Explicit constructions of triple systems for Ramsey-Turán problems

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Abstract

We explicitly construct four infinite families of irreducible triple systems with Ramsey-Turán density less than the Turán density. Two of our families generalize isolated examples of Sidorenko [14], and the first author and Rödl [12]. Our constructions also yield two infinite families of irreducible triple systems whose Ramsey-Turán densities are exactly determined.

For an r-graph \mathcal{F} , the Turán number $\exp(n, \mathcal{F})$ is the maximum number of edges in an n vertex r-graph containing no copy of \mathcal{F} . It is well known that $\pi(\mathcal{F}) = \lim_{n\to\infty} \exp(n, \mathcal{F})/\binom{n}{r}$ exists, but these numbers are very hard to determine when $r \geq 3$. For example, until very recently [10] no nontrivial infinite family $\{\mathcal{F}_i\}$ of triple systems has been constructed for which $\pi(\mathcal{F}_i)$ is known (by "nontrivial" we mean that for every \mathcal{F}_i , there are no two vertices x, y of \mathcal{F}_i for which (1) no edge contains both x and y, and (2) xuv is an edge iff yuv is an edge). Two examples that are known, and used in this note, are $\pi(F_5) = 2/9$, and $\pi(F(3,2)) = 4/9$, where $F_5 = \{123, 124, 345\}$ and $F(3,2) = \{123, 124, 125, 345\}$.

Many of the (conjectured) extremal examples for (hyper)graph Turán problems have large independent sets. Motivated by this observation, Erdős and Sós imposed a restriction on the

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underlying r-graphs in this problem, namely that they should not have large independent sets. This new class of problems has become known as the Ramsey-Turán problems. More precisely, for $0 < \delta \leq 1$,

 $\exp(n, \mathcal{F}, \delta) = \max\{|\mathcal{G}| : \mathcal{G} \text{ is an } r \text{-graph with } \mathcal{F} \not\subseteq \mathcal{G} \text{ and } \alpha(\mathcal{G}) < \delta n\},\$

or zero if no such hypergraph exists. The Ramsey-Turán number $\rho(\mathcal{F})$ is defined as

$$\sup_{\delta(n)} \left\{ \limsup_{n \to \infty} \frac{\exp(n, \mathcal{F}, \delta(n))}{\binom{n}{r}} : \delta(n) \to 0 \text{ as } n \to \infty \right\}.$$

Since obviously $\rho(\mathcal{F}) \leq \pi(\mathcal{F})$ for every \mathcal{F} , a fundamental question is whether equality holds. A sequence of papers [1, 3, 16] showed that in the case that \mathcal{F} is a complete (2-uniform) graph, $\rho(\mathcal{F}) < \pi(\mathcal{F})$. It was therefore a surprise when Erdős and Sós [4] proved that for *r*-graphs when $r \geq 3$, this does not hold. Call an *r*-graph \mathcal{H} locally dense if for every edge $E \in \mathcal{H}$, there is another edge $E' \in \mathcal{H}$ with $|E \cap E'| \geq 2$.

Theorem 1. (Erdős-Sós [4]) Let $r \geq 3$ and \mathcal{H} be a locally dense r-graph. Then $\rho(\mathcal{H}) = \pi(\mathcal{H})$.

On the other hand, it is proved in [4] that r-graphs \mathcal{F} exist $(r \geq 3)$ for which $0 = \rho(\mathcal{F}) < \pi(\mathcal{F})$. Motivated by these examples, Erdős and Sós asked whether there exist r-graphs (r > 2) with

$$0 < \rho(\mathcal{F}) < \pi(\mathcal{F}). \tag{1}$$

This was answered positively by Frankl and Rödl [8] for every r > 2, who showed that there exist infinitely many *r*-graphs for which (1) holds however, they did not obtain a single explicit example. Subsequently, Sidorenko [14], using ideas from [8] proved that for the 3-graph $F_7 = \{123, 145, 167, 245, 267, 345, 367, 467, 567\}$, inequality (1) holds. Recently, the first author and Rödl [12] proved that $F(3,3) = \{124, 125, 126, 134, 135, 136, 234, 235, 236, 456\}$ is another example.

Call an r-graph \mathcal{H} reducible if

1) it is disconnected, or

2) its vertex set can be partitioned into $X \cup Y$, such that no edges of \mathcal{H} are contained in Y, and all edges E of \mathcal{H} with $E \cap X$ and $E \cap Y$ both nonempty have the form $\{x, y_1, \ldots, y_{r-1}\}$, where $x \in X$ is fixed, $\{y_1, \ldots, y_r\} \subset Y$, and $\{\{y_1, \ldots, y_{r-1}\} : \{x, y_1, \ldots, y_{r-1}\} \in \mathcal{H}\}$ is (r-1)-partite.

