# A note on $K_{k,k}$ -cross free families

Andrew Suk

Courant Institute of Mathematical Sciences New York University, New York, USA suk@cims.nyu.edu

Submitted: Aug 19, 2008; Accepted: Oct 20, 2008; Published: Oct 29, 2008 Mathematics Subject Classifications: 05D05

#### Abstract

We give a short proof that for any fixed integer k, the maximum number size of a  $K_{k,k}$ -cross free family is linear in the size of the groundset. We also give tight bounds on the maximum size of a  $K_k$ -cross free family in the case when  $\mathcal{F}$  is intersecting or an antichain.

# Introduction

Let  $\mathcal{F} \subset 2^{[n]}$ . Two sets  $A, B \in \mathcal{F}$  cross if

- 1.  $A \cap B \neq \emptyset$ .
- 2.  $B \not\subset A$  and  $A \not\subset B$ .

 $\mathcal{F} \subset 2^{[n]}$  is said to be  $K_k$ -cross free if it does not contain k sets  $A_1, ..., A_k$  such that  $A_i$  cross  $A_j$  for every  $i \neq j$ . Karzanov and Lomonosov conjectured that for any fixed k, the maximum size of a  $K_k$ -cross free family  $\mathcal{F} \subset 2^{[n]}$  is O(n) [5], [1]. The conjecture has been proven for k = 2 and k = 3 [7], [4]. For general k, the best known upperbound is  $2(k-1)n \log n$ , which can easily be seen by a double counting argument on the number of sets of a fixed size. We say that  $\mathcal{F}$  is  $K_{k,k}$ -cross free if it does not contain 2k sets  $A_1, ..., A_k, B_1, ..., B_k \in \mathcal{F}$  such that  $A_i$  crosses  $B_j$  for all i, j. In this paper, we prove the following:

**Theorem 1:** Let  $\mathcal{F} \subset 2^{[n]}$  be a  $K_{k,k}$ -cross free family. Then  $|\mathcal{F}| \leq (2k-1)^2 n$ .

In this section, we give upperbounds on the maximum size of certain classes of  $K_k$ cross free families. By applying Dilworth's Theorem [2], one can obtain a tight bound

for intersecting k-cross free families. Recall a family  $\mathcal{F} \subset 2^{[n]}$  is intersecting if for every  $A, B \in \mathcal{F}, A \cap B \neq \emptyset$ .

**Theorem 2:** Let  $\mathcal{F} \subset 2^{[n]}$  be a family that is k-cross free and intersecting. Then  $|\mathcal{F}| \leq (k-1)n$ , and this bound is asymptotically tight.

We also obtain tight bounds for  $K_k$ -cross free families that is an *antichain*. Recall  $\mathcal{F}$  is an *antichain* if no set in  $\mathcal{F}$  is a subset of another.

**Theorem 3:** For  $k \geq 3$ , let  $\mathcal{F} \subset 2^{[n]}$  be a family that is k-cross free and an antichain. Then  $|\mathcal{F}| \leq (k-1)n/2$ , and this bound is asymptotically tight.

We define sub(A) to be the number of subsets of A in  $\mathcal{F}$ . Our next Theorem gives a non-trivial upperbound on a  $K_k$ -cross free family based on the number of subsets in each set of our family.

**Theorem 4:** Let  $\mathcal{F} \subset 2^{[n]}$  be a  $K_k$ -cross free family and let m be defined as

$$m = \left\lceil \frac{\sum\limits_{A \in \mathcal{F}} \frac{sub(A)}{|A|}}{|\mathcal{F}|} \right\rceil$$

Then  $|\mathcal{F}| \leq 4(k-1)m \cdot n$ .

Hence if sub(A) = c|A| for all  $A \in \mathcal{F}$  and some constant c, then  $|\mathcal{F}| = O(n)$ . Now we define the *geometric mean* of  $\mathcal{F}$  as

$$\gamma(\mathcal{F}) = \left(\prod_{A \in \mathcal{F}} |A|\right)^{1/|\mathcal{F}|}$$

As an easy corollary to theorem 4, we have

**Corollary 5:** Let  $\mathcal{F} \subset 2^{[n]}$  be a  $K_k$ -cross free family. Then

$$|\mathcal{F}| \le 8(k-1)^2 n \log(\gamma(\mathcal{F})).$$

For simplicity we omit floor and ceiling signs whenever these are not crucial and all logarithms are in the natural base e.

