

Algebraic curves of GL_2 -type

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- 4 Examples

For any number field K let $G_K = \text{Gal}(\overline{\mathbb{Q}}/K)$. Let

$$\rho : G_{\mathbb{Q}} \rightarrow \text{GL}(V_{\ell}) \cong \text{GL}_{2d}(\mathbb{Q}_{\ell})$$

be a representation. Mostly we will be concerned with $d = 2$.

Assume that

- 1 There is a number field E/\mathbb{Q} of degree d and a homomorphism $E \rightarrow \text{End}(V_{\ell})$.
- 2 There is a finite extension K/\mathbb{Q} such that $\rho(G_K)$ commutes with the image of E .

Then we have a factorization:

$$\rho_K := \rho |_{G_K} \rightarrow \text{GL}_{E \otimes \mathbb{Q}_{\ell}}(V_{\ell}) \cong \text{GL}_2(E \otimes \mathbb{Q}_{\ell}) \subset \text{GL}(V_{\ell}) \cong \text{GL}_{2d}(\mathbb{Q}_{\ell}).$$

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Example: Abelian varieties of GL_2 -type. For an abelian variety A/k let $V_\ell(A)$ be its Tate module: a $2 \dim A$ -dimensional representation of G_k .

Theorem. (Ribet + Serre's conjecture)

- 1 Let A/\mathbb{Q} be a \mathbb{Q} -simple abelian variety. Suppose that $E = \mathbb{Q} \otimes \text{End}_{\mathbb{Q}}(A)$ is a number field of degree $= \dim A$. Then the Tate module $V_\ell(A)$ defines a representation of $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ with values in $GL_2(E \otimes \mathbb{Q}_\ell)$. Moreover A is isogenous to a \mathbb{Q} -simple factor of $J_1(N)$ for some $N \geq 1$.
- 2 Let $C/\overline{\mathbb{Q}}$ be an elliptic curve. Then C is a quotient of $J_1(N)_{\overline{\mathbb{Q}}}$ for some $N \geq 1$ if and only if C is a \mathbb{Q} -curve, i.e., C is isogenous to each of its conjugates ${}^\sigma C$, $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$.

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Theorem. (Shimura) Let $f = \sum a_n q^n$ be a normalized cuspidal Hecke eigenform of weight 2 on $\Gamma_1(N)$ for some $N \geq 1$. Then there is an abelian variety A_f defined over \mathbb{Q} with an action of the field $E = \mathbb{Q}(\dots, a_n, \dots)$. This is a quotient of $J_1(N)$. We have $\dim A_f = [E : \mathbb{Q}]$, $E = \text{End}_{\mathbb{Q}}(A_f) \otimes \mathbb{Q}$, and thus $V_{\ell}(A_f)$ is of GL_2 -type over \mathbb{Q} .

Example. $N = 29$. There exist Hecke eigenforms $f, \bar{f} \in S_2(29, (* / 29))$ with field of coefficients $E = \mathbb{Q}(\sqrt{-5})$. Shimura's A_f is two-dimensional, and in fact it is isogenous to $C \times {}^{\sigma}C$ for an elliptic \mathbb{Q} -curve $C/\mathbb{Q}(\sqrt{5})$. Also we have an isogeny $A_f \cong \text{Jac}(X)$ where X is the genus 2 curve

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Note that for a given ρ , there can be more than one pair E, K such that ρ_K has an E -linear structure.

Examples are given by **quaternion structures**. Here $d = 2$. That is, assume:

- 1 there is a quaternion algebra B/\mathbb{Q} and a homomorphism $B \rightarrow \text{End}(V_\ell)$ and
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From now on, we take $d = 2$

Suppose ρ has an E -linear structure defined over K (so $[E : \mathbb{Q}] = 2$).

Let $\lambda, \bar{\lambda}$ be the places of E lying over the prime number ℓ (possibly $\lambda = \bar{\lambda}$). Then we get a decomposition $E \otimes \mathbb{Q}_\ell = \bigoplus_{\lambda, \bar{\lambda}} E_\lambda$ hence

$$\rho_K \otimes E = \rho_{K,\lambda} \oplus \bar{\rho}_{K,\lambda}, \quad L(\rho_K, s) = L(\rho_{K,\lambda}, s)L(\bar{\rho}_{K,\lambda}, s).$$

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- 1 K/\mathbb{Q} is also a quadratic extension.
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Let X be a projective nonsingular curve of genus 2 defined over \mathbb{Q} . We assume an equation $y^2 = f(x)$ where $f(x) \in \mathbb{Q}[x]$ has degree 5 or 6 with distinct roots.