If \mathcal{H} is not reducible, then \mathcal{H} is *irreducible*. It follows [13] from the definition of ρ that for every reducible \mathcal{H} , there is an irreducible $\mathcal{H}' \subset \mathcal{H}$ for which $\rho(\mathcal{H}') = \rho(\mathcal{H})$ (in Case 1, \mathcal{H}' is an appropriately chosen component, and in Case 2, $\mathcal{H}' = \mathcal{H} - Y$). Therefore, it makes sense to ask for $\rho(\mathcal{H})$ only when \mathcal{H} is irreducible.

In this note, we use essentially the same ideas from [8] to explicitly construct four infinite families of irreducible triple systems for which $0 < \rho < \pi$. Our constructions are in the spirit of [14], but we obtain more variety (in particular, infinite families) with no extra effort. Although the underlying principle behind our construction is a rather general phenomenon (see (3)), our lack of understanding of π limits our approach.

One of our families (see Example 1) contains F(3,3), and another (see Example 3) contains F_7 . Thus our contribution can be viewed as a generalization of results in [12, 14]. Our constructions also yield two infinite families of irreducible triple systems \mathcal{F}_i for which $\rho(\mathcal{F}_i)$ is determined. The values in the two cases are 2/9 and 4/9 (see Examples 2 and 3). Thus in this sense, our understanding of ρ for hypergraphs is greater than that for π (we can think of the notion of *irreducible* for ρ as analogous to the notion of *nontrivial* for π).

Given an r-graph \mathcal{H} , let \mathcal{H}^* be an r-graph obtained from \mathcal{H} by replacing a vertex v with r vertices v_1, \ldots, v_r , replacing each edge E containing v with r edges E_1, \ldots, E_r , where $E_i = E - v \cup \{v_i\}$, and adding the edge $\{v_1, \ldots, v_r\}$.

The main tool for the constructions is the following theorem. Although we proved it independently, later we noticed that the main part of it is proved in ([8] Lemma 2.3).

Theorem 2. Let \mathcal{H} be an r-graph, and \mathcal{H}^* be obtained from \mathcal{H} by replacing any vertex v. Then $\rho(\mathcal{H}^*) \leq \pi(\mathcal{H})$. If, in addition, \mathcal{H} is locally dense, then $\rho(\mathcal{H}^*) = \pi(\mathcal{H})$.

Proof. The first part is proved in [8]. For the last statement, Theorem 1 yields $\rho(\mathcal{H}^*) \leq \pi(\mathcal{H}) = \rho(\mathcal{H})$. Since $\mathcal{H} \subset \mathcal{H}^*$, the result follows.

A vertex multiplication in a hypergraph \mathcal{H} is the replacement of a vertex v in \mathcal{H} by a finite set of vertices $\{v_1, \ldots, v_k\}$, and the replacement of every edge E containing v by the k edges $E - v \cup \{v_i\}$. If \mathcal{H}' is obtained from \mathcal{H} by a finite sequence of vertex multiplications, then we say that \mathcal{H}' is a *blowup* of \mathcal{H} . It is easy to see that if \mathcal{H} is locally dense, then \mathcal{H}' is locally dense as well. Also, it is well-known (see, e.g. [15]) that

$$\pi(\mathcal{H}) = \pi(\mathcal{H}'). \tag{2}$$

Note that if \mathcal{F}^* is obtained from \mathcal{F} by replacing a non-isolated vertex of \mathcal{F} , then \mathcal{F}^* contains the hypergraph $F(3,2) = \{567, 467, 367, 345\}$, and it is known [11] that $\pi(F(3,2)) \ge 4/9$. Therefore

 $\pi(\mathcal{F}^*) \ge 4/9.$

Our constructions below yield infinite families of irreducible 3-graphs, since in each case (except Example 2) we begin with an arbitrary blowup \mathcal{F} of an irreducible 3-graph \mathcal{H} (with $\pi(\mathcal{H}) > 0$). After this we form \mathcal{F}^* by replacing any vertex from \mathcal{F} , except in Example 3, where we are more specific. Usually \mathcal{H} is locally dense, and hence \mathcal{F} is also locally dense. Consequently, Theorems 1, 2 and (2) yield

$$0 < \pi(\mathcal{H}) = \rho(\mathcal{H}) \le \rho(\mathcal{F}^*) = \pi(\mathcal{F}) \le \pi(\mathcal{F}^*).$$
(3)

One only needs to verify that $\pi(\mathcal{F}) < \pi(\mathcal{F}^*)$ to obtain $0 < \rho(\mathcal{F}^*) < \pi(\mathcal{F}^*)$. Although this may be true in general, we are only able to show it for the few examples below.