# $K_{k,k}$ -cross free family

Proof of Theorem 1: Induction on n. BASE CASE: n = 1 is trivial. INDUCTIVE STEP: For  $x \in [n]$ , let

$$\mathcal{F}_1 = \{ A \in \mathcal{F} : x \in A \text{ and } A \setminus x \in \mathcal{F} \}$$

The electronic journal of combinatorics 15 (2008), #N39

and

$$\mathcal{F}_2 = \{A \setminus x : A \in \mathcal{F}\}.$$

Now notice that there does not exists 2k sets  $A_1, ..., A_{2k} \in \mathcal{F}_1$  such that  $A_1 \subset A_2 \subset \cdots \subset A_{2k}$ , since otherwise in  $\mathcal{F}$ ,  $A_i$  crosses  $A_j \setminus x$  for each  $i \leq k$  and  $j \geq k+1$ . Hence the longest chain in  $\mathcal{F}_1$  is 2k-1 and since  $\mathcal{F}_1$  is intersecting, the largest antichain in  $\mathcal{F}_1$  is 2k-1. By Dilworth's Theorem [2], this implies

$$|\mathcal{F}_1| \le (2k-1)^2.$$

Since  $\mathcal{F}_2 \subset 2^{[n-1]}$  is a  $K_{k,k}$ -cross free family, by the induction hypothesis, we have

$$|\mathcal{F}| = |\mathcal{F}_1| + |\mathcal{F}_2| \le (2k-1)^2(n-1) + (2k-1)^2 \le (2k-1)^2n.$$

For the lower bound of a  $K_{k,k}$ -cross free family, One can consider the edges of a (k-1)/2 regular graph on n vertices plus the singletons. Here we have a family with (k+1)n/2 sets, and each set crosses at most k-1 other sets. Hence this family is  $K_{k,k}$ -cross free with (k-1)/2 sets.

#### On the maximum size of certain $K_k$ -cross free families

In this section, we will prove Theorems 2,3,4, and Corollary 5.

Proof of Theorem 2: Notice that the largest anitchain must be of size at most k - 1. Hence by Dilworth's Theorem [2], we can decompose  $(\mathcal{F}, \subset)$  into (k - 1) chains. Since each chain has length at most n, this implies  $|\mathcal{F}| \leq (k - 1)n$ . Notice that this bound is asymptotically tight. For  $i \leq j$ , let  $[i, j] \in 2^{[n]}$  denote the set  $[j] \setminus [i - 1]$ , and let  $C_l$  be a chain of n - 1 sets defined as

$$C_{l} = \left(\bigcup_{j=l+1}^{n} [l+1, j] \cup \{1\}\right) \bigcup \left(\bigcup_{j=1}^{l-1} [l+1, n] \cup [1, j]\right) \bigcup \{1\}$$

for  $l \ge 2$ . Then the family  $\mathcal{F} = \left(\bigcup_{l=2}^{k} C_l\right) \bigcup [n]$  is  $K_k$ -cross free intersecting family with (k-1)(n-2) + 2 sets and is intersecting.

Proof of Theorem 3: Induction on n. BASE CASE: n = 1 is trivial. INDUCTIVE STEP: (case 1) suppose there is a singleton set  $\{x\} \in \mathcal{F}$ . Then define  $\mathcal{F}' = \{A : x \notin A\}$ . Then notice

$$|\mathcal{F}| = 1 + |\mathcal{F}'|.$$

Since  $\mathcal{F}'$  is a  $K_{k,k}$ -cross free family and an antichain, by the induction hypothesis we have

$$|\mathcal{F}| = 1 + |\mathcal{F}'| \le 1 + (k-1)(n-1)/2 = 1 + (k-1)n/2 - (k-1)/2 \le (k-1)n/2$$

The electronic journal of combinatorics 15 (2008), #N39

Since  $k \geq 3$ . (case 2) Now we can assume all sets in  $\mathcal{F}$  has size at least 2. Recall that the fractional chromatic number  $\chi_f(G)$  of a graph G is defined as the minimum of the fractions a/b such that V(G) can be covered by a independent sets in such a way that every vertex is covered at least b times [6]. Let G = (V, E) be the non-crossing graph of  $\mathcal{F}$ . I.e. V(G) = F and  $(A, B) \in E(G)$  if A and B do not cross. Then for each set  $A \in V$ , we will assign any two number  $(a, b) \subset A$  to A. This is possible since all sets in  $\mathcal{F}$  have size at least 2. Since  $\mathcal{F}$  is an antichain, this implies that  $\chi_f(G) \leq n/2$ . Hence by using the inequality  $\frac{|G|}{\alpha(G)} \leq \chi_f(G)$ , we have

$$\frac{|\mathcal{F}|}{(k-1)} \le \frac{n}{2} \quad \Rightarrow \quad |\mathcal{F}| \le \frac{(k-1)n}{2}$$