Then

$$V_\ell = H^1(X \otimes \overline{\mathbb{Q}}, \mathbb{Q}_\ell) = H^1(\text{Jac}(X) \otimes \overline{\mathbb{Q}}, \mathbb{Q}_\ell)$$

gives a four dimensional representation of $G_{\mathbb{Q}}$. These are of GL_2 -type if the Jacobian $\text{Jac}(X)$ has extra endomorphisms.

For any abelian variety A defined over a field k we let $\text{End}_k^0(A) := \text{End}_k(A) \otimes \mathbb{Q}$ be the semisimple \mathbb{Q} -algebra of endomorphisms defined over k ; $\text{End}^0(A) = \text{End}_k^0(A)$

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Then if A is a two dimensional absolutely simple abelian variety defined over a number field k then $\text{End}^0(A)$ is one of the following:

- 1 \mathbb{Q} ;
- 2 a real quadratic field E/\mathbb{Q} ;
- 3 an indefinite quaternion algebra B/\mathbb{Q} ;
- 4 a quartic CM field K/\mathbb{Q} .

Items 2 (RM) and 3 (QM) lead to representations of GL_2 -type.

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The familiar invariants of an elliptic curve, e.g., j , g_2 , g_3 arise as **in- and covariants of the action of $PGL(2)$ on binary quartic forms** $f(x, y) = a_0x^4 + a_1x^3y + a_2x^2y^2 + a_3xy^3 + a_4y^4$. These were worked out in the 19th century.

Reason: every genus 1 curve can be expressed as a double cover of P^1 with four branch points. Then $f(x, y) = 0$ give the coordinates of the branch points. This leads to coordinate systems on the **moduli spaces of elliptic curves**: these are the modular curves. Riemann already knew that the moduli space \mathcal{M}_g of genus $g \geq 2$ curves had dimension $3g - 3$, but explicit models of these as algebraic varieties are not easy to find.

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$$y^2 = f(x) \quad \deg f = 6, \text{ with distinct roots.}$$

As for elliptic curves, the moduli for genus 2 curves then can be expressed via the **in- and covariants of the action of $PGL(2)$ on binary sextic forms**. The expression of the moduli of genus 2 curves via projective invariants of binary sextics was done by **Clebsch**, and in more modern times by **Igusa** and **Mestre**.

Note that $\dim \mathcal{M}_2 = 3$, so there are three "J-invariants" j_1, j_2, j_3 . Recall also that the map $X \mapsto \text{Jac}(X): \mathcal{M}_2 \rightarrow \mathcal{A}_2$ to the moduli space of principally polarized abelian varieties of dimension 2 is a birational correspondence.

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Analytic moduli.

Let

$$\mathfrak{H}_2 = \left\{ \tau = \begin{pmatrix} \tau_1 & \tau_2 \\ \tau_2 & \tau_3 \end{pmatrix} \in M_2(\mathbb{C}) \mid \text{Im}(\tau) > 0 \right\}$$

the Siegel space of genus 2.

As an analytic space, $\mathcal{A}_2^{an} = \Gamma \backslash \mathfrak{H}_2$ where

$$\Gamma = \left\{ g = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in Sp_4(\mathbb{Z}) \right\}$$

acting via $\tau \mapsto (A\tau + B)(C\tau + D)^{-1}$.

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Algebraic moduli. A natural set of coordinates on the covering of \mathcal{M}_2 given by level 2 structure is gotten by taking the cross ratios of the roots e_i , $i = 1, \dots, 6$ of

$$y^2 = f(x) = (x - e_1)\dots(x - e_6).$$

level 2 structure = an ordering of the 6 roots e_1, \dots, e_6 :

$$\mathrm{Sp}_4(\mathbb{Z}/2) = S_6.$$

So we can describe subvarieties of \mathcal{M}_2 by equations

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Algebraic moduli. A natural set of coordinates on the covering of \mathcal{M}_2 given by level 2 structure is gotten by taking the cross ratios of the roots e_i , $i = 1, \dots, 6$ of

$$y^2 = f(x) = (x - e_1)\dots(x - e_6).$$

level 2 structure = an ordering of the 6 roots e_1, \dots, e_6 :

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- 1 When the integer Δ is a square, H_Δ is a product of modular curves; $\text{Jac}(X)$ factors into 2 elliptic curves for $X \in H_\Delta$.
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Explicit equations for Humbert surfaces were written down for $\Delta = 5, 8$ by **G. Humbert**. Modern treatment given by **P. Bending**, **Hashimoto**, **Hirzebruch**, **Murabayashii**, **R. M. Wilson**, **Sakai**, **Shephard-Barron**, **R. Taylor**, **van der Geer**.

