

# Louise Hay Logic Seminar notes

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I am talking today about Turing Degrees and the Jump Operator.

## 1 Basics

We start with a quick review of basics:

**Definition 1.** A Turing machine is a machine with a two-way infinitely long tape of *cells* containing 0, 1, or  $B$ , a *read/write head*, and a finite collection of *states*  $Q$ , one of which is the starting state and another of which is *HALT*.

Its operation happens in discrete time chunks, where at each time the read/write head reads its current cell, and does something based on the current cell and its state: the read/write head can overwrite the current cell with a 0 or 1, and then moves left or right. The machine halts when it reaches the *HALT* state.

**Definition 2.** A set  $A \subseteq \mathbb{N}$  is recursive/Turing computable if there is a Turing machine that always halts that decides whether  $n \in A$ . A function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is computable if it can be computed with a Turing machine.

**Definition 3.** A set  $A$  is recursively enumerable if there is a Turing machine such that  $x \in A$  iff when  $x$  is written on the read/write tape in binary, the Turing machine halts and returns a 1.

**Theorem 4.** *There are countably many Turing machines, and we can code them computably (in the sense that we can write a Turing machine that halts and produces every possible state diagram) as  $P_e$  over  $n \in \mathbb{N}$ ; the associated partial function is written  $\Phi_e$ .*

**Theorem 5.** *There is a universal Turing machine  $U$  that takes as input a pair  $(n, e)$  and simulates  $P_e(n)$ .*

**Theorem 6.**  $K := \{n \in \mathbb{N} \mid P_n(n) \downarrow\}$  is r.e. but not recursive.

## 2 Relativization

We want to develop some notion of relative computability gauge just how hard the questions we ask are. We already have  $\leq_1$  and  $\leq_m$ . These aren't great, because we'd like to be able to say that  $\bar{A}$  and  $A$  are equicomputable. But  $\bar{A} \not\leq_m A$  for some sets  $A$ . This motivates a new way of thinking about computation:

**Definition 7.** A *relativized Turing machine* (*oracle tape Turing machine*) is a Turing machine where there is an added two-way infinite tape. The read/write head moves along the oracle tape simultaneously with the work tape, but may only read it. The oracle tape has written on it the characteristic function of some set  $A$ .

**Definition 8.** Given an oracle tape Turing machine, we write  $\hat{P}_e$  to denote the  $e$ th oracle tape Turing machine, and given an  $A$ , we write  $\Phi_e^A$  for the corresponding partial function.

We may now talk about Turing reducibility.

**Definition 9.** A function  $f$  is *Turing computable in  $A$* , written  $f \leq_T A$ , if there is a program  $\hat{P}_e$  such that  $f(x) = y$  iff  $\hat{P}_e(x)$  halts whenever  $A$  is on its oracle tape and  $\Phi_e^A(x) = y$ .  $B \subseteq \omega$  is computable in  $A$  if  $\chi_B \leq_T A$  (and we'll write  $B \leq_T A$ ).

If you're following along in Soare, he writes  $\{e\}^A$  to denote  $\Phi_e^A$ .

It should be clear that  $\bar{A} \leq_T A$ : If  $A$  is on an oracle tape, just have a program that, given  $n$ , finds the  $n$ th cell on the oracle tape. If the contents of that cell are  $d$ , output  $1 - d$ .

The definition above is "relativized", in the sense that we took the definition of Turing machines and made it "relative" to  $A$ . This works analogously for the definitions of  $A$ -partial recursive,  $A$ -recursive, and  $A$ -r.e. Many statements and theorems about Turing machines and partial recursive functions relativize as well:

**Theorem 10** (Relativized Enumeration Theorem). *There exists a  $z \in \omega$  such that for all  $A \subseteq \omega$  and all  $x, y \in \omega$ ,  $\Phi_z^A(x, y) = \Phi_x^A(y)$ .*

This is just the existence of a universal  $A$ -relativized Turing machine, and the proof has no remarkable differences from the non-relativized version.

**Theorem 11** (Relativized s-m-n theorem; Relativized Parametrization lemma). *For every  $m, n$  there is an injective recursive  $m + 1$ -ary function  $s_n^m$  such that for all  $A \subseteq \omega$  and for all  $x, y_1, \dots, y_n \in \omega$ ,*

$$\Phi_{s_n^m(x, y_1, \dots, y_n)}^A = \lambda z_1, \dots, z_n [\Phi_x^A(y_1, \dots, y_n, z_1, \dots, z_n)]$$

There's not much to say about this, other than it works just like the non-relativized version.

