. The strong SQ dimension associated with concept class $\mathcal{F}$ and query class $\mathcal{H}$ is the function $\operatorname{SQDim}_{\mathcal{F}, \mathcal{H}}^{*}(\gamma):=\sup _{\mathcal{F}^{\prime}} \operatorname{SQDim}_{\mathcal{F}^{\prime}-B_{\mathcal{F}^{\prime}}}$, where $\mathcal{F}^{\prime}$ ranges over all $(\gamma, \mathcal{H})$ nontrivial subclasses of $\mathcal{F}$, and where $B_{\mathcal{F}^{\prime}}=\left(1 /\left|\mathcal{F}^{\prime}\right|\right) \sum_{f \in \mathcal{F}^{\prime}} f$.

As it turns out below, it doesn't really matter, which query class is used, as long as it contains the concept class itself.

Observation 5. When $\mathcal{F} \subseteq \mathcal{H}$, then any $(1-\epsilon, \mathcal{F})$-trivial subset of $\mathcal{F}$ is also $(1-\epsilon, \mathcal{H})$-trivial, meanwhile, by Observation it also holds that any $(1-\epsilon / 2, \mathcal{H})$ trivial subset of $\mathcal{F}$ is also $(1-\epsilon, \mathcal{F})$-trivial. Thus

$$
\operatorname{SQDim}_{\mathcal{F}, \mathcal{H}}^{*}(1-\epsilon) \leq \operatorname{SQDim}_{\mathcal{F}, \mathcal{F}}^{*}(1-\epsilon) \leq \operatorname{SQDim}_{\mathcal{F}, \mathcal{H}}^{*}\left(1-\frac{\epsilon}{2}\right)
$$

The following equation we shall need later.

$$
\begin{equation*}
\langle f, g\rangle=\langle f-B, g-B\rangle+\langle f, B\rangle+\langle g, B\rangle-\|B\|^{2} . \tag{2}
\end{equation*}
$$

Theorem 6. For any concept classes $\mathcal{F}$ and $\mathcal{H}$ satisfying $\mathcal{F} \subseteq \mathcal{H}$ it holds that $\max \left\{32 / \epsilon^{2}, 9 d_{0}^{2}\left(\mathcal{F}, 1-\epsilon^{2} / 32\right)\right\} \geq \operatorname{SQDim}_{\mathcal{F}, \mathcal{H}}^{*}(1-\epsilon)$.

Proof. According to Observation 5 it is enough to show that the statement of the theorem holds for $\mathcal{H}=\mathcal{F}$.

Let $\mathcal{F}^{\prime}$ be a $(1-\epsilon, \mathcal{F})$-nontrivial subset of $\mathcal{F}$, and let $\mathcal{F}_{0}^{\prime}$ be a subset of $\mathcal{F}^{\prime}$ such that $\operatorname{SQDim}_{\mathcal{F}_{0}^{\prime}-B_{\mathcal{F}^{\prime}}}=\left|\mathcal{F}_{0}^{\prime}\right|$. Assume furthermore that $d:=\left|\mathcal{F}_{0}^{\prime}\right| \geq 32 / \epsilon^{2}$.

Consider the correlation of $B_{\mathcal{F}^{\prime}}$ with all the functions in $\mathcal{F}_{0}^{\prime}$. Obviously there exist some $c \in[-1,1]$ and some $d^{\prime} \geq \sqrt{d} / 3$ such that for some distinct functions $f_{1}, \ldots, f_{d^{\prime}} \in \mathcal{F}_{0}^{\prime}$ it holds that $\left\langle f_{i}, B_{\mathcal{F}^{\prime}}\right\rangle \in[c-1 / \sqrt{9 d}, c+1 / \sqrt{9 d}]$ for $j=1, \ldots, d^{\prime}$. Then for arbitrary indices $i, j, k, \ell \in\left[d^{\prime}\right]$ fulfilling $i \neq j$ and $k \neq \ell$ it holds (using (2)) that

