

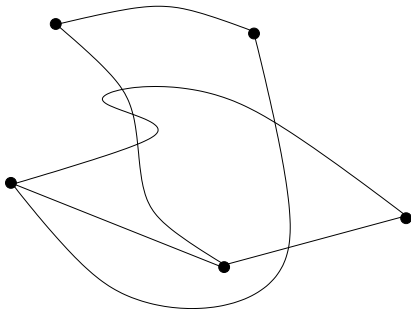
# Extremal problems in topological graph theory

Andrew Suk

October 17, 2013

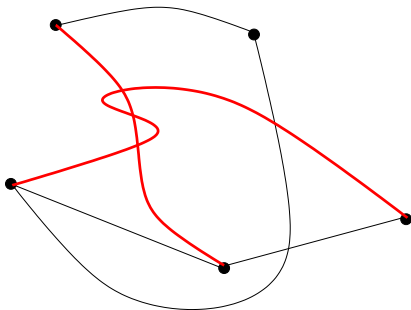
## Definition

A *topological graph* is a graph drawn in the plane with vertices represented by points and edges represented by curves connecting the corresponding points. A topological graph is *simple* if every pair of its edges intersect at most once.



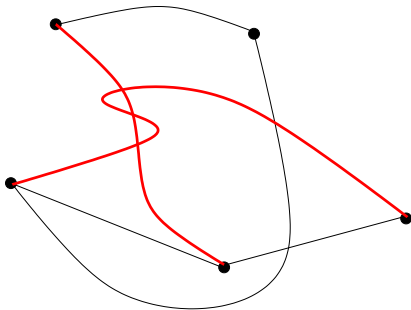
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# Crossing edges

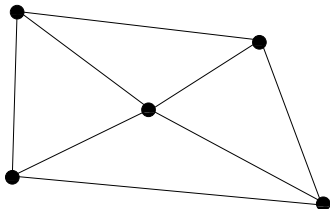
Two edges in a topological graph **cross** if they have a common interior point.



Application of Euler's Polyhedral formula:

## Theorem

*Every  $n$ -vertex topological graph with no crossing edges contains at most  $3n - 6 = O(n)$  edges.*

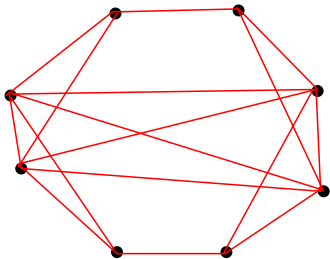


Relaxation of planarity.

### Conjecture

*Every  $n$ -vertex topological graph with no  $k$  pairwise crossing edges contains at most  $O(n)$  edges.*

All such graphs are called  *$k$ -quasi-planar*.



## Conjecture

*Every  $n$ -vertex  $k$ -quasi-planar graph has at most  $O(n)$  edges.*

**Generated a lot of research**, 1990's - present, different variations.

Conjecture has been proven for

- 1  $k = 3$  by Pach, Radoičić, Tóth 2003, Ackerman and Tardos 2007.
- 2  $k = 4$  by Ackerman 2008.

Open for  $k \geq 5$ .

# Best known bound for $k \geq 5$

## Theorem (Pach, Radoičić, Tóth 2003)

*Every  $n$ -vertex  $k$ -quasi-planar graph has at most  $n(\log n)^{4k-12}$  edges.*

As an application of a separator Theorem by Matoušek 2013:

## Theorem (Fox and Pach 2013)

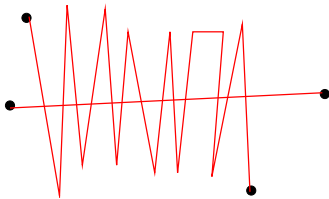
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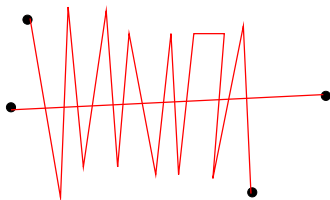


Two edges may cross  $n^n$  times.

# Best known bound for $k \geq 5$

Theorem (Fox and Pach 2013)

*Every  $n$ -vertex  $k$ -quasi-planar graph has at most  $n(\log n)^{O(\log k)}$  edges.*



**Suk and Walczak 2013:** We improve this bound in two special cases.

Two result from

A. Suk, B. Walczak, New bounds on the maximum number of edges in  $k$ -quasi-planar graphs, 21st International Symposium on Graph Drawing (GD '13). Bordeaux France, 2013.

# Special Case 1

- $G$  is an  $n$ -vertex  $k$ -quasi planar graph,
- **extra condition:** every pair of edges have at most  $t$  (say 1000) points in common.
- $|E(G)| \leq n(\log n)^{O(\log k)}$ , Fox and Pach 2008

## Theorem (Suk and Walczak 2013)

*Every  $n$ -vertex  $k$ -quasi-planar graph with no two edges having more than  $t$  points in common, has at most  $c_{k,t} 2^{\alpha(n)} (n \log n)$  edges.*

$\alpha(n)$  denotes the inverse Ackermann function (very slow).

