# GLOBAL AND LOCAL BOUNDEDNESS OF POLISH GROUPS

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ABSTRACT. We present a comprehensive theory of boundedness properties for Polish groups developed with a main focus on *Roelcke precompactness* (precompactness of the lower uniformity) and *Property (OB)* (boundedness of all isometric actions on separable metric spaces). In particular, these properties are characterised by the orbit structure of isometric or continuous affine representations on separable Banach spaces.

We further study local versions of boundedness properties and the microscopic structure of Polish groups and show how the latter relates to the local dynamics of isometric and affine actions.

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### 1. Global boundedness properties in Polish groups

We will be presenting and investigating a number of boundedness properties in *Polish* groups, that is, separable, completely metrisable groups, all in some way capturing a different aspect of compactness, but without actually being equivalent with compactness. The main cue for our study comes from the following result, which reformulates compactness of Polish groups.

**Theorem 1.1.** The following are equivalent for a Polish group G.

- (1) G is compact,
- (2) for any open  $V \ni 1$  there is a finite set  $F \subseteq G$  such that G = FV,
- (3) for any open  $V \ni 1$  there is a finite set  $F \subseteq G$  such that G = FVF,
- (4) whenever G acts continuously and by affine isometries on a Banach space X, G fixes a point of X.

The implication from (1) to (4) follows, for example, from the Ryll-Nardzewski fixed point theorem and the equivalence of (1) and (2) are probably part of the folk-lore. On the other hand, the implication from (3) to (1) was shown independently by S. Solecki [22] and V. Uspenskiĭ [29], while the implication from (4) to (1) is due to L. Nguyen Van Thé and V. Pestov [19].

The groups classically studied in representation theory and harmonic analysis are of course the locally compact (second countable), but many other groups of transformations appearing in analysis and elsewhere fail to be locally compact, e.g., homeomorphism groups of compact metric spaces, diffeomorphism groups of manifolds, isometry groups of separable complete metric spaces, including Banach spaces, and automorphism groups of countable first order structures. While the class of Polish groups is large enough to encompass all of these, it is nevertheless fairly well behaved and allows for rather strong tools, notably Baire category methods, though not in general Haar measure. As it is also reasonably robust, i.e., satisfies strong closure properties, it has received considerable attention for the last twenty years, particularly in connection with the descriptive set theory of continuous actions on Polish spaces [5].

The goal of the present paper is to study a variety of global boundedness properties of Polish groups. While this has been done for general topological groups in the context of uniform topological spaces, e.g., by J. Hejcman [13], one of the most important boundedness properties, namely, Roelcke precompactness has not received much attention until recently (see, e.g., [26, 27, 28, 29, 25, 11]). Moreover, another of these, namely, property (OB) (see [21]) is not naturally a property of uniform spaces, but nevertheless has a number of equivalent reformulations, which makes it central to our study here.

The general boundedness properties at stake are, on the one hand, precompactness and boundedness of the four natural uniformities on a topological group, namely, the two-sided, left, right and Roelcke uniformities. On the other hand, we have boundedness properties defined in terms of actions on various spaces, e.g., (reflexive) Banach spaces, Hilbert space or complete metric spaces.

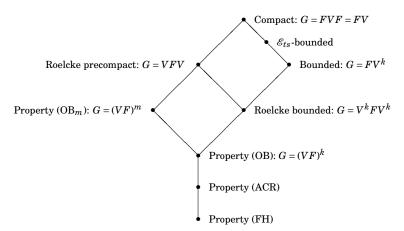


FIGURE 1. Implications between various boundedness properties

Though we shall postpone the exact definitions till later in the paper, most of these can be simply given as in conditions (2) and (3) of Theorem 1.1. For this and to facilitate the process of keeping track of the different notions of global boundedness of Polish groups, we include a diagram, Figure 1, which indicates how to recover a Polish group G from any open set  $V \ni 1$  using a finite set  $F \subseteq G$  and a natural number k (both depending on V).

Referring to Figure 1 for the definition of property (OB), our first result characterises this in terms of affine and linear actions on Banach spaces.

**Theorem 1.2.** The following conditions are equivalent for a Polish group G.

- (1) G has property (OB),
- (2) whenever G acts continuously by affine isometries on a separable Banach space, every orbit is bounded,
- (3) any continuous linear representation  $\pi\colon G\to \mathbf{GL}(X)$  on a separable Banach space is bounded, i.e.,  $\sup_{g\in G}\|\pi(g)\|<\infty$ .

Examples of Polish groups with property (OB) include many transformation groups of highly homogeneous mathematical models, e.g., homeomorphism groups of spheres  $\operatorname{Homeo}(S^n)$  and of the Hilbert cube  $\operatorname{Homeo}([0,1]^{\mathbb{N}})$  [21].

The second global boundedness property of our study is Roelcke precompactness (cf. Figure 1), which recently turned out to be of central importance in T. Tsankov's classification of unitary representations of oligomorphic permutation groups [25]. Again, the class of Roelcke precompact Polish groups is surprisingly large despite of being even more restrictive than those with property (OB). As shown in Proposition 1.22 extending work of [21, 25], the Roelcke precompact Polish groups are exactly those that can realised as approximately oligomorphic groups of isometries. This criterion immediately gives us the following range of examples,  $\operatorname{Aut}([0,1],\lambda)$  (see [11] for an independent proof), the unitary group of separable Hilbert space  $\mathscr{U}(\ell_2)$ , and, less obviously,  $\operatorname{Isom}(\mathbb{U}_1)$  [21], where  $\mathbb{U}_1$  denotes the so called Urysohn metric space of diameter 1.

As noted by S. Dierolf and W. Roelcke [20], Homeo([0,1]) is Roelcke precompact and the same holds for many homeomorphism groups of zero-dimensional compact metric spaces [27]. Motivated by this, Uspenskiĭ [27] asked whether also the homeomorphism group of the Hilbert cube  $Homeo([0,1]^{\mathbb{N}})$  is Roelcke precompact.

**Theorem 1.3** (joint with M. Culler). Suppose M is a compact manifold of dimension  $\ell \geq 2$  or is the Hilbert cube  $[0,1]^{\mathbb{N}}$ . Then  $\operatorname{Homeo}(M)$  is not Roelcke precompact.

While property (FH), that is, the requirement that every continuous affine isometric action on a Hilbert space has a fixed point, delineates a non-trivial subclass of locally compact groups, by a result of U. Haagerup and A. Przybyszewska [12], if one instead considers affine isometric actions on reflexive spaces, one obtains instead simply the class of compact groups. We shall show that the same holds when, rather than changing the space on which the group acts, one considers just continuous affine actions.

**Theorem 1.4.** Any non-compact locally compact second countable group acts continuously by affine transformations on a separable Hilbert space such that all orbits are unbounded.

As a corollary, we also obtain information for more general Polish groups.

**Corollary 1.5.** Suppose G is a Polish group and  $V \leq G$  is an open subgroup of infinite index with  $G = \text{Comm}_G(V)$ . Then G admits a continuous affine representation on a separable Hilbert space for which every orbit is unbounded.

A second part of our study deals with local versions of these boundedness properties. More exactly, Solecki [23] asked whether the class of locally compact groups could be characterised among the Polish groups as those for which there is a neighbourhood of the identity  $U \ni 1$  such that any other neighbourhood  $V \ni 1$  covers U by a finite number of two-sided translates (he also included a certain additional technical condition of having a free subgroup at 1 that we shall come back to). While positive results were obtained by M. Malicki in [15], we shall show that this is not so by presenting a non-locally compact Polish group with a free subgroup at 1 satisfying the above mentioned covering property for some neighbourhood  $U \ni 1$ .

**Theorem 1.6.** There is a non-locally compact, Weil complete Polish group, having a free subgroup at 1 and an open subgroup U whose conjugates  $fUf^{-1}$  provide a neighbourhood basis at 1.

The third and final part of our study deals with the consequences at the microscopic level of the previously mentioned global boundedness properties. By this we understand not only what happens in a single neighbourhood of the identity, but rather what happens as one decreases the neighbourhood to 1. As it turns out, the stronger global boundedness properties, namely, Roelcke precompactness and being oligomorphic prevent further covering properties at the microscopic level. Moreover, this can in turn be utilised in the construction of affine isometric action with non-trivial local dynamics.

We recall that  $S_{\infty}$  is the Polish group consisting of all permutations of the infinite discrete set  $\mathbb{N}$  equipped with the topology of pointwise convergence. Also, a closed subgroup  $G \leq S_{\infty}$  is said to be oligomorphic if, for every  $n \geq 1$ , G induces only finitely many distinct orbits on  $\mathbb{N}^n$ . By a classical theorem of model theory, up to isomorphism these are exactly the automorphism groups of countable  $\aleph_0$ -categorical structures, e.g.,  $S_{\infty}$ ,  $\operatorname{Aut}(\mathbb{Q},<)$  and the homeomorphism group of Cantor space  $\operatorname{Homeo}(2^{\mathbb{N}})$  among many other.

**Theorem 1.7.** Let G be an oligomorphic closed subgroup of  $S_{\infty}$ . Then there is a neighbourhood basis at 1,  $V_0 \supseteq V_1 \supseteq V_2 \supseteq ... \ni 1$ , such that

$$G \neq \bigcup_{n} F_{n} V_{n} E_{n}$$

for all finite subsets  $F_n, E_n \subseteq G$ .

It follows that G admits a continuous affine isometric action on a separable Banach space X such that for some  $\epsilon_n > 0$  and all sequences of compact subsets  $C_n \subseteq X$  there is a  $g \in G$  satisfying

$$\operatorname{dist}(gC_n, C_n) > \epsilon_n$$

for all  $n \in \mathbb{N}$ .

**Theorem 1.8.** Suppose G is a non-compact, Roelcke precompact Polish group. Then there is a neighbourhood basis at 1,  $V_0 \supseteq V_1 \supseteq ... \ni 1$ , such that for any  $h_n \in G$  and finite  $F_n \subseteq G$ ,

$$G \neq \bigcup_n F_n V_n h_n$$
.

We should also mention that though we mainly consider Polish groups, many of our results are valid with only trivial modifications for arbitrary topological groups. However, to avoid complications and to get the cleanest statements possible, we have opted for this more restrictive setting, which nevertheless already includes most of the groups appearing in analysis and geometry.

The paper is organised as follows: In Sections 1.1, 1.2 and 1.3 we present some background material on uniformities on topological groups and general constructions of affine and linear representations on Banach spaces. Almost all of the material there is well-known, but sets the stage for several of the constructions used later on. Sections 1.4–1.11 contains the core study of the various boundedness properties and their consequences. In Sections 2.1 and 2.2, we answer Solecki's question on the possible characterisation of locally compact Polish groups. And finally, in Sections 3.1–3.5 we study the covering properties of neighbourhood bases in Polish groups, which leads to constructions of affine isometric actions with interesting local dynamics.

- 1.1. **Uniformities and compatible metrics.** Recall that a *uniform space* is a tuple  $(X, \mathcal{E})$ , where X is a set and  $\mathcal{E}$  is a collection of subsets of  $X \times X$ , called *entourages* of the diagonal  $\Delta = \{(x, x) \in X \times X \mid x \in X\}$ , satisfying
  - (1)  $\Delta \subseteq V$  for any  $V \in \mathcal{E}$ ,
  - (2)  $\mathscr{E}$  is closed under supersets, i.e.,  $V \subseteq U$  and  $V \in \mathscr{E}$  implies  $U \in \mathscr{E}$ ,
  - (3)  $V \in \mathcal{E}$  implies that also  $V^{-1} = \{(y, x) \in X \times X \mid (x, y) \in V\} \in \mathcal{E}$ ,
  - (4)  $\mathscr{E}$  is closed under finite intersections, i.e.,  $V, U \in \mathscr{E}$  implies that  $V \cap U \in \mathscr{E}$ ,
  - (5) for any  $V \in \mathcal{E}$  there is  $U \in \mathcal{E}$  such that

$$U^2 = U \circ U = \{(x, y) \in X \times X \mid \exists z \in X (x, z) \in U \& (z, y) \in U\} \subseteq V.$$

The basic example of a uniform space is the case when (X,d) is a metric space (or just pseudometric) and we let  $\mathcal{B}$  on X denote the family of sets

$$V_{\epsilon} = \{(x, y) \in X \times X \mid d(x, y) < \epsilon\},\$$

for  $\epsilon > 0$ . Closing  $\mathcal B$  under supersets, one obtains a uniformity  $\mathcal E$  on X, and we say that  $\mathcal B = \{V_\epsilon\}_{\epsilon > 0}$  forms a *fundamental system* for  $\mathcal E$ , meaning that any entourage contains a subset belonging to  $\mathcal B$ .

Conversely, if  $(X,\mathcal{E})$  is a uniform space, then  $\mathcal{E}$  generates a unique topology on X by declaring the vertical sections of entourages at x, i.e.,  $V[x] = \{y \in X \mid (x, y) \in V\}$ , to form a neighbourhood basis at  $x \in X$ .

A net  $(x_i)$  in X is said to be  $\mathscr{E}$ -Cauchy provided that for any  $V \in \mathscr{E}$  we have  $(x_i, x_i) \in \mathscr{E}$ V for all sufficiently large i, j. And  $(x_i)$  converges to x if, for any  $V \in \mathcal{E}$ , we have  $(x_i,x) \in V$  for all sufficiently large i. Thus,  $(X,\mathcal{E})$  is complete if any  $\mathcal{E}$ -Cauchy net converges in X.

Similarly,  $(X, \mathcal{E})$  is *precompact* if for any  $V \in \mathcal{E}$  there is a finite set  $F \subseteq X$  such that

$$X = V[F] = \{ y \in X \mid \exists x \in F \ (x, y) \in V \}.$$

That is, X is a union of finitely many vertical sections V[x] of V.

An *écart* or *pseudometric* on a set X is a symmetric function  $d: X \times X \to \mathbb{R}_{\geq 0}$ satisfying the triangle inequality,  $d(x,y) \le d(x,z) + d(z,y)$ , and such that d(x,x) =0. The Birkhoff-Kakutani theorem states that if  $\Delta \subseteq U_n \subseteq X \times X$  is a decreasing sequence of symmetric sets satisfying

$$U_{n+1} \circ U_{n+1} \circ U_{n+1} \subseteq U_n$$

and we define  $\delta, d: X \times X \to \mathbb{R}_{\geq 0}$  by

$$\delta(x, y) = \inf\{2^{-n} \mid (x, y) \in U_n\}$$

and

$$d(x,y) = \inf \{ \sum_{i=1}^{n} \delta(x_{i-1},x_i) \mid x_0 = x, x_n = y \},\$$

then d is an écart on X with

$$\frac{1}{2}\delta(x,y) \le d(x,y) \le \delta(x,y).$$

In other words, if the  $V_{\epsilon}$  are defined as above, then  $V_{2^{-(n+1)}} \subseteq U_n \subseteq V_{2^{-n}}$  and thus the two families  $\{U_n\}_{n\in\mathbb{N}}$  and  $\{V_\epsilon\}_{\epsilon>0}$  are fundamental systems for the same uniformity on X. In particular, this shows that any uniformity with a countable fundamental system can be induced by an écart on X.

Now, if G is a topological group, it naturally comes with four uniformities, namely, the two-sided, left, right and Roelcke uniformities denoted respectively  $\mathscr{E}_{ts}, \mathscr{E}_{l}, \mathscr{E}_{r}$  and  $\mathcal{E}_R$ . These are the uniformities with fundamental systems given by respectively

- (1)  $E_W^{ts} = \{(x, y) \in G \times G \mid x^{-1}y \in W \& xy^{-1} \in W\},\$

- (2)  $E_W^l = \{(x, y) \in G \times G \mid x^{-1}y \in W\},\$ (3)  $E_W^r = \{(x, y) \in G \times G \mid xy^{-1} \in W\},\$ (4)  $E_W^R = \{(x, y) \in G \times G \mid y \in WxW\},\$

where W varies over symmetric neighbourhoods of 1 in G. Since clearly,  $E_w^{ts} \subseteq E_w^l \subseteq$  $E_W^R$  and  $E_W^{ts} \subseteq E_W^r \subseteq E_W^R$ , we see that  $\mathscr{E}_{ts}$  is finer that both  $\mathscr{E}_l$  and  $\mathscr{E}_r$ , while  $\mathscr{E}_R$  is coarser than all of them. In fact, in the lattice of uniformities on G, one has  $\mathcal{E}_{ts} = \mathcal{E}_l \vee \mathcal{E}_r$  and  $\mathcal{E}_R = \mathcal{E}_l \wedge \mathcal{E}_r$ .

Though these uniformities are in general distinct, they all generate the original topology on G. This can be seen by noting first that for any symmetric open  $W \ni 1$ and  $x \in G$ , one has  $\mathcal{E}_{W}^{ts}[x] = xW \cap Wx$ , which is a neighbourhood of x in G, and thus the topology generated by  $\mathcal{E}_{ts}$  is coarser than the topology on G. Secondly, if U is an open neighbourhood of x in G, then there is a symmetric open  $W\ni 1$  such that  $E_w^R[x] = WxW \subseteq U$ , whence the Roelcke uniformity generates a topology as fine as the topology on G.

Note also that if G is first countable, then each of the above uniformities have countable fundamental systems and thus are induced by écarts  $d_{ts}, d_l, d_r$  and  $d_R$ . It follows that each of these induce the topology on G and hence in fact must be metrics on G. Moreover, since the sets  $E_W^l$  are invariant under multiplication on the left, the uniformity  $\mathcal{E}_l$  has a countable fundamental system of left-invariant sets, implying that the metric  $d_l$  can be made left-invariant. Similarly,  $d_r$  can be made right-invariant and, in fact, one can set  $d_r(g,h) = d_l(g^{-1},h^{-1})$ . Moreover, since  $\mathcal{E}_{ts} = \mathcal{E}_l \vee \mathcal{E}_r$ , one sees that  $d_l + d_r$  is a compatible metric for the uniformity  $\mathcal{E}_{ts}$  and thus one can choose  $d_{ts} = d_l + d_r$ .

A topological group is said to be Raikov complete if it is complete with respect to the two-sided uniformity and Weil complete if complete with respect to the left uniformity. This is equivalent to the completeness of the metrics  $d_{ts}$  and  $d_l$  respectively. Polish groups are always Raikov complete. On the other hand, Weil complete Polish groups are by the above exactly those that admit a compatible, complete, left-invariant metric, something that fails in general.

A function  $\varphi: X \to \mathbb{R}$  defined on a uniform space  $(X, \mathcal{E})$  is *uniformly continuous* if for any  $\epsilon > 0$  there is some  $V \in \mathcal{E}$  such that

$$(x, y) \in V \Rightarrow |\varphi(x) - \varphi(y)| < \epsilon.$$

The following lemma is well-known (see, e.g., Theorem 1.14 [13] and Theorem 2.4 [1]), but we include the simple proof for completeness.

**Lemma 1.9.** Let  $(X,\mathcal{E})$  be a uniform space. Then any uniformly continuous function  $\varphi: X \to \mathbb{R}$  is bounded if and only if for any  $V \in \mathcal{E}$  there is a finite set  $F \subseteq X$  and an n such that  $X = V^n[F]$ .

*Proof.* Suppose first that for any  $V \in \mathscr{E}$  there is a finite set such that  $X = V^n[F]$  and that  $\varphi \colon X \to \mathbb{R}$  is uniformly continuous. Fix  $V \in \mathscr{E}$  such that  $|\varphi(x) - \varphi(y)| < 1$  whenever  $(x,y) \in V$  and pick a corresponding finite set  $F \subseteq X$ . Then for any  $z \in X$  there are  $y_0, \ldots, y_n$  such that  $(y_i, y_{i+1}) \in V$  and  $y_0 \in F$ ,  $y_n = z$ , whence

$$\begin{aligned} |\varphi(y_0) - \varphi(z)| &= |\varphi(y_0) - \varphi(y_n)| \\ &\leq |\varphi(y_0) - \varphi(y_1)| + |\varphi(y_1) - \varphi(y_2)| + \ldots + |\varphi(y_{n-1}) - \varphi(y_n)| \\ &\leq n. \end{aligned}$$

Since *F* is finite, it follows that  $\varphi$  is bounded.

Suppose conversely that  $V \in \mathscr{E}$  is a symmetric set such that for all  $n \ge 1$  and finite  $F \subseteq X, X \ne V^n[F]$ . Assume first that there is some  $x \in X$  such that  $V^n[x] \subsetneq V^{n+1}[x]$  for all  $n \ge 1$  and extend  $(V^{3n})_{n \ge 1}$  to a bi-infinite sequence  $(U_n)_{n \in \mathbb{Z}}$  of symmetric sets in  $\mathscr{E}$  such that  $U_n^3 \subseteq U_{n+1}$  for all  $n \in \mathbb{Z}$ . Defining  $\delta, d : X \times X \to \mathbb{R}_{\ge 0}$  by

$$\delta(x, y) = \inf \{ 2^n \mid (x, y) \in U_n \}$$

and

$$d(x,y) = \inf \left\{ \sum_{i=1}^{n} \delta(x_{i-1}, x_i) \mid x_0 = x, x_n = y \right\},\,$$

as in the Birkhoff-Kakutani theorem, we get that  $\frac{1}{2}\delta \leq d \leq \delta$ . Moreover,  $\varphi(y) = d(x,y)$  defines a uniformly continuous function on X. To see that  $\varphi$  is unbounded, for any n it suffices to pick some  $y \notin V^{3n}[x] = U_n[x]$ , i.e.,  $(x,y) \notin U_n$  and thus  $\varphi(y) \geq \frac{1}{2}\delta(x,y) > 2^n$ 

Suppose, on the other hand, that for any  $x \in X$  there is some  $n_x$  such that  $V^{n_x}[x] = V^{n_x+1}[x]$ . Then, by the symmetry of V, for any x, y either  $V^{n_x}[x] = V^{n_y}[y]$  or  $V^{n_x}[x] \cap$ 

 $V^{n_y}[y] = \emptyset$ . Picking inductively  $x_1, x_2, ...$  such that  $x_{k+1} \notin V^{n_{x_1}}[x_1] \cup ... \cup V^{n_{x_k}}[x_k]$ , the  $V^{n_{x_k}}[x_k]$  are all disjoint and we can therefore let  $\varphi$  be constantly equal to k on  $V^{n_{x_k}}[x_k]$  and 0 on  $X \setminus \bigcup_{k \ge 1} V^{n_{x_k}}[x_k]$ . Then  $\varphi$  is unbounded but uniformly continuous.

1.2. Constructions of linear and affine actions on Banach spaces. Fix a nonempty set X and let  $c_{00}(X)$  denote the vector space of finitely supported functions  $\xi \colon X \to \mathbb{R}$ . The subspace  $\mathbb{M}(X) \subseteq c_{00}(X)$  consists of all  $m \in c_{00}(X)$  for which

$$\sum_{x \in X} m(x) = 0.$$

Alternatively,  $\mathbb{M}(X)$  is the hyperplane in  $c_{00}(X)$  given as the kernel of the functional  $m \mapsto \sum_{x \in X} m(x)$ . The elements of  $\mathbb{M}(X)$  are called *molecules* and basic among these are the *atoms*, i.e., the molecules of the form

$$m_{x,y} = \delta_x - \delta_y$$

where  $x, y \in X$  and  $\delta_x$  is the Dirac measure at x. As can easily be seen by induction on the cardinality of its support, any molecule m can be written as a finite linear combination of atoms, i.e.,

$$m = \sum_{i=1}^{n} a_i m_{x_i, y_i},$$

for some  $x_i, y_i \in X$  and  $a_i \in \mathbb{R}$ .