$$
\begin{align*}
\left|\left\langle f_{i}, f_{j}\right\rangle-\left\langle f_{k}, f_{\ell}\right\rangle\right|= & \mid\left(\left\langle f_{i}-B_{\mathcal{F}^{\prime}}, f_{j}-B_{\mathcal{F}^{\prime}}\right\rangle-\left\langle f_{k}-B_{\mathcal{F}^{\prime}}, f_{\ell}-B_{\mathcal{F}^{\prime}}\right\rangle\right) \\
& +\left(\left\langle f_{i}, B_{\mathcal{F}^{\prime}}\right\rangle-\left\langle f_{k}, B_{\mathcal{F}^{\prime}}\right\rangle\right)+\left(\left\langle f_{j}, B_{\mathcal{F}^{\prime}}\right\rangle-\left\langle f_{\ell}, B_{\mathcal{F}^{\prime}}\right\rangle\right) \mid \\
\leq & \frac{2}{d}+\frac{2 \cdot 2}{\sqrt{9 d}} \\
\leq & \frac{3}{\sqrt{d}} \tag{3}
\end{align*}
$$

using that $d \geq 32$. To prove the theorem it thus suffices to show that the correlation of any two distinct elements of $\mathcal{F}^{\prime}$ has absolute value at most $\left.1-\epsilon^{2} / 32\right]^{6}$

To upper bound $\left\langle f_{i}, f_{j}\right\rangle$ for some $1 \leq i<j \leq d^{\prime}$ first note that using (2) with $f=f_{i}, g=f_{j}$ and $B=B_{\mathcal{F}^{\prime}}$, and then applying the Cauchy-Schwarz inequality

$$
\begin{equation*}
\left\langle f_{i}, f_{j}\right\rangle \leq \frac{1}{d}+\left\|B_{\mathcal{F}^{\prime}}\right\|\left(2-\left\|B_{\mathcal{F}^{\prime}}\right\|\right) \tag{4}
\end{equation*}
$$

Also note that the $(1-\epsilon, \mathcal{F})$-nontriviality of $\mathcal{F}^{\prime}$ implies that

$$
\left\|B_{\mathcal{F}^{\prime}}\right\|^{2}=\frac{1}{\left|\mathcal{F}^{\prime}\right|^{2}} \sum_{g, f \in \mathcal{F}^{\prime}}\langle g, f\rangle \leq \frac{1}{\left|\mathcal{F}^{\prime}\right|} \sum_{g \in \mathcal{F}^{\prime}} \frac{1}{\left|\mathcal{F}^{\prime}\right|}\left(\frac{\left|\mathcal{F}^{\prime}\right|}{2}(1-\epsilon)+\frac{\left|\mathcal{F}^{\prime}\right|}{2}\right)=1-\frac{\epsilon}{2},
$$

and therefore $\left\|B_{\mathcal{F}^{\prime}}\right\| \leq \sqrt{1-\epsilon / 2} \leq 1-\epsilon / 4$. Combining this with (4), and noting that $x(2-x)$ is monotone increasing on $(0,1)$ we get that

$$
\left\langle f_{i}, f_{j}\right\rangle \leq \frac{1}{d}+\left(1-\frac{\epsilon}{4}\right)\left(1+\frac{\epsilon}{4}\right)=1+\frac{1}{d}-\frac{\epsilon^{2}}{16} .
$$

[^5]Thus, since $d \geq 32 / \epsilon^{2}$, we have $\left\langle f_{i}, f_{j}\right\rangle \leq 1-\epsilon^{2} / 32$.
Finally, let us give a lower bound for the pairwise correlation. If one pair had correlation less than $-1+1 / 32$, then, according to (3) all other pairs would have correlation at most $-1+1 / 32+3 / \sqrt{d}$, implying

$$
\begin{aligned}
0 & \leq\left\|\sum_{i=1}^{d^{\prime}} f_{i}\right\|^{2} \\
& =\sum_{i=1}^{d^{\prime}}\left\|f_{i}\right\|^{2}+2 \sum_{1 \leq i<j \leq d^{\prime}}\left\langle f_{i}, f_{j}\right\rangle \\
& \leq d^{\prime}+d^{\prime}\left(d^{\prime}-1\right)\left(-1+\frac{1}{32}+\frac{3}{\sqrt{d}}\right),
\end{aligned}
$$

which would lead to a contradiction, as $d \geq 32$. Consequently $\left\langle f_{i}, f_{j}\right\rangle \geq-1+$ $\epsilon^{2} / 32$ for $1 \leq i<j \leq d^{\prime}$.