# Special Case 1

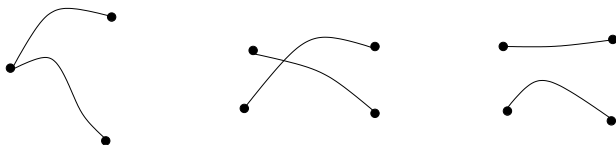
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*Every  $n$ -vertex  $k$ -quasi-planar graph with no two edges having more than  $t$  points in common, has at most  $c_{k,t} 2^{\alpha(n)} (n \log n)$  edges.*

Main tool: A Theorem on Generalized Davenport-Schinzel sequences.

$G$  is a **simple**  $k$ -quasi-planar graph:



- 1  $|E(G)| \leq n(\log n)^{O(k)}$ , Pach, Shahrokhi, Szegedy 1996.
- 2  $|E(G)| \leq n(\log n)^{O(\log k)}$ , Fox and Pach 2008.
- 3  $|E(G)| \leq c_k 2^{\alpha(n)} n(\log n)$ , Fox, Pach, Suk 2012.

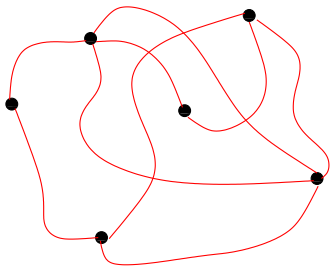
$|E(G)| \leq c_k 2^{\alpha(n)} n(\log n)$ , Fox, Pach, Suk 2012.

Using new/different methods:

Theorem (Suk and Walczak 2013)

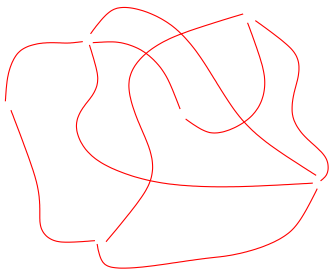
*Every  $n$ -vertex **simple**  $k$ -quasi-planar graph has at most  $O(n \log n)$  edges.*

$G = (V, E)$   $k$ -quasi-planar graph.





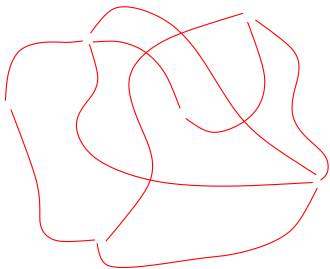
$E$  is a family of  $|E(G)|$  curves in the plane, no  $k$  pairwise intersecting.



## Conjecture (Coloring conjecture)

Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Then  $\chi(F) \leq c_k$ .

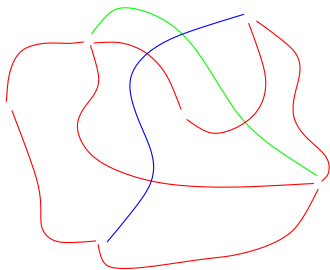
Color the curves such that each color class consists of pairwise disjoint curves.



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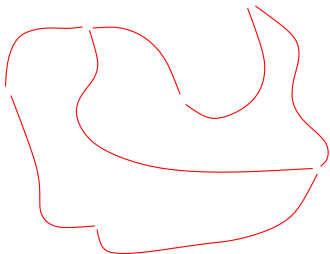
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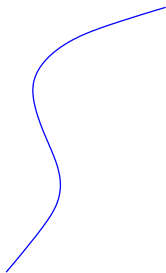
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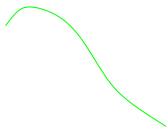
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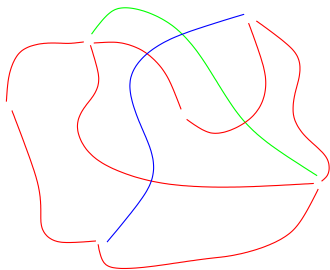
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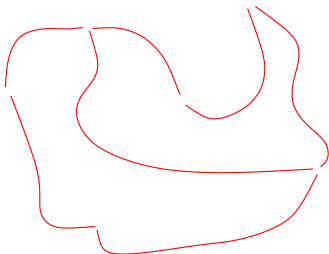
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## Conjecture (Coloring conjecture)

Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Then  $\chi(F) \leq c_k$ .

One of the color classes has at least  $|E(G)|/c_k$  curves (edges).

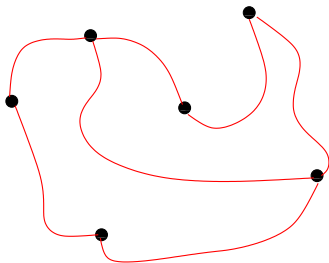




## Conjecture (Coloring conjecture)

Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Then  $\chi(F) \leq c_k$ .

$$\frac{|E(G)|}{c_k} \leq 3n - 6$$



Conjecture (Coloring conjecture, FALSE)

*Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Then  $\chi(F) \leq c_k$ .*

Conjecture is False!

