

# Weak Theories of Arithmetic

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## Abstract

We will use a forcing argument to show that certain statements provable in a nonstandard extension of primitive recursive arithmetic are also provable in primitive recursive arithmetic.

## 1 $PRA^\omega$

### 1.1 Finite Types

- $N$  is a type, meant to denote the natural numbers

For types  $\sigma$  and  $\tau$ ,

- $\sigma \rightarrow \tau$  is a type, denoting functions from things of type  $\sigma$  to things of type  $\tau$
- $\sigma \times \tau$  is a type, denoting the cross product of the set of things of type  $\sigma$  and the set of things of type  $\tau$

We use  $\sigma, \tau \rightarrow \rho$  to abbreviate  $\sigma \rightarrow (\tau \rightarrow \rho)$ .

### 1.2 Language of $PRA^\omega$ ( $L$ )

$L$  has variables of all finite types and the following constants

- 0 of type  $N$  (zero)
- $S$  of type  $N \rightarrow N$  (successor)

For types  $\sigma$  and  $\tau$

- $\langle , \rangle$  of type  $\sigma, \tau \rightarrow \sigma \times \tau$  (pairing)
- $( )_0$  and  $( )_1$  of type  $\sigma \times \tau \rightarrow \sigma$  and  $\sigma \times \tau \rightarrow \tau$  respectively (projections)
- $R$  of type  $N, (N, N \rightarrow N), N \rightarrow N$  (primitive recursion)
- $Cond_\sigma$  of type  $N, \sigma, \sigma \rightarrow \sigma$  (indicator)

### 1.3 Axioms of $PRA^\omega$

For  $r[z]$  of type  $N$ ,  $z$  of appropriate type

- **Application** For  $s, t$  terms,  $x$  a variable,

$$r[(\lambda xt)(s)] = r[t[s/x]]$$

- **Projection** For  $x, y$  terms

$$r[(\langle x, y \rangle)_0] = r[x]$$

$$r[(\langle x, y \rangle)_1] = r[y]$$

- **Successor** For  $x, y$  of type  $N$

$$\neg S(x) = 0$$

$$S(x) = S(y) \rightarrow x = y$$

- **Primitive Recursion** For  $a, x$  of type  $N$ ,  $f$  of type  $N, N \rightarrow N$

$$R(a, f, 0) = a$$

$$R(a, f, (S(x))) = f(x, R(a, f, x))$$

- **Indicator** For  $n$  of type  $N$ ,  $x, y$  of type  $\sigma$

$$r[Cond_\sigma(0, x, y)] = r[x]$$

$$r[Cond_\sigma(S(n), x, y)] = r[y]$$

## 2 $\Sigma_1$ -induction

For every  $\Sigma_1$ -formula  $\phi$  in  $L$ ,

$$\forall x(\phi(0) \wedge \forall y < x(\phi(y) \rightarrow \phi(y+1)) \rightarrow \phi(x))$$

Fact: Over  $PRA^\omega$ , this is equivalent to saying that every bounded function on  $N$  has a least upper bound, and attains it. That is, for all  $f$  of type  $N \rightarrow N$ ,

$$\exists z \forall y (f(y) \leq z) \rightarrow \exists x \forall y (f(y) \leq f(x))$$

## 3 $NPRA^\omega$

### 3.1 Language of $NPRA^\omega$ ( $L^{st}$ )

- Symbols of  $L$
- $st(t)$ , a unary predicate over  $N$  (standard)
- $\omega$ , a constant of type  $N$  (infinity)

### 3.2 Axioms of $NPRA^\omega$

- Axioms of  $PRA^\omega$
- $\neg st(\omega)$  ( $\omega$  is non-standard)
- For  $x, y$  of type  $N$ ,

$$st(x) \wedge y < x \rightarrow st(y)$$

(everything below a standard element is standard)

- For  $x_1, \dots, x_k$  of type  $N$  and  $f$  of type  $N^k \rightarrow N$

$$st(x_1) \wedge \dots \wedge st(x_k) \rightarrow st(f(x_1, \dots, x_k))$$

(the standard part of the universe is closed under primitive recursion)