Theorem 7. Let $\mathcal{F}$ and $\mathcal{H}$ be concept classes satisfying $\mathcal{F} \subseteq \mathcal{H}$. Then
$d_{0}(\mathcal{F}, 1-\epsilon) \leq \max \left\{2,2 \cdot \operatorname{SQDim}_{\mathcal{F}, \mathcal{F}}^{*}(1-\epsilon / 2)\right\} \leq \max \left\{2,2 \cdot \operatorname{SQDim}_{\mathcal{F}, \mathcal{H}}^{*}(1-\epsilon / 4)\right\}$.
Proof. The second inequality follows from Observation 5
To prove the first inequality, let $\mathcal{F}^{\prime}:=\left\{f_{1}, \ldots, f_{d}\right\} \subseteq \mathcal{F}$ be such that $\left|\left\langle f_{i}, f_{j}\right\rangle\right|<$ $1-\epsilon$ and $\left|\left\langle f_{i}, f_{j}\right\rangle-\left\langle f_{k}, f_{\ell}\right\rangle\right|<1 / d$ for $1 \leq i<j \leq d$ and $1 \leq k<\ell \leq d$. Then

$$
\begin{aligned}
& \left|\left\langle f_{i}-B_{\mathcal{F}^{\prime}}, f_{j}-B_{\mathcal{F}^{\prime}}\right\rangle\right| \\
& =\left|\left\langle f_{i}, f_{j}\right\rangle+\frac{1}{d^{2}} \sum_{k, \ell=1}^{d}\left\langle f_{k}, f_{\ell}\right\rangle-\frac{1}{d} \sum_{k=1}^{d}\left(\left\langle f_{i}, f_{k}\right\rangle+\left\langle f_{j}, f_{k}\right\rangle\right)\right| \\
& \leq\left|\frac{1}{d} \sum_{k=1}^{d}\left(\left\langle f_{i}, f_{j}\right\rangle-\left\langle f_{i}, f_{k}\right\rangle\right)\right|+\left|\frac{1}{d^{2}} \sum_{k, \ell=1}^{d}\left(\left\langle f_{k}, f_{\ell}\right\rangle-\left\langle f_{j}, f_{k}\right\rangle\right)\right| \\
& \leq \frac{2}{d} .
\end{aligned}
$$

Furthermore, by Observation $1 \mathcal{F}^{\prime}$ is $(1-\epsilon / 2, \mathcal{F})$-nontrivial.
The dimension notion introduced in [5] is a kind of simplified version of SQDim*:

Definition 5 ([5]). For concept class $\mathcal{F}$ over domain $X$ let $\operatorname{SSQ}-\operatorname{DIM}(\mathcal{F}, \epsilon):=$ $\max _{h} \operatorname{SQDim}_{\left\{f^{\prime} \in(\mathcal{F}-h):\left\|f^{\prime}\right\|^{2} \geq \epsilon\right\}}$, where $h$ ranges over all mappings from $X$ to $[-1,1]$.

Furthermore the proof of the two theorems above can be easily modified to show:
Theorem 8. For any concept class $\mathcal{F}$ it holds that $\max \left\{32,2 / \epsilon, 9 d_{0}^{2}(\mathcal{F}, 1-\right.$ $\epsilon / 2)\} \geq \operatorname{SSQ}-\operatorname{DIM}(\mathcal{F}, \epsilon)$ and $\max \left\{2,2 \cdot \operatorname{SSQ}-\operatorname{DIM}\left(\mathcal{F}, \epsilon^{2} / 16\right)\right\} \geq d_{0}(\mathcal{F}, 1-\epsilon)$.

## $7 d_{0}$ for Conjunctions under the Uniform Distribution

In this section, as an example, we compute the exact value of $d_{0}$ for the class of conjunctions under the uniform distribution, up to a constant factor. (Note however that this class is efficiently learnable in the Statistical Query model even distribution independently [6], so $d_{0}$ is obviously polynomial in $n$ and in $1 / \epsilon$.)