Theorem (Pawlik, Kozik, Krawczyk, Lason, Micek, Trotter, Walczak, 2012)

*For infinite values  $n$ , there exists a family  $F$  of  $n$  segments in the plane, no three members pairwise cross, and  $\chi(F) > \Omega(\log \log n)$ .*

Conjecture (Coloring conjecture, FALSE)

*Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Then  $\chi(F) \leq c_k$ .*

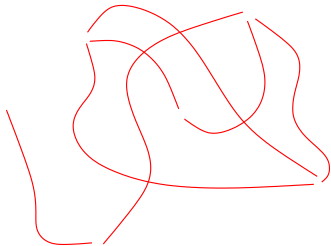
Conjecture true under extra conditions?

## Theorem (Suk and Walczak, 2013)

Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Furthermore, suppose

- 1  $F$  is **simple**,
- 2 there is a curve  $\beta$  that intersects every member in  $F$  exactly once,

then  $\chi(F) \leq c_k$ .

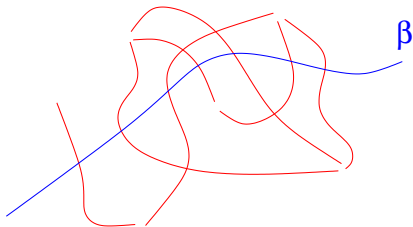


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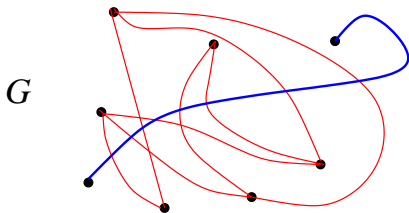
then  $\chi(F) \leq c_k$ .

- 1 Coloring intersection graphs of arcwise connected sets in the plane, Lason, Micek, Pawlik and Walczak 2013.
- 2 Coloring intersection graphs of  $x$ -monotone curves in the plane, Suk 2012.
- 3 On bounding the chromatic number of  $L$ -graphs, McGuinness 1996.

Application of coloring result.

Corollary (Suk and Walczak, 2013)

For fixed  $k > 1$ , let  $G$  be a **simple**  $n$ -vertex  $k$ -quasi planar graph. If  $G$  contains an edge that crosses every other edge, then  $|E(G)| \leq O(n)$ .

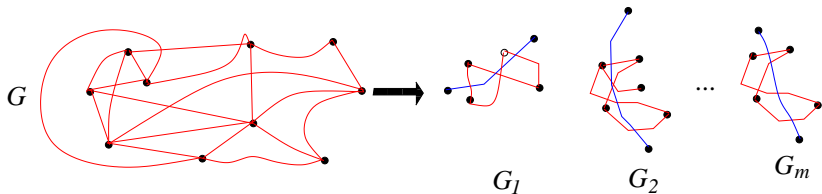


## Lemma (Fox, Pach, Suk, 2012)

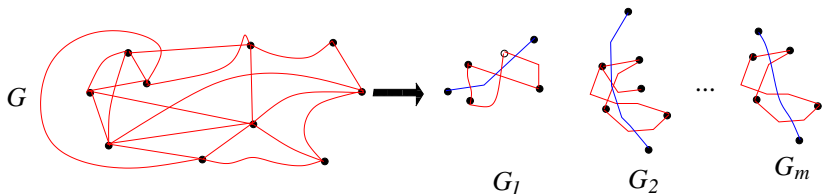
Let  $G$  be a simple topological graph on  $n$  vertices. Then there are subgraphs  $G_1, G_2, \dots, G_m \subset G$  such that

$$\frac{|E(G)|}{c \log n} \leq \sum_{i=1}^m |E(G_i)|,$$

every edge in  $G_i$  is disjoint to every edge in  $G_j$ .  $G_i$  has an edge that crosses every other edge in  $G_i$ .







Let  $n_i = |V(G_i)|$ .

- $|E(G_i)| \leq c_k n_i$ , Suk and Walczak 2013.

$$\frac{|E(G)|}{c \log n} \leq \sum_{i=1}^m |E(G_i)| \leq \sum_{i=1}^m c_k n_i = c_k (n_1 + n_2 + \dots + n_m) = c_k n.$$

□

Topological graph with no  $k$  pairwise crossing edges.

$$|E(G)| \leq n(\log n)^{4k-12}, \text{ Pach, Radoicic, Tóth.}$$

$$|E(G)| \leq n(\log n)^{O(\log k)} \text{ Fox, Pach.}$$

Theorem (Suk and Walczak 2013)

*Every  $n$ -vertex  $k$ -quasi-planar graph with no two edges having more than  $t$  points in common, has at most  $c_{k,t}n(\log n)^{1+\epsilon}$  edges.*

Theorem (Suk and Walczak 2013)

*Every  $n$ -vertex **simple**  $k$ -quasi-planar graph has at most  $O(n \log n)$  edges.*

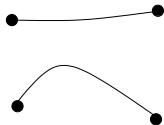
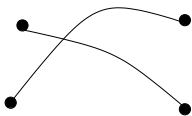
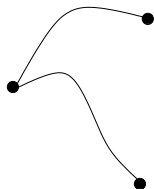
**Goal:**  $|E(G)| \leq O(n)$ .