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The analytic equations of Humbert surfaces are quite simple: Each point of H_Δ is $Sp_4(\mathbb{Z})$ -equivalent to a point $\tau \in \mathfrak{H}_2$ which satisfies

$$a\tau_1 + b\tau_2 + \tau_3 = 0, \quad a, b, \in \mathbb{Z}, \quad b^2 - 4a = \Delta, \quad b = 0 \text{ or } 1.$$

We want equations in the algebraic moduli. Humbert's construction is based on [Poncelet's theorem](#).

Given two projective plane conics C and D , if an n -gon can be inscribed in C in such a way that each edge of the polygon is tangent to D (i.e., the n -gon is circumscribed about D) then, [given any point \$P \in C\$](#) , there is an n -gon inscribed in C and circumscribed about D [which passes through \$P\$](#) .

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Proof (Cayley): Consider the dual projective plane:

$(\mathbf{P}^2)^* =$ the variety of lines in \mathbf{P}^2 .

Let $D^* \subset (\mathbf{P}^2)^*$ be the variety of lines tangent to D . This is also a conic.

Define

$$E(C, D) := \{(P, \ell) \in C \times D^* \mid P \in \ell\},$$

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When $n = 5, 8$ this curve X has genus 2, with endomorphisms by $\mathbb{Q}(\sqrt{5})$ and $\mathbb{Q}(\sqrt{2})$ respectively.

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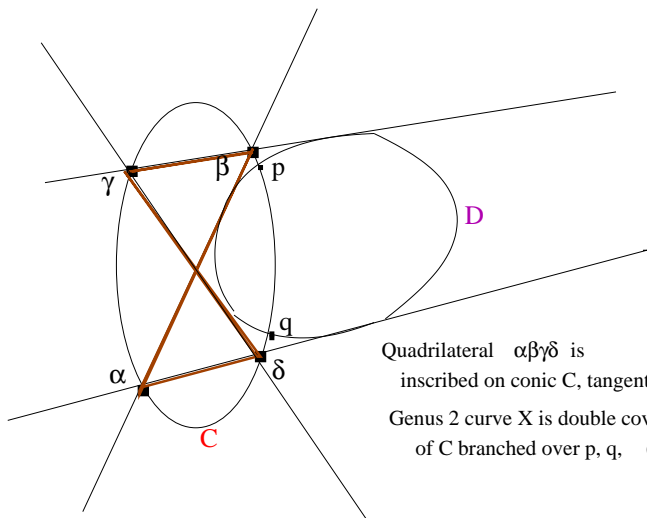
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Quadrilateral $\alpha\beta\gamma\delta$ is
inscribed on conic C, tangent to conic D

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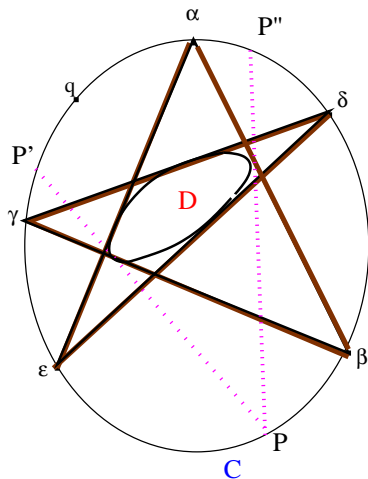
Humbert 8 = Poncelet 4

This configuration leads to the explicit equation for $H_8 = 0$ in terms of the roots e_i of the sextic $f(x)$ where the genus 2 curve is represented by $y^2 = f(x)$.

