On hypergraphs with every four points spanning at most two triples

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Abstract

Let \mathcal{F} be a triple system on an n element set. Suppose that \mathcal{F} contains more than $(1/3 - \epsilon)\binom{n}{3}$ triples, where $\epsilon > 10^{-6}$ is explicitly defined and n is sufficiently large. Then there is a set of four points containing at least three triples of \mathcal{F} . This improves previous bounds of de Caen [1] and Matthias [7].

Given an r-graph \mathcal{F} , the Turán number $\operatorname{ex}(n,\mathcal{F})$ is the maximum number of edges in an n vertex r-graph containing no member of \mathcal{F} . The Turán density $\pi(\mathcal{F}) = \lim_{n \to \infty} \frac{\operatorname{ex}(n,\mathcal{F})}{\binom{n}{r}}$. When $\pi(\mathcal{F}) \neq 0$, and r > 2, determining $\pi(\mathcal{F})$ is a notoriously hard problem, even for very simple r-graphs \mathcal{F} (see [5] for a survey of results). Determining the Turán density of complete r-graphs is a fundamental question about set-systems. In fact, this is not known in any nontrivial case when $r \geq 3$.

Perhaps the most well-known problem in this area is to determine $\pi(\mathcal{K})$, where \mathcal{K} is the complete 3-graph on four vertices (the smallest nontrivial complete r-graph). It is known that $5/9 \leq \pi(\mathcal{K}) \leq (3+\sqrt{17})/12 = 0.59359...$, where the lower bound is due to Turán and the recent upper bound is due to Chung and Lu [2]. However, even the Turán density of H(4,3), the 3-graph on four vertices with three edges, is not known. One could argue that this problem is even more basic, since H(4,3) is the smallest (in the sense of both vertices and edges) 3-graph with positive Turán density (for applications of $\pi(H(4,3))$ to computer science, see [9, 6]).

The upper bound $\pi(H(4,3)) \leq 1/3$ was proved by de Caen [1], and this was improved to $1/3 - 10^{-10}$ by Matthias [7]. Frankl and Füredi [4] gave a fairly complicated recursive construction yielding $\pi(H(4,3)) \geq 2/7$. In an attempt to improve de Caen's bound,

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the author and Rödl [8] proved that $\pi(\{C_5, H(4,3)\}) \leq 10/31$, where C_5 is the 3-graph 123, 234, 345, 451, 512.

In this note, we present a short argument that improves the best upper bound slightly.

Theorem 1
$$\pi(H(4,3)) \le 1/3 - (0.45305 \times 10^{-5}).$$

Proof: Let \mathcal{H} be a triple system on n vertices containing no copy of H(4,3). Suppose that \mathcal{H} has $\alpha\binom{n}{3}$ edges. We will prove that $\alpha \leq 1/3 - (0.45305 \times 10^{-5}) + o(1)$. The result then follows by taking the limit as $n \to \infty$.

Let $d_{x,y}$ denote the number of triples containing both x and y. For i = 1, 2, let q_i denote the number of sets of four vertices that induce exactly i edges. Then

$$\alpha \binom{n}{3}(n-3) = |\mathcal{H}|(n-3) = q_1 + 2q_2$$
 and $\sum_{x,y} \binom{d_{x,y}}{2} = q_2.$

Using these equalities, $\sum_{x,y} d_{x,y} = 3\alpha \binom{n}{3}$, and convexity of binomial coefficients, we obtain

$$\alpha \binom{n}{3}(n-3) \ge q_1 + 3\alpha^2 \binom{n}{3}(n-2) - 3\alpha \binom{n}{3}. \tag{1}$$

Since $q_1 \ge 0$, dividing (1) by n^4 and taking the limit as $n \to \infty$ gives de Caen's bound $\alpha < 1/3$.

The improvement arises by proving that a positive proportion of quadruples contribute to q_1 . By (1) this immediately lowers the bound of 1/3. Our primary tool is a result of Frankl and Füredi [4] stating that every m vertex triple system, $m \equiv 0 \pmod{6}$, such that every four points span 0 or 2 edges, has at most $10(m/6)^3$ edges (their result is quite a bit stronger, but this version suffices for our purposes). We will use this on subhypergraphs of \mathcal{H} to lower bound q_1 . This technique, called supersaturation, was developed by Erdős and Simonovits [3] (although frequently used in earlier papers as well).

Claim: Suppose that $\delta > 0$ and $12 \le m \equiv 0 \pmod{6}$ satisfy

$$\frac{\delta(m^2 - 6m)}{18(m-1)(m-2)} + \frac{5m^2}{18(m-1)(m-2)} \le \alpha.$$
 (2)

Then at least $\delta\binom{n}{m}$ sets of m vertices of \mathcal{H} have greater than $10(m/6)^3$ edges.

Proof of Claim: Otherwise, using the precise upper bound of [1] which states that $ex(m, H(4,3)) \le (m/(3(m-2))\binom{m}{3}) (< 10(m/6)^3)$ for $m \ge 12$, we obtain

$$|\mathcal{H}| < \frac{\delta\binom{n}{m} \frac{m}{3(m-2)} \binom{m}{3} + (1-\delta)\binom{n}{m} 10(m/6)^3}{\binom{n-3}{m}} \le \alpha \binom{n}{3}.$$

This contradiction proves the Claim.

For each m-set S to which the Claim applies, [4] implies that S contains a 4-element set with precisely one edge. Consequently,

$$q_1 \ge \frac{\delta \binom{n}{m}}{\binom{n-4}{m-4}} = \frac{\delta}{\binom{m}{4}} \binom{n}{4}.$$

Using this lower bound in (1) yields

$$\alpha \binom{n}{3}(n-3) \ge \frac{\delta}{\binom{m}{4}} \binom{n}{4} + 3\alpha^2 \binom{n}{3}(n-2) - 3\alpha \binom{n}{3}.$$

Dividing by $n\binom{n}{3}$ and taking the limit as $n\to\infty$ we get

$$\alpha \ge \frac{\delta}{4\binom{m}{4}} + 3\alpha^2.$$

Choose m = 18 and $\delta = 68\alpha/3 - 15/2$. Then (2) is satisfied (with equality) and therefore

$$\alpha \ge \frac{136\alpha}{(18)_4} - \frac{45}{(18)_4} + 3\alpha^2.$$

Solving this quadratic, we obtain $\alpha \le 0.3333288028 = 1/3 - (0.45305 \times 10^{-5})$.

Remarks:

- In order to simplify the presentation, we have not optimized the constants in the proof. Moreover, the upper bound is certainly far from being sharp. The value of Theorem 1 lies only in presenting a short proof that improves the previous best upper bound for this basic problem.
- It is mentioned in [4] that Erdős and Sós made the following conjecture: if \mathcal{H} is an n vertex 3-graph where $N(x) = \{yz : xyz \in \mathcal{H}\}$ is bipartite for every vertex x, then $|\mathcal{H}| < n^3/24$. There exist triple systems \mathcal{H} satisfying this property with $|\mathcal{H}| > (1/4 o(1))\binom{n}{3}$, so Erdős and Sós' conjecture, if true, would be asymptotically sharp. Since H(4,3) has a vertex x where N(x) is a triangle, $|\mathcal{H}| \le \text{ex}(n, H(4,3))$. As far as we know, this is the best known upper bound for \mathcal{H} . Thus Theorem 1 improves the upper bound for this problem as well.

Conjecture 2 For infinitely many n, the construction from [4] has the most edges among n vertex triple systems with no copy of H(4,3). In particular, $\pi(H(4,3)) = 2/7$.

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