Topological graphs with no  $k$ -pairwise **disjoint** edges?

# Dual problem

Topological graphs with no  $k$ -pairwise **disjoint** edges?

We will only consider *simple* topological graphs (see why later).



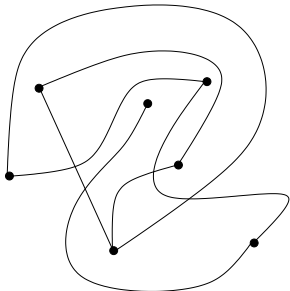
## Conjecture (Conway)

*Every  $n$ -vertex simple topological graph with no two disjoint edges, has at most  $n$  edges.*

## Theorem (Lovász, Pach, Szegedy, 1997)

*Every  $n$ -vertex simple topological graph with no two disjoint edges, has at most  $2n$  edges.*

Best known  $1.43n$  by Fulek and Pach, 2010.



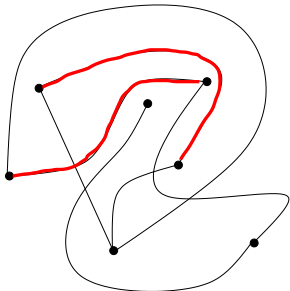
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Generalization.

Theorem (Pach and Tóth, 2005)

*Every  $n$ -vertex simple topological graph with no  $k$  pairwise disjoint edges, has at most  $C_k n \log^{5k-10} n$  edges.*

Conjecture to be at most  $O(n)$  (for fixed  $k$ ). By solving for  $k$  in  $C_k n \log^{5k-10} n = \binom{n}{2}$ .

Corollary (Pach and Tóth, 2005)

*Every complete  $n$ -vertex simple topological graph has at least  $\Omega(\log n / \log \log n)$  pairwise disjoint edges.*

### Conjecture (Pach and Tóth)

*There exists a constant  $\delta$ , such that every complete  $n$ -vertex simple topological graph has at least  $\Omega(n^\delta)$  pairwise disjoint edges.*



Pairwise disjoint edges in complete  $n$ -vertex simple topological graphs:

- 1  $\Omega(\log^{1/6} n)$ , Pach, Solymosi, Tóth, 2001.
- 2  $\Omega(\log n / \log \log n)$ , Pach and Tóth, 2005.
- 3  $\Omega(\log^{1+\epsilon} n)$ , Fox and Sudakov, 2008.

Note  $\epsilon \approx 1/50$ . All results are slightly stronger statements.

Pach and Tóth conjecture: **True**.

Theorem (Suk, 2012)

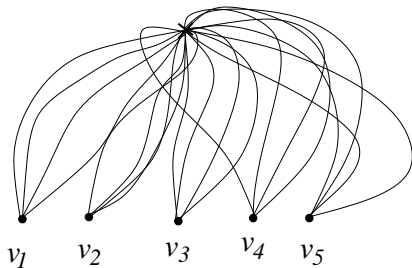
*Every complete  $n$ -vertex simple topological graph has at least  $\Omega(n^{1/3})$  pairwise disjoint edges.*

Clearly the simple condition is required.

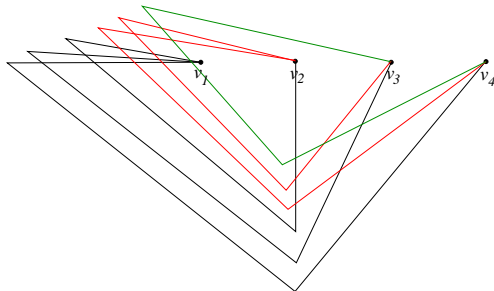
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•      •      •      •      •  
 $v_1$     $v_2$     $v_3$     $v_4$     $v_5$

Clearly the simple condition is required for this problem.



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Every pair of edges cross **once** or **twice** (no more or less).

### Theorem (Suk, 2012)

*Every complete  $n$ -vertex simple topological graph has at least  $\Omega(n^{1/3})$  pairwise disjoint edges.*

Let  $\mathcal{F}$  be a set system with ground set  $X$ .