$$H_8(e_1, \dots, e_8) =$$

$$\begin{aligned} & (e_3 - e_1)(e_3 - e_2)(e_3 - e_4)(e_4 - e_2)^2(e_3 - e_5)(e_6 - e_1)(e_6 - e_2)(e_6 - e_4)(e_6 - e_5)(e_1 - e_5)^2 \\ & + (e_1 - e_2)(e_1 - e_3)(e_1 - e_4)(e_2 - e_4)^2(e_3 - e_5)^2(e_6 - e_2)(e_6 - e_3)(e_6 - e_4)(e_6 - e_5)(e_1 - e_5) \\ & + (e_3 - e_1)^2(e_4 - e_1)(e_4 - e_2)(e_4 - e_3)(e_2 - e_5)^2(e_4 - e_5)(e_6 - e_1)(e_6 - e_2)(e_6 - e_3)(e_6 - e_5) \\ & + (e_2 - e_1)(e_1 - e_3)^2(e_2 - e_3)(e_2 - e_4)(e_2 - e_5)(e_4 - e_5)^2(e_6 - e_1)(e_6 - e_3)(e_6 - e_4)(e_6 - e_5) \\ & + 16(e_1 - e_2)(e_2 - e_3)(e_1 - e_4)(e_3 - e_4)(e_2 - e_5)(e_3 - e_5) \\ & \quad (e_4 - e_5)(e_1 - e_6)(e_2 - e_6)(e_3 - e_6)(e_4 - e_6)(e_1 - e_5) \end{aligned}$$

$\Delta = 5$: $-\tau_1 + \tau_2 + \tau_3 = 0$. This corresponds to a Poncelet 5-gon.



Humbert 5 = Poncelet 5

Pentagon $\alpha\beta\gamma\delta\epsilon$
 inscribes conic C
 circumscribes conic D

Genus 2 curve X is the
 double cover of C branched
 above $\alpha, \beta, \gamma, \delta, \epsilon$ and
 a point q in C intersect D .

The correspondence

$$P \rightarrow P' + P''$$

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$$\phi \text{ of } X \text{ with } \phi^2 + \phi - 1 = 0$$

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This configuration leads to the explicit equation for $H_5 = 0$ in terms of the roots e_i of the sextic $f(x)$ where the genus 2 curve is represented by $y^2 = f(x)$.

$$H_5(e_1, \dots, e_6) =$$

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Let B/\mathbb{Q} be an indefinite quaternion algebra. Two-dimensional principally polarized abelian varieties A with $B \subset \text{End}(A)$ are parametrized by the points of the **Shimura curve** S_B associated to B . There is a universal family $X \rightarrow S_B$ of genus two curves which have Jacobians that have QM by B .

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If a simple abelian surface A has $\text{End}^0(A) \supset \mathbb{Q}(\Delta_1), \mathbb{Q}(\Delta_2)$ for two different real quadratic fields, then $\text{End}^0(A)$ is a quaternion algebra. Thus

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Example 1 (Brumer/Hashimoto) Let

$$\begin{aligned} f(X; a, b, c) = & X^6 - (4 + 2b + 3c)X^5 + (2 + 2b + b^2 - ac)X^4 \\ & - (6 + 4a + 6b - 2b^2 + 5c + 2ac)X^3 \\ & + (1 + b^2 - ac)X^2 + (2 - 2b)X + (c + 1) \end{aligned}$$

Let $C(a, b, c) : Y^2 = f(X; a, b, c)$, assume $f(X, a, b, c)$ has 6 distinct roots. Then $C(a, b, c)$ is a genus two curve with RM by $\mathbb{Q}(\sqrt{5})$. These endomorphisms are defined over $\mathbb{Q}(a, b, c)$. Hence if $a, b, c, \in \mathbb{Q}$, the curve is modular.

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Example 2 (P. Bending) Let $K \subset \mathbb{C}$ be a field. Let $A, P \neq 0, Q, D \neq 0$ in K . Define

$$B = \frac{Q(PA - Q) + 4P^2 + 1}{P^2}, \quad C = \frac{4(PA - Q)}{P}.$$

Define α_i by $\prod_{i=0}^2 (X - \alpha_i) = X^3 + AX^2 + BX + C$. Then the genus 2 curve

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Then $\text{End}_K^0(\text{Jac}(C))$ contains the division quaternion algebra B_6 of discriminant 6, namely $\left(\frac{2, -3}{\mathbb{Q}}\right)$. The action of $\mathbb{Q}(\sqrt{2}) \subset B_6$ is defined over K and the action of $\mathbb{Q}(\sqrt{-3}) \subset B_6$ is defined over $K(\sqrt{-3})$. Hence if $N, D \in \mathbb{Q}$ these are modular.