Example 1. Let H(4,3) be the (unique) four vertex triple system with three edges, and let \mathcal{F} be a blowup of H(4,3). Then Theorem 2 implies that $\rho(\mathcal{F}^*) = \pi(\mathcal{F})$. We also have $\pi(\mathcal{F}) = \pi(H(4,3))$, and $2/7 \leq \pi(H(4,3)) < 1/3 - 10^{-6}$ (see [6, 9]). Therefore

$$2/7 \le \rho(\mathcal{F}^*) < 1/3 - 10^{-6} < 4/9 \le \pi(\mathcal{F}^*).$$

Note that in the case $\mathcal{F} = H(4,3)$, and the vertex used to form \mathcal{F}^* is the unique vertex of degree three in H(4,3), we obtain $\mathcal{F}^* = F(3,3)$, thus retrieving the example of [12].

Example 2. Let F_5 be the five vertex triple system with edges 123, 124, 345. Let \mathcal{F} be a blowup of F_5 , where the vertex labelled 5 is replaced by at least two vertices. Then Theorem 2 implies that $\rho(\mathcal{F}^*) = \pi(\mathcal{F})$. We also have $\pi(\mathcal{F}) = \pi(F_5) = 2/9$ [5]. Therefore

$$2/9 = \rho(\mathcal{F}^*) < 4/9 \le \pi(\mathcal{F}^*).$$

Example 3. Recall that $F(3,2) = \{567, 467, 367, 345\}$. Let \mathcal{F} be a blowup of F(3,2). Let \mathcal{F}^* be obtained from \mathcal{F} by replacing one of the vertices playing the role of a vertex in $\{3,4,5\}$ (say 3), and then adding an edge among the three new vertices. Note that in the case $\mathcal{F} = F(3,2)$, we have $\mathcal{F}^* = \{567, 467, 367, 345, 267, 167, 245, 145, 123\}$, where 1 and 2 are the two new vertices, thus $\mathcal{F}^* = F_7$. Now Theorem 2 implies that $\rho(\mathcal{F}^*) \leq \pi(\mathcal{F})$. The last part of Theorem 2 implies that $\rho(\mathcal{F}^*) = \pi(\mathcal{F})$ except possibly in the case that \mathcal{F} was obtained from F(3,2) without blowing up any of the vertices 3, 4, 5 (this includes the case $\mathcal{F}^* = F_7$).

In this case, let F^- be obtained from \mathcal{F}^* by deleting the edge (in the labelling above) 123. Then F^- is locally dense and so $\rho(F^-) = \pi(F^-)$ by Theorem 1. Consequently,

$$4/9 \le \pi(F(3,2)) = \pi(F^{-}) = \rho(F^{-}) \le \rho(\mathcal{F}^{*}) \le \pi(\mathcal{F}) = \pi(F(3,2)) \le 4/9,$$

where the first inequality is from [11], the first and last equalities are from (2), and the last inequality was recently proved by Füredi, Pikhurko, Simonovits [7]. Thus even in this case, $\rho(\mathcal{F}^*) = \pi(\mathcal{F}) = 4/9$.

On the other hand, a short case analysis shows that \mathcal{F}^* is absent in the hypergraph \mathcal{G} with vertex partition $A_1 \cup A_2 \cup A_3$ ($||A_i| - |A_j|| \le 1$ for $i \ne j$), and all edges of the form *abc*, where $a, b \in A_i, c \in A_{i+1}$ (indices modulo 3), or $a \in A_1, b \in A_2, c \in A_3$. Since \mathcal{G} has density 5/9, $\pi(\mathcal{F}^*) \ge 5/9$. Therefore,

$$4/9 = \rho(\mathcal{F}^*) < 5/9 \le \pi(\mathcal{F}^*).$$

Example 4. Let K_4^3 be the complete triple system on four points, and let \mathcal{F} be a blowup of K_4^3 . Then Theorem 2 implies that $\rho(\mathcal{F}^*) = \pi(\mathcal{F})$. We also have $\pi(\mathcal{F}) = \pi(K_4^3)$, and from [2, 17], $5/9 \leq \pi(K_4^3) < .592$. It is easy to see that \mathcal{F}^* is not 2-colorable, therefore $\pi(\mathcal{F}^*) \geq 3/4$. Consequently,

$$5/9 \le \rho(\mathcal{F}^*) < 0.592 < 3/4 \le \pi(\mathcal{F}^*)$$

We end by remarking that our examples are nontrivial not only in the sense that the hypergraphs produced are irreducible, but also because one notes that ρ is not preserved in general under the blowup operation (as π is). Consequently, one cannot hope to just take blowups and produce an infinite family from a single 3-graph satisfying (1). One well-known problem in this regard is to determine $\rho(K_{2,2,2})$, where $K_{2,2,2}$ is the complete 3-partite graph with two vertices in each part. It is trivial that $\rho(K_3) = 0$, but it is unknown whether $\rho(K_{2,2,2}) > 0$.

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