(If someone really wants to know:)

*Proof.* Using a suitable pairing function, we may take  $m = n = 1$ . Then let  $\hat{P}_{s(x, y)}(z) = \hat{P}_x(y, z)$ . Since our enumeration of the relativized Turing machines is effective,  $s$  is recursive.  $s$  can be made injective by the usual padding.  $\square$

**Theorem 12** (Relativized Recursion Theorem). *For all  $A \subseteq \omega$  and all  $x, y \in \omega$ , if  $f(x, y)$  is recursive in  $A$  then there is a recursive  $n$  such that  $\Phi_{n(y)}^A = \Phi_{f(n(y), y)}^A$ .*

Furthermore, if  $f(x, y) = \{e\}^A(x, y)$  then  $n(y)$  can be made uniform in  $e$ ; that is,  $n$  does not depend on  $A$ .

*Proof.* Left as exercise. You read the standard Recursion theorem and make sure relativization doesn't change things.  $\square$

The uniformity part of this is notable, since it does not occur in other relativized theorems.

It's worth mentioning that computation with oracles, when a computation halts, does so finitely. This has a name:

**Theorem 13** (Use Principle). *If  $\Phi_e^A(x) = y$  then there is a finite  $\sigma \subseteq A$  and an  $s$  such that  $\Phi_e^\sigma(x)$  halts in  $s$  steps with output  $y$ .*

We'll conclude our remarks on relative computability by tying the notion of Turing computability to a syntactic notion:

**Definition 14.** 1. We say that a set  $B$  is r.e. in  $A$  if  $B$  is the domain of an  $A$ -recursive function, i.e. for some  $e$ ,  $B = W_e^A$ .

2. We say that a set  $B$  is  $\Sigma_1^A$  if there is an  $A$ -recursive  $R$  such that  $B = \{x \mid \exists y (R^A(x, y))\}$ .

**Theorem 15.**  *$B$  is r.e. in  $A$  iff  $B$  is  $\Sigma_1^A$ .*

*Proof.* The reverse direction is just the relativized version of the standard proof that  $B$  is r.e. iff  $B$  is  $\Sigma_1$ .

For the forward direction, by the Use Principle,  $x \in B \iff \exists s, \sigma (\sigma \subseteq A \wedge x \in W_{e, s}^\sigma)$ . Observe that  $x \in W_{e, s}^\sigma$  is recursive, and  $\sigma \subseteq A$  is  $A$ -recursive and equivalent to  $\forall y < \text{len}(\sigma), \sigma(y) = A(y)$ . Thus  $B = \{x \mid \exists s \exists \sigma R(e, x, \sigma, s)\}$  where  $R$  is an  $A$ -recursive relation on  $x, s, \sigma$ .  $\square$

### 3 Turing degrees

We already saw the relation  $\leq_T$ , which is reflexive (obviously) and transitive (and to see this, if  $A \leq_T B$  and  $B \leq_T C$ , just make a relativized TM with oracle tape  $C$  that whenever we need to check an answer to  $B$ , we compute that answer using  $C$ ). Thus  $\equiv_T$  is an equivalence relation.

**Definition 16.** The equivalence classes of  $\equiv_T$  are the Turing degrees, denoted  $deg(A)$ .

**Definition 17.** We write  $deg(A) \cup deg(B)$  to mean  $deg(A \oplus B)$ , the degree of their computable join.

**Theorem 18.**  $deg(A \oplus B)$  is the least upper bound of  $deg(A)$  and  $deg(B)$ .

*Proof.* Clearly  $A, B \leq_T A \oplus B$  so suppose that  $A \leq_T X$  and  $B \leq_T X$ . Then to compute  $A \oplus B$  using  $X$ , write a program that on input  $2n$ , computes  $\chi_A(n)$  using  $X$ , and on input  $2n + 1$ , computes  $\chi_B(n)$  using  $X$ .  $\square$

**Remark 19.** The computable join can be extended to a join of  $\omega$ -many sets  $\bigoplus_n A_n$  which is the minimum degree that computes all the  $A_n$ 's uniformly. We cannot extend to all ordinals, or even all countable ordinals. Computable join, for instance, doesn't make sense to extend to  $\omega_1^{CK}$  since we want the join to reflect information about the sets we're joining, not the index we're joining them over. We *can*, however, extend the join to *recursive* ordinals, that is, ordinals  $\alpha$  such that there is a recursive bijection  $f : \omega \rightarrow \alpha$ . The case of  $\omega$  is an exercise in Soare.

**Definition 20.** 1. Given an  $A \subseteq \omega$ , let  $K^A = \{x \in \omega \mid \Phi_x^A(x) \downarrow\}$ . We say that  $K^A$  is the "jump" of  $A$ , and is often denoted  $A'$ .

2. We may iterate this operation to obtain  $A^{(n)}$ .

**Theorem 21.** 1.  $A'$  is recursively enumerable in  $A$ .

2.  $A' \not\leq_T A$ .

These should be pretty clear.

As a special case, we say  $0$  is the degree of all computable sets, and then  $0^{(n)}$  is the  $n$ th Turing jump of  $0$ . This gives us a hierarchy  $0 < 0' < \dots < 0^{(n)} < \dots$ .