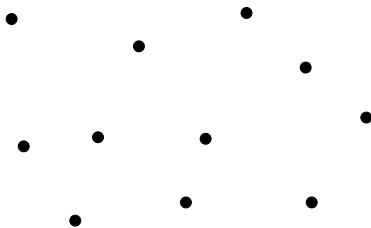
## Definition (Dual shatter function)

The dual shatter function  $\pi_{\mathcal{F}}^*(m)$ , is defined to be the maximum number of equivalence classes on  $X$ , defined by an  $m$ -element subfamily of  $\mathcal{F}$ .

For  $m$  sets  $S_1, S_2, \dots, S_m$ ,  $x \sim y$  if BOTH  $x, y$  are in exactly the same sets among  $S_1, \dots, S_m$  (i.e. no set  $S_i$  contains  $x$  and not  $y$  or vice versa).

I.e.  $\pi_{\mathcal{F}}^*(m)$  is the number of nonempty cells in the Venn diagram of  $m$  sets of  $\mathcal{F}$ .

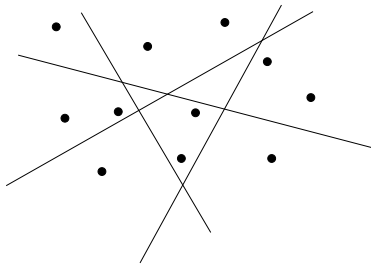
**Example:**  $X$  is a set of  $n$  points in the plane,  $\mathcal{F}$  is the set of all halfplanes.



$$\pi_{\mathcal{F}}^*(m) = O(m^2).$$

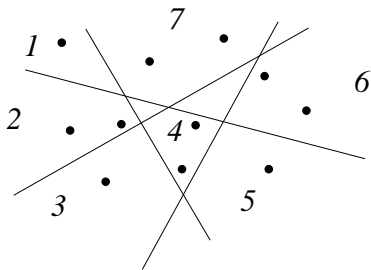


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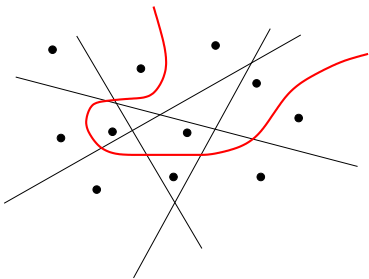
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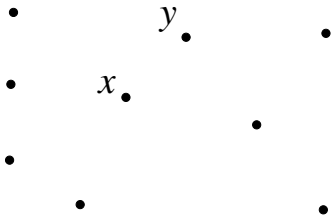
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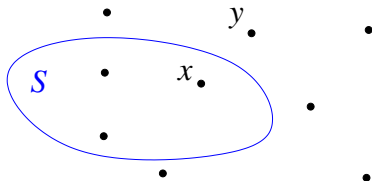


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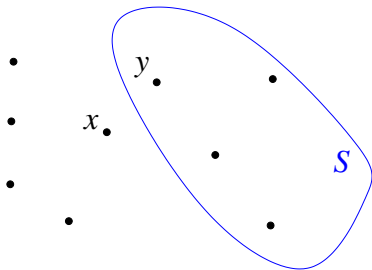
A set  $S \in \mathcal{F}$  *stabs* the pair (of vertices)  $x, y$  if  $|S \cap \{x, y\}| = 1$ .



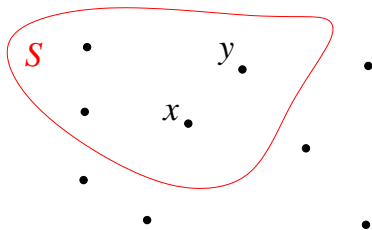
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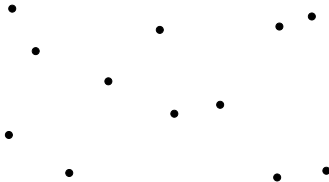


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## Theorem (Matching theorem, Chazelle and Welzl, 1989)

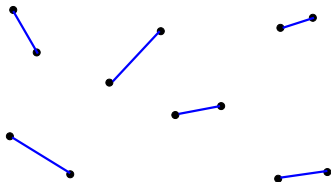
*Let  $\mathcal{F}$  be a set system on an  $n$  element point set  $X$  ( $n$  is even), such that  $\pi_{\mathcal{F}}^*(m) \leq O(m^d)$ . Then there exists a perfect matching  $M$  on  $X$  such that each set in  $\mathcal{F}$  stabs at most  $O(n^{1-1/d})$  members in  $M$ .*





## Theorem (Chazelle and Welzl, 1989)

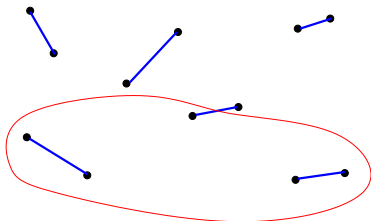
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$$M = \{(x_1, y_1), (x_2, y_2), \dots, (x_{n/2}, y_{n/2})\}.$$

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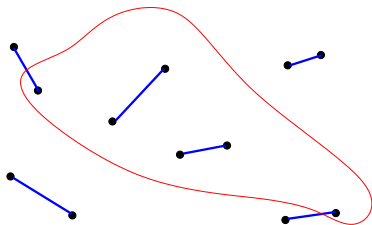
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## Theorem (Matching Lemma, Chazelle and Welzl 1989)

Let  $\mathcal{F}$  be a set system on an  $n$ -point set  $X$  ( $n$  is even), such that  $\pi_{\mathcal{F}}^*(m) \leq O(m^d)$ . Then there exists a perfect matching  $M$  on  $X$  such that each set in  $\mathcal{F}$  stabs at most  $O(n^{1-1/d})$  members in  $M$ .