Also, if G is a group acting on X, one obtains an action of G on  $\mathbb{M}(X)$  by linear automorphisms, i.e., a linear representation  $\pi: G \to \mathbf{GL}(\mathbb{M}(X))$ , by setting

$$\pi(g)m=m(g^{-1}\cdot),$$

whence

$$\pi(g)\left(\sum_{i=1}^{n} a_{i} m_{x_{i}, y_{i}}\right) = \sum_{i=1}^{n} a_{i} m_{gx_{i}, gy_{i}}$$

for any molecule  $m = \sum_{i=1}^{n} a_i m_{x_i, y_i} \in M(X)$  and  $g \in G$ .

Suppose now Z is an  $\mathbb{R}$ -vector space and consider the group  $\mathbf{Aff}(Z)$  of affine automorphisms of Z. This splits as a semidirect product

$$\mathbf{Aff}(Z) = \mathbf{GL}(Z) \ltimes Z$$
.

that is,  $\mathbf{Aff}(Z)$  is isomorphic to the Cartesian product  $\mathbf{GL}(Z) \times Z$  with the group multiplication

$$(T,x)*(S,y) = (TS,x+Ty).$$

Equivalently, the action of (T,x) on Z is given by (T,x)(z) = Tz + x. Therefore, if  $\rho: G \to \mathbf{Aff}(Z)$  is a homomorphism from a group G, it decomposes as  $\rho = \pi \times b$ , where  $\pi: G \to \mathbf{GL}(Z)$  is a homomorphism and  $b: G \to Z$  satisfies the *cocycle relation* 

$$b(gh) = b(g) + \pi(g)(b(h)).$$

In this case, we say that b is a *cocycle* associated to  $\pi$  and note that the affine action of G on Z corresponding to  $\rho$  has a fixed point on Z if and only if b is a *coboundary*, i.e., if there is some  $x \in Z$  such that  $b(g) = x - \pi(g)x$ .

Returning to our space of molecules, suppose G acts on the set X and let  $\pi: G \to \mathbf{GL}(\mathbb{M}(X))$  denote the linear representation of G given by  $\pi(g)m = m(g^{-1} \cdot)$ . Now, for any point  $e \in X$ , we let  $\phi_e: X \to \mathbb{M}(X)$  be the injection defined by

$$\phi_e(x) = m_{x,e}$$

and construct a cocycle  $b_e: G \to \mathbb{M}(X)$  associated to  $\pi$  by setting

$$b_e(g) = m_{ge,e}$$
.

To verify the cocycle relation, note that for  $g, h \in G$ 

$$b_e(gh) = m_{ghe,e} = m_{ge,e} + m_{ghe,ge} = b_e(g) + \pi(g)(b_e(h)).$$

We let  $\rho_e : G \to \mathbf{Aff}(\mathbb{M}(X))$  denote the corresponding affine representation  $\rho_e = \pi \times b_e$  of G on  $\mathbb{M}(X)$ .

With these choices, it is easy to check that for any  $g \in G$  the following diagram commutes.

$$X \xrightarrow{g} X$$
 $\phi_e \downarrow \qquad \qquad \downarrow \phi_e$ 
 $\mathbb{M}(X) \xrightarrow{\rho_e(g)} \mathbb{M}(X)$ 

Indeed, for any  $x \in X$ ,

$$(\rho_e(g) \circ \phi_e)(x) = \rho_e(g)(m_{x,e}) = \pi(g)(m_{x,e}) + b_e(g) = m_{gx,ge} + m_{ge,e} = m_{gx,e} = (\phi_e \circ g)(x).$$

Now, if  $\psi$  is a *non-negative kernel* on X, that is, a function  $\psi: X \times X \to \mathbb{R}_{\geq 0}$ , one can define a pseudonorm on  $\mathbb{M}(X)$ , by the formula

$$||m||_{\psi} = \inf \Big( \sum_{i=1}^{n} |a_i| \psi(x_i, y_i) \mid m = \sum_{i=1}^{n} a_i m_{x_i, y_i} \Big).$$

Thus, if  $\psi$  is G-invariant, one sees that  $\pi \colon G \to \mathbf{GL}(\mathbb{M}(X))$  corresponds to an action by linear isometries on  $(\mathbb{M}(X), \|\cdot\|_{\psi})$  and so the action extends to an isometric action on the completion of  $\mathbb{M}(X)$  with respect to  $\|\cdot\|_{\psi}$ . On the other hand, if  $\psi$  is no longer G-invariant, but instead satisfies

$$\psi(gx,gy) \leq K_{\sigma}\psi(x,y)$$

for all  $x, y \in X$  and some constant  $K_g$  depending only on  $g \in G$ , then every operator  $\pi(g)$  is bounded,  $\|\pi(g)\|_{\psi} \leq K_g$ , and so again the action of G extends to an action by bounded automorphisms on the completion of  $(\mathbb{M}(X), \|\cdot\|_{\psi})$ .

A special case of this construction is when  $\psi$  is a metric d on X, in which case we denote the resulting Arens-Eells norm by  $\|\cdot\|_E$  instead of  $\|\cdot\|_{\psi}$ . An easy exercise using the triangle inequality shows that in the computation of the norm by

$$||m||_{\mathcal{E}} = \inf \left( \sum_{i=1}^{n} |a_i| d(x_i, y_i) \mid m = \sum_{i=1}^{n} a_i m_{x_i, y_i} \right),$$

the infimum is attained at some presentation  $m = \sum_{i=1}^{n} a_i m_{x_i, y_i}$  where  $x_i$  and  $y_i$  all belong to the support of m. Moreover, as is well-known (see, e.g., [30]), the norm is equivalently computed by

$$||m||_{\mathcal{E}} = \sup \left( \sum_{x \in X} m(x) f(x) \mid f : X \to \mathbb{R} \text{ is 1-Lipschitz} \right),$$

and so, in particular,  $||m_{x,y}||_{\mathcal{L}} = d(x,y)$  for any  $x, y \in X$ .

We denote the completion of  $\mathbb{M}(X)$  with respect to  $\|\cdot\|_{\underline{\mathcal{E}}}$  by  $\underline{\mathcal{E}}(X)$ , which we call the *Arens-Eells* space of (X,d). It is not difficult to verify that the set of molecules that are rational linear combinations of atoms with support in a dense subset of X is dense in  $\underline{\mathcal{E}}(X)$  and thus, provided X is separable,  $\underline{\mathcal{E}}(X)$  is a separable Banach space. A fuller account of the Arens-Eells space can be found in the book by N. Weaver [30].

Now, recall that by the Mazur–Ulam Theorem, any surjective isometry between two Banach spaces is affine and hence, in particular, the group of all isometries of a Banach space Z coincides with the group of all affine isometries of Z. To avoid confusion, we shall denote the latter by  $\operatorname{Isom}_{\operatorname{Aff}}(Z)$  and let  $\operatorname{Isom}_{\operatorname{Lin}}(Z)$  be the subgroup consisting of all linear isometries of Z. By the preceding discussion, we have  $\operatorname{Isom}_{\operatorname{Aff}}(Z) = \operatorname{Isom}_{\operatorname{Lin}}(Z) \ltimes Z$ .

1.3. **Topologies on transformation groups.** Recall that if X is a Banach space and  $\mathbf{B}(X)$  the algebra of bounded linear operators on X, the *strong operator topology* (SOT) on  $\mathbf{B}(X)$  is just the topology of pointwise convergence on X, that is, if  $T_i, T \in \mathbf{B}(X)$ , we have

$$T_i \xrightarrow[SOT]{} T \iff ||T_i x - Tx|| \to 0 \text{ for all } x \in X.$$

In general, the operation of composition of operators is not strongly (i.e., SOT) continuous, but if one restricts it to a norm bounded subset of  $\mathbf{B}(X)$  it will be.

Similarly, if we restrict to a norm bounded subset of  $\mathbf{GL}(X) \subseteq \mathbf{B}(X)$ , then inversion  $T \mapsto T^{-1}$  is also strongly continuous and so, in particular,  $\mathrm{Isom}_{\mathrm{Lin}}(X) \subseteq \mathbf{GL}(X)$  is a topological group with respect to the strong operator topology. In fact, provided X is separable,  $\mathrm{Isom}_{\mathrm{Lin}}(X)$  is a Polish group in the strong operator topology.

Recall that an action  $G \cap X$  of a topological group G on a topological space X is *continuous* if it is jointly continuous as a map from  $G \times X$  to X. Now, as can easily be checked by hand, if G acts by isometries on a metric space X, then joint continuity of  $G \times X \to X$  is equivalent to the map  $g \in G \mapsto gx \in X$  being continuous for every  $x \in X$ .

Thus, an action of a topological group G by linear isometries on a Banach space X is continuous if and only if the corresponding representation  $\pi\colon G\to \mathrm{Isom}_{\mathrm{Lin}}(X)$  is strongly continuous, i.e., if it is continuous with respect to the strong operator topology on  $\mathrm{Isom}_{\mathrm{Lin}}(X)$ .

Since GL(X) is not in general a topological group in the strong operator topology, one has to be a bit more careful when dealing with not necessarily isometric representations.

Assume first that  $\pi\colon G\to \operatorname{GL}(X)$  is a representation of a Polish group by bounded automorphisms of a Banach space X such that the corresponding action  $G\cap X$  is continuous. We claim that  $\|\pi(g)\|$  is bounded in a neighbourhood U of the identity 1 in G. For if not, we could find  $g_n\to 1$  such that  $\|\pi(g_n)\|>n^2$  and so for some  $x_n\in X$ ,  $\|x_n\|=1$ , we have  $\|\pi(g_n)x_n\|>n^2$ . But then  $z_n=\frac{x_n}{n}\to 0$ , while  $\|\pi(g_n)z_n\|>n$ , contracting that  $\pi(g_n)z_n\to\pi(1)0=0$  by continuity of the action. Moreover,  $\pi$  is easily seen to be strongly continuous.

Conversely, assume that  $\pi\colon G\to \mathbf{GL}(X)$  is a strongly continuous representation such that  $\|\pi(g)\|$  is bounded by a constant K in some neighbourhood  $U\ni 1$ . Then, by strong continuity of  $\pi$ , if  $\epsilon>0$ ,  $x\in X$  and  $g\in G$  are given, we can find a neighbourhood  $V\ni 1$  such that  $\|\pi(vg)x-\pi(g)x\|<\epsilon/2$  for  $v\in V$ . It follows that if  $\|y-x\|<\frac{\epsilon}{2K\|\pi(g)\|}$  and  $v\in V\cap U$ , then

$$\|\pi(vg)y - \pi(g)x\| \le \|\pi(vg)y - \pi(vg)x\| + \|\pi(vg)x - \pi(g)x\|$$

$$\le \|\pi(v)\| \|\pi(g)\| \|y - x\| + \epsilon/2$$

$$\le \epsilon,$$

showing that the action is continuous.

Therefore, a representation  $\pi: G \to \mathbf{GL}(X)$  corresponds to a continuous action  $G \cap X$  if and only if (i)  $\pi$  is strongly continuous and (ii)  $\|\pi(g)\|$  is bounded in a

neighbourhood of  $1 \in G$ . For simplicity, we shall simply designate this by *continuity* of the representation  $\pi$ .

Similarly, a representation  $\rho: G \to \mathbf{Aff}(X)$  by continuous affine transformations of X corresponds to a continuous action of G on X if and only if both the corresponding linear representation  $\pi: G \to \mathbf{GL}(X)$  and the cocycle  $b: G \to X$  are continuous.

1.4. **Property (OB).** Our first boundedness property is among the weakest of those studied. It originated in [21] as a topological analogue of a purely algebraic property initially investigated by G. M. Bergman [9].

**Definition 1.10.** A topological group G is said to have property (OB) if whenever G acts continuously by isometries on a metric space, every orbit is bounded.

Since continuity of an isometric action of G is equivalent to continuity of the maps  $g \mapsto gx$  for all  $x \in X$ , property (OB) for G can be reformulated as follows: Whenever G acts by isometries on a metric space (X,d), such that for every  $x \in X$  the map  $g \mapsto gx$  is continuous, every orbit is bounded. Note also that, for an isometric action, every orbit is bounded if and only if some orbit is bounded. Moreover, if G is a separable topological group acting continuously on a metric space, then every orbit is separable. So, for a separable topological group G, property (OB) can be detected by its continuous isometric actions on separable metric spaces.

We recall some of the equivalent characterisations of property (OB) for Polish groups, a few of which were shown in [21].

**Theorem 1.11.** Let G be a Polish group. Then the following conditions are equivalent.

- (1) G has property (OB),
- (2) whenever G acts continuously by affine isometries on a separable Banach space, every orbit is bounded,
- (3) any continuous linear representation  $\pi\colon G\to \mathbf{GL}(X)$  on a separable Banach space is bounded, i.e.,  $\sup_{g\in G}\|\pi(g)\|<\infty$ ,
- (4) whenever  $W_0 \subseteq W_1 \subseteq W_2 \subseteq ... \subseteq G$  is an exhaustive sequence of open subsets, then  $G = W_n^k$  for some  $n, k \ge 1$ ,
- (5) for any open symmetric  $V \neq \emptyset$  there is a finite set  $F \subseteq G$  and some  $k \ge 1$  such that  $G = (FV)^k$ ,
- (6) (i) G is not the union of a chain of proper open subgroups, and
   (ii) if V is a symmetric open generating set for G, then G = V<sup>k</sup> for some k ≥ 1.
- (7) any compatible left-invariant metric on G is bounded,
- (8) any continuous left-invariant écart on G is bounded,
- (9) any continuous length function  $\ell: G \to \mathbb{R}_+$ , i.e., satisfying  $\ell(1) = 0$  and  $\ell(xy) \le \ell(x) + \ell(y)$ , is bounded.

*Proof.* All but items (2), (3), (8) and (9) were shown to be equivalent to (OB) in [21]. Now, (8) and (9) easily follow from (4), while (8) implies (7), and left-invariant écarts d and length-functions  $\ell$  are in duality via  $\ell(g) = d(g,1)$  and  $d(g,h) = \ell(h^{-1}g)$ , which thus shows (8) $\Leftrightarrow$ (9). Also, (2) is immediate from (1). And if  $\pi: G \to \mathbf{GL}(X)$  is a continuous linear representation, then  $\|\pi(g)\|$  is bounded in a neighbourhood of 1. So, if (5) holds, then  $\pi$  is bounded, showing (5) $\Rightarrow$ (3).

 $(2)\Rightarrow(1)$ : We prove that if G acts continuously and isometrically on a metric space (X,d) with unbounded orbits, then G acts continuously and by affine isometries on the Arens-Eells space  $\cancel{E}(X)$  space such that every orbit is unbounded. Since, without

loss of generality, (X,d) can be taken separable, this will show the contrapositive of  $(2)\Rightarrow(1)$ .

As in Section 1.2, let  $\pi$  denote the isometric linear representation of G on  $\mathbb{E}(X)$  induced by the action  $\pi(g)m = m(g^{-1} \cdot)$  on  $\mathbb{M}(X)$  and, for any point  $e \in X$ , construct a cocycle  $b_e : G \to \mathbb{E}(X)$  associated to  $\pi$  by setting

$$b_e(g) = m_{ge,e}$$
.

Define also an isometric embedding  $\phi_e: X \to E(X)$  by

$$\phi_e(x) = m_{x.e}$$
.

To verify that  $\phi_e$  indeed is an isometry, note that

$$\|\phi_e(x) - \phi_e(y)\| = \|(\delta_x - \delta_e) - (\delta_y - \delta_e)\| = \|\delta_x - \delta_y\| = d(x, y).$$

As noted in Section 1.2,  $\phi_e$  conjugates the G-action on X with  $\rho_e$  and thus, as G has an unbounded orbit on X, it follows that G has an unbounded orbit on E(X) via the affine isometric action  $\rho_e$ .

(3) $\Rightarrow$ (7): Again we show that contrapositive. So suppose d is an unbounded compatible left-invariant metric on G and let  $\sigma: G \to [1,\infty[$  be the function defined by

$$\sigma(g) = \exp d(g, 1_G)$$

and note that  $\sigma(1_G) = 1$ ,  $\sigma(g^{-1}) = \sigma(g)$  and  $\sigma(gh) \leq \sigma(g)\sigma(h)$ . Also, for  $g \in G$ , let  $\pi(g) \in \mathbf{GL}(\mathbb{M}(G))$  be the invertible linear operator defined by  $\pi(g)m = m(g^{-1})$ .

Let now  $\psi: G^2 \to \mathbb{R}_{\geq 0}$  be the non-negative kernel on G defined by

$$\psi(g,h) = \sigma(g)\sigma(h)d(g,h)$$

and consider the corresponding pseudonorm

$$||m||_{\psi} = \inf \Big( \sum_{i=1}^{n} |a_i| \psi(p_i, q_i) \mid m = \sum_{i=1}^{n} a_i m_{p_i, q_i} \Big).$$

Note that for any  $g, h, f \in G$ , one has

$$\psi(gh,gf)=\sigma(gh)\sigma(gf)d(gh,gf)\leqslant\sigma(g)^2\sigma(h)\sigma(f)d(h,f)=\sigma(g)^2\psi(h,f),$$
 and so  $\|\pi(g)\|_{\psi}\leqslant\sigma(g)^2.$ 

**Claim 1.12.** Suppose  $m \in M(G)$  is a molecule,  $g \in G$  and  $\alpha > 0$ . Then, if m(h) = 0 for all  $h \neq g$  with  $d(g,h) < \alpha$ , we have

$$||m||_{\mathcal{W}} \ge |m(g)|\alpha \exp(d(g,1)-\alpha).$$

To see this, let  $m=\sum_{i=1}^n a_i m_{p_i,q_i}$  be any presentation of m and let A be the set of  $i\in [1,n]$  such that either  $d(g,p_i)<\alpha$  or  $d(g,q_i)<\alpha$ . Set also  $m_1=\sum_{i\in A} a_i m_{p_i,q_i}$  and  $m_2=\sum_{i\notin A} a_i m_{p_i,q_i}$ , whence  $m_2(h)=0$  whenever  $d(g,h)<\alpha$ . Since  $m=m_1+m_2$ , it follows that  $m_1(h)=m(h)=0$  for any  $h\neq g$  with  $d(g,h)<\alpha$ . Moreover, by the definition of  $\sigma$ , we see that  $\sigma(h)>\exp\left(d(g,1)-\alpha\right)$  for any  $h\in G$  with  $d(g,h)<\alpha$  and so for any  $i\in A$ ,

$$\exp(d(g,1)-\alpha) < \sigma(p_i)\sigma(q_i)$$
.

Now, by the calculus for the Arens-Eells space, there is a presentation  $m_1 = \sum_{i=1}^k b_i m_{r_i,s_i}$ , with  $r_i,s_i \in \operatorname{supp}(m_1)$  and  $r_i \neq s_i$ , minimising the estimate for the Arens-Eells norm of  $m_1$ , in particular, such that

$$\sum_{i=1}^k |b_i| d(r_i,s_i) \leq \sum_{i \in A} |a_i| d(p_i,q_i).$$

Letting *C* be the set of  $i \in [1, k]$  such that either  $r_i = g$  or  $s_i = g$ , we see that

$$|m(g)|=|m_1(g)|=\Big|\sum_{i\in C}b_im_{r_i,s_i}(g)\Big|\leq \sum_{i\in C}|b_i|.$$

Moreover, for  $i \in C$ ,  $d(r_i, s_i) \ge \alpha$  and thus

$$|m(g)|\alpha \leq \sum_{i \in C} |b_i| d(r_i, s_i) \leq \sum_{i \in A} |a_i| d(p_i, q_i).$$

It thus follows that

$$|m(g)|\alpha \exp\left(d(g,1)-\alpha\right) < \sum_{i \in A} |a_i|\sigma(p_i)\sigma(q_i)d(p_i,q_i) \leq \sum_{i=1}^n |a_i|\sigma(p_i)\sigma(q_i)d(p_i,q_i).$$

Since the presentation  $m = \sum_{i=1}^{n} a_i m_{p_i,q_i}$  was arbitrary, this shows that

$$|m(g)|\alpha \exp(d(g,1)-\alpha) \leq ||m||_{\psi}$$

which proves the claim.

Note that then if m is any non-zero molecule, we can choose  $g \neq 1$  in its support and let  $0 < \alpha < \frac{1}{2}d(g,1)$  be such that m(h) = 0 for any  $h \neq g$  with  $d(g,h) < \alpha$ . Then  $\|m\|_{\psi} \ge |m(g)|\alpha \exp\left(d(g,1) - \alpha\right) > 0$ , which shows that  $\|\cdot\|_{\psi}$  is a norm on  $\mathbb{M}(G)$ .

Also, if  $f, h \in G$  with d(f, h) > 1, then  $\|m_{f, h}\|_{\psi} \ge \exp(d(f, 1) - 1)$ . Therefore, if we let  $g_n \in G$  be such that  $d(1, g_n^{-1}) \xrightarrow[n \to \infty]{} \infty$  and pick  $f, h \in G$  with d(f, h) > 1, then

$$\|\pi(g_n)m_{f,h}\|_{\psi} = \|m_{g_nf,g_nh}\|_{\psi} \ge \exp\left(d(g_nf,1) - 1\right) = \exp\left(d(f,g_n^{-1}) - 1\right) \underset{n \to \infty}{\longrightarrow} \infty,$$

showing that also  $\|\pi(g_n)\|_{\psi} \xrightarrow[n\to\infty]{} \infty$ .

Also, as is easy to verify, if D is a countable dense subset of G, the set of molecules that are rational linear combinations of atoms  $m_{g,h}$  with  $g,h\in D$  is a countable dense subset of  $(\mathbb{M}(G),\|\cdot\|_{\psi})$ . So the completion  $Z=\overline{\mathbb{M}(G)}^{\|\cdot\|_{\psi}}$  is a separable Banach space and  $\pi\colon G\to \mathbf{GL}(\mathbb{M}(G),\|\cdot\|_{\psi})$  extends to a continuous action of G by bounded linear automorphisms on Z with  $\|\pi(g)\|_{\psi}$  unbounded.

1.5. **Bounded uniformities.** For each of the uniformities considered in Section 1.1, one may consider the class of groups for which they are bounded in the sense of every real valued uniformly continuous function being bounded, cf. Lemma 1.9. Now, as can easily be verified, a topological group G is bounded in the left uniformity if and only if it is bounded in the right uniformity. The first systematic study of such G appeared in the work of Hejcman [13] (see also [1]).

**Definition 1.13.** [13] A topological group G is bounded if for any open  $V \ni 1$  there is a finite set  $F \subseteq G$  and some  $k \geqslant 1$  such that  $G = FV^k$ .