First of all let us compute the correlation of two conjunctions $t$ and $t^{\prime}$ that have length $\ell$ and $\ell^{\prime}$ respectively, and share exactly $s$ literals (as usual, -1 is interpreted as "true" and 1 as "false"):

$$
\begin{align*}
\left\langle t, t^{\prime}\right\rangle & =\mathbb{E}\left[t \cdot t^{\prime}\right] \\
& =1-2 \mathbb{P}\left[t \neq t^{\prime}\right] \\
& =1-2\left(\mathbb{P}[t=-1]+\mathbb{P}\left[t^{\prime}=-1\right]-2 \mathbb{P}\left[t=t^{\prime}=-1\right]\right) \\
& = \begin{cases}1-2 / 2^{\ell}-2 / 2^{\ell^{\prime}} & \text { if } t \text { and } t^{\prime} \text { conflict, } \\
1-2 / 2^{\ell}-2 / 2^{\ell^{\prime}}+4 / 2^{\ell+\ell^{\prime}-s} & \text { otherwise. }\end{cases} \tag{5}
\end{align*}
$$

Next we prove a technical lemma we shall need later. Here we apply the convention that for some $x \in\{0,1\}^{n}$ the number of 1 s in $x$ is denoted $|x|$, and that for $x, y \in\{0,1\}^{n} x \vee y$ (resp. $x \wedge y$ ) is the vector of length $n$ with 1 on those components that are 1 in at least one of $x$ and $y$ (resp. in both $x$ and $y$ ), and is 0 everywhere else. For conjunctions we use similar notations, that is, $|t|$ denotes the number of literals appearing in term $t$, and $t \wedge t^{\prime}$ denotes the term obtained by joining the literals appearing in terms $t$ and $t^{\prime}$.

Lemma 1. If for some $H \subseteq\{0,1\}^{n}$ and for some integer $c$ it holds that $|x \vee y|=$ $c$ for arbitrary distinct $x, y \in H$, then $|H| \leq n+1$.

Proof. For $x \in H$ let $x^{\text {c }}$ denote the vector obtained by flipping the bits in $x$. Then by De Morgan $x^{\mathrm{c}} \wedge y^{\mathrm{c}}=(x \vee y)^{\mathrm{c}}$, and thus $\left|x^{\mathrm{c}} \wedge y^{\mathrm{c}}\right|=n-c$ for arbitrary $x, y \in H$. Construct the $n \times|H|$ matrix $X$ such that its columns are the vectors from $H$ in an arbitrary order, and let $C$ be the $|H| \times|H|$ matrix having $n-c$ in each entry. First of all note that $X^{\top} X-C$ is a diagonal matrix. If it contains some zero element in the diagonal, then $\left|x^{c}\right|=n-c$ for some $x \in H$, implying that for all other $y \in H y^{\mathrm{c}}$ has 1 everywhere where $x$ does and that each such $y^{\text {c }}$ must have 1 at some unique position where the others have 0 . This immediately implies $|H| \leq n+1$. Otherwise, when $X^{\top} X-C$ is a nonsingular diagonal matrix,

$$
|H|=\operatorname{rank}\left(X^{\top} X-C\right) \leq \operatorname{rank}\left(X^{\top} X\right)+1=\operatorname{rank}(X)+1 \leq \min \{n,|H|\}+1
$$

implying the statement of the claim.
Proposition 2. Let $\mathcal{F}_{n}$ be the set of conjunctions over variables $v_{1}, \ldots, v_{n}$. Then under the uniform distribution $d_{0}\left(\mathcal{F}_{n}, 1-\epsilon\right) \leq 1+\max \left\{2 n+2,8 / \epsilon^{2}\right\}$.

Proof. Let $t_{1}, \ldots, t_{d}$ be terms satisfying $\left|\left\langle t_{i}, t_{j}\right\rangle\right| \leq 1-\epsilon$ and $\left|\left\langle t_{i}, t_{j}\right\rangle-\left\langle t_{k}, t_{\ell}\right\rangle\right| \leq$ $1 / d$ for $i, j, k, \ell \in[d]$ fulfilling $i \neq j$ and $k \neq \ell$. Assume for simplicity that $t_{d}$
is the longest term among them. Then by (5) it holds that $1-\epsilon \geq\left\langle t_{i}, t_{d}\right\rangle \geq$ $1-4 \mathbb{P}\left[t_{i}=-1\right]$, implying