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Example 4 (QM by B_{10}) Let

$$C : y^2 = x^6 - 16x^5 + 40x^4 + 140x^3 + 80x^2 - 64x + 64.$$

Then $\text{Jac}(C)$ has multiplications by the quaternion division ring of discriminant 10. We have

$$\det(X - \rho(\text{Frob}_p)) = g_p(X)\overline{g_p(X)}$$

for $g_p(X) \in \mathbb{Q}(\sqrt{5})[X]$.

p	$g_p(X)$
3	$X^2 + \sqrt{5}X + 3$
11	$X^2 + 3X + 11$
13	$X^2 + 3\sqrt{5}X + 13$
17	$X^2 + \sqrt{5}X + 17$
19	$X^2 + 19$
23	$X^2 + 23$
29	$X^2 + 9X + 29$
31	$X^2 + 31$
37	$X^2 + 37$
41	$X^2 + 41$
43	$X^2 + 43$
47	$X^2 + 5\sqrt{5}X + 47$

Example 5 (RM by $\mathbb{Q}(\sqrt{2})$) Let

$$C : y^2 = 21x^5 + 50x^4 + 5x^3 - 20x^2 + 4x.$$

Then $\text{Jac}(C)$ has multiplications by $\mathbb{Q}(\sqrt{2})$, but the endomorphisms are defined over $\mathbb{Q}(\sqrt{2})$. The ℓ -adic representation here is not obviously automorphic. The conductor is $2^7 3^2 7^2$. This is special case of a 1-parameter family of [Hashimoto/Sakai](#).

p	$\det(X - \rho(\text{Frob}_p))$
5	$(X^2 - 5)^2$
11	$(X^2 + 4\sqrt{2}X + 11)(X^2 - 4\sqrt{2}X + 11)$
13	$X^4 + 6X^2 + 13^2$
17	$(X^2 + (4\sqrt{2} - 2)X + 17)(X^2 + (-4\sqrt{2} - 2)X + 17)$
19	$X^4 - 10X^2 + 19^2$
23	$(X^2 + 4\sqrt{2}X + 23)(X^2 - 4\sqrt{2}X + 23)$
29	$(X^2 + 6\sqrt{2}X + 29)(X^2 - 6\sqrt{2}X + 29)$
31	$(X^2 + 31)^2$
37	$(X^2 + 10X + 37)(X^2 - 10X + 37)$
41	$(X^2 + (4\sqrt{2} - 2)X + 41)(X^2 + (-4\sqrt{2} - 2)X + 41)$
43	$X^4 + 3X^2 + 43^2$
47	$(X^2 - 8X + 47)^2$

Example 6 (RM by $\mathbb{Q}(\sqrt{2})$) Let

$$C : y^2 = 9x^5 - 210x^4 + 165x^3 + 16740x^2 - 74844x$$

Then $\text{Jac}(C)$ has multiplications by $\mathbb{Q}(\sqrt{2})$, but the endomorphisms are defined over $\mathbb{Q}(\sqrt{-2})$. The ℓ -adic representation here is not obviously automorphic. The conductor is $2^7 3^7 7^7 11^7$. This is special case of a 1-parameter family of [Hashimoto/Sakai](#).

p	$\det(X - \rho(\text{Frob}_p))$
5	$(X^2 - 5)^2$
13	$X^4 - 6X^2 + 13^2$
17	$(X^2 + (4\sqrt{2} - 2)X + 17)(X^2 + (-4\sqrt{2} - 2)X + 17)$
19	$(X^2 + 4\sqrt{2}X + 19)(X^2 - 4\sqrt{2}X + 19)$
23	$(X^2 + 4\sqrt{2}X + 23)(X^2 - 4\sqrt{2}X + 23)$
29	$5X^4 - 10X^2 + 29^2$
31	$(X^2 + 4\sqrt{2}X + 31)(X^2 - 4\sqrt{2}X + 31)$
37	$(X^2 + 6X + 37)(X^2 - 6X + 37)$
41	$(X^2 + (4\sqrt{2} + 6)X + 41)(X^2 + (-4\sqrt{2} + 6)X + 41)$
43	$(X^2 + 8X + 43)^2$
47	$(X^2 + 8X + 47)(X^2 - 8X + 47)$

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