Recall that a function  $\varphi \colon G \to \mathbb{R}$  is *left-uniformly continuous* if for any  $\epsilon > 0$  there is an open  $V \ni 1$  such that

$$\forall x,y \in G \ \big( x^{-1}y \in V \to |\varphi(x) - \varphi(y)| < \epsilon \big).$$

Similarly,  $\varphi$  is *right-uniformly continuous* if the same condition holds with  $xy^{-1} \in V$  in place of  $x^{-1}y \in V$ .

Note that, if  $\ell: G \to \mathbb{R}_+$  is a continuous length function on G, then for any  $\epsilon > 0$  there is a symmetric open neighbourhood  $V \ni 1$  so that  $\ell(v) < \epsilon$  for all  $v \in V$ . Therefore, if  $x, y \in G$  satisfy  $x^{-1}y \in V$ , then

$$\ell(y) = \ell(xx^{-1}y) \le \ell(x) + \ell(x^{-1}y) < \ell(x) + \epsilon.$$

As V is symmetric, also  $y^{-1}x \in V$ , whence  $\ell(x) < \ell(y) + \varepsilon$ , i.e.,  $|\ell(x) - \ell(y)| < \varepsilon$ . This shows that any continuous length function on G is left-uniformly continuous and a symmetric argument shows that it is also right-uniformly continuous.

We then have the following reformulation of boundedness.

**Proposition 1.14.** The following are equivalent for a Polish group G.

- (1) G is bounded.
- (2) any left-uniformly continuous  $\varphi: G \to \mathbb{R}$  is bounded,
- (3) G has property (OB) and any open subgroup has finite index.

*Proof.* (1) $\Rightarrow$ (2): This implication is already contained in Lemma 1.9.

(2) $\Rightarrow$ (3): If  $H \leq G$  is an open subgroup with infinite index, let  $x_1, x_2, \ldots$  be left coset representatives for H and define  $\varphi \colon G \to \mathbb{R}$  by  $\varphi(y) = n$  for all  $y \in x_nH$ . Then  $\varphi$  is left-uniformly continuous and unbounded. Also, if G fails property (OB), it admits an unbounded continuous length function  $\ell \colon G \to \mathbb{R}_+$ . But then  $\ell$  is also left-uniformly continuous.

 $(3)\Rightarrow(1)$ : Suppose that G has property (OB) and that any open subgroup has finite index. Then whenever  $V\ni 1$  is open, the group generated  $\langle V\rangle=\bigcup_{n\geqslant 1}V^n$  is open and must have finite index in G. Now, by Proposition 4.3 in [21], also  $\langle V\rangle$  has property (OB) and, in particular, there is some  $k\geqslant 1$  such that  $\langle V\rangle=V^k$ . Letting  $F\subseteq G$  be a finite set of left coset representatives for  $\langle V\rangle$  in G, we have  $G=FV^k$ , showing that G is bounded.

A function  $\varphi \colon G \to \mathbb{R}$  is uniformly continuous if for any  $\epsilon > 0$  there is an open  $V \ni 1$  such that

$$\forall x, y \in G \ (y \in V \times V \to |\varphi(x) - \varphi(y)| < \epsilon).$$

Equivalently,  $\varphi$  is uniformly continuous if it simultaneously left and right uniformly continuous, i.e., uniformly continuous with respect to the Roelcke uniformity.

**Definition 1.15.** A topological group G is Roelcke bounded if for any open  $V \ni 1$  there is a finite set  $F \subseteq G$  and some  $k \geqslant 1$  such that  $G = V^k F V^k$ .

As for boundedness, we have the following reformulations of Roelcke boundedness.

**Proposition 1.16.** The following are equivalent for a Polish group G.

- (1) G is Roelcke bounded,
- (2) any uniformly continuous  $\varphi \colon G \to \mathbb{R}$  is bounded,
- (3) (a) for any open subgroup H, the double coset space  $H\backslash G/H$  is finite, and
  - (b) any open subgroup has property (OB).

*Proof.* Again the implication from (1) to (2) follows from Lemma 1.9.

 $(2)\Rightarrow(3)$ : If  $H\leqslant G$  is an open subgroup, then any  $\varphi\colon G\to\mathbb{R}$  that is constant on each double coset HxH will be uniformly continuous. So, if the double coset space  $H\backslash G/H$  is infinite, then G supports an unbounded uniformly continuous function.

Also, if  $H \leq G$  is an open subgroup without property (OB), then there is an unbounded continuous length function  $\ell \colon H \to \mathbb{R}_+$ . Setting  $\ell(x) = 0$  for all  $x \in G \setminus H$ ,  $\ell \colon G \to \mathbb{R}$  is easily seen to be uniformly continuous, but unbounded.

 $(3)\Rightarrow(1)$ : Assume that (3) holds and that  $V\ni 1$  is an open set. Then the open subgroup  $\langle V\rangle$  has property (OB) and hence for some  $k\geqslant 1$ ,  $\langle V\rangle=V^k$ . Moreover, the double coset space  $\langle V\rangle\backslash G/\langle V\rangle$  is finite and  $G=\langle V\rangle F\langle V\rangle=V^kFV^k$  for some finite set  $F\subseteq G$ .

Note that if G is a Polish group all of whose open subgroups have finite index, e.g., if G is connected, then the three properties of boundedness, Roelcke boundedness and property (OB) are equivalent.

By Proposition 4.3 of [21], if G is a Polish group with property (OB) and  $H \leq G$  is an open subgroup of finite index, then H also has property (OB). However, it remains an open problem whether property (OB) actually passes to all open subgroups of (necessarily) countably index. Note that if this were to be the case, condition (3) in Proposition 1.16 above would simplify.

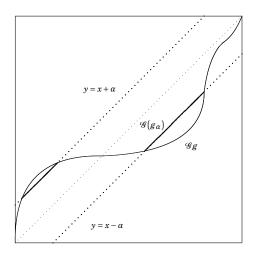
**Problem 1.17.** Suppose G is a Polish group with property (OB) and  $H \leq G$  is an open subgroup. Does H have property (OB)?

Since a topological group is easily seen to be bounded for the left uniformity if and only if it is bounded for the right uniformity, the only remaining case is the two-sided uniformity. Unfortunately, other than Lemma 1.9, we do not have any informative reformulation of this property for Polish groups. The following definition spells out the boundedness of the two-sided uniformity in concrete terms.

**Definition 1.18.** A topological group G is  $\mathcal{E}_{ts}$ -bounded if it satisfies the following condition: For any symmetric open  $V \ni 1$  there are a finite set  $F \subseteq G$  and  $k \geqslant 1$  so that for any  $g \in G$  there are  $x_0 \in F$ ,  $x_1, \ldots, x_{k-1} \in G$  and  $x_k = g$  so that

$$x_{i+1} \in x_i V \cap V x_i$$
,  $i = 0, \dots, k-1$ .

**Example 1.19.** Consider the group  $G = \operatorname{Homeo}_+([0,1])$  of orientation preserving homeomorphisms of the unit interval with the topology of uniform convergence. As noticed by S. Dierolf and W. Roelcke (Example 9.23 [20], see also [27] for an explicit description of the Roelcke compactification),  $\operatorname{Homeo}_+([0,1])$  is Roelcke precompact and we shall now show that it is also  $\mathscr{E}_{ts}$ -bounded.



The  $\alpha$ -truncation of g;  $\mathcal{G}g$  = graph of g.

For all  $\alpha \in [0,1]$  and  $g \in \text{Homeo}_+([0,1])$ , define a homeomorhism  $g_\alpha \in \text{Homeo}_+([0,1])$  by

$$g_{\alpha}(x) = \max \left\{ \min\{g(x), x + \alpha\}, x - \alpha \right\}$$

and note that, for  $0 \le \alpha \le \beta \le 1$ , we have  $(g_{\alpha})_{\beta} = (g_{\beta})_{\alpha} = g_{\alpha}$ . Also  $g_0 = \text{Id}$  and  $g_1 = g$ . We claim that

$$\sup_{x \in [0,1]} |g_{\alpha}(x) - g_{\beta}(x)| \le |\alpha - \beta|$$

and

$$\sup_{x \in [0,1]} |g_{\alpha}^{-1}(x) - g_{\beta}^{-1}(x)| \le |\alpha - \beta|.$$

To see this suppose that  $0 \le \alpha \le \beta \le 1$  and fix  $x \in [0,1]$ . Then, if  $g_{\alpha}(x) \ne g_{\beta}(x)$ , we have either  $g_{\alpha}(x) = x - \alpha > g_{\beta}(x) \ge x - \beta$  or  $g_{\alpha}(x) = x - \alpha < g_{\beta}(x) \le x - \beta$ , whereby, in any case,  $|g_{\alpha}(x) - g_{\beta}(x)| \le |\alpha - \beta|$ .

Similarly, suppose that  $g_{\alpha}^{-1}(x) < g_{\beta}^{-1}(x)$ , whence, as  $g_{\beta}$  is strictly increasing, also

$$g_{\beta}(g_{\alpha}^{-1}(x)) < g_{\beta}(g_{\beta}^{-1}(x)) = x = g_{\alpha}(g_{\alpha}^{-1}(x)).$$

As  $g_{\beta}$  and  $g_{\alpha}$  differ at the point  $y = g_{\alpha}^{-1}(x)$ , it follows as before that  $g_{\alpha}(g_{\alpha}^{-1}(x)) = g_{\alpha}^{-1}(x) - \alpha$  and hence that

$$g_{\alpha}^{-1}(x) - \beta < g_{\beta}^{-1}(x) - \beta \leq g_{\beta}(g_{\beta}^{-1}(x)) = g_{\alpha}(g_{\alpha}^{-1}(x)) = g_{\alpha}^{-1}(x) - \alpha,$$

i.e.,  $|g_{\alpha}^{-1}(x) - g_{\beta}^{-1}(x)| \le |\beta - \alpha|$ . Analogously for  $g_{\alpha}^{-1}(x) > g_{\beta}^{-1}(x)$ .

Now, if *V* is any neighbourhood of Id in  $Homeo_+([0,1])$ , there is some  $n \ge 1$  so that

$$\{h \in \text{Homeo}_+([0,1]) \mid \sup_{x \in [0,1]} |h(x) - x| \le 1/n\} \subseteq V.$$

We then see that  $g_{\frac{k+1}{n}} \in (g_{\frac{k}{n}} \cdot V) \cap (V \cdot g_{\frac{k}{n}})$  for all k = 0, ..., n-1. This shows that  $\text{Homeo}_+([0,1])$  is  $\mathcal{E}_{ts}$ -bounded.

1.6. **Roelcke precompactness.** The notion of Roelcke precompactness originates in the work of Dierolf and Roelcke [20] on uniformities on groups and has recently been developed primarily by Uspenskiĭ [26, 27, 28, 29] and, in the work of Tsankov [25], found some very interesting applications in the classification of unitary representations of non-Archimedean Polish groups. Tsankov was also able to essentially characterise  $\aleph_0$ -categoricity of a countable model theoretical structure in terms of Roelcke precompactness of its automorphism group, thus refining the classical theorem of Engeler, Ryll-Nardzewski and Svenonius (see [14]). We present a related characterisation in Proposition 1.22 below.

**Definition 1.20.** A topological group G is Roelcke precompact if and only if for any open  $V \ni 1$  there is a finite set  $F \subseteq G$  such that G = VFV.

Note that, by Theorem 1.1, precompactness with respect to either of the three other uniformities on a Polish group is simply equivalent to compactness. So Roelcke precompactness is the only interesting notion.

Suppose G is a group acting by isometries on a metric space (X,d). For any  $n \ge 1$ , we let G act diagonally on  $X^n$ , i.e.,

$$g\cdot(x_1,\ldots,x_n)=(gx_1,\ldots,gx_n),$$

and equip  $X^n$  with the supremum metric  $d_{\infty}$  defined from d by

$$d_{\infty}((x_1,\ldots,x_n),(y_1,\ldots,y_n)) = \sup_{1 \le i \le n} d(x_i,y_i).$$

**Definition 1.21.** An isometric action  $\alpha$ :  $G \cap X$  by a group G on a metric space (X,d) is said to be approximately oligomorphic if for any  $n \ge 1$  and  $\epsilon > 0$  there is a finite set  $A \subseteq X^n$  such that

$$G \cdot A = \{g \cdot \overline{x} \mid g \in G \& \overline{x} \in A\}$$

is  $\epsilon$ -dense in  $(X^n, d_{\infty})$ .

**Proposition 1.22.** The following are equivalent for a Polish group G.

- (1) G is Roelcke precompact,
- (2) for every  $n \ge 1$  and open  $V \ni 1$  there is a finite set  $F \subseteq G$  such that

$$\underbrace{G \times \ldots \times G}_{n \text{ times}} = V \cdot (\underbrace{FV \times \ldots \times FV}_{n \text{ times}})$$

- (3) for any continuous isometric action  $\alpha$ :  $G \cap X$  on a metric space (X,d) inducing a dense orbit, any open  $U \ni 1$ ,  $\epsilon > 0$  and  $n \geqslant 1$ , there is a finite set  $A \subseteq X^n$  such that  $U \cdot A$  is  $\epsilon$ -dense in  $(X^n, d_{\infty})$ ,
- (4) G is topologically isomorphic to a closed subgroup  $H \leq \text{Isom}(X,d)$ , where (X,d) is a separable complete metric space, Isom(X,d) is equipped with the topology of pointwise convergence and the action of H on X is approximately oligomorphic and induces a dense orbit.

The implication from (1) to (3) was essentially noted in [25].

*Proof.* (1) $\Rightarrow$ (2): The proof is by induction on  $n \ge 1$ , the case n = 1 corresponding directly to Roelcke precompactness.

Now suppose the result holds for n and fix  $V \ni 1$  symmetric open. Choose a symmetric open set  $W \ni 1$  such that  $W^2 \subseteq V$  and find by the induction hypothesis some finite set  $D \subseteq G$  such that

$$\underbrace{G \times \ldots \times G}_{n \text{ times}} = W \cdot (\underbrace{DW \times \ldots \times DW}_{n \text{ times}}).$$

Set now  $U = V \cap \bigcap_{d \in D} dWd^{-1}$  and pick a finite set  $E \subseteq G$  such that G = UEU. We claim that for  $F = D \cup E$ , we have

$$\underbrace{G \times \ldots \times G}_{n+1 \text{ times}} = V \cdot (\underbrace{FV \times \ldots \times FV}_{n+1 \text{ times}}).$$

To see this, suppose  $x_1, \ldots, x_n, y \in G$  are given. By choice of D, there are  $w \in W$  and  $d_1, \ldots, d_n \in D$  such that  $x_i \in wd_iW$  for all  $i = 1, \ldots, n$ . Now find some  $u \in U$  such that  $w^{-1}y \in uEU$ , whence  $y \in wuEV$ . Since  $u^{-1} \in U \subseteq dWd^{-1}$  for every  $d \in D$ , we have  $d_i^{-1}u^{-1}d_i \in W$  for every i and so

$$x_i \in wd_iW = wd_i \cdot d_i^{-1}ud_i \cdot d_i^{-1}u^{-1}d_i \cdot W \subseteq wud_iW^2 \subseteq wud_iV.$$

Thus,

$$(x_1,...,x_n,y) \in wu(\underbrace{DV \times ... \times DV}_{n \text{ times}} \times EV) \subseteq V \cdot (\underbrace{DV \times ... \times DV}_{n \text{ times}} \times EV),$$

which settles the claim and thus the induction step.

(2) $\Rightarrow$ (3): Suppose  $U\ni 1$  is open,  $n\geqslant 1$ ,  $\epsilon>0$  and fix any  $x\in X$ . Let also  $V=U\cap\{g\in G\mid d(gx,x)<\epsilon/2\}$ . Pick a finite set  $F\subseteq G$  such that

$$\underbrace{G \times \ldots \times G}_{n \text{ times}} = V \cdot \left(\underbrace{FV \times \ldots \times FV}_{n \text{ times}}\right).$$

and set  $A = \{(f_1x, \ldots, f_nx) \mid f_i \in F\} \subseteq X^n$ . Then if  $(y_1, \ldots, y_n) \in X^n$ , we can find  $g_i \in G$  such that  $d(y_i, g_ix) < \varepsilon/2$  for all i. Also, there are  $w, v_i \in V$  such that  $g_i = wf_iv_i$ , whence

$$\begin{aligned} d(y_i, wf_i x) &\leq d(y_i, g_i x) + d(g_i x, wf_i x) \\ &\leq \epsilon/2 + d(wf_i v_i x, wf_i x) \\ &= \epsilon/2 + d(v_i x, x) \\ &< \epsilon/2 + \epsilon/2. \end{aligned}$$

In particular,  $V \cdot A$  and hence also  $U \cdot A$  is  $\epsilon$ -dense in  $X^n$ .

 $(3)\Rightarrow (4)$ : Let d be a compatible left-invariant metric on G and let X be the completion of G with respect to d. Since the left-shift action of G on itself is transitive, this action extends to a continuous action by isometries on (X,d) with a dense orbit. Moreover, we can see G as a closed subgroup of  $\operatorname{Isom}(X,d)$ , when the latter is equipped with the pointwise convergence topology. By (3), the action of G on X is approximately oligomorphic.

The implication  $(4)\Rightarrow(1)$  is implicit in the proof of Theorem 5.2 in [21].

We now turn to the special case of homeomorphism groups of compact metric spaces and shall return to the microscopic properties of Roelcke precompact Polish groups later in Section 3.

1.7. **The Roelcke uniformity on homeomorphism groups.** Fix a compact metrisable space M with a compatible metric d and let  $\operatorname{Homeo}(M)$  denote the homeomorphism group of M equipped with the topology of uniform convergence. Define a compatible metric  $\partial$  on  $M \times M$  by

$$\partial((x,y),(z,u)) = \max\{d(x,z),d(y,u)\}\$$

and let  $\partial_H$  denote the corresponding Hausdorff metric on the hyperspace  $\mathcal{K}(M \times M)$  of non-empty compact subsets of  $M \times M$ , i.e.,

$$\partial_H(K,L) = \min \{ \sup_{p \in K} \partial(p,L), \sup_{p \in L} \partial(p,K) \}.$$

We can then define the following metrics on Homeo(M),

$$d_{\infty}(g,h) = \sup_{x \in M} d(g(x),h(x)),$$
$$d_{H}(g,h) = \partial_{H}(\mathcal{G}g,\mathcal{G}h),$$

and

$$\rho(g,h) = \inf \Big(\sup_{p \in \mathcal{G}g} \partial(p,\theta(p)) \, \big| \, \theta \colon \mathcal{G}g \to \mathcal{G}h \text{ is a homeomorphism} \Big)$$

where  $\mathscr{G}g$  denotes the graph of the homeomorphism g. Note that if  $\theta: \mathscr{G}g \to \mathscr{G}h$  is a homeomorphism and  $\operatorname{proj}_1: M \times M \to M$  denotes the projection onto the first coordinate, then  $\sigma(x) = \operatorname{proj}_1[\theta(x,g(x))]$  defines a homeomorphism of M so that  $\theta(x,g(x)) = (\sigma(x),h\sigma(x))$ . Conversely, for any  $\sigma \in \operatorname{Homeo}(M)$ , the formula  $\theta(x,g(x)) = (\sigma(x),h\sigma(x))$  defines a homeomorphism of  $\mathscr{G}g$  with  $\mathscr{G}h$ . It follows that  $\rho$  can equivalently be expressed by

$$\rho(g,h) = \inf \{ \max\{d_{\infty}(\sigma, \mathrm{Id}), d_{\infty}(g,h\sigma)\} \mid \sigma \in \mathrm{Homeo}(M) \}.$$

As is easy verify directly from the definitions, we have

$$d_{\infty} \geqslant \rho \geqslant d_H$$

and thus the induced uniformities get weaker from left to right.

**Lemma 1.23.** The three metrics  $d_{\infty}$ ,  $\rho$  and  $d_H$  each induce the topology of uniform convergence on Homeo(M).

*Proof.* This is clear for  $d_{\infty}$ , so we need only show that  $d_{\infty}$  and  $d_H$  induce the same topology, whence this also coincides with that of  $\rho$ . Thus, suppose  $g \in \text{Homeo}(M)$  and  $\epsilon > 0$  are given and let  $\delta_g$  denote the modulus of uniform continuity of g on M.

Suppose that  $d_H(g,h) < \min\{\epsilon, \delta_g(\epsilon)\}$  and let  $x \in M$  be given. Then there is  $y \in M$  so that  $d(x,y) < \delta_g(\epsilon)$  and  $d(h(x),g(y)) < \epsilon$ , whereby

$$d(h(x), g(x)) \le d(h(x), g(y)) + d(g(y), g(x)) < \epsilon + \epsilon = 2\epsilon$$
.

Since  $x \in M$  is arbitrary, we see that  $d_{\infty}(g,h) \leq 2\epsilon$  and the lemma follows.

For  $\epsilon > 0$ , we also let

$$V_{\epsilon} = \{g \in \operatorname{Homeo}(M) \mid d_{\infty}(g, \operatorname{Id}) < \epsilon\}$$

and note that, by the right-invariance of  $d_{\infty}$ , we have  $V_{\epsilon} = V_{\epsilon}^{-1}$ , whereby the sets

$$\{(g,h) \in \operatorname{Homeo}(M)^2 \mid h \in V_{\varepsilon}gV_{\varepsilon}\}$$

are symmetric entourages generating the Roelcke uniformity on  $\operatorname{Homeo}(M)$ . Our first task is to identify a compatible metric for the Roelcke uniformity, which will also provide us with a better understanding of the various compatible uniformities on  $\operatorname{Homeo}(M)$ .

**Lemma 1.24.** For  $g,h \in \text{Homeo}(M)$  and  $\epsilon > 0$ , we have

$$h \in V_{\epsilon} g V_{\epsilon} \iff \rho(g,h) < \epsilon.$$

It follows that, if G is an open subgroup of Homeo(M), then  $\rho$  is a compatible metric for the Roelcke uniformity on G.

*Proof.* Suppose first that  $h \in V_{\varepsilon}gV_{\varepsilon}$  and find  $\sigma, f \in V_{\varepsilon}$  so that  $h = fg\sigma^{-1}$ . Then  $d_{\infty}(\sigma, \operatorname{Id}) < \varepsilon$  and, as  $h\sigma = fg$  and  $d_{\infty}$  is right-invariant, also

$$d_{\infty}(g, h\sigma) = d_{\infty}(g, fg) = d_{\infty}(\mathrm{Id}, f) < \epsilon.$$

So  $\rho(g,h) < \epsilon$ .

Conversely, suppose that  $\sigma \in \operatorname{Homeo}(M)$  is such that  $d_{\infty}(\sigma,\operatorname{Id}) < \varepsilon$  and  $d_{\infty}(g,h\sigma) < \varepsilon$ . Then  $d_{\infty}(h\sigma g^{-1},\operatorname{Id}) = d_{\infty}(h\sigma,g) < \varepsilon$ , whereby  $h = h\sigma g^{-1} \cdot g \cdot \sigma^{-1} \in V_{\varepsilon}gV_{\varepsilon}$ .

For the last comment, just note that if G is open in  $\operatorname{Homeo}(M)$ , then the Roelcke uniformity on G is simply induced by the Roelcke uniformity on  $\operatorname{Homeo}(M)$ . In particular, this applies to the group G of orientation preserving homeomorphisms of a manifold M.