$$
\begin{equation*}
\mathbb{P}\left[t_{i}=-1\right]=2^{-\left|t_{i}\right|} \geq \frac{\epsilon}{4} \tag{6}
\end{equation*}
$$

and thus

$$
\mathbb{P}\left[t_{i}=t_{j}=-1\right]= \begin{cases}0 & \text { if } t_{i} \text { and } t_{j} \text { conflict }  \tag{7}\\ 2^{-\left|t_{i} \wedge t_{j}\right|} \geq(\epsilon / 4)^{2} & \text { otherwise }\end{cases}
$$

for distinct $i, j \in[d-1]$.
Let us assume that $1 / d<\epsilon^{2} / 8$.
If for some $I \subseteq[d-1]$ it holds that all $t_{i}, i \in I$, has the same length, then for any indices $i, j, k, \ell \in I$ fulfilling $i \neq j$ and $k \neq \ell$

$$
\left.\frac{\epsilon^{2}}{32}>\frac{1}{4 d} \geq \frac{1}{4}\left|\left\langle t_{i}, t_{j}\right\rangle-\left\langle t_{k}, t_{\ell}\right\rangle\right| \stackrel{\sqrt[55]{=}}{\underline{P}}\left[t_{i}=t_{j}=-1\right]-\mathbb{P}\left[t_{k}=t_{\ell}=-1\right] \right\rvert\,
$$

Note that it cannot happen that $t_{i}$ and $t_{j}$ conflict with each other, but $t_{k}$ and $t_{\ell}$ do not-or vice versa-, since by (7) that would mean that the right hand side is at least $\epsilon^{2} / 16$, resulting in a contradiction. So either all $t_{i}$ with $i \in I$ conflict each other, or there is no conflicting pair among the terms with index in $I$. The former case implies that $\left\{t_{i}=-1\right\}_{i \in I}$ are all contradicting events, and so $1 \geq \sum_{i \in I} \mathbb{P}\left[t_{i}=-1\right] \stackrel{\sqrt{6}}{\geq}|I| \cdot(\epsilon / 4)$, giving the bound $|I| \leq 4 / \epsilon$. In the latter case, since by (7) both $2^{-\left|t_{i} \vee t_{j}\right|}$ and $2^{-\left|t_{k} \vee t_{\ell}\right|}$ are at least $\epsilon^{2} / 16$, we have that $2^{-\left|t_{i} \vee t_{j}\right|}>(1 / 2) 2^{-\left|t_{k} \vee t_{\ell}\right|}$ and $2^{-\left|t_{k} \vee t_{\ell}\right|}>(1 / 2) 2^{-\left|t_{i} \vee t_{j}\right|}$. This, however implies that $\left|t_{i} \vee t_{j}\right|=\left|t_{k} \vee t_{\ell}\right|$, and so, by Lemma (applied for $H \subseteq\{0,1\}^{n}$ consisting of the vectors that represent some $t_{i}$ with $i \in I$ by having 1 on position $j$ iff $t_{i}$ contains variable $v_{j}$ ), $I$ has cardinality at most $n+1$.

We have just seen that the sum of the number of terms of minimal length and the number of terms of length one more is at most $\max \{2 n+2,8 / \epsilon\}$. However, there cannot be distinct indices $i, j, k \in[d-1]$ fulfilling $\left|t_{i}\right|+2 \leq\left|t_{j}\right|,\left|t_{k}\right|$, as otherwise

$$
\begin{aligned}
\frac{\epsilon^{2}}{8} & >\frac{1}{d} \\
& \geq\left|\left\langle t_{i}, t_{j}\right\rangle-\left\langle t_{j}, t_{k}\right\rangle\right| \\
& =\left|2 \mathbb{P}\left[t_{i}=-1\right]-4 \mathbb{P}\left[t_{i}=t_{j}=-1\right]-2 \mathbb{P}\left[t_{k}=-1\right]+4 \mathbb{P}\left[t_{k}=t_{j}=-1\right]\right| \\
& \geq \frac{1}{2} \cdot \mathbb{P}\left[t_{i}=-1\right] \\
& \xrightarrow{6} \frac{\epsilon}{8}
\end{aligned}
$$

a contradiction.
Note that this bound is sharp up to a constant factor according to the example below and that the terms consisting of one unnegated variable form an orthogonal
system of cardinality $n$. It also immediately follows that these results remain tight even if we restrict $\mathcal{F}_{n}$ to be the set of monotone conjunctions over $v_{1}, \ldots, v_{n} .7$