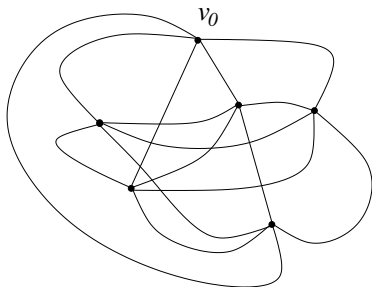
$$M = \{(x_1, y_1), (x_2, y_2), \dots, (x_{n/2}, y_{n/2})\}.$$

# Sketch of proof

Theorem (Suk, 2012)

*Every complete  $n$ -vertex simple topological graph has at least  $\Omega(n^{1/3})$  pairwise disjoint edges.*

$K_{n+1}$

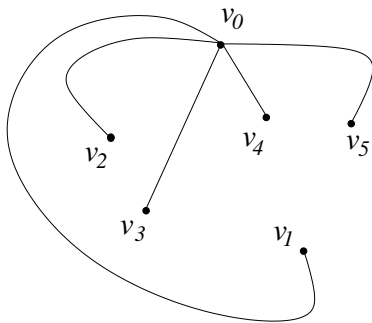


# Sketch of proof

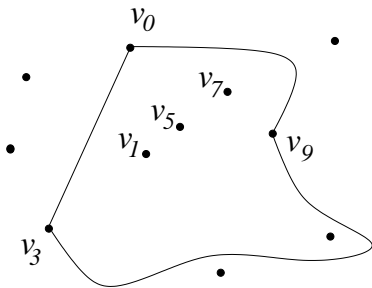
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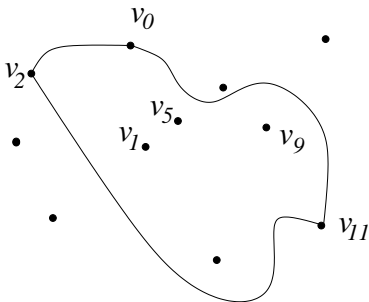


Define  $\mathcal{F}_1 = \bigcup_{1 \leq i < j \leq n} \{S_{i,j}\}$ , where  $S_{i,j}$  is the set of vertices inside triangle  $v_0, v_i, v_j$ .



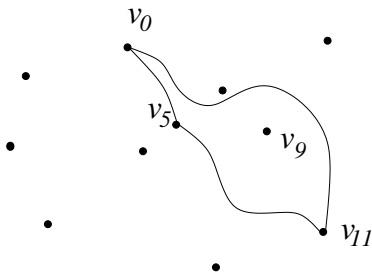
$$S_{3,9} = \{v_1, v_5, v_7\}$$

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$$S_{3,9} = \{v_1, v_5, v_7\}, \quad S_{2,11} = \{v_1, v_5, v_9\}$$

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$$S_{3,9} = \{v_1, v_5, v_7\}, S_{2,11} = \{v_1, v_5, v_9\}, S_{5,11} = \{v_9\}.$$



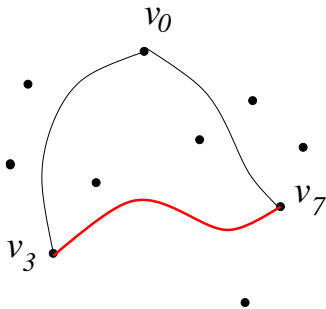
$\mathcal{F}_1$  is not "complicated".

### Lemma

$$\pi_{\mathcal{F}_1}^*(m) \leq O(m^2).$$

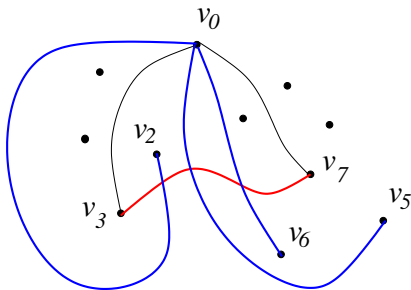
**Proof:** Basically  $m$  "triangles" divides the plane into at most  $O(m^2)$  regions. Proof is by induction on  $m$ .

Define set system  $\mathcal{F}_2 = \bigcup_{1 \leq i < j \leq n} \{S'_{i,j}\}$ , where  $v_k \in S'_{i,j}$  if topological edges  $v_0 v_k$  and  $v_i v_j$  cross.