**Example 1.25** (Dehn twists). The following example, which is joint work with Marc Culler, will show that, if M is a compact manifold of dimension  $\geq 2$  or is the Hilbert cube  $[0,1]^{\mathbb{N}}$ , then  $\operatorname{Homeo}(M)$  is not Roelcke precompact. This is in contrast with the case of M=[0,1], as shown by V. Uspenskii [27], and, in the case of  $M=[0,1]^{\mathbb{N}}$ , answers a question of Uspenskii from the same paper.

Let 0 < r < R < 2r and let A denote the annulus in the complex plane given by

$$A = \{x \in \mathbb{C} \mid r \leqslant |x| \leqslant R\}.$$

For every integer  $n \in \mathbb{Z}$ , we define a *Dehn twist* of order n, i.e., a homeomorphism  $\tau_n$  of  $\mathbb{C}$ , that is the identity outside of A and that rotates every circle

$$C_{\lambda} = \{x \in \mathbb{C} \mid |x| = (1 - \lambda)r + \lambda R\},\$$

for  $\lambda \in [0,1]$ , by an angle of  $\lambda n \cdot 2\pi$ . Thus,  $C_0$  and  $C_1$  are respectively the inner and outer boundaries of A that are pointwise fixed by  $\tau_n$ . In other words, for  $\lambda \in [0,1]$ , we have

$$\tau_n([(1-\lambda)r + \lambda R]e^{i2\pi t}) = [(1-\lambda)r + \lambda R]e^{i2\pi(t+\lambda n)}.$$

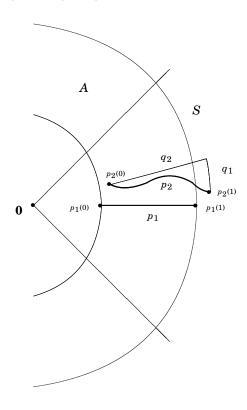
**Lemma 1.26.** Fix n, m in  $\mathbb{Z}$  and let  $p_1$  be the continuous path in  $\mathbb{C}$  given by  $p_1(\lambda) = [(1 - \lambda)r + \lambda R]e^{i0}$ ,  $\lambda \in [0, 1]$ . Suppose that  $p_2$  is another continuous path in  $\mathbb{C}$  so that

$$d(p_1(\lambda), p_2(\lambda)) < \frac{R-r}{2} \quad and \quad d(\tau_n \circ p_1(\lambda), \tau_m \circ p_2(\lambda)) < \frac{R-r}{2}$$

for all  $\lambda \in [0,1]$ . Then n = m.

*Proof.* Suppose towards a contradiction that  $n \neq m$  and, by symmetry, assume that n does not lie in the interval between 0 and m. Let also  $\omega(p)$  denote the winding number of a path p around the origin  $\mathbf{0} \in \mathbb{C}$ .

We note that since  $d\left(\tau_n\circ p_1(\lambda),\tau_m\circ p_2(\lambda)\right)<\frac{R-r}{2}<\frac{r}{2}$  and  $\tau_n\circ p_1(\lambda)\in A$  for all  $\lambda\in[0,1]$ , the formula  $p_\gamma=\gamma(\tau_n\circ p_1)+(1-\gamma)(\tau_m\circ p_2)$  defines a homotopy of  $\tau_m\circ p_2$  to  $\tau_n\circ p_1$  avoiding the disk  $\{x\in\mathbb{C}\;\big|\;|x|<\frac{r}{2}\}$ . Noting also that  $p_\gamma(0)$  and  $p_\gamma(1)$  lie in the sector  $S=\{x\in\mathbb{C}\;\big|\;-\frac{\pi}{4}\leq\arg x\leq\frac{\pi}{4}\}$  for all  $\gamma\in[0,1]$ , it follows that  $\omega(\tau_m\circ p_2)\in[\omega(\tau_n\circ p_1)-\frac{1}{8},\omega(\tau_n\circ p_1)+\frac{1}{8}]=[n-\frac{1}{8},n+\frac{1}{8}].$ 



Write now  $p_2(0) = \alpha_0 e^{i2\pi t_0}$ ,  $p_2(1) = \alpha_1 e^{i2\pi t_1}$  with  $\alpha_i \geqslant 0$ ,  $t_i \in [-\frac{1}{8}, \frac{1}{8}]$  and let  $q_1(\lambda) = \alpha_1 e^{i2\pi [\lambda t_0 + (1-\lambda)t_1]}$  and  $q_2(\lambda) = [\lambda \alpha_0 + (1-\lambda)\alpha_1] e^{i2\pi t_0}$ . Then the concatenation  $p_2 \cdot q_1 \cdot q_2$  is a closed path lying in an open disk D not containing the origin and thus  $\tau_m \circ (p_2 \cdot q_1 \cdot q_2)$  is a closed path lying in a simply connected region not containing the origin either. It follows that  $\omega(\tau_m \circ (p_2 \cdot q_1 \cdot q_2)) = 0$ , whereby

$$\omega(\tau_m \circ p_2) = \omega(\tau_m \circ \check{q}_1) + \omega(\tau_m \circ \check{q}_2) = \omega(\check{q}_1) + \omega(\tau_m \circ \check{q}_2) = t_1 - t_0 + \omega(\tau_m \circ \check{q}_2),$$

where  $\check{q}_i$  denotes the reversed path of  $q_i$ . Since necessarily  $\alpha_0 < \alpha_1$ , it is easy to see that  $\omega(\tau_m \circ \check{q}_2)$  lies in the interval from 0 to m. As n does not lie in the interval between 0 and m,  $t_1 - t_0 \in [-\frac{1}{4}, \frac{1}{4}]$  and  $\omega(\tau_m \circ p_2) \in [n - \frac{1}{8}, n + \frac{1}{8}]$ , a contradiction follows.

**Theorem 1.27** (joint with M. Culler). Suppose M is a compact manifold of dimension  $\ell \geq 2$  or is the Hilbert cube  $[0,1]^{\mathbb{N}}$ . Then  $\operatorname{Homeo}(M)$  is not Roelcke precompact.

*Proof.* Suppose that M is a compact manifold of dimension  $\ell \geq 2$  with compatible metric d and pick an open subset  $U \subseteq M$  homeomorphic to  $\mathbb{R}^{\ell}$  via some  $\phi \colon U \to \mathbb{R}^{\ell}$ . Note that, if  $g \colon \mathbb{R}^{\ell} \to \mathbb{R}^{\ell}$  is a homeomorphism so that  $g \equiv \operatorname{Id}$  outside a compact subset  $K \subseteq \mathbb{R}^{\ell}$ , then the following defines a homeomorphism of M,

$$\hat{g} = \begin{cases} \phi^{-1} g \phi, & \text{on } U, \\ \text{Id}, & \text{on } M \setminus U. \end{cases}$$

Fix some 0 < r < R < 2r and, for  $t \in [0,1]$  and  $n \in \mathbb{Z}$ , let  $\tau_n^t$  be the Dehn twist of  $\mathbb{C}$  supported on the annulus  $A_t = \{x \in \mathbb{C} \mid tr \leq |x| \leq tR\}$ . As is easy to check, the mapping

$$(x,t) \in \mathbb{C} \times [0,1] \mapsto \tau_n^t(x) \in \mathbb{C}$$

is continuous, so  $\{\tau_n^t\}_{t\in[0,1]}$  defines an isotopy between the homeomorphisms  $\tau_n^0=\mathrm{Id}$  and  $\tau_n^1$ , where the latter is simply the Dehn twist  $\tau_n$  on the annulus  $A=\{x\in\mathbb{C}\;\big|\;r\leqslant|x|\leqslant R\}$ . Let also  $t\colon\mathbb{R}^{\ell-2}\to[0,1]$  be a continuous bump function so that

$$t(y) = \begin{cases} 1, & \text{for } y \in B_R, \\ 0, & \text{for } y \in \mathbb{R}^{\ell-2} \setminus B_{2R}, \end{cases}$$

where  $B_s$  denotes the closed ball in  $\mathbb{R}^{\ell-2}$  of radius s.

Identifying  $\mathbb{C}$  with  $\mathbb{R}^2$ , we can define a continuous function  $g_n$  on  $\mathbb{R}^\ell = \mathbb{C} \times \mathbb{R}^{\ell-2}$  by letting

$$g_n(x,y) = \left(\tau_n^{t(y)}(x), y\right).$$

Note that since  $g_n$  restricts to a permutation of every section  $\mathbb{C} \times \{y\}$ ,  $g_n$  is a continuous permutation of  $\mathbb{R}^\ell$  and, letting  $D_R$  denote the closed disk in  $\mathbb{C}$  of radius R, we see that  $g_n \equiv \operatorname{Id}$  outside the compact set  $K = D_R \times B_{2R}$ . It follows that  $g_n$  is a homeomorphism of  $\mathbb{R}^\ell$  and also that  $\hat{g}_n \in \operatorname{Homeo}(M)$  is well-defined.

Let  $d_{\mathbb{R}^{\ell-2}}, d_{\mathbb{R}^{\ell}}$  and  $d_{\mathbb{C}}$  denote the standard euclidean metrics on  $\mathbb{R}^{\ell-2}, \mathbb{R}^{\ell} = \mathbb{C} \times \mathbb{R}^{\ell-2}$  and  $\mathbb{C}$  respectively. Since K is compact, there is  $\epsilon > 0$  so that, if  $f \in \operatorname{Homeo}(M)$  satisfies  $d_{\infty}(f,\operatorname{Id}) < \epsilon$ , then  $f(\phi^{-1}(K)) \subseteq U$  and

(1) 
$$\sup_{x \in K} d_{\mathbb{R}^{\ell}}(x, \phi f \phi^{-1}(x)) < \frac{R - r}{2}.$$

For such f, note that, as  $g_n(K) = K$  and  $f(\phi^{-1}(K)) \subseteq U$ , the following holds for all  $x \in K$ 

(2) 
$$\phi \hat{g}_m f \hat{g}_n^{-1} \phi^{-1}(x) = \phi \hat{g}_m f \phi^{-1} g_n^{-1}(x) = g_m \phi f \phi^{-1} g_n^{-1}(x).$$

 $\neg$ 

Let  $n,m \in \mathbb{Z}$  be given and suppose that  $\rho(\hat{g}_n,\hat{g}_m) < \epsilon$ , as witnessed by some  $\sigma \in \text{Homeo}(M)$  satisfying  $d_{\infty}(\sigma,\text{Id}) < \epsilon$  and  $d_{\infty}(\text{Id},\hat{g}_m\sigma\hat{g}_n^{-1}) = d_{\infty}(\hat{g}_n,\hat{g}_m\sigma) < \epsilon$ . Then, applying first equation (2) to  $f = \sigma$  and subsequently inequality (1) to  $f = \hat{g}_m\sigma\hat{g}_n^{-1}$ , we have

$$\sup_{y \in K} d_{\mathbb{R}^{\ell}} \left( g_{n}(y), g_{m} \phi \sigma \phi^{-1}(y) \right) = \sup_{x \in K} d_{\mathbb{R}^{\ell}} \left( x, g_{m} \phi \sigma \phi^{-1} g_{n}^{-1}(x) \right) \\
= \sup_{x \in K} d_{\mathbb{R}^{\ell}} \left( x, \phi \hat{g}_{m} \sigma \hat{g}_{n}^{-1} \phi^{-1}(x) \right) \\
< \frac{R - r}{2}.$$

Let now  $p_1$  be the path in  $A \subseteq \mathbb{C}$  defined in Lemma 1.26 and let q be the path in K defined by  $q(\lambda) = (p_1(\lambda), \mathbf{0}_{\mathbb{R}^{\ell-2}})$ . Then, by inequality (1) applied to  $f = \sigma$ , we have

$$\begin{split} d_{\mathbb{R}^{\ell-2}}\big(\mathbf{0}_{\mathbb{R}^{\ell-2}}, \mathrm{proj}_{\mathbb{R}^{\ell-2}}[\phi\sigma\phi^{-1}q(\lambda)]\big) &= d_{\mathbb{R}^{\ell-2}}\big(\mathrm{proj}_{\mathbb{R}^{\ell-2}}[q(\lambda)], \mathrm{proj}_{\mathbb{R}^{\ell-2}}[\phi\sigma\phi^{-1}q(\lambda)]\big) \\ &\leq d_{\mathbb{R}^{\ell}}\big(q(\lambda), \phi\sigma\phi^{-1}q(\lambda)\big) \\ &< \frac{R-r}{2}, \end{split}$$

whence  $\operatorname{proj}_{\mathbb{R}^{\ell-2}}[\phi\sigma\phi^{-1}q(\lambda)] \in B_R$  and thus also  $t(\operatorname{proj}_{\mathbb{R}^{\ell-2}}[\phi\sigma\phi^{-1}q(\lambda)]) = 1$  for all  $\lambda$ . It follows that

$$g_m \phi \sigma \phi^{-1} q(\lambda) = \left( \tau_m \left( \operatorname{proj}_{\mathbb{C}} [\phi \sigma \phi^{-1} q(\lambda)] \right), \operatorname{proj}_{\mathbb{R}^{\ell-2}} [\phi \sigma \phi^{-1} q(\lambda)] \right),$$

and so, if  $p_2$  in  $\mathbb{C}$  is defined by  $p_2(\lambda) = \operatorname{proj}_{\mathbb{C}}[\phi \sigma \phi^{-1} q(\lambda)]$ , we obtain

(4) 
$$\tau_m p_2(\lambda) = \operatorname{proj}_{\mathbb{C}} \left[ g_m \phi \sigma \phi^{-1} q(\lambda) \right].$$

Finally, by applying inequality (1) to  $f = \sigma$ , we have

(5) 
$$d_{\mathbb{C}}(p_1(\lambda), p_2(\lambda)) \leq d_{\mathbb{R}^{\ell}}(q(\lambda), \phi \sigma \phi^{-1} q(\lambda)) < \frac{R - r}{2}$$

and, by equality (4) and inequality (3),

(6) 
$$d_{\mathbb{C}}(\tau_n p_1(\lambda), \tau_m p_2(\lambda)) \leq d_{\mathbb{R}^{\ell}}(g_n q(\lambda), g_m \phi \sigma \phi^{-1} q(\lambda)) < \frac{R - r}{2}.$$

By inequalities (5) and (6),  $p_1$  and  $p_2$  satisfy the hypotheses of Lemma 1.26, whereby n=m.

It follows that  $\{\hat{g}_n\}_{n\in\mathbb{Z}}$  is an infinite  $\epsilon$ -separated family with respect to the metric  $\rho$  and thus, since the latter metrises the Roelcke uniformity,  $\operatorname{Homeo}(M)$  fails to be Roelcke precompact.

One may reproduce the same argument for the Hilbert cube, but, in this case, it is easier to identify  $[0,1]^2$  with the closed disk  $D_R \subseteq \mathbb{C}$  and then define  $g_n \in \text{Homeo}([0,1]^{\mathbb{N}}) = \text{Homeo}(D_R \times [0,1]^{\mathbb{N}})$  by

$$g_n = \tau_n \times \mathrm{Id}_{[0,1]^{\mathbb{N}}}.$$

Considering a path  $q(\lambda)$  defined similarly to the one above, one may now show that the  $g_n$  form a uniformly separated subset of Homeo([0,1] $^{\mathbb{N}}$ ) with respect to the Roelcke uniformity.

**Problem 1.28.** As was shown in [21], both  $\operatorname{Homeo}([0,1]^{\mathbb{N}})$  and  $\operatorname{Homeo}(S^{\ell})$ ,  $\ell \geq 1$ , have property (OB). Moreover, since  $\operatorname{Homeo}(S^{\ell})$  has a connected open subgroup of finite index, it is actually bounded. Though, as we have seen,  $\operatorname{Homeo}(S^{\ell})$  is not Roelcke precompact for  $\ell \geq 2$ , we do not know whether it has property  $(\operatorname{OB}_k)$  for any  $k \geq 1$  nor whether it is  $\mathcal{E}_{ts}$ -bounded (cf. Example 1.19).

1.8. **Fixed point properties.** We shall now briefly consider the connection between the aforementioned boundedness properties and fixed point properties for affine actions on Banach spaces.

**Definition 1.29.** A Polish group G has property (ACR) if any affine continuous action of G on a separable reflexive Banach space has a fixed point.

**Proposition 1.30.** Any Polish group with property (OB) has property (ACR).

*Proof.* Assume G has property (OB) and that  $\rho\colon G\to \mathbf{Aff}(X)$  is a continuous affine representation on a separable reflexive Banach space X with linear part  $\pi\colon G\to \mathbf{GL}(X)$  and associated cocycle  $b\colon G\to X$ . Since G has property (OB), the linear part  $\pi$  is bounded, i.e.,  $\sup_{g\in G}\|\pi(g)\|<\infty$ , and we can therefore define a new equivalent norm  $\|\|\cdot\|$  on X by

$$|||x||| = \sup_{g \in G} ||\pi(g)x||,$$

i.e., inducing the original topology on X. By construction,  $\|\|\cdot\|\|$  is  $\pi(G)$ -invariant and thus  $\rho$  is an affine isometric representation of G on  $(X,\|\|\cdot\|\|)$ . By property (OB), every orbit  $\rho(G)x\subseteq X$  is bounded and so, e.g., the closed convex hull  $C=\overline{\operatorname{conv}}(\rho(G)0)$  of the  $\rho(G)$ -orbit of  $0\in X$  is a bounded closed convex set invariant under the affine action of G. As X is reflexive, C is weakly compact, and thus G acts by affine isometries on the weakly compact convex set C with respect to the norm  $\|\|\cdot\|\|$ . It follows by the Ryll-Nardzewski fixed point theorem (Thm 12.22 [10]) that G has a fixed point on X.

Recall that a topological group G is said to have property (FH) if every continuous affine isometric action on a Hilbert space has a fixed point, or, equivalently, has bounded orbits (see [6]). So clearly property (ACR) is stronger than (FH). Similarly, fixed point properties for affine isometric actions on a Banach space X have been studied for a variety of other classes of Banach spaces such as  $L^p$  and uniformly convex spaces [2, 8]. It is worth noting that (ACR) characterises the compact groups within the class of locally compact Polish groups. Namely, N. Brown and E. Guentner [7] have shown that any countable infinite group admit a proper (and thus fixed point free) affine isometric action on a separable reflexive Banach space and this was extended by Haagerup and Przybyszewska [12] to all locally compact, non-compact Polish groups. Also, as will be seen in Theorem 1.47, any non-compact locally compact Polish group G admits a continuous affine (not necessarily isometric) action on a separable Hilbert space with unbounded orbits.

A word of caution is also in its place with regards to continuous affine actions. While for an isometric action, either all orbits are bounded or no orbit is bounded, this is certainly not so for a general affine action on a Banach space. E.g., one can have a fixed point and still have unbounded orbits.

**Example 1.31.** There are examples of Polish groups that admit no non-trivial continuous representations in  $\mathbf{GL}(\mathcal{H})$  or even in  $\mathbf{GL}(X)$ , where X is any separable reflexive Banach space. For example, note that the group of increasing homeomorphisms of [0,1] with the topology of uniform convergence,  $G = \mathrm{Homeo}_+([0,1])$ , has property (OB) (one way to see this is to note that the oligomorphic and hence Roelcke precompact group  $\mathrm{Aut}(\mathbb{Q},<)$  maps onto a dense subgroup of  $\mathrm{Homeo}_+([0,1])$ ). Therefore, any continuous representation  $\pi\colon G\to \mathbf{GL}(X)$  must be bounded, whence

$$|||x||| = \sup_{g \in G} ||\pi(g)x||$$

is an equivalent G-invariant norm on X. Though the norm may change, X is of course still reflexive under the new norm and so  $\pi$  can be seen as a strongly continuous linear isometric representation of G on a reflexive Banach space. However, as shown by M. Megrelishvili [16], any such representation is trivial, and so  $\pi(g) = \operatorname{Id}$  for any  $g \in G$ .

Though we have no example to this effect, the preceding example does seem to indicate that the class of reflexive spaces is too small to provide a characterisation of property (OB) and thus the implication (OB)  $\Rightarrow$  (ACR) should not reverse in general.

1.9. **Property**  $(OB_k)$  and SIN groups. We shall now consider a strengthening of property (OB) along with the class of Polish SIN groups.

**Definition 1.32.** Let  $k \ge 1$ . A Polish group G is said to have property  $(OB_k)$  if whenever

$$W_0 \subseteq W_1 \subseteq W_2 \subseteq \ldots \subseteq G$$

is a exhaustive sequence of open subsets, then  $G = W_n^k$  for some  $n \ge 1$ .

Again, property  $(OB_k)$  has the following reformulation

• For any open symmetric  $V \neq \emptyset$  there is a finite set  $F \subseteq G$  such that  $G = (FV)^k$ .

Recall that a topological group is called a SIN group (for small invariant neighbourhoods) if it has a neighbourhood basis at the identity consisting of conjugacy invariant sets, or, equivalently, if the left and right uniformities coincide (whereby they also agree with both the two-sided and the Roelcke uniformities). For Polish groups, by a result of V. Klee, this is equivalent to having a compatible invariant metric, which necessarily is complete. Now, if  $V \subseteq G$  is a conjugacy invariant neighbourhood of 1, then FV = VF for any set  $F \subseteq G$ , so we have the following set of equivalences.

**Proposition 1.33.** Let G be a Polish SIN group. Then the following conditions are equivalent.

- (1) G is compact,
- (2) G is Roelcke precompact,
- (3) G has property  $(OB_k)$  for some  $k \ge 1$ .

Also, the following conditions are equivalent.

- (1) G is  $\mathcal{E}_{ts}$ -bounded,
- (2) G is bounded,
- (3) G is Roelcke bounded,
- (4) G has property (OB).

*Proof.* The only non-trivial fact is that property  $(OB_k)$  implies compactness. So assume that G is a Polish SIN group with property  $(OB_k)$  and let a neighbourhood  $V \ni 1$  be given. Since G is SIN, pick a conjugacy invariant neighbourhood  $W \ni 1$  so that  $W^k \subseteq V$ . Let also  $F \subseteq G$  be a finite set so that  $G = (WF)^k$ . As W is conjugacy invariant, one has WF = FW and thus  $G = (FW)^k = F^kW^k \subseteq F^kV$ . By Theorem 1.1, this shows that G is compact.

**Example 1.34.** Let E be the orbit equivalence relation induced by a measure preserving ergodic automorphism of [0,1] and let [E] denote the corresponding *full* 

*group*, i.e., the group of measure-preserving automorphisms  $T: [0,1] \to [0,1]$  such that xET(x) for almost all  $x \in [0,1]$ , equipped with the invariant metric

$$d(T,S) = \lambda (\{x \in [0,1] \mid T(x) \neq S(x)\}).$$

Then [E] is a non-compact, Polish SIN group and, as shown in [17], E has property (OB). Thus, [E] cannot have property  $(OB_k)$  for any  $k \ge 1$ .