Example 1. Let $\mathcal{F}_{n}$ be the set of all monotone conjunctions over variables $v_{1}, \ldots, v_{n}$ and let $\mathcal{F}_{n}(\ell)$ consist of all $t \in \mathcal{F}_{n}$ of length $\ell$. Set $\epsilon:=2^{-\ell}$ and note that if $t_{1}, t_{2} \in$ $\mathcal{F}_{n}(\ell)$ share $s<\ell$ variables, then under the uniform distribution $\left|\left\langle t_{1}, t_{2}\right\rangle\right| \stackrel{(5)}{=} 1-$ $4 / 2^{\ell}+4 / 2^{2 \ell-s} \leq 1-2 \epsilon$. If additionally $t_{3}, t_{4} \in \mathcal{F}_{n}(\ell)$ share $s^{\prime}<\ell$ variables, then $\left|\left\langle t_{1}, t_{2}\right\rangle-\left\langle t_{3}, t_{4}\right\rangle\right| \stackrel{(5)}{=}\left|4 / 2^{2 \ell-s}-4 / 2^{2 \ell-s^{\prime}}\right|=\epsilon^{2} 4\left|2^{s}-2^{s^{\prime}}\right|$. Now we choose $\ell=\ell(n):=c \log n$ for some $c>1$ (and thus $\epsilon=\epsilon(n)=1 / n^{c}$ ) and $s=$ $s(n):=\log \log n$, and prove that $d_{0}\left(\mathcal{F}_{n}, 1-\epsilon\right)=\Omega\left(\epsilon^{2}\right)=\Omega\left(n^{2 c}\right)$ by showing that one can find an $I \subseteq \mathcal{F}_{n}(\ell)$ of cardinality $\Omega\left(n^{2 c}\right)$ that contains no two distinct conjunctions sharing more than $s$ variables. Such an $I$ can simply be obtained using the greedy method, since when $n-\ell \geq 2(\ell-s)$ then for any $t \in \mathcal{F}_{n}(\ell)$ there are exactly $\sum_{i=0}^{\ell-s}\binom{\ell}{i}\binom{n-\ell}{i} \leq \ell 2^{\ell}\binom{n-\ell}{\ell-s}$ conjunctions in $\mathcal{F}_{n}(\ell)$ that share at least $s$ variables with $t$, thus (noting that $\left.\left|\mathcal{F}_{n}(\ell)\right|=\binom{n}{\ell}\right) I$ can always be expanded by some term when it has cardinality less than

$$
\frac{\binom{n}{\ell}}{\ell 2^{\ell}\binom{n-\ell}{\ell-s}} \sim \frac{1}{\ell 2^{\ell}} \frac{n^{s}}{\ell^{s}}
$$

(using Stirling's formula).

## 8 Proper vs. Improper Learning in the Distribution Independent Case

In the distribution dependent case (i.e., when the learner knows the underlying distribution) proper and improper learning are basically the same (recall Corollary (1). In this section we contrast this result showing that in the distribution independent case proper and improper learning can differ significantly. Consider for example the class of singletons: $\mathcal{F}_{n}:=\left\{f_{x}: x \in\{-1,1\}^{n}\right\}$, where $f_{x}$ evaluates to -1 on $x$, and evaluates to 1 on every other input. Since $\mathcal{F}_{n}$ is a subset of conjunctions, which was shown by Kearns in [6] to be efficiently learnable in the Statistical Query model, $\mathcal{F}_{n}$ can be learned using polynomially many improper queries.