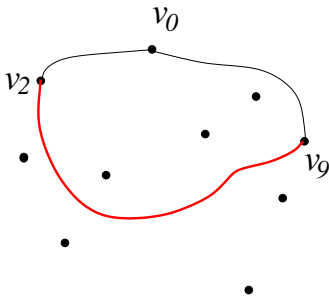
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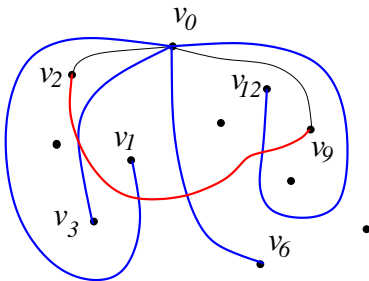
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$$S'_{3,7} = \{v_2, v_6, v_5\}, S'_{2,9} = ?.$$

Define set system  $\mathcal{F}_2 = \bigcup_{1 \leq i < j \leq n} \{S'_{i,j}\}$ , where  $v_k \in S'_{i,j}$  if topological edges  $v_0 v_k$  and  $v_i v_j$  cross.



$$S'_{3,7} = \{v_2, v_6, v_5\}, S'_{2,9} = \{v_1, v_3, v_6, v_{12}\}.$$

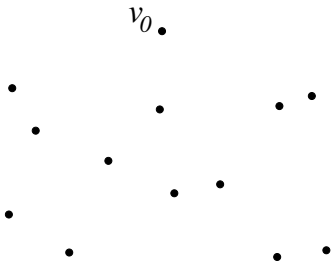
Again,  $\mathcal{F}_2$  is not "complicated". Set  $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$ . One can show

Lemma

$$\pi_{\mathcal{F}}^*(m) = O(m^3).$$

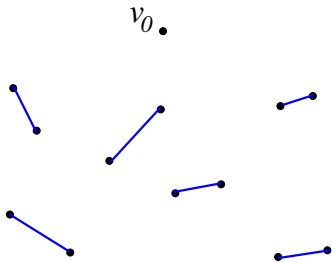
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By the **matching lemma** (Chazelle and Welzl), there is a perfect matching  $M$  such that each set in  $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$  stabs at most  $O(n^{2/3})$  members in  $M$ . Recall  $|M| = n/2$ .



$$\pi_{\mathcal{F}}^*(m) = O(m^3).$$

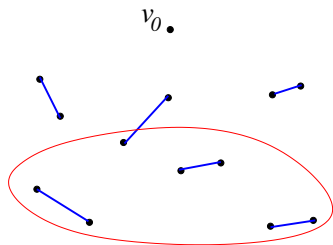
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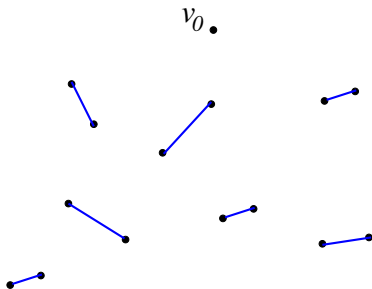


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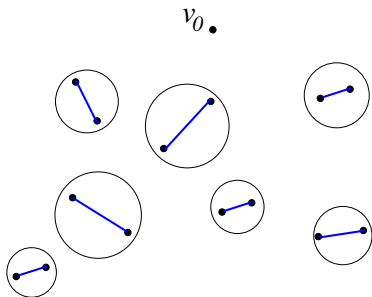
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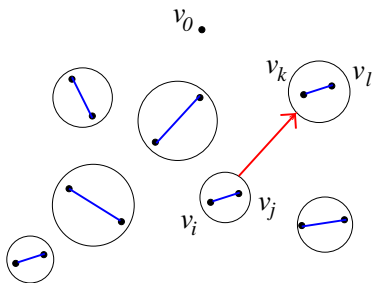
Auxiliary graph  $G$ , where  $V(G) = M$  and  $v_i v_j \rightarrow v_k v_l$  if  $S_{i,j}$  or  $S'_{i,j}$  stabs  $v_k v_l$ .



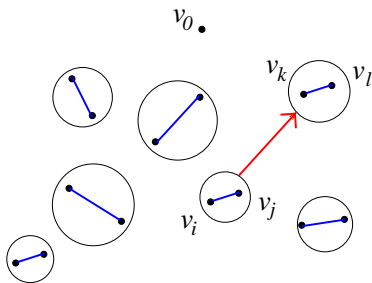
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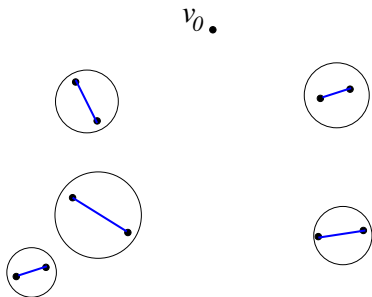


Auxiliary graph  $G$ , where  $V(G) = M$  and  $v_i v_j \rightarrow v_k v_l$  if  $S_{i,j}$  or  $S'_{i,j}$  stabs  $v_k v_l$ .