**Example 1.35.** For another example, consider the separable commutative unital  $C^*$ -algebra  $\left(C(2^\mathbb{N},\mathbb{C}),\|\cdot\|_\infty\right)$  and its unitary subgroup  $\mathscr{U}\left(C(2^\mathbb{N},\mathbb{C})\right)=C(2^\mathbb{N},\mathbb{T})$  consisting of all continuous maps from Cantor space  $2^\mathbb{N}$  to the circle group  $\mathbb{T}$ . So  $C(2^\mathbb{N},\mathbb{T})$  is an Abelian Polish group. Moreover, we claim that, for any neighbourhood V of the identity in  $C(2^\mathbb{N},\mathbb{T})$ , there is a k such that any element  $g\in C(2^\mathbb{N},\mathbb{T})$  can be written as  $g=f^k$  for some  $f\in V$ .

To see this, find some k > 1 such that any continuous

$$f: 2^{\mathbb{N}} \to U = \{e^{2\pi i \alpha} \in \mathbb{T} \mid -1/k < \alpha < 1/k \}$$

belongs to *V*. Fix  $g \in C(2^{\mathbb{N}}, \mathbb{T})$  and note that

$$A = \{x \in 2^{\mathbb{N}} \mid g(x) \notin U\}$$

and

$$B = \{x \in 2^{\mathbb{N}} \mid g(x) = 1\}$$

are disjoint closed subsets of  $2^{\mathbb{N}}$  and can therefore be separated by a clopen set  $C \subseteq 2^{\mathbb{N}}$ , i.e.,  $A \subseteq C$  and  $C \cap B = \emptyset$ . Then, for any  $x \in C$ , writing  $g(x) = e^{2\pi i \alpha}$  for  $0 < \alpha < 1$ , we set

$$f(x) = e^{\frac{2\pi i \alpha}{k}}$$

Also, if  $x \notin C$ , write  $g(x) = e^{2\pi i \alpha}$  for some  $-1/k < \alpha < 1/k$  and set

$$f(x) = e^{\frac{2\pi i \alpha}{k}}.$$

Thus,  $f^k(x) = g(x)$  for all  $x \in C$  and, since C is clopen, f is easily seen to be continuous. Moreover, since f only takes values in U, we see that  $f \in V$ , which proves the claim.

In particular, this implies that  $C(2^{\mathbb{N}}, \mathbb{T})$  is a bounded group. On the other hand,  $C(2^{\mathbb{N}}, \mathbb{T})$  is not compact, since, e.g., the functions  $h_n \in C(2^{\mathbb{N}}, \mathbb{T})$  defined by  $h_n(x) = 1$  if the nth coordinate of x is 1 and -1 otherwise, form a 2-discrete set.

1.10. Non-Archimedean Polish groups. Of special interest in logic are the automorphism groups of countable first order structures, that is, the closed subgroups of the group of all permutations of the natural numbers,  $S_{\infty}$ . Recall that the topology on  $S_{\infty}$  is the topology of pointwise convergence on  $\mathbb N$  viewed as a discrete space. Thus, a neighbourhood basis at the identity in  $S_{\infty}$  consists of the pointwise stabilisers (or *isotropy* subgroups) of finite subsets of  $\mathbb N$ , which are thus open subgroups of  $S_{\infty}$ . Conversely, as is well known and easy to see, the property of having a neighbourhood basis at 1 consisting of open subgroups isomorphically characterises the closed subgroups of  $S_{\infty}$  within the class of Polish groups.

**Definition 1.36.** A Polish group G is non-Archimedean if it has a neighbourhood basis at 1 consisting of open subgroups. Equivalently, G is non-Archimedean if it is isomorphic to a closed subgroup of  $S_{\infty}$ .

**Proposition 1.37.** *Let G be a non-Archimedean Polish group. Then* 

- (1) G is Roelcke precompact if and only if it is Roelcke bounded,
- (2) G is bounded if and only if it is compact.

*Proof.* Note that, for a closed subgroup G of  $S_{\infty}$ , in the definition of Roelcke precompactness it suffices to quantify over open subgroups  $V \leq G$ . So G is Roelcke precompact if and only if for any open subgroup  $V \leq G$ , the double coset space  $V \setminus G/V$  is finite, which is implied by Roelcke boundedness. As also Roelcke precompactness implies Roelcke boundedness, (1) follows.

For (2), it suffices to notice that if G is not compact, then it has an open subgroup of infinite index and thus G cannot be bounded.

**Example 1.38.** By Theorem 5.8 of [21], the isometry group of the rational Urysohn metric space  $\mathbb{QU}_1$  of diameter 1 has property (OB) even as a discrete group and thus also as a Polish group. However, since it acts continuously and transitively on the discrete set  $\mathbb{QU}_1$ , but not oligomorphically, the group cannot be Roelcke precompact.

**Example 1.39.** Note that if G is a non-Archimedean Polish group, then G is SIN if and only if G has a neighbourhood basis at the identity consisting of normal open subgroups. For this, it suffices to note that if G is a conjugacy invariant neighbourhood of 1, then G is a conjugacy invariant open subgroup of G, i.e., G is normal in G. In this case, we can find a decreasing series

$$G \geqslant V_0 \geqslant V_1 \geqslant V_2 \geqslant \dots$$

of normal open subgroups of G forming a neighbourhood basis at 1, and, moreover, this characterises the class of Polish, non-Archimedean, SIN groups. Note also that such a G is compact if and only if all the quotients  $G/V_n$  are finite. Moreover, as any countably infinite group admits a continuous affine action on a separable Hilbert space without fixed points (cf. Theorem 1.47), we see that G is compact if and only if it has property (ACR).

Of course, not all non-Archimedean Polish groups have non-trivial countable quotients, but, as we shall see, property (OB) can still be detected by actions on countable graphs. While it is possible to show this in one go, to gain further information, we split the proof into two cases along conditions 6 (i) and 6 (ii) of Theorem 1.11.

First note that if G is a union of a chain  $G_0 < G_1 < \ldots < G$  of proper open subgroups, then, as is well known, G acts by automorphism on the tree T with vertex set  $X = G/G_0 \cup G/G_1 \cup \ldots$  and edges  $\{gG_n, gG_{n+1}\}$  for  $g \in G$  and  $n \ge 0$  such that the orbit of every vertex has infinite diameter.

The other case is analysed as follows.

**Lemma 1.40.** The following are equivalent for a non-Archimedean Polish group G.

- (1) There is a symmetric open generating set  $U \subseteq G$  such that  $G \neq U^k$  for all  $k \ge 1$ ,
- (2) G admits a vertex transitive continuous action on a connected graph of infinite diameter.

*Proof.* (1) $\Rightarrow$ (2): Pick m such that  $1 \in U^m$ , whereby  $U^m$  is a symmetric open neighbourhood of 1 and thus contains an open subgroup V of G. It follows that  $W = VU^mV$  is a symmetric generating set for G such that  $G \neq W^k$  for all k. Let  $A \subseteq G$  be a countable symmetric subset containing 1 such that W = VWV = AV, whence also  $AV = W = W^{-1} = VA^{-1} = VA$ . It follows that  $W^k = (AV)^k = A^kV^k = A^kV$  for all  $k \geqslant 1$ .

Now, let  $\Gamma$  be the countable graph with vertex set G/V and edges  $\{gV, gaV\}$  for all  $a \in A$  and  $g \in V$ , and let G act on  $\Gamma$  by left-translation. The action is clearly continuous and vertex transitive and to see that  $\Gamma$  is connected note that if  $h \in G$ 

there are  $a_1,\ldots,a_k\in A$  with  $hV=a_1\cdots a_kV$ , whereby  $1V,a_1V,a_1a_2V,\ldots,a_1\cdots a_kV$  is a path from 1V to hV. On the other hand, if hV is a neighbour of gV in  $\Gamma$ , then there are  $f\in G$  and  $a\in A$  such that gV=fV and hV=faV, whence  $hV=faV\subseteq fAV=gVA=gAV$  and thus hV=ga'V for some  $a'\in A$ . It follows that the ball of radius k centred at 1V is contained in  $A^kV$ , showing that  $\Gamma$  has infinite diameter.

(2) $\Rightarrow$ (1): If G acts vertex transitively and continuously on a connected graph  $\Gamma$  of infinite diameter, let V be the isotropy subgroup of some vertex v, which is open in G. Let  $U = \{g \in G \mid \{v, gv\} \in E\Gamma\}$  and note that if  $\{hv, fv\}$  is any edge of  $\Gamma$ , then so is  $\{v, h^{-1}fv\}$ , whence  $h^{-1}f \in U$ . Since  $\Gamma$  is connected, it follows that  $G = \bigcup_k U^k$ . Also, by construction U is symmetric and UV = U, whence U is open. Finally, if  $h = g_1 \dots g_k$  for  $g_i \in U$ , then  $v, g_1 v, g_1 g_2 v, \dots, g_1 \cdots g_k v$  is a path in  $\Gamma$ , so, as  $\Gamma$  has infinite diameter, we must have  $G \neq U^k$  for all  $k \geq 1$ .

Since property (OB) is equivalent to the conjunction of conditions 6 (i) and 6 (ii) of Theorem 1.11, we have the following.

**Lemma 1.41.** Let G be a non-Archimedean Polish group without property (OB). Then either G acts continuously on a tree T such that every orbit has infinite diameter or G admits a vertex transitive continuous action on a connected graph of infinite diameter.

So non-Archimedean Polish groups without property (OB) verify the conditions of the following theorem.

**Theorem 1.42.** Assume a Polish group G acts continuously and by isometries on a countable discrete metric space (X,d) with unbounded orbits. Then G admits an unbounded continuous linear representation  $\pi\colon G\to \mathbf{GL}(\mathcal{H})$  on a separable Hilbert space.

*Proof.* Suppose that (X,d) is a countable discrete metric space on which G acts continuously by isometries and fix some point  $p \in X$ . We define a function  $\sigma$  on X by setting

$$\sigma(x) = \min(k \ge 2 \mid d(x, p) \le 2^k)$$

and note that for any  $g \in G$  and  $x \in X$ 

$$d(gx, p) \le d(gx, gp) + d(gp, p) = d(x, p) + d(gp, p),$$

and so

$$\sigma(gx) \leq \max{\{\sigma(gp), \sigma(x)\}} + 1$$

and

$$\frac{\sigma(gx)}{\sigma(x)} \le \sigma(gp).$$

Let  $\ell_2(X)$  denote the Hilbert space with orthonormal basis  $(e_x)_{x \in X}$ . For any  $g \in G$ , we define a bounded weighted shift  $T_g$  of  $\ell_2(X)$  by letting

$$T_g(e_x) = \frac{\sigma(gx)}{\sigma(x)} e_{gx}$$

and extending  $T_g$  by linearity to the linear span of the  $e_x$  and by continuity to all of  $\ell_2(X)$ . Note also that in this case  $T_{g^{-1}} = T_g^{-1}$  and  $T_{gf} = T_g T_f$ , so the mapping  $g \in G \to T_g \in GL(\ell_2(X))$  is a continuous representation.

Moreover, as

$$||T_g|| \ge \frac{\sigma(gp)}{\sigma(p)}$$

and  $\frac{\sigma(gp)}{\sigma(p)} \to \infty$  as  $d(gp,p) \to \infty$ , we see that the representation  $\pi \colon g \mapsto T_g$  is unbounded.

We have not been able to determine whether properties (OB) and (ACR) coincide on the class of non-Archimedean Polish groups, but given that property (OB) can be detected by actions on discrete metric spaces, one may suspect that this is the case. An even stronger result would be given by a positive answer to the following problem.

**Problem 1.43.** Let G be a non-Archimedean Polish group without property (OB). Does it follow that G admits a continuous affine isometric action on a reflexive Banach space without fixed points?

As a test case, one might consider the isometry group of the rational Urysohn metric space, which fails property (OB).

One concrete instance where a non-Achimedean Polish group G fails (OB) is when G maps to a locally compact group. To analyse this situation, we need the following concepts. If V and W are subgroups of a common group G, we note that

 $[W:W\cap V]$  = number of distinct left cosets of V contained in WV.

In particular, for any  $g \in G$ ,

 $[V:V\cap V^g]$  = number of distinct left cosets of  $V^g$  contained in  $VV^g$  = number of distinct left cosets of V contained in VgV.

The *commensurator* of V in G is the subgroup

$$Comm_G(V) = \{g \in G \mid [V : V \cap V^g] < \infty \text{ and } [V^g : V^g \cap V] = [V : V \cap V^{g^{-1}}] < \infty\},$$

whereby  $G = \operatorname{Comm}_G(V)$  if and only if, for any  $g \in G$ , VgV is a finite union of left cosets of V.

As pointed out by Tsankov [25], if G is a Roelcke precompact non-Archimedean Polish group, then  $[\operatorname{Comm}_G(V):V]<\infty$  for any open subgroup  $V\leqslant G$ . Going in the opposite direction, we have the following equivalence.

**Proposition 1.44.** The following are equivalent for a Polish group G.

- (1) There is an open subgroup  $V \leq G$  of infinite index such that  $G = Comm_G(V)$ ,
- (2) there is a continuous homomorphism  $\pi: G \to H$  into a non-Archimedean Polish group such that  $\overline{\pi(G)}$  is non-compact and locally compact.

*Proof.* (1) $\Rightarrow$ (2): Assume that (1) holds and let  $\pi: G \to \operatorname{Sym}(G/V)$  denote the continuous homomorphism induced by the left-shift action of G on G/V, where  $\operatorname{Sym}(G/V)$  is the Polish group of all permutations of G/V with isotropy subgroups of  $gV \in G/V$  declared to be open. To see that  $\overline{\pi(G)}$  is non-compact, just note that the  $\pi(G)$ -orbit of  $1V \in G/V$  is infinite. For local compactness, let U denote the isotropy subgroup of V in  $\operatorname{Sym}(G/V)$ . Since V is clopen, it suffices to show that  $V \cap \pi(G)$  is relatively compact, i.e., that any orbit of  $\pi^{-1}(U) = V$  on G/V is finite. But this follows directly from the fact that for any  $V \in G/V$ , the set  $V \cap V$  is a union of finitely many left cosets of V.

 $(2)\Rightarrow$ (1): Assume that (2) holds. Without loss of generality, we may assume that H is a closed subgroup of  $S_{\infty}$  and thus has a canonical action on  $\mathbb{N}$ . The non-compactness of  $\overline{\pi(G)}$  implies that  $\pi(G)$  induces an infinite orbit  $\pi(G) \cdot x \subseteq \mathbb{N}$ . On the other hand, since  $\overline{\pi(G)}$  is locally compact, there is an open subgroup  $U \leq H$  such that

 $U\cap \overline{\pi(G)}$  is compact and thus induces only finite orbits on  $\mathbb N$ . By decreasing U, we may assume that U is the isotropy subgroup of a finite tuple  $\overline{y}\in \mathbb N^n$ . Let  $V=\pi^{-1}(U)$ , which is an open subgroup of G. Moreover, since  $\pi(V)\cdot x\subseteq (U\cap \overline{\pi(G)})\cdot x$  is finite, we see that V must have infinite index in G. Similarly, if  $g\in G$  is any element, then  $\pi(VgV)\cdot \overline{y}\subseteq (U\cap \overline{\pi(G)})\pi(g)(U\cap \overline{\pi(G)})\cdot \overline{y}$  is finite, so there is a finite set  $F\subseteq G$  such that  $\pi(VgV)\cdot \overline{y}=\pi(F)\cdot \overline{y}$ . It follows that  $\pi(VgV)\subseteq \pi(F)U$ , i.e.,  $VgV\subseteq FV$  and so VgV is a union of finitely many left cosets of V. Since this happens for all  $g\in G$ , we see that  $G=\mathrm{Comm}_G(V)$ .

1.11. **Locally compact groups.** We shall now turn our attention to the class of locally compact groups and see that the hierarchy of boundedness properties in Figure (1) collapses to just compactness and property (FH).

**Theorem 1.45.** Let G be a compactly generated, locally compact Polish group. Then G admits an affine continuous representation on a separable Hilbert space  $\mathcal{H}$  such that, for all  $\xi \in \mathcal{H}$ ,

$$\|g\cdot\xi\|\underset{g\to\infty}{\longrightarrow}\infty.$$

*Proof.* Without loss of generality, G is non-compact. We fix a symmetric compact neighbourhood  $V \subseteq G$  of 1 generating G, i.e., such that

$$V \subseteq V^2 \subseteq V^3 \subseteq \ldots \subseteq G = \bigcup_{n \in \mathbb{N}} V^n.$$

Moreover, by the non-compactness of G, we have  $G \neq V^n$  for all n and thus  $B_n = V^n \setminus V^{n-1} \neq \emptyset$ . Also, for any m and n,

$$B_n \cdot B_m \subseteq V^n \cdot V^m = V^{n+m} \subseteq B_1 \cup \ldots \cup B_{n+m}$$

So, if  $g \in B_n$ , then for any k,

$$gB_m \cap B_k \neq \emptyset \Rightarrow k \leq m+n$$
.

But, as also  $g^{-1} \in B_n$ , we see that

$$gB_m \cap B_k \neq \emptyset \Rightarrow B_m \cap g^{-1}B_k \neq \emptyset \Rightarrow m \leq k+n$$
.

In other words, for any  $g \in B_n$ ,

$$gB_m \cap B_k \neq \emptyset \Rightarrow m-n \leq k \leq m+n$$
,

and thus, in fact, for any  $m, n \ge 1$ ,

$$B_n \cdot B_m \subseteq B_{m-n} \cup \ldots \cup B_{m+n}$$
.

Now, since  $V^2$  is compact and int  $V \neq \emptyset$ , there is a finite set  $F \subseteq G$  such that  $V^2 \subseteq FV$ , whence

$$\lambda(B_n) \leq \lambda(V^n) \leq \lambda(F^{n-1}V) \leq |F|^{n-1}\lambda(V)$$

where  $\lambda$  is left Haar measure on G. Choosing  $r > \max\{|F|, \lambda(V)\}$ , we have  $\lambda(B_n) \leq r^n$  for all  $n \geq 1$ .

Consider now the algebraic direct sum  $\bigoplus_{n\geqslant 1} L_2(B_n,\lambda)$  equipped with the inner product

$$\langle \xi \mid \zeta \rangle = \sum_{n \geqslant 1} r^{2n} \int_{B_n} \xi \cdot \zeta \, d\lambda.$$

So the completion  $\mathscr{H}$  of  $\bigoplus_{n\geqslant 1} L_2(B_n,\lambda)$  with respect to the corresponding norm  $\|\cdot\|$  consists of all Borel measurable functions  $\xi\colon G\to\mathbb{R}$  satisfying

$$\|\xi\|^2 = \langle \xi \mid \xi \rangle = \sum_{n \ge 1} r^{2n} \int_{B_n} \xi^2 \, d\lambda < \infty$$

and thus, in particular,  $\int_{B_n} \xi^2 d\lambda \xrightarrow[n]{} 0$ . Moreover, if we let  $\zeta$  be defined by  $\zeta \equiv \frac{1}{r^{2n}}$  on  $B_n$ , then

$$\begin{split} \|\zeta\|^2 &= \sum_{n \geq 1} r^{2n} \int_{B_n} \left(\frac{1}{r^{2n}}\right)^2 d\lambda \\ &= \sum_{n \geq 1} r^{2n} \lambda(B_n) \frac{1}{r^{4n}} \\ &\leq \sum_{n \geq 1} \frac{1}{r^n} \\ &< \infty. \end{split}$$

So  $\zeta \in \mathcal{H}$ . Also, for any  $\xi \in \mathcal{H}$ ,

$$\langle \xi \mid \zeta \rangle = \sum_{n \ge 1} r^{2n} \int_{B_n} \xi \frac{1}{r^{2n}} d\lambda$$
$$= \sum_{n \ge 1} \int_{B_n} \xi d\lambda$$
$$= \int_G \xi d\lambda.$$

Let  $\mathcal{H}_0$  denote the orthogonal complement of  $\zeta$  in  $\mathcal{H}$ , i.e.,  $\mathcal{H}_0 = \{\xi \in \mathcal{H} \mid \int_G \xi \ d\lambda = 0\}$ . We now define  $\pi \colon G \to \mathbf{GL}(\mathcal{H})$  to be the left regular representation, i.e.,  $\pi(g)\xi = \xi(g^{-1}\cdot)$ . To see that this is well-defined, that is, that each  $\pi(g)$  is a bounded operator on  $\mathcal{H}$ , note that if  $g \in B_m$  and  $\xi \in \mathcal{H}$ , then

$$\begin{split} \|\pi(g)\xi\|^2 &= \sum_{n \ge 1} r^{2n} \int_{B_n} \xi(g^{-1} \cdot)^2 \, d\lambda \\ &= \sum_{n \ge 1} r^{2n} \int_{g^{-1}B_n} \xi^2 \, d\lambda \\ &= \sum_{n \ge 1} r^{2n} \sum_{k \ge 1} \int_{g^{-1}B_n \cap B_k} \xi^2 \, d\lambda \\ &= \sum_{n,k \ge 1} r^{2n} \int_{g^{-1}B_n \cap B_k} \xi^2 \, d\lambda \\ &\le \sum_{n,k \ge 1} r^{2(m+k)} \int_{g^{-1}B_n \cap B_k} \xi^2 \, d\lambda \\ &= 2^{2m} \sum_{n,k \ge 1} r^{2k} \int_{g^{-1}B_n \cap B_k} \xi^2 \, d\lambda \\ &= 2^{2m} \sum_{k \ge 1} r^{2k} \int_{B_k} \xi^2 \, d\lambda \\ &= 2^{2m} \|\xi\|^2. \end{split}$$

Thus,  $\|\pi(g)\| \le 2^m$  for all  $g \in B_m$ , showing also that  $\|\pi(g)\|$  is uniformly bounded for g in a neighbourhood of  $1 \in G$ .

Finally, as

$$\langle \pi(g)\xi \mid \zeta \rangle = \int_G \xi(g^{-1} \cdot) \, d\lambda = \int_G \xi \, d\lambda = \langle \xi \mid \zeta \rangle,$$

we see that  $\mathcal{H}_0$  is  $\pi(G)$ -invariant.

Now define a  $\pi$ -cocycle  $b: G \to \mathcal{H}_0$  by  $b(g) = \pi(g)\chi_V - \chi_V$ , where  $\chi_V$  is the characteristic function of  $V \subseteq G$ , and let  $\rho: G \to \mathbf{Aff}(\mathcal{H})$  be the corresponding affine representation,  $\rho(g)\xi = \pi(g)\xi + b(g)$ . Thus,

$$\rho(g)\xi - \xi = \xi(g^{-1}\cdot) + \chi_V(g^{-1}\cdot) - \xi - \chi_V$$

for any  $g \in G$  and  $\xi \in \mathcal{H}$ .

**Claim 1.46.** For all  $\xi \in \mathcal{H}_0$  and K, there is a compact set  $C \subseteq G$  such that

$$\|\rho(g)\xi - \xi\| > K$$

*for all*  $g \in G \setminus C$ .