Let us now define for each $x, y \in\{-1,1\}^{n}$ a distribution $\mathcal{D}_{x, y}$, which assigns probability $1 / 2$ to both $x$ and $y$, and assigns probability 0 to every other input. The key observation is that in case of proper learning each query must be one of the $f_{x}$ functions. But then, as long as there are at least two of them that are not yet queried, the adversary can just return 0 as the answer. Finally, when

[^6]only two singletons - say $f_{x}$ and $f_{y}$-are unqueried, the adversary chooses one of them as the target concept, and says that the underlying distribution is $\mathcal{D}_{x, y}$. This way the answers of the adversary remain consistent (no matter how small the tolerance parameter of the learner was), and, at the same time, force the learner to ask at least $2^{n}-1$ queries - even for weakly learning the class 8

It might also worth mentioning that for the singletons $\operatorname{SQDim}_{\mathcal{F}_{n}}^{\mathcal{D}} \leq 5$ under any distribution $\mathcal{D}$, because, denoting by $p_{x}$ the probability assigned to input $x \in\{-1,1\}^{n}, 1 / 6 \geq\left\langle f_{x}, f_{y}\right\rangle_{\mathcal{D}}=1-2 p_{x}-2 p_{y}$ implies that at least one of $p_{x}$ and $p_{y}$ is $5 / 24$ or greater, and thus if six functions from $\mathcal{F}_{n}$ had pairwise correlation at most $1 / 6$, then at least five distinct inputs would have probability $5 / 24$ or greater-a contradiction. This result shows that the number of proper queries required for weakly learning some concept class can differ significantly in the distribution dependent and in the distribution independent case: in some cases it is constant versus exponential.

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[^7]
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[^1]:    ${ }^{1}$ Query-efficiency means that the number of queries used by the learner is bounded by some polynomial of the various parameters. When query-efficiency is in focus, then usually no restrictions are set on the running time.

[^2]:    ${ }^{2}$ Actually they have shown how to simulate an arbitrary statistical query using two statistical queries that are independent of the target function and two correlation queries. However, when running time is not considered and the underlying distribution is known, one can omit the two former queries and just compute them directly.
    ${ }^{3}$ The choice of 1 as an upper bound for the query function is arbitrary, one can use any other constant instead. (But note that smaller constants would exclude all concepts.) However, unbounded queries should not be allowed, because they make the learning problem trivial. Indeed, for example when the target concept is Boolean over $n$ variables, and one uses a query with tolerance $1 / 2$ and with the function that evaluates $x \in\{-1,1\}^{n}$ to $\sum_{i=1}^{n}(1 / \epsilon) \cdot 2^{n} \cdot 2^{i\left(x_{i}+1\right) / 2}$, then the value of the target concept on inputs with probability at least $\epsilon / 2^{n}$ can be reconstructed from the answer to this query, meanwhile the sum of the probabilities of the rest of the inputs is less than $\epsilon$.

[^3]:    ${ }^{4}$ The correlation matrix of the concept class $\mathcal{F}=\left\{f_{1}, \ldots, f_{s}\right\}$ is the $s \times s$ matrix $C$ such that $C_{i, j}=\left\langle f_{i}, f_{j}\right\rangle$.

[^4]:    ${ }^{5}$ Yang [11] has also shown a similar result for another concept class, but the argument there is more complicated.

[^5]:    ${ }^{6}$ Note that we cannot apply Observation (1) (or Proposition (1) directly to bound $\left\langle f_{i}, f_{j}\right\rangle$, because nontriviality only guarantees that none of the $f_{i}$ functions have high correlation with at least half of $\mathcal{F}^{\prime}$, which doesn't prevent them from having really high correlation with some smaller portion of $\mathcal{F}^{\prime}$. It thus has to be shown that no such set contains another $f_{i}$.

[^6]:    ${ }^{7}$ In [2] Ben-David et al. related the learning complexity of a class $\mathcal{F}$ to its capacity $c(\mathcal{F}, \epsilon):=\min \{|G|: \forall f \in \mathcal{F} \exists g \in G$ s.t. $\langle f, g\rangle \geq 1-\epsilon\}$. For $\mathcal{F}_{n}=\left\{v_{1}, \ldots v_{n}\right\}$ this is polynomial in the learning complexity (in specific $c\left(\mathcal{F}_{n}, \epsilon\right)=n$ ) under uniform distribution, but for the monotone conjunctions this is superpolynomial (choose $s=$ $\log \log n$ in Example 1). The two notions are thus not polynomially related.

[^7]:    ${ }^{8}$ This doesn't contradict the result of Aslam and Decatur [1] mentioned in Section 4 since their boosting algorithm uses improper queries.