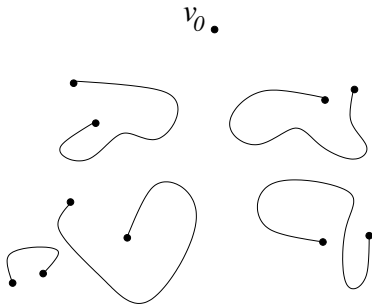


$S_{i,j}$  and  $S'_{i,j}$  stabs (in total) at most  $O(n^{2/3})$  members in  $M = V(G)$ .  $|E(G)| \leq O(n^{5/3})$ .

$|E(G)| \leq O(n^{5/3})$ , by Turán,  $G$  contains an independent set of size  $\Omega(n^{1/3})$ .

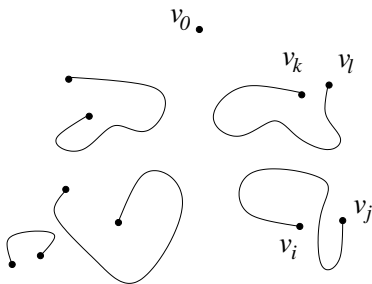


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Claim!

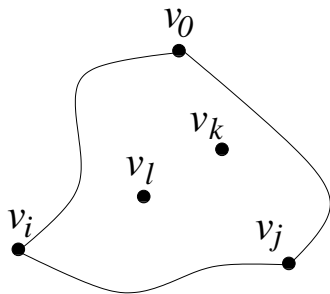
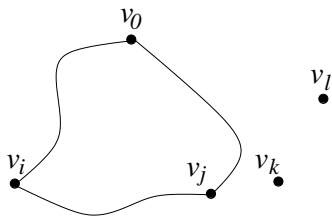
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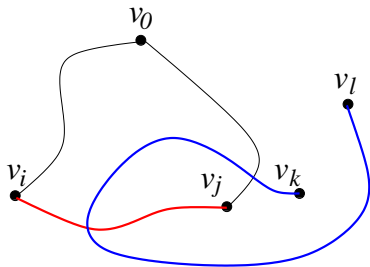


Claim!

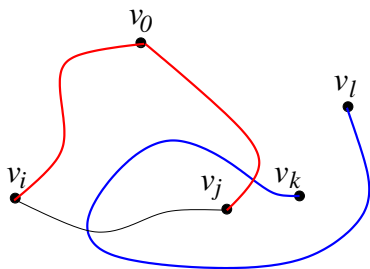


Since  $S_{i,j}$  does NOT stab  $v_k v_l$

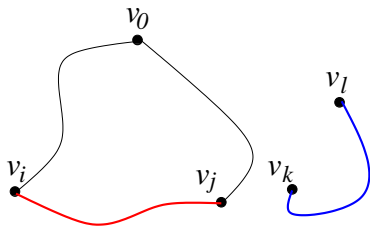




Assume edges cross.

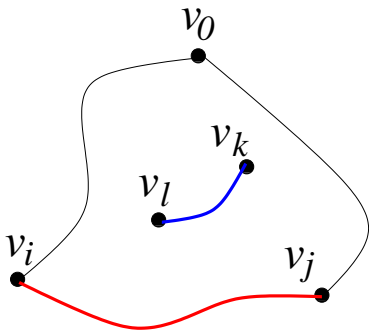


$S'_{k,l}$  stabs  $v_i v_j$ , which is a contradiction.

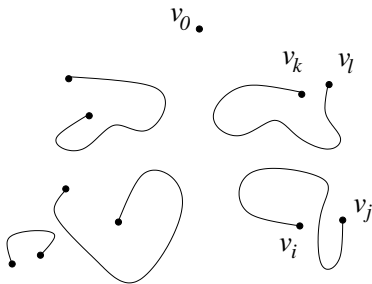


Two edges must be disjoint.

Same argument shows



$\Omega(n^{1/3})$  pairwise disjoint edges in  $K_{n+1}$ .



## Open Problems.

- 1 Best known upper bound construction:  $O(n)$  pairwise disjoint edges.
- 2 Find  $\Omega(n^\delta)$  pairwise disjoint edges in dense simple topological graphs.

### Conjecture (Coloring conjecture, FALSE)

*Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Then  $\chi(F) \leq c_k$ .*

**False.**

### Conjecture

*Let  $F$  be a family of curves in the plane such that no  $k$  members pairwise intersect. Then  $F$  contains  $\frac{|F|}{c_k}$  pairwise disjoint members.*

**Open!**



**Problem:** Let  $F$  be a family of lines in  $\mathbb{R}^3$ , such that no 3 members pairwise intersect. Then is  $\chi(F) \leq c$ ?

**Thank you!**