- For  $\psi(\vec{x})$  quantifier free, internal, and not involving  $\omega$ , with free variables shown,

$$\forall^{st} \vec{x} \psi(\vec{x}) \rightarrow \forall \vec{x} \psi(\vec{x})$$

## 4 The Interpretation

### 4.1 Translating the terms of $L^{st}$ to terms of $L$

- Let  $\omega$  be a type  $N$  variable in  $L$ , corresponding to the constant  $\omega$  in  $L^{st}$
- For each variable  $x$  in  $L^{st}$  of type  $\sigma$ , let  $\tilde{x}$  be of type  $N \rightarrow \sigma$  in  $L$
- If  $t[x_1, \dots, x_n]$  is a term of  $L^{st}$  with free variables shown, let  $\hat{t}$  denote  $t[\tilde{x}_1(\omega), \dots, \tilde{x}_n(\omega)]$  where the constant  $\omega$  is replacted with the variable  $\omega$

### 4.2 The Forcing Relation $\Vdash$

For a unvary predicate  $p$ , let  $Cond(p)$  denote  $\forall z \exists \omega \geq z p(\omega)$ . For predicate  $p, q$ , let  $q \preceq p$  denote  $\forall u (q(u) \rightarrow p(u)) \wedge Cond(q)$ . We define  $p \Vdash \phi$  for formulas  $\phi$  of  $L^{st}$  inductively as follows:

- $p \Vdash t_1 = t_2 \equiv \exists z \forall \omega \geq z (p(\omega) \rightarrow \hat{t}_1(\omega) = \hat{t}_2(\omega))$
- $p \Vdash t_1 < t_2 \equiv \exists z \forall \omega \geq z (p(\omega) \rightarrow \hat{t}_1(\omega) < \hat{t}_2(\omega))$
- $p \Vdash st(t) \equiv \exists z \forall \omega \geq z (p(\omega) \rightarrow \hat{t}(\omega) < z)$
- $p \Vdash \phi \rightarrow \psi \equiv \forall q \preceq p (q \Vdash \phi \rightarrow q \Vdash \psi)$
- $p \Vdash \phi \wedge \psi \equiv (p \Vdash \phi) \wedge (p \Vdash \psi)$
- $p \Vdash \neg \phi \equiv \forall q \preceq p (q \not\Vdash \phi)$
- $p \Vdash \forall x \phi \equiv \forall \tilde{x} (p \Vdash \phi)$

Facts:

- $p \Vdash \phi \vee \psi \equiv \forall q \preceq p \exists r \preceq q (r \Vdash \phi \vee r \Vdash \psi)$
- $p \Vdash \exists x \phi \equiv \forall q \preceq p \exists r \preceq q \exists \tilde{x} (r \Vdash \phi)$
- $Cond(p) \rightarrow \neg (p \Vdash \phi \wedge p \Vdash \neg \phi)$

Let  $\Vdash \phi$  denote  $\forall p (Cond(p) \rightarrow p \Vdash \phi)$ .

## 5 The Theorem

**Theorem 1.** *Suppose  $NPRA^\omega$  proves  $\forall^{st} x \exists y \phi(x, y)$  where  $\phi$  is a quantifier free formula of  $L$  with free variables shown. Then  $PRA^\omega + \Sigma_1$ -induction proves  $\forall x \exists y \phi(x, y)$ .*

### 5.1 Outline of Proof

1. For  $\phi$  in the language  $L^{st}$ , if  $\phi$  is provable classically, then  $PRA^\omega$  proves  $\Vdash \phi$ .
  - (a) For each formula  $\phi$  in the language of  $L^{st}$ , if  $\phi$  is provable in intuitionistic logic, and has free variables  $\vec{x}$ , then  $PRA^\omega$  proves  $\Vdash \forall \vec{x} \phi$ .
  - (b) For each formula  $\phi$  of  $L^{st}$ ,  $PRA^\omega$  proves  $\Vdash \neg \neg \phi \rightarrow \phi$ .
2. If  $\phi$  is an axiom of  $PRA^\omega$ , then  $PRA^\omega$  proves  $\Vdash \phi$
3.  $PRA^\omega$  proves  $\Vdash (\phi(0) \wedge \forall k < x (\phi(k) \rightarrow \phi(k+1))) \rightarrow \phi(x)$  for any  $x$  of type  $N$  and  $\Sigma_1$ -formula  $\phi$  of  $L$ .
4. Suppose  $\phi$  is any formula of  $L^{st}$  and  $NPRA^\omega$  proves  $\phi$ . Then  $PRA^\omega$  proves  $\Vdash \phi$ .
5. Cleverly apply this to prove the theorem.

You can find the whole proof at [www.math.uic.edu/~noquez/research.html](http://www.math.uic.edu/~noquez/research.html)