Assume first that  $\xi \equiv -1$  on V. Then, as  $\xi \in \mathcal{H}_0$ , we have  $\int_G \xi d\lambda = 0$  and thus there must be a Borel set  $E \subseteq G$  such that  $\lambda(E) > \delta > 0$  and  $\xi > \delta$  on E. Also, without loss of generality, we may assume that  $E \subseteq B_n$  for some n > 1. Since  $\int_{B_m} \xi^2 d\lambda \xrightarrow{m} 0$ , we can find M > n + 2 such that for all m > M, we have  $r^{2(m-n)} \frac{\delta^3}{8} > K$  and the set

$$F_m = \left\{ h \in B_{m-n} \cup \ldots \cup B_{m+n} \mid |\xi(h)| > \frac{\delta}{2} \right\}$$

has measure  $<\frac{\delta}{2}$ .

Thus, if  $g \in B_m$ , m > M, we have  $gE \subseteq B_{m-n} \cup ... \cup B_{m+n}$  and so  $gE \cap V = \emptyset$ , while also  $E \cap V = \emptyset$ . Therefore,

$$\begin{split} \|\rho(g)\xi - \xi\|^2 &\geqslant r^{2(m-n)} \int_{B_{m-n} \cup \ldots \cup B_{m+n}} \left(\rho(g)\xi - \xi\right)^2 d\lambda \\ &\geqslant r^{2(m-n)} \int_{gE \backslash F_m} \left(\xi(g^{-1} \cdot) + \chi_V(g^{-1} \cdot) - \xi - \chi_V\right)^2 d\lambda \\ &\geqslant r^{2(m-n)} \int_{gE \backslash F_m} \left(\xi(g^{-1} \cdot) - \xi\right)^2 d\lambda \\ &\geqslant r^{2(m-n)} \frac{\delta^3}{8} \\ &> K. \end{split}$$

Setting  $C = B_1 \cup ... \cup B_M = V^M$ , the claim follows.

Assume now instead that  $\xi\not\equiv -1$  on V and fix  $A\subseteq V$  a Borel set of positive measure  $\lambda(A)>\varepsilon>0$  such that  $|\xi+1|>\varepsilon$  on A. As  $\int_{B_n}\xi^2\,d\lambda \underset{n}{\longrightarrow} 0$ , there is an N>2 such that, for all m>N, the set

$$D_m = \left\{ h \in B_{m-1} \cup B_m \cup B_{m+1} \mid |\xi(h)| > \frac{\epsilon}{2} \right\}$$

has measure  $<\frac{\epsilon}{2}$ .

In particular, if  $g \in B_m$  for some m > N, then  $gA \subseteq B_mB_1 \subseteq B_{m-1} \cup B_m \cup B_{m+1}$  and so for any  $h \in gA \setminus D_m$ , as  $gA \cap V = \emptyset$ ,

$$|\rho(g)\xi(h) - \xi(h)| = |\xi(g^{-1}h) + \chi_V(g^{-1}h) - \xi(h) - \chi_V(h)| = |\xi(g^{-1}h) + 1 - \xi(h) - 0| \ge \frac{\epsilon}{2}.$$

It follows that for such g,

$$\begin{split} \|\rho(g)\xi - \xi\|^2 &\geqslant r^{2m-2} \int_{B_{m-1} \cup B_m \cup B_{m+1}} \left(\rho(g)\xi - \xi\right)^2 d\lambda \\ &\geqslant r^{2m-2} \int_{gA \setminus D_m} \left(\frac{\epsilon}{2}\right)^2 d\lambda \\ &\geqslant r^{2m-2} \frac{\epsilon^3}{8}. \end{split}$$

Choosing M>N large enough such that  $r^{2M-2}\frac{\epsilon^3}{8}>K$ , we see that for all  $g\notin C=B_1\cup\ldots\cup B_M=V^M$ , we have  $\|\rho(g)\xi-\xi\|^2>K$ , proving the claim and thus the theorem.  $\square$ 

Let us also mention that the preceding theorem holds for all locally compact second countable (i.e., Polish locally compact) groups. For, in order to extend the argument above to the non-compactly generated groups, it suffices to produces a covering  $V_0 \subseteq V_1 \subseteq \ldots \subseteq G$  by compact subsets such that  $V_n \cdot V_m \subseteq V_{n+m}$ , which can be done, e.g., by Theorem 5.3 of [12]. However, we shall not need this extension as any such G admits a fixed point free affine isometric action on Hilbert space.

We can now state the following equivalences for locally compact Polish groups.

**Theorem 1.47.** Let G be a locally compact Polish group and  $\mathcal{H}$  be a separable Hilbert space. Then the following are equivalent.

- (1) G is compact,
- (2) G has property (OB),
- (3) G has property (ACR),
- (4) any continuous linear representation  $\pi: G \to \mathbf{GL}(\mathcal{H})$  is bounded,
- (5) any affine continuous action of G on  $\mathcal{H}$  fixes a point,
- (6) any affine continuous action of G on  $\mathcal{H}$  has a bounded orbit.

*Proof.* Clearly, (1) implies (2) and, by Proposition 1.30 (2) implies (3). That (3) implies (5) and that (5) implies (6) is trivial. Moreover, by Theorem 1.11, (2) implies (4). So, it suffices to show that (4) and (6) each imply (1).

To see that (6) implies (1), note first that if G is not compactly generated, then G can be written as the union of an increasing chain of proper open subgroups, in which case it is well-known that G admits a continuous affine isometric representation on a separable Hilbert space with unbounded orbits (see Corollary 2.4.2 [6]). On the other hand, if G is compactly generated, it suffices to apply Theorem 1.45.

Finally, to see that (4) implies (1), assume that G is locally compact, non-compact and let  $\lambda$  be left-Haar measure on G. Since G is  $\sigma$ -compact, we can find an exhaustive sequence

$$A_0 \subseteq A_1 \subseteq A_2 \subseteq \ldots \subseteq G$$

of compact neighbourhoods of the identity. Set  $B_k = (A_k)^{k!} \setminus (A_{k-1})^{(k-1)!}$ , which is also relatively compact, and note that for any  $g \in B_k$ ,

$$gB_m \subseteq B_0 \cup \ldots \cup B_{\max\{k,m\}+1}$$
,

whence  $B_m \cap g^{-1}B_l = \emptyset$  for all  $l > \max\{k, m\} + 1$ . Moreover, since  $A_0$  has non-empty interior and every  $A_k$  is compact, it is easy to see that similarly  $B_k$  has non-empty interior and thus  $\lambda(B_k) > 0$ .

We now note that for any  $g \in B_k$ , the sets

$$\{B_m \cap g^{-1}B_l\}_{l,m}$$
 and  $\{gB_m \cap B_l\}_{l,m}$ 

each form Borel partitions of G and so  $L_2(G)$  can be orthogonally decomposed as

$$L_2(G) = \bigoplus_{l,m} L_2(B_m \cap g^{-1}B_l) = \bigoplus_{l,m} L_2(gB_m \cap B_l).$$

Moreover, for every pair m, l, we can define an isomorphism

$$T_g^{m,l}: L_2(B_m \cap g^{-1}B_l) \rightarrow L_2(gB_m \cap B_l)$$

by

$$T_g^{m,l}(f) = \exp(l-m)f(g^{-1}\cdot)$$

and note that  $\|T_g^{m,l}(f)\|_2 = \exp(l-m)\|f\|_2$  for any  $f \in L_2\big(B_m \cap g^{-1}B_l\big)$ . Since  $L_2\big(B_m \cap g^{-1}B_l\big) = \{0\}$ , whenever  $l > \max\{k,m\} + 1$ , it follows that the linear operator

$$T_g = \bigoplus_{m,l} T_g^{m,l} : L_2(G) \rightarrow L_2(G)$$

is well-defined, invertible and  $||T_g|| \le \exp(k+1)$ .

So  $g \mapsto T_g$  defines a continuous representation of G in  $\operatorname{GL}(L_2(G))$ . To see that it is unbounded, note that for any m there are arbitrarily large l such that  $\lambda(B_m \cap g^{-1}B_l) > 0$  for some  $g \in G$  and so

$$||T_g|| \ge \frac{||T_g(\chi_{B_m \cap g^{-1}B_l})||_2}{||\chi_{B_m \cap g^{-1}B_l}||_2} = \exp(l - m).$$

Since  $\exp(l-m) \xrightarrow[l\to\infty]{} \infty$ , we see that the representation is unbounded.

The following corollary is now immediate by Proposition 1.44.

**Corollary 1.48.** Suppose G is a Polish group and  $V \leq G$  is an open subgroup of infinite index with  $G = \text{Comm}_G(V)$ . Then G admits a continuous affine representation on a separable Hilbert space for which every orbit is unbounded.

## 2. Local boundedness properties

Having studied the preceding global boundedness properties for Polish groups, it is natural to consider their local counterparts, where by this we understand the existence of a neighbourhood  $U\subseteq G$  of the identity satisfying similar covering properties to those listed in Figure 1.

2.1. A question of Solecki. As mentioned earlier, in [22] and [29], S. Solecki and V. Uspenskii independently showed that a Polish group G is compact if and only if for any open set  $V \ni 1$  there is a finite set  $F \subseteq G$  with G = FVF. The similar characterisation of compactness with only one-sided translates FV on the other hand is fairly straightforward.

An analogous characterisation of locally compact Polish groups is also possible, namely, a Polish group G is locally compact if and only if there is an open set  $U \ni 1$  such that for any open  $V \ni 1$  there is a finite set  $F \subseteq G$  such that  $U \subseteq FV$ .

The corresponding property for two-sided translates leads to the following definition.

**Definition 2.1.** A topological group G is feebly locally compact if there is a neighbourhood  $U \ni 1$  such that for any open  $V \ni 1$  there is a finite set  $F \subseteq G$  satisfying  $U \subseteq FVF$ .

Solecki [23] originally considered groups in the complement of this class and termed these *strongly non-locally compact* groups. In connection with Solecki's [23] study of left-Haar null sets in Polish groups [23], the class of strongly non-locally compact Polish groups turned out to be of special significance when coupled with the following concept.

**Definition 2.2** (S. Solecki [23]). A Polish group G is said to have a free subgroup at 1 if there is a sequence  $g_n \in G$  converging to 1 which is the basis of a free non-Abelian group and such that any finitely generated subgroup  $\langle g_1, \ldots, g_n \rangle$  is discrete.

Solecki asked whether it is possible to have a (necessarily non-locally compact) Polish group, having a free subgroup at 1, that is also feebly locally compact (Question 5.3 in [23]). We shall now present a fairly general construction of Polish groups that are feebly locally compact, but nevertheless fail to be locally compact. Depending on the specific inputs, this construction also provides an example with a free subgroup at 1 and hence an answer to Solecki's question.

# 2.2. **Construction.** Fix a countable group $\Gamma$ and let

$$H_{\Gamma} = \{ g \in \Gamma^{\mathbb{Z}} \mid \exists m \ \forall n \geq m \ g(n) = 1 \},$$

which is a subgroup of the full direct product  $\Gamma^{\mathbb{Z}}$ . Though  $H_{\Gamma}$  is not closed in the product topology for  $\Gamma$  discrete, we can equip  $H_{\Gamma}$  with a complete 2-sided invariant ultrametric d by the following definition.

$$d(g,f) = 2^{\max(k \mid g(k) \neq f(k))}.$$

By the definition of  $H_{\Gamma}$ , this is well-defined and it is trivial to see that the ultrametric inequality

$$d(g,f) \le \max \{d(g,h), d(h,f)\}$$

is verified. Also, since

$$\max(k \mid h(k)g(k) \neq h(k)f(k)) = \max(k \mid g(k) \neq f(k)) = \max(k \mid g(k)h(k) \neq f(k)h(k)),$$

we see that the metric is 2-sided invariant and hence induces a group topology on  $H_{\Gamma}$ . Moreover, the countable set

$$\{g \in H_{\Gamma} \mid \{k \mid g(k) \neq 1\} \text{ is finite } \}$$

is dense in  $H_{\Gamma}$ , so  $H_{\Gamma}$  is separable and is easily seen to be complete, whence  $H_{\Gamma}$  is a Polish group. To avoid confusion with the identity in  $\Gamma$ , denote by e the identity in  $H_{\Gamma}$ , i.e., e(n) = 1 for all  $n \in \mathbb{Z}$ .

We now let  $\mathbb Z$  act by automorphisms on  $H_{\Gamma}$  via bilateral shifts of sequences, that is, for any  $k \in \mathbb Z$  and  $g \in H_{\Gamma}$ , we let

$$(k * g)(n) = g(n - k)$$

for any  $n \in \mathbb{Z}$ . In particular, for any  $g, f \in H_{\Gamma}$  and  $k \in \mathbb{Z}$ , we have

$$d(k*g,k*f) = 2^k d(g,f),$$

i.e.,  $k*B_d(e,2^m)=B_d(e,2^{m+k})$ , which shows that  $\mathbb Z$  acts continuously on  $H_\Gamma$ . We can therefore form the topological semidirect product

$$\mathbb{Z} \ltimes H_{\Gamma}$$
,

which is just  $\mathbb{Z} \times H_{\Gamma}$  equipped with the product topology and the group operation defined by

$$(n,g)\cdot (m,f) = (n+m,g(n*f)).$$

So  $\mathbb{Z} \ltimes H_{\Gamma}$  is a Polish group. Also, a neighbourhood basis at the identity is given by the clopen subgroups

$$V_m = \{(0, g) \in \mathbb{Z} \ltimes H_\Gamma \mid \forall i \ge m \ g(i) = 1\} = \{0\} \times B_d(e, 2^m),$$

which implies that  $\mathbb{Z} \ltimes H_{\Gamma}$  is isomorphic to a closed subgroup of the infinite symmetric group  $S_{\infty}$ . Note also that

$$\ldots \subseteq V_{-1} \subseteq V_0 \subseteq V_1 \subseteq \ldots$$

Then one can easily verify that for any  $k \in \mathbb{Z}$  and  $g \in H_{\Gamma}$ 

$$(k,e)\cdot(0,g)\cdot(k,e)^{-1}=(0,k*g)$$

and hence

$$(k,e)\cdot V_m\cdot (k,e)^{-1}=(k,e)\cdot \left(\{0\}\times B_d(e,2^m)\right)\cdot (k,e)^{-1}=\{0\}\times B_d(e,2^{m+k})=V_{m+k}$$

for any  $k, m \in \mathbb{Z}$ .

We claim that  $\mathbb{Z} \ltimes H_{\Gamma}$  is Weil complete. To see this, suppose that  $f_n \in \mathbb{Z} \ltimes H_{\Gamma}$  is left-Cauchy, i.e., that  $f_n^{-1} f_m \underset{n,m \to \infty}{\longrightarrow} 1$ . Writing  $f_n = (k_n, g_n)$  for  $k_n \in \mathbb{Z}$  and  $g_n \in H_{\Gamma}$ , we have  $f_n^{-1} = \left(-k_n, (-k_n) * g_n^{-1}\right)$  and so

$$f_n^{-1} f_m = (-k_n, (-k_n) * g_n^{-1}) (k_m, g_m)$$

$$= (k_m - k_n, ((-k_n) * g_n^{-1}) ((-k_n) * g_m))$$

$$= (k_m - k_n, (-k_n) * (g_n^{-1} g_m)).$$

Since  $f_n^{-1}f_m \underset{n,m\to\infty}{\longrightarrow} 1$ , the sequence  $k_n \in \mathbb{Z}$  is eventually constant, say  $k_n = k$  for  $n \ge N$ , and so for all  $n, m \ge N$ ,

$$f_n^{-1}f_m = (0, (-k) * (g_n^{-1}g_m))$$

Since  $\mathbb Z$  acts continuously on  $H_\Gamma$  it follows that  $(-k)*(g_n^{-1}g_m)\underset{n,m\to\infty}{\longrightarrow} e$  if and only if  $g_n^{-1}g_m\underset{n,m\to\infty}{\longrightarrow} e$ , i.e., if and only if  $(g_n)$  is left-Cauchy in  $H_\Gamma$ . Since  $H_\Gamma$  has a complete 2-sided invariant metric it follows that  $(g_n)$  converges to some  $g\in H_\Gamma$  and so  $(f_n)$  converges in  $\mathbb Z\ltimes H_\Gamma$  to (k,g), showing that  $\mathbb Z\ltimes H_\Gamma$  is Weil complete.

Denoting by  $\mathbb{F}_{\infty}$  the free non-Abelian group on denumerably many letters  $a_1, a_2, \ldots$ , the following provides an easy answer to Solecki's question mentioned above.

**Theorem 2.3.** The group  $\mathbb{Z} \ltimes H_{\mathbb{F}_{\infty}}$  is a non-locally compact, Weil complete Polish group, having a free subgroup at 1. Also,  $\mathbb{Z} \ltimes H_{\mathbb{F}_{\infty}}$  is isomorphic to a closed subgroup of  $S_{\infty}$  and there is an open subgroup  $U \leq \mathbb{Z} \ltimes H_{\mathbb{F}_{\infty}}$  whose conjugates  $fUf^{-1}$  provide a neighbourhood basis at 1. In particular,  $\mathbb{Z} \ltimes H_{\mathbb{F}_{\infty}}$  feebly locally compact.

*Proof.* To see that  $\mathbb{Z} \ltimes H_{\mathbb{F}_{\infty}}$  is not locally compact, we define for every  $m \in \mathbb{Z}$  a continuous homomorphism

$$\pi_m: \mathbb{Z} \ltimes H_{\mathbb{F}_\infty} \to \mathbb{F}_\infty$$

by  $\pi_m(k,g)=g(m)$ . Keeping the notation from before,  $V_m=\{0\}\times B_d(e,2^m)$ , we see that for any  $m\in\mathbb{Z}$ ,  $\pi_{m-1}\colon V_m\to\mathbb{F}_\infty$  is surjective. So no  $V_m$  is compact and hence  $\mathbb{Z}\ltimes H_{\mathbb{F}_\infty}$  cannot be locally compact. Now, to see that  $\mathbb{Z}\ltimes H_{\mathbb{F}_\infty}$  has a free subgroup at 1, define  $g_n\in H_\Gamma$  by

$$g_n(k) = \begin{cases} a_n, & \text{if } k \le -n; \\ 1, & \text{if } k > -n. \end{cases}$$

Then  $(0,g_n)\underset{n\to\infty}{\longrightarrow}(0,e)$  in  $\mathbb{Z}\ltimes H_{\mathbb{F}_\infty}$ , so if we let  $\beta_n=(0,g_n)$ , we see that  $\langle\beta_1,\beta_2,\beta_3,\ldots\rangle$  is a non-discrete subgroup of  $\mathbb{Z}\ltimes H_{\mathbb{F}_\infty}$ . To see that  $\{\beta_1,\beta_2,\beta_3,\ldots\}$  is a free basis for  $\langle\beta_1,\beta_2,\beta_3,\ldots\rangle$ , it suffices to see that for every  $n,\langle\beta_1,\beta_2,\ldots,\beta_n\rangle$  is freely generated by  $\{\beta_1,\beta_2,\ldots,\beta_n\}$ . But this follows easily from the fact that  $\pi_{-n}(\beta_i)=a_i$  for any  $i\leqslant n$  and that  $\pi_{-n}$  is a homomorphism into  $\mathbb{F}_\infty$ . This argument also shows that  $\langle\beta_1,\beta_2,\ldots,\beta_n\rangle$  is discrete. So  $\mathbb{Z}\ltimes H_{\mathbb{F}_\infty}$  has a free subgroup at 1.

That  $\mathbb{Z} \ltimes H_{\mathbb{F}_{\infty}}$  is isomorphic to a closed subgroup of  $S_{\infty}$  has already been proved and, moreover, we know that for any m,  $(m,e) \cdot V_{-m} \cdot (m,e) = V_0$ . So for the last statement it suffices to take  $U = V_0$ .

### 3. MICROSCOPIC STRUCTURE

The negative answer to Solecki's question of whether feebly locally compact Polish groups are necessarily locally compact indicates that there is a significant variety of behaviour in Polish groups with respect to coverings by translates of open sets. Also, as indicated by Malicki's result [15] that no oligomorphic closed subgroup of  $S_{\infty}$  is feebly locally compact, the macroscopic or large scale structure of Polish groups has counterparts at the local level. We shall now develop this even further by bringing it to the microscopic level of Polish groups as witnessed by neighbourhood bases at 1.

More precisely, we shall study the conditions under which any neighbourhood basis at 1

$$V_0 \supseteq V_1 \supseteq V_2 \supseteq \dots \ni 1$$

will cover G via, e.g., sequences of two-sided translates  $G = \bigcup_{n \in \mathbb{N}} f_n V_n g_n$  by single elements  $f_n, g_n \in G$  or two-sided translates  $G = \bigcup_{n \in \mathbb{N}} F_n G E_n$  by finite subsets  $F_n, E_n \subseteq G$ . As opposed to this, neighbourhood bases  $V_0 \supseteq V_1 \supseteq V_2 \supseteq \ldots \ni 1$  failing these covering properties can be thought of a being narrow in the group G.

As is fairly easy to see (see Proposition 3.1 below), some of these covering properties are generalisations of local compactness, but (which is less trivial) must fail in non-compact Roelcke precompact Polish groups. Moreover, as will be shown in Section 3.5, the existence of narrow sequences  $(V_n)$  allows for the construction of isometric actions on various spaces with interesting local dynamics.

3.1. **Narrow sequences and completeness.** To commence our study, let us first note how some of the relevant covering properties play out in the context of locally compact groups.

**Proposition 3.1.** (a) Suppose G is a non-discrete, locally compact Polish group. Then there are open sets

$$V_0 \supseteq V_1 \supseteq \ldots \ni 1$$

such that for any  $f_n \in G$ ,  $G \neq \bigcup_n f_n V_n$ .

(b) Suppose G is a non-discrete, unimodular, locally compact Polish group. Then there are open sets

$$V_0 \supseteq V_1 \supseteq \ldots \ni 1$$

such that for any  $f_n, g_n \in G$ ,  $G \neq \bigcup_n f_n V_n g_n$ .

(c) Suppose G is a non-discrete, locally compact Polish group. Then for any open sets

$$V_0 \supseteq V_1 \supseteq \ldots \ni 1$$

there are finite sets  $F_n \subseteq G$  such that  $G = \bigcup_n F_n V_n$ .

(d) Let  $\Gamma$  be a non-trivial finite group. Then  $\mathbb{Z} \ltimes H_{\Gamma}$  is a non-discrete, locally compact Polish group having a compact open subgroup U such that for any open set  $V \ni 1$  there is  $f \in \mathbb{Z} \ltimes H_{\Gamma}$  with  $U \subseteq fVf^{-1}$ . In particular, whenever

$$V_0 \supseteq V_1 \supseteq \ldots \ni 1$$

are open sets there are  $f_n, g_n \in \mathbb{Z} \ltimes H_{\Gamma}$  such that  $\mathbb{Z} \ltimes H_{\Gamma} = \bigcup_n f_n V_n g_n$ .

*Proof.* (a) Let  $\lambda$  be left Haar measure on G and choose  $V_n \ni 1$  open such that  $\lambda(V_n) < 1$  $\lambda(G)/2^{n+2}$ . Then for any  $f_n \in G$ ,

$$\lambda(\bigcup_{n=0}^{\infty} f_n V_n) \leq \sum_{n=0}^{\infty} \lambda(f_n V_n) = \sum_{n=0}^{\infty} \lambda(V_n) < \lambda(G),$$

so  $G \neq \bigcup_n f_n V_n$ .

(b) This is proved in the same manner as (a) using that Haar measure is 2-sided invariant in a unimodular group.

(c) Let  $U \subseteq G$  be any compact neighbourhood of 1. Then any open  $V \ni 1$  covers Uby left-translates and hence by a finite number of left translates. So if open  $V_0 \supseteq V_1 \supseteq$ ...  $\ni$  1 are given, find finite  $E_n \subseteq G$  such that  $U \subseteq E_n V_n$ . Then if  $\{h_n\}_{n \in \mathbb{N}}$  is a dense sequence in G, we have  $G = \bigcup_n h_n U = \bigcup_n h_n E_n V_n$ . Setting  $F_n = h_n E_n$  we have the desired conclusion.

(d) One easily sees from the construction of  $H_{\Gamma}$  that  $B_d(e,1)$  is compact and thus  $U = \{0\} \times B_d(e,1)$  is a compact neighbourhood of 1 in  $\mathbb{Z} \ltimes H_{\Gamma}$ . So  $\mathbb{Z} \ltimes H_{\Gamma}$  is locally compact. Now, if  $V_0 \supseteq V_1 \supseteq ... \ni 1$  are open, there are  $f_n \in \mathbb{Z} \ltimes H_{\Gamma}$  such that  $U \subseteq$  $f_n V_n f_n^{-1}$ . So if  $\{h_n\}_{n \in \mathbb{N}}$  is a dense subset of  $\mathbb{Z} \ltimes H_\Gamma$ , then  $\mathbb{Z} \ltimes H_\Gamma = \bigcup_n h_n f_n V_n f_n^{-1}$ .  $\square$ 

Our first task is to generalise Proposition 3.1 (a) to all non-discrete Polish groups.

**Proposition 3.2.** Suppose G is a non-discrete Polish group. Then there are open sets

$$V_0 \supseteq V_1 \supseteq \ldots \ni 1$$

such that, for any  $g_n \in G$ , we have  $G \neq \bigcup_n g_n V_n$ .

*Proof.* Fix a compatible complete metric d on G. We will inductively define symmetric open sets  $V_0 \supseteq V_1 \supseteq ... \ni 1$  and elements  $f_s \in G$  for  $s \in \bigcup_{n \geqslant 1} \{0,1\}^n$  with the following properties: For every finite binary string s of length n (possibly the empty string  $\emptyset$ ) and every  $i \in \{0, 1\}$ , we have

- $\begin{array}{ll} \text{(a) } \operatorname{diam}(f_{si}\overline{V}_n) < \frac{1}{n+1}, \\ \text{(b) } f_{s0}\overline{V}_n^2 \cap f_{s1}\overline{V}_n^2 = \emptyset, \\ \text{(c) } f_{si}\overline{V}_n \subseteq f_{s}V_{n-1}. \end{array}$

To see how this is done, we begin by choosing  $f_0, f_1 \in G$  distinct and then find a symmetric open set  $V_0 \ni 1$  such that  $\operatorname{diam}(f_0\overline{V}_0) < 1$ ,  $\operatorname{diam}(f_1\overline{V}_0) < 1$  and  $f_0\overline{V}_0^2 \cap$  $f_1\overline{V}_0^2 = \emptyset$ . For the inductive step, suppose  $n \ge 1$  and that  $V_{n-1}$  and  $f_s$  have been defined for all  $s \in \{0,1\}^n$ . Then, for all  $s \in \{0,1\}^n$ , we choose distinct  $f_{s0}, f_{s1} \in f_s V_{n-1}$ and subsequently choose some symmetric open  $1 \in V_n \subseteq V_{n-1}$  small enough to ensure that

- $$\begin{split} \bullet & \operatorname{diam}(f_t \overline{V}_n) < \frac{1}{n+1} \text{ for all } t \in \{0,1\}^{n+1}, \\ \bullet & f_{s0} \overline{V}_n^2 \cap f_{s1} \overline{V}_n^2 = \emptyset \text{ for all } s \in \{0,1\}^n, \text{ and} \\ \bullet & f_{si} \overline{V}_n \subseteq f_s V_{n-1} \text{ for all } s \in \{0,1\}^n \text{ and } i \in \{0,1\}. \end{split}$$

Suppose that construction has been done and that  $g_n \in G$  are given. We show that  $G \neq \bigcup_n g_n V_n$  as follows. First, as  $f_0 \overline{V}_0^2 \cap f_1 \overline{V}_0^2 = \emptyset$ , there must be some  $i_0 \in \{0,1\}$ such that  $f_{i_0}\overline{V}_0 \cap g_0V_0 = \emptyset$ . And again, since  $f_{i_00}\overline{V}_1^2 \cap f_{i_01}\overline{V}_1^2 = \emptyset$ , there must be some  $i_1 \in \{0,1\}$  such that  $f_{i_0i_1}\overline{V}_1 \cap g_1V_1 = \emptyset$ . Etc. So inductively, we define  $i_0,i_1,\ldots \in \{0,1\}$ such that for any n,  $f_{i_0i_1...i_n}\overline{V}_n \cap g_nV_n = \emptyset$ . Since the  $f_{i_0i_1...i_n}\overline{V}_n$  are nested and have vanishing diameter, it follows that  $\bigcap_n f_{i_0 i_1 \dots i_n} \overline{V}_n$  is non-empty and evidently disjoint from  $\bigcup_n g_n V_n$ .

Topological groups G with the property that for any open  $V_0 \supseteq V_1 \supseteq ... \ni 1$  there are finite sets  $F_n \subseteq G$  with  $G = \bigcup_n F_n V_n$  are said to be o-bounded or Menger bounded [24]. By Proposition 3.1 (c), these are clearly a generalisation of the locally compact Polish groups, but it remains open whether they actually coincide with the locally compact groups within the class of Polish groups.

**Problem 3.3.** Suppose G is a non-locally compact Polish group. Are there open sets  $V_0 \supseteq V_1 \supseteq ... \ni 1$  such that, for any finite sets  $F_n \subseteq G$ , we have  $G \neq \bigcup_n F_n V_n$ ?

There is quite a large literature on o-boundedness in the context of general topological groups, though less work has been done on the more tractable subclass of Polish groups. T. Banakh [3, 4] has verified Problem 3.3 under additional assumptions, one of them being Weil completeness [3]. We include a proof of his result here, as it can be gotten by only a minor modification of the proof of Proposition 3.2.

**Proposition 3.4.** Suppose G is a Weil complete, non-locally compact Polish group. Then there are open sets

$$V_0 \supseteq V_1 \supseteq \cdots \ni 1$$

such that for any finite subsets  $F_n \subseteq G$ ,  $G \neq \bigcup_n F_n V_n$ .

*Proof.* Fix a compatible complete left-invariant metric d on G. By the same inductive procedure as before, we choose open  $V_n \ni 1$  and  $f_s \in G$  for every  $s \in \bigcup_{n \ge 1} \mathbb{N}^n$  such that, for all  $s \in \mathbb{N}^n$  and  $i \neq j \in \mathbb{N}$ ,

- $\operatorname{diam}(f_{si}\overline{V}_n) < \frac{1}{n+1}$ ,  $f_{si}\overline{\overline{V}}_n^2 \cap f_{sj}\overline{V}_n^2 = \emptyset$ ,

This is possible by the left-invariance of d and the fact that any open set  $V \ni 1$  fails to be relatively compact and therefore contains infinitely many disjoint translates of some open set  $1 \in U \subseteq V$ . The remainder of the proof follows that of Proposition 3.2.

For good order, let us also state the analogue of Proposition 3.4 for 2-sided trans-

**Proposition 3.5.** Suppose G is a non-locally compact Polish SIN group. Then there are open sets

$$V_0 \supseteq V_1 \supseteq \cdots \ni 1$$

such that for any finite subsets  $F_n, E_n \subseteq G$ ,  $G \neq \bigcup_n F_n V_n E_n$ .

*Proof.* Same proof as for Proposition 3.4, using the fact that any 2-sided invariant compatible metric on G is complete. П

3.2. Narrow sequences and conjugacy classes. While the results of the previous section essentially relied on various notions of completeness, we shall now investigate which role conjugacy classes play in 2-sided coverings. For simplicity of notation, if G is a Polish group and  $g \in G$ , we let  $g^G = \{fgf^{-1} \mid f \in G\}$  denote the conjugacy class of g.

**Theorem 3.6.** Suppose G is a non-discrete Polish group such that the set

$$A = \{g \in G \mid 1 \in \mathrm{cl}(g^G)\}$$

is not comeagre in any open neighbourhood of 1. Then there are open

$$V_0 \supseteq V_1 \supseteq \ldots \ni 1$$

such that for any  $f_n, g_n \in G$ ,  $G \neq \bigcup_n f_n V_n g_n$ .

*Proof.* Fix a compatible complete metric d on G. Note that

$$A = \bigcap_{n} \{ g \in G \mid \exists f \in G \ d(1, fgf^{-1}) < 1/n \},$$

which is a countable intersection of open sets. Since A is not comeagre in any open  $V \ni 1$ , by the Baire category theorem, we see that for any open  $V \ni 1$  there must be some n such that

$$\{g \in G \mid \exists f \in G \ d(1, fgf^{-1}) < 1/n\}$$

is not dense in V and therefore disjoint from some non-empty open  $W \subseteq V$ . It follows that for any open  $V \ni 1$  there are non-empty open  $W \subseteq V$  and  $U \ni 1$  such that  $fWf^{-1} \cap U = \emptyset$  for all  $f \in G$ .

**Claim 3.7.** For all non-empty open  $D \subseteq G$  there are  $a,b \in D$  and an open set  $U \ni 1$  such that, for all  $f,g \in G$ , either

$$fUg \cap aU = \emptyset$$

or

$$fUg \cap bU = \emptyset$$
.

*Proof.* Since  $V=D^{-1}D$  is a neighbourhood of 1, we can find non-empty open sets  $W\subseteq D^{-1}D$  and  $U=U^{-1}\ni 1$  such that, for all  $f\in G,\ W\cap fUf^{-1}=\emptyset$ . Choose also  $a,b\in D$  so that  $a^{-1}b\in W$ . By shrinking U if necessary, we can moreover suppose that  $Ua^{-1}bU\subseteq W$  and that

$$Ua^{-1}bU \cap (fUf^{-1} \cdot fUf^{-1}) = Ua^{-1}bU \cap fU^2f^{-1} = \emptyset$$

for all  $f \in G$ .

Thus, for all  $f, g \in G$ ,

$$(gaU)^{-1} \cdot gbU \cap (fUf^{-1})^{-1} \cdot (fUf^{-1}) = \emptyset,$$

whence either

$$aU\cap g^{-1}fUf^{-1}=\emptyset$$

or

$$bU \cap g^{-1}fUf^{-1} = \emptyset.$$

Since f, g are arbitrary, the claim follows.

Using the claim, we can inductively define open sets  $V_0 \supseteq V_1 \supseteq ... \ni 1$  and  $a_s \in G$  for  $s \in \bigcup_{n \geqslant 1} \{0,1\}^n$  such that, for all  $s \in \{0,1\}^n$  and  $i \in \{0,1\}$ , we have

• diam
$$(a_{si}\overline{V}_n) < \frac{1}{n+1}$$
,

- $a_{si}\overline{V}_n \subseteq a_sV_{n-1}$ ,
- for all  $f,g \in G$ , either  $a_{s0}\overline{V}_n \cap fV_ng = \emptyset$  or  $a_{s1}\overline{V}_n \cap fV_ng = \emptyset$ .

So, if  $f_n, g_n \in G$  are given, we can inductively define  $i_0, i_1, ... \in \{0, 1\}$  such that

$$a_{i_0i_1...i_n}\overline{V}_n \cap f_n V_n g_n = \emptyset$$

for every n. It follows that  $\bigcap_n a_{i_0 i_1 \dots i_n} \overline{V}_n$  is non-empty and disjoint from  $\bigcup_n f_n V_n g_n$ .

We note that by Proposition 3.1 (d) the conclusion of Theorem 3.6 fails for  $\mathbb{Z} \ltimes H_{\mathbb{Z}_2}$ .

3.3. Narrow sequences in oligomorphic groups. As mentioned earlier, the non-Archimedean Polish groups are exactly those isomorphic to closed subgroups of  $S_{\infty}$ . A closed subgroup  $G \leq S_{\infty}$  is said to be *oligomorphic* if for any finite set  $A \subseteq \mathbb{N}$  and  $n \geq 1$ , the pointwise stabiliser  $G_A = \{g \in G \mid \forall x \in A \ g(x) = x\}$  induces only finitely many distinct orbits on  $\mathbb{N}^n$ . In particular, if one views  $\mathbb{N}$  as a discrete metric space with distance 1 between all points, then an oligomorphic closed subgroup satisfies condition (3) of Proposition 1.22, showing that it must be Roelcke precompact. In fact, Tsankov [25] characterised the Roelcke precompact closed subgroups of  $S_{\infty}$  as those that can be written as inverse limits of oligomorphic groups.

**Theorem 3.8.** Let G be an oligomorphic closed subgroup of  $S_{\infty}$ . Then there is a neighbourhood basis at 1,  $V_0 \supseteq V_1 \supseteq V_2 \supseteq ... \ni 1$  such that

$$G \neq \bigcup_{n} F_{n} V_{n} E_{n}$$

for all finite subsets  $F_n, E_n \subseteq G$ .

*Proof.* Since, for any finite subset  $A \subseteq \mathbb{N}$ , the pointwise stabiliser  $G_A$  induces only finitely many orbits on  $\mathbb{N}$ , it follows that the model theoretical *algebraic closure* of A,

$$\operatorname{acl}(A) = \{x \in \mathbb{N} \mid G_A \cdot x \text{ is finite}\}\$$

is finite. Using that  $G_{fA} \cdot fx = fG_A f^{-1}fx = fG_A \cdot x$ , one sees that  $f \cdot \operatorname{acl}(A) = \operatorname{acl}(fA)$  for any  $f \in G$ , and, since  $G_{\operatorname{acl}(A)}$  has finite index in  $G_A$ , we also find that  $\operatorname{acl}(\operatorname{acl}(A)) = \operatorname{acl}(A)$ . Finally, for any  $n \ge 1$ , G induces only finitely many orbits on the space  $\mathbb{N}^{[n]}$  of n-elements subsets of  $\mathbb{N}$ . So, as  $|\operatorname{acl}(A)| = |f \cdot \operatorname{acl}(A)| = |\operatorname{acl}(fA)|$ , the quantity

$$M(n) = \max(|\mathbf{acl}(A)| \mid A \in \mathbb{N}^{[n]})$$

is well-defined.

**Claim 3.9.** For all  $g_i \in G$  and finite sets  $B_i \subseteq \mathbb{N}$  with

$$M(0) < |B_0| \le |B_1| \le \dots$$

and  $|B_i| \to \infty$ , we have  $G \neq \bigcup_{i \in \mathbb{N}} g_i G_{B_i}$ .

To prove the claim, we will inductively construct finite algebraically closed sets  $A_i \subseteq \mathbb{N}$  and elements  $f_i \in G$  such that the following conditions hold for all  $i \in \mathbb{N}$ ,

- (1)  $A_i \subseteq A_{i+1}$ ,
- (2)  $f_{i+1} \in f_i G_{A_i}$ ,
- (3)  $i \in A_i$ ,
- (4)  $i \in f_i A_i$ ,
- $(5) f_i G_{A_i} \cap g_i G_{B_i} = \emptyset,$
- (6) for any  $j \in \mathbb{N}$ , if  $B_j \subseteq A_i$ , then also  $f_i G_{A_i} \cap g_j G_{B_j} = \emptyset$ .

Assume first that this construction has been made. Then, as the  $A_i$  is are increasing with i, we have by (2) that  $f_i|_{A_i}=f_j|_{A_i}$  for all  $i \leq j$ . Also, by (3), for any  $i \in \mathbb{N}$ ,  $f_i(i)=f_{i+1}(i)=f_{i+2}(i)=\ldots$  and by (4)  $f_i^{-1}(i)\in A_i$ , whence also

$$f_i f_i^{-1}(i) = f_{i+1} f_i^{-1}(i) = f_{i+2} f_i^{-1}(i) = \dots$$

and so

$$f_i^{-1}(i) = f_{i+1}^{-1}(i) = f_{i+2}^{-1}(i) = \dots$$

It follows that  $(f_i)$  is both left and right Cauchy and thus converges in G to some  $f \in \bigcap_i f_i G_{A_i}$ . Since by (5) we have  $f_i G_{A_i} \cap g_i G_{B_i} = \emptyset$  it follows that  $f \notin \bigcup_i g_i G_{B_i}$ .

To begin the construction, set  $A_{-1} = \operatorname{acl}(\emptyset)$  and  $f_{-1} = 1$ . Then (6) hold since  $|A_{-1}| < |B_j|$  for all j.

Now, suppose  $f_{-1},...,f_i$  and  $A_{-1},...,A_i$  have been chosen such that (1)-(6) hold. Choose first  $n \ge i+1$  large enough such that  $M(|A_i|+3) < |B_{n+1}|$  and set

$$C = \{i+1\} \cup B_0 \cup \ldots \cup B_n \cup f_i^{-1} g_0 B_0 \cup \ldots \cup f_i^{-1} g_n B_n.$$

As  $A_i$  is algebraically closed, every orbit of  $G_{A_i}$  on  $\mathbb{N} \setminus A_i$  is infinite. Therefore, by a lemma of P. M. Neumann [18], there is some  $h \in G_{A_i}$  such that  $h(C \setminus A_i) \cap (C \setminus A_i) = \emptyset$ . Setting  $f_{i+1} = f_i h \in f_i G_{A_i}$ , we note that if  $m \in C \setminus A_i$ , then  $h(m) \notin C$ , whence

$$f_{i+1}(m) = f_i h(m) \notin g_0 B_0 \cup \ldots \cup g_n B_n$$
.

If possible, choose  $m \in B_{i+1} \setminus A_i$  and set

$$A_{i+1} = \mathbf{acl}(A_i \cup \{m, i+1, f_{i+1}^{-1}(i+1)\}).$$

Otherwise, let

$$A_{i+1} = \mathbf{acl}(A_i \cup \{i+1, f_{i+1}^{-1}(i+1)\}).$$

That (1)-(4) hold are obvious by the choice of  $A_{i+1}$ . For (5), i.e., that

$$f_{i+1}G_{A_{i+1}} \cap g_{i+1}G_{B_{i+1}} = \emptyset,$$

note that if  $B_{i+1} \subseteq A_i$ , then this is verified by condition (6) for the previous step and the fact that  $f_{i+1}G_{A_{i+1}} \subseteq f_iG_{A_i}$ . On the other hand, if  $B_{i+1} \not\subseteq A_i$ , then there is some  $m \in (A_{i+1} \cap B_{i+1}) \setminus A_i$ , whence  $f_{i+1}(m) \notin g_{i+1}B_{i+1}$ . In this case,  $f_{i+1}(m) \neq g_{i+1}(m)$  and so

$$f_{i+1}G_{A_{i+1}} \cap g_{i+1}G_{B_{i+1}} = \emptyset.$$

Finally, suppose that  $B_j \subseteq A_{i+1}$  for some j, whence by the choice of n we have  $j \le n$ . If already  $B_j \subseteq A_i$ , then

$$f_{i+1}G_{A_{i+1}} \cap g_iG_{B_i} = \emptyset$$

holds by (6) at the previous step. And if, on the other hand, there is some  $m \in (A_{i+1} \cap B_j) \setminus A_i$ , then  $m \in C \setminus A_i$  and so  $f_{i+1}(m) \notin g_j B_j$ , whence

$$f_{i+1}G_{A_{i+1}}\cap g_iG_{B_i}=\emptyset$$
,

which ends the construction and therefore verifies the claim.

To construct the neighbourhood basis  $(V_n)$  at 1, we pick finite algebraically closed sets  $A_0 \subseteq A_1 \subseteq ... \subseteq \mathbb{N} = \bigcup_{n \in \mathbb{N}} A_n$  such that  $M(0) < |A_0|$  and let  $V_n = G_{A_n}$ . Noting that for any  $f, h \in G$ ,  $fG_{A_n}h^{-1} = fh^{-1}G_{hA_n}$ , we see that if  $F_n$  and  $E_n$  are finite subsets of G, we have

$$\bigcup_{n} F_{n} V_{n} E_{n} = \bigcup_{n} F_{n} G_{A_{n}} E_{n} = \bigcup_{n} g_{n} G_{B_{n}}$$

for some  $g_n \in G$  and  $B_n \subseteq \mathbb{N}$  as in the claim. It thus follow that  $G \neq \bigcup_n F_n V_n E_n$ .  $\square$ 

3.4. Narrow sequences in Roelcke precompact groups. Suppose G is a group acting by isometries on a metric space (X,d). For any  $n \ge 1$ , we let G act diagonally on  $X^n$ , i.e.,

$$g \cdot (x_1, \ldots, x_n) = (gx_1, \ldots, gx_n),$$

and equip  $X^n$  with the supremum metric  $d_{\infty}$  defined from d by

$$d_{\infty}((x_1,\ldots,x_n),(y_1,\ldots,y_n)) = \sup_{1 \le i \le n} d(x_i,y_i).$$

Also, for any  $\overline{x} \in X^n$  and  $\epsilon > 0$ , let

$$V(\overline{x}, \epsilon) = \{ g \in G \mid d_{\infty}(g\overline{x}, \overline{x}) < \epsilon \}.$$

We then have the following easy facts

- (1)  $V(\overline{x}, \epsilon) \cdot V(\overline{x}, \delta) \subseteq V(\overline{x}, \epsilon + \delta)$ ,
- (2)  $f \cdot V(\overline{x}, \epsilon) \cdot f^{-1} = V(f\overline{x}, \epsilon),$
- (3) if  $\overline{x}$  and  $\overline{y}$  are tuples of the same finite length, then  $V(\overline{x}, \sigma) \subseteq V(\overline{y}, \sigma + 2d_{\infty}(\overline{x}, \overline{y}))$ ,
- (4) for any subset  $U \subseteq G$ ,

$$d_H(U \cdot x, U \cdot y) \le d(x, y),$$

where  $d_H$  denotes the Hausdorff distance induced by d.

Also, an  $\epsilon$ -ball in X is any subset of the form

$$B(x,\epsilon) = \{ y \in X \mid d(x,y) < \epsilon \}$$

and if  $D \subseteq X$  is any subset, we define the  $\epsilon$ -expansion of D by

$$(D)_{\epsilon} = \{ y \in X \mid \exists x \in D \ d(x, y) < \epsilon \}.$$

For the following sequence of lemmas, suppose G is a Roelcke precompact Polish group acting continuously and by isometries on a separable complete metric space (X,d) with a dense orbit.

**Lemma 3.10.** For any  $\epsilon > \delta > 0$ ,  $\sigma > 0$  and  $\overline{x} \in X^n$ , the set

$$\mathfrak{B}(\overline{x}, \sigma, \delta) = \{ y \in X \mid V(\overline{x}, \sigma) \cdot y \text{ can be covered by finitely many } \delta\text{-balls} \}$$

can be covered by finitely many  $\epsilon$ -balls.

*Proof.* Let  $\alpha > 0$  be small enough such that  $2\alpha < \sigma$  and  $\delta + 2\alpha < \epsilon$ . Also, by Proposition 1.22 (3), let  $A \subseteq X$  be a finite set such that  $V(\overline{x},\alpha) \cdot A$  is an  $\alpha$ -net in X. Let also  $C \subseteq A$  be the subset of all  $z \in A$  such that  $V(\overline{x},\sigma-\alpha) \cdot z$  can be covered by finitely many  $(\delta + \alpha)$ -balls. Since A and hence C is finite, there are finitely many  $(\delta + \alpha)$ -balls  $B_1, \ldots, B_k$  covering  $V(\overline{x},\sigma-\alpha) \cdot C$ .

Now, suppose that  $y \in X$  and  $V(\overline{x}, \sigma) \cdot y$  can be covered by finitely many  $\delta$ -balls and find  $z \in A$  and  $g \in V(\overline{x}, \alpha)$  such that  $d(gz, y) < \alpha$ . Then

$$V(\overline{x}, \sigma - \alpha) \cdot g^{-1} y \subseteq V(\overline{x}, \sigma - \alpha) \cdot V(\overline{x}, \alpha) \cdot y \subseteq V(\overline{x}, \sigma) \cdot y$$

can be covered by finitely many  $\delta$ -balls and so, as

$$d_H(V(\overline{x}, \sigma - \alpha) \cdot g^{-1} v, V(\overline{x}, \sigma - \alpha) \cdot z) \leq d(g^{-1} v, z) < \alpha,$$

also  $V(\overline{x}, \sigma - \alpha) \cdot z$  can be covered by finitely many  $(\delta + \alpha)$ -balls, i.e.,  $z \in C$ . Since  $\alpha < \sigma - \alpha$ , we have  $gz \in V(\overline{x}, \sigma - \alpha) \cdot z \subseteq B_1 \cup \ldots \cup B_k$  and hence  $y \in (B_1)_\alpha \cup \ldots \cup (B_k)_\alpha$ . Since the  $(B_i)_\alpha$  are each contained in the  $\epsilon$ -balls with the same centre, the result follows.

We also note that

$$\mathfrak{B}(g\overline{x},\sigma,\delta) = g \cdot \mathfrak{B}(\overline{x},\sigma,\delta).$$

Using this, we can prove the following.

**Lemma 3.11.** Suppose  $\epsilon > \delta > 0$ ,  $\sigma > 0$  and  $n \ge 1$ . Then there is some  $k \ge 1$  such that for every  $\overline{z} \in X^n$ ,  $\mathfrak{B}(\overline{z}, \sigma, \delta)$  can be covered by k many  $\epsilon$ -balls.

*Proof.* Choose  $\alpha > 0$  such that  $2\alpha < \sigma$  and find by Proposition 1.22 (3) some  $\overline{x}_1, \dots, \overline{x}_p \in X^n$  such that

$$G \cdot \overline{x}_1 \cup \ldots \cup G \cdot \overline{x}_p$$

is  $\alpha$ -dense in  $X^n$ . By Lemma 3.10, pick some  $k \ge 1$  such that each  $\mathfrak{B}(\overline{x}_i, \sigma - 2\alpha, \delta)$  can be covered by k many  $\epsilon$ -balls.

Now suppose  $\overline{z} \in X^n$  is given and find  $g \in G$  and  $1 \le i \le p$  such that  $d_{\infty}(\overline{x}_i, g\overline{z}) < \alpha$ . Then

$$V(\overline{x}_i, \sigma - 2\alpha) \subseteq V(g\overline{z}, \sigma)$$

and hence

$$\begin{split} g \cdot \mathfrak{B}(\overline{z}, \sigma, \delta) &= \mathfrak{B}(g\overline{z}, \sigma, \delta) \\ &= \{ y \in X \mid V(g\overline{z}, \sigma) \cdot y \text{ can be covered by finitely many $\delta$-balls} \} \\ &\subseteq \{ y \in X \mid V(\overline{x}_i, \sigma - 2\alpha) \cdot y \text{ can be covered by finitely many $\delta$-balls} \}. \end{split}$$

Since the latter can be covered by k many  $\epsilon$ -balls, also  $\mathfrak{B}(\overline{z}, \sigma, \delta)$  can be covered by k many  $\epsilon$ -balls.

The next statement is obvious.

**Lemma 3.12.** Suppose  $\delta > 0$ ,  $\sigma > 0$  and  $\overline{x} \in X^n$ . Then, for any  $y \notin \mathfrak{B}(\overline{x}, \sigma, \delta)$  and any finite set  $B_1, \ldots, B_k \subseteq X$  of  $\delta$ -balls, there is some  $g \in V(\overline{x}, \sigma)$  such that  $gy \notin B_1 \cup \ldots \cup B_k$ .

In the following, assume furthermore that X is non-compact. Since X is not totally bounded, we can find some  $\epsilon > 0$  such that X contains an infinite  $2\epsilon$ -separated subset  $D \subseteq X$ .

**Lemma 3.13.** For any  $\sigma > 0$ ,  $0 < \delta < \frac{\epsilon}{2}$  and  $n \ge 1$ , there is  $\overline{y} \in \bigcup_{n \ge 1} X^n$  such that for any  $\overline{x} \in X^n$ , finite  $F \subseteq G$  and  $h \in G$ , there is  $g \in V(\overline{x}, \sigma)$  such that

$$g \cdot V(\overline{x} \hat{h}^{-1} \overline{y}, \delta) \cap F \cdot V(\overline{y}, \delta) \cdot h = \emptyset.$$

*Proof.* By Lemma 3.11, we can find some  $k \ge 1$  such that for any  $\overline{x} \in X^n$  there are k many  $\epsilon$ -balls covering  $\mathfrak{B}(\overline{x}, \sigma, 2\delta)$ . Choose distinct  $y_0, \dots, y_k \in D$  and notice that for any  $h \in G$ ,  $\overline{x} \in X^n$  and  $\epsilon$ -balls  $B_1, \dots, B_k$  covering  $\mathfrak{B}(\overline{x}, \sigma, 2\delta)$ , we have

$$\{h^{-1}y_0,\dots,h^{-1}y_k\} \not\subseteq B_1 \cup \dots \cup B_k,$$

whence there is some  $i=0,\ldots,k$  such that  $h^{-1}y_i\notin\mathfrak{B}(\overline{x},\sigma,2\delta)$ . We set  $\overline{y}=(y_0,\ldots,y_k)$  and  $V=V(\overline{y},\delta)$ .

Suppose now that  $\overline{x} \in X^n$ ,  $F \subseteq G$  is finite and  $h \in G$ . Then

$$FVh = Fh \cdot V(h^{-1}\overline{y}, \delta)$$

and we can pick some i=0,...,k such that  $h^{-1}y_i \notin \mathfrak{B}(\overline{x},\sigma,2\delta)$ . It follows that there is some  $g \in V(\overline{x},\sigma)$  such that

$$d(gh^{-1}y_i, fy_i) \ge 2\delta$$

for all  $f \in F$ , whence

$$g \cdot V(h^{-1}y_i, \delta) \cap Fh \cdot V(h^{-1}y_i, \delta) = \emptyset$$

and thus also

$$g \cdot V(\overline{x} h^{-1} \overline{y}, \delta) \cap FVh = \emptyset,$$

which proves the lemma.

**Theorem 3.14.** Suppose G is a non-compact, Roelcke precompact Polish group. Then there is a neighbourhood basis at 1,  $V_0 \supseteq V_1 \supseteq ... \ni 1$  such that for any  $h_n \in G$  and finite  $F_n \subseteq G$ ,

$$G \neq \bigcup_{n} F_n V_n h_n$$
.

*Proof.* By Proposition 1.22 (4), without loss of generality we can suppose that G is a closed subgroup of Isom(X,d), where (X,d) is a separable complete metric space with a dense G-orbit. Since G in non-compact, so is X and thus there is an  $\epsilon > 0$  such that *X* contains an infinite  $2\epsilon$ -separated subset  $D \subseteq X$ .

Let  $z_1, z_2, \dots$  be a dense sequence in X with each point listed infinitely often and set  $\delta_n = \frac{\epsilon}{3^n}$ . So  $\sum_{n \ge m} \delta_n \xrightarrow[m \to \infty]{} 0$ .

We define inductively tuples  $\overline{y}_i \in \bigcup_{n \ge 1} X^n$  and natural numbers  $n_i$  as follows. First, let  $n_1 = 1$  and apply Lemma 3.13 to  $\sigma = \delta = \delta_1$  and  $n = n_1$  to get  $\overline{y}_1$ . In general, if  $n_i$  and  $\overline{y}_i$  are chosen, we set  $n_{i+1} = n_i + \text{length}(\overline{y}_i) + 2$  and apply Lemma 3.13 to  $\sigma = \delta = \delta_{i+1}$  and  $n = n_{i+1}$  to find  $\overline{y}_{i+1}$ . Finally, set  $V_i = V(\overline{y}_i, \delta_i)$ .

Thus, for any  $\overline{x} \in X^{n_i}$ , finite  $F \subseteq G$  and  $h \in G$ , there is some  $g \in V(\overline{x}, \delta_i)$  such that

$$g \cdot V(\overline{x} h^{-1} \overline{y}_i, \delta_i) \cap FV_i h = \emptyset.$$

Now suppose  $F_i \subseteq G$  are finite subsets and  $h_i \in G$ . Set  $\overline{x}_1 = z_1$ . By induction on i, we now construct  $\overline{x}_i \in \bigcup_{n \ge 1} X^n$  and  $g_i \in G$  such that

- (1)  $\overline{x}_i \in X^{n_i}$ ,
- $\begin{array}{ll} \text{(2)} \ \, \overline{x}_{i+1} = \big( \overline{x}_i, h_i^{-1} \overline{y}_i, z_i, (g_1 \cdots g_i)^{-1} (z_i) \big), \\ \text{(3)} \ \, g_i \in V(\overline{x}_i, \delta_i), \end{array}$
- (4)  $g_1g_2\cdots g_i\cdot V(\overline{x}_i\hat{h}_i^{-1}\overline{y}_i,\delta_i)\cap F_iV_ih_i=\emptyset.$

Note that since  $\delta_{i+1} + \delta_{i+1} < \delta_i$ , we have by (2) and (3) above

$$\begin{split} g_1g_2\cdots g_ig_{i+1}\cdot \overline{V(\overline{x}_{i+1},\delta_{i+1})} &\subseteq g_1g_2\cdots g_i\cdot V(\overline{x}_{i+1},\delta_{i+1})\overline{V(\overline{x}_{i+1},\delta_{i+1})} \\ &\subseteq g_1g_2\cdots g_i\cdot V(\overline{x}_{i+1},\delta_i) \\ &\subseteq g_1g_2\cdots g_i\cdot V(\overline{x}_i\hat{h}_i^{-1}\overline{y}_i,\delta_i) \\ &\subseteq g_1g_2\cdots g_i\cdot \overline{V(\overline{x}_i,\delta_i)}, \end{split}$$

and so, in particular,

$$\bigcap_{i=1}^{\infty} g_1 \cdots g_i \cdot V(\overline{x}_i \hat{\ } h_i^{-1} \overline{y}_i, \delta_i) = \bigcap_{i=1}^{\infty} g_1 \cdots g_i \cdot \overline{V(\overline{x}_i, \delta_i)},$$

which is disjoint from  $\bigcup_{i=1}^{\infty} F_i V_i h_i$ .

We claim that  $g_1g_2g_3\cdots$  converges pointwise to a surjective isometry from X to *X* and hence converges in *G*.

First, to see that it converges pointwise on X to an isometry  $\psi$  from X to X, it suffices to show that  $g_1g_2g_3\cdots(z_l)$  converges for any l, i.e., that  $(g_1g_2\cdots g_i(z_l))_{i=1}^{\infty}$  is

Cauchy in X. But

$$\begin{split} d\big(g_1\cdots g_i(z_l), &g_1\cdots g_{i+m}(z_l)\big) = d\big(z_l, g_{i+1}\cdots g_{i+m}(z_l)\big) \\ \leqslant d\big(z_l, g_{i+1}(z_l)\big) + d\big(g_{i+1}(z_l), g_{i+1}g_{i+2}(z_l)\big) \\ &+ \ldots + d\big(g_{i+1}\cdots g_{i+m-1}(z_l), g_{i+1}\cdots g_{i+m}(z_l)\big) \\ \leqslant d\big(z_l, g_{i+1}(z_l)\big) + d\big(z_l, g_{i+2}(z_l)\big) + \ldots + d\big(z_l, g_{i+m}(z_l)\big) \\ \leqslant \delta_{i+1} + \delta_{i+2} + \ldots + \delta_{i+m} \\ \leqslant \delta_i. \end{split}$$

whenever  $i \ge l$ , showing that the sequence is Cauchy.

To see the pointwise limit  $\psi$  is surjective, it suffices to show that the image of  $\psi$  is dense in X. So fix  $z_l$  and  $\epsilon > 0$ . We show that  $\operatorname{Im}(\psi) \cap B(z_l, \epsilon) \neq \emptyset$ . Begin by choosing i large enough such that  $\delta_i < \epsilon$  and  $z_l = z_i$ . Then  $z_l = z_i = g_1 \cdots g_i(x)$  for some term x in  $\overline{x}_{i+1}$  and hence, by a calculation as above, we find that

$$d(z_l, g_1 \cdots g_{i+m}(x)) = d(g_1 \cdots g_i(x), g_1 \cdots g_{i+m}(x)) < \delta_i$$

for any  $m \ge 0$ , whence  $\psi(x) = \lim_{m \to \infty} g_1 \cdots g_{i+m}(x)$  is within  $\epsilon$  of  $z_l$ . Finally, since the sets  $g_1 \cdots g_i \cdot \overline{V(\overline{x}_i, \delta_i)}$  are decreasing and

$$g_1 \cdots g_i \in g_1 \cdots g_i \cdot \overline{V(\overline{x}_i, \delta_i)}$$

for every i, we have

$$\psi = \lim_{i} g_1 \cdots g_i \in \bigcap_{i} g_1 \cdots g_i \cdot \overline{V(\overline{x}_i, \delta_i)}$$

and hence  $\psi \in G \setminus \bigcup_i F_i V_i h_i$ , which finishes the proof.

In [15], Malicki studied Solecki's question of whether any feebly locally compact Polish group is locally compact and proved among other things that none of Isom( $\mathbb{U}_1$ ),  $\mathscr{U}(\ell_2)$  nor oligomorphic closed subgroups of  $S_\infty$  are feebly non-locally compact. Theorem 3.8 strengthens his result for oligomorphic closed subgroups of  $S_\infty$ , but Theorem 3.14 does not imply his results for Isom( $\mathbb{U}_1$ ) and  $\mathscr{U}(\ell_2)$ . We do not know if Theorem 3.14 can be strengthened to two-sided translates  $F_nV_nE_n$ , where  $F_n,E_n\subseteq G$  are arbitrary finite subsets.

3.5. **Isometric actions defined from narrow sequences.** Using the narrow sequences  $(V_n)$  defined hitherto, we shall now proceed to construct (affine) isometric actions of Polish groups with interesting dynamics. The basic underlying construction for this was previously used by Nguyen Van Thé and Pestov [19] in their proof of the equivalence of (3) and (4) of Theorem 1.1.

**Definition 3.15.** Suppose G is a Polish group acting continuously and by isometries on a separable complete metric space (X,d). We say that

- (1) G is strongly point moving if there are  $\epsilon_n > 0$  such that for all  $x_n, y_n \in X$  there is some  $g \in G$  satisfying  $d(gx_n, y_n) > \epsilon_n$  for all  $n \in \mathbb{N}$ ,
- (2) G is strongly compacta moving if there are  $\epsilon_n > 0$  such that for all compact subsets  $C_n \subseteq X$  there is some  $g \in G$  satisfying  $\operatorname{dist}(gC_n, C_n) > \epsilon_n$  for all  $n \in \mathbb{N}$ .

Here the distance between two compact sets is the minimum distance between points in the two sets.

We can now reformulate the existence of narrow sequences in Polish groups by the existence of strongly point moving isometric actions as follows. **Theorem 3.16.** Let G be a Polish group. Then the following are equivalent.

- (1) G admits a strongly point moving continuous isometric action on a separable complete metric space,
- (2) G has a neighbourhood basis  $(V_n)$  at 1 such that for any  $f_n, h_n \in G$ ,  $G \neq \bigcup_n f_n V_n h_n$ .

*Proof.* (2) $\Rightarrow$ (1): Suppose (2) holds and let  $V_0 \supseteq V_1 \supseteq ... \ni 1$  be the given neighbourhood basis at 1. Fix also a compatible left-invariant metric d on G. Then, by decreasing each  $V_n$ , we can suppose that

$$V_n = \{ g \in G \mid d(g, 1) < 3\epsilon_n \}$$

for some  $\epsilon_n > 0$ . Note then that, for  $f, g, h \in G$ , we have

$$g \notin fV_n h \iff f^{-1}gh^{-1} \notin V_n \iff d(f^{-1}gh^{-1}, 1) \ge 3\epsilon_n \iff d(gh^{-1}, f) \ge 3\epsilon_n.$$

Let now (X,d) denote the completion of G with respect to d and consider the extension of the left shift action of G on itself to X. Then, for any  $x_n, y_n \in X$ , there are  $f_n, h_n \in G$  such that  $d(x_n, h_n^{-1}) < \varepsilon_n$  and  $d(y_n, f_n) < \varepsilon_n$ , whence, if  $g \notin \bigcup_n f_n V_n h_n$ , we have  $d(gh_n^{-1}, f_n) \ge 3\varepsilon_n$  and thus  $d(gx_n, y_n) > \varepsilon_n$  for all n. Thus, G is strongly point moving.

(1) $\Rightarrow$ (2): Let  $\epsilon_n > 0$  be the constants given by a strongly point moving isometric action of G on a separable complete metric space X and let  $x \in X$  be fixed. Set  $W_n = \{g \in G \mid d(gx,x) < \epsilon_n\}$  and let  $V_n \subseteq W_n$  be open subsets such that  $(V_n)$  forms a neighbourhood basis at 1. Then, if  $f_n, h_n \in G$  are given, find some g such that  $d(gh_n^{-1}x, f_nx) > \epsilon_n$  for all n. It thus follows that  $g \notin \bigcup_n f_n V_n h_n$ .

The following lemma is proved in a slightly different but equivalent setup in [19].

**Lemma 3.17.** Suppose G is a group acting by isometries on a metric space (X,d),  $e \in X$  and let  $\rho_e$  denote the affine isometric action of G on E(X) defined by

$$\rho_e(g)m = m(g^{-1} \cdot) + m_{ge,e}.$$

Then, for any  $m_1, m_2 \in \mathcal{E}(X)$ , there are finite sets  $F, E \subseteq X$  such that if  $g \in G$  satisfies  $d(gF, E) > 2\epsilon$ , then also  $\|\rho_e(g)m_1 - m_2\| > \epsilon$ .

*Proof.* By approximating each  $m_i$  by a molecule within distance  $\frac{\epsilon}{2}$ , it suffices to show that for any molecules  $m_1, m_2 \in \mathbb{M}(X)$  there are finite sets  $F, E \subseteq G$  such that if  $d(gF, E) > 2\epsilon$ , then also  $\|\rho_e(g)m_1 - m_2\| \ge 2\epsilon$ . For this, write  $m_1 = \sum_{i=1}^n a_i m_{x_i, y_i}$ ,  $m_2 = \sum_{i=1}^k b_i m_{v_i, w_i}$  and assume that  $g \in G$  satisfies

$$d(\{gx_i,gy_i,ge\}_{i=1}^n,\{v_i,w_i,e\}_{i=1}^k)>2\epsilon.$$

Now, letting  $f: X \to \mathbb{R}$  be defined by

$$f(x) = \min \{2\epsilon, d(x, \{v_i, w_i, e\}_{i=1}^k)\}$$

we see that f is 1-Lipschitz and  $f(x) = 2\epsilon$  for all  $x \in \{gx_i, gy_i, ge\}_{i=1}^n$ , while f(x) = 0 for  $x \in \{v_i, w_i, e\}_{i=1}^k$ . It follows that

$$\begin{split} \|\rho_{e}(g)m_{1} - m_{2}\| &= \Big\| \sum_{i=1}^{n} a_{i} m_{gx_{i}, gy_{i}} + m_{ge, e} - \sum_{i=1}^{k} b_{i} m_{v_{i}, w_{i}} \Big\| \\ &= \sum_{i=1}^{n} a_{i} \Big( f(x_{i}) - f(y_{i}) \Big) + \Big( f(ge) - f(e) \Big) + \sum_{i=1}^{k} b_{i} \Big( f(v_{i}) - f(w_{i}) \Big) \\ &= 2\epsilon, \end{split}$$

which proves the lemma.

**Theorem 3.18.** Let G be a Polish group. Then the following are equivalent.

- (1) G admits a strongly compacta moving continuous affine isometric action on a separable Banach space,
- (2) G has a neighbourhood basis  $(V_n)$  at 1 such that for any finite subsets  $F_n, E_n \subseteq G$ ,  $G \neq \bigcup_n F_n V_n E_n$ .

*Proof.* The implication (1) $\Rightarrow$ (2) is similar to that of Theorem 3.16. Also for (2) $\Rightarrow$ (1), let again d be a compatible left-invariant metric on G and let G act on itself on the left. As before, we can suppose that  $V_n = \{g \in G \mid d(g,1) < 6\epsilon_n\}$  for some  $\epsilon_n > 0$  and thus for all finite sets  $F_n, E_n \subseteq G$  there is some  $g \in G$  such that  $d(gF_n, E_n) \geqslant 6\epsilon_n$  for all  $n \in \mathbb{N}$ . Fix an arbitrary element  $e \in G$  and let  $\rho_e$  denote the affine isometric action of G on E(G) defined by  $\rho_e(g)m = m(g^{-1} \cdot) + m_{ge,e}$ .

Assume now that  $C_n \subseteq E(G)$  are compact and find finite  $\epsilon_n$ -dense subsets  $M_n \subseteq C_n$ . By Lemma 3.17, there are finite subsets  $F_n, E_n \subseteq G$  such that if  $d(gF_n, E_n) > 6\epsilon_n$ , then  $\|\rho_e(g)m_1 - m_2\| > 3\epsilon_n$  for all  $m_1, m_2 \in M_n$ , whereby also  $\|\rho_e(g)m_1 - m_2\| > \epsilon_n$  for all  $m_1, m_2 \in C_n$ , finishing the proof of the theorem.

Combining Theorems 3.16, 3.18, 3.8, 3.14, 3.6 and Propositions 3.1 (b), 3.5, we obtain the following two corollaries.

**Corollary 3.19.** The following classes of Polish groups admit strongly point moving, continuous isometric actions on separable complete metric spaces,

- non-discrete, unimodular, locally compact groups,
- non-compact, Roelcke precompact groups,
- Polish groups G such that  $\{g \in G \mid 1 \in \operatorname{cl}(g^G)\}$  is not comeagre in any neighbourhood of 1.

**Corollary 3.20.** The following classes of Polish groups admit strongly compacta moving, continuous affine isometric actions on separable Banach spaces,

- non-locally compact SIN groups,
- oligomorphic closed subgroups of  $S_{\infty}$ .

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