Notice that this bound is tight since we can consider the edges of a (k-1) regular bipartite graph. Clearly this family has (k-1)n/2 sets and is an antichain since every set is of size 2. By Hall's Theorem [8], the edges of this graph decomposes into k-1 perfect matchings, which implies this family is  $K_k$ -cross free.

Proof of Theorem 4: We will start by blowing up each vertex by a factor of 2m, i.e. each vertex  $x \in [n]$  is replaced by 2m vertices  $\{x_1, x_2, ..., x_{2m}\}$  such that for every  $A \in \mathcal{F}$  such that  $x \in A$ , all  $x_1, ..., x_{2m} \in A$ . Now let G be the non-crossing graph of  $\mathcal{F}$ . Then we will assign a random color to A by picking a vertex  $x \in A$ . Then for any  $B \in \mathcal{F}$  such that  $B \subset A$ ,

$$\mathbb{P}[B \text{ and } A \text{ are the same color}] = \frac{1}{2m|A|} \frac{1}{2m|B|} 2m|B| = \frac{1}{2m|A|}$$

Let X denote the number of monochromatic edges in G. Then

$$\mathbb{E}[X] = \sum_{A \in \mathcal{F}} \sum_{B \subset A} \frac{1}{2m|A|}$$

by definition of m, we have

$$\mathbb{E}[X] = \sum_{A \in \mathcal{F}} \sum_{B \subset A} \frac{1}{2m|A|} \le \sum_{A \in \mathcal{F}} \frac{1}{2} = |\mathcal{F}|/2.$$

Now we delete one set from each monochromatic edge to obtain a  $K_k$ -cross free family  $\mathcal{F}'$  with at least  $|\mathcal{F}|/2$  sets and is properly colored. Hence by the inequality  $|G|/\alpha(G) \leq \chi(G)$ , we have

$$\frac{|\mathcal{F}|/2}{k-1} \le 2mn.$$

Hence  $|\mathcal{F}| \leq 4(k-1)mn$ .

Proof of Corollary 5: Since  $sub(A) \leq 2(k-1)|A|\log(|A|)$ , this implies

$$\frac{\sum_{A \in \mathcal{F}} \frac{sub(A)}{|A|}}{|\mathcal{F}|} \le \frac{\sum_{A \in \mathcal{F}} 2(k-1)\log(|A|)}{|\mathcal{F}|} = 2(k-1)\log(\gamma(\mathcal{F})).$$

By Theorem 4, we have

$$|\mathcal{F}| \le 8(k-1)^2 n \log(\gamma(\mathcal{F}))$$

#### Cross versus strongly-cross

In other places, two sets cross are defined a bit differently. To avoid confusion, we say that two sets  $A, B \in 2^{[n]}$  strongly-cross if  $A \cap B \neq \emptyset$ ,  $A \not\subset B$ ,  $B \not\subset A$ , and  $A \cup B \neq [n]$  (This is how cross is defined in [4]). However one can obtain asymptotically similar results for strongly-crossing by the next Theorem. Let G be a graph on k vertices  $v_1, ..., v_k$ . Then  $\mathcal{F}$ is a G-strongly-cross free family if there does not exist k sets  $A_1, ..., A_k \in \mathcal{F}$  such that  $A_i$ strongly crosses  $A_j$  if and only if  $v_i$  is adjacent to  $v_j$  in G. Likewise  $\mathcal{F}$  is a G-cross free family if there does not exist k sets  $A_1, ..., A_k \in \mathcal{F}$  such that  $A_i$  crosses  $A_j$  if and only if  $v_i$  is adjacent to  $v_j$  in G.

**Theorem 6:** Let  $\mathcal{F} \subset 2^{[n]}$  be a maximum *G*-strongly-cross free family and  $\mathcal{H} \subset 2^{[n]}$  be a maximum *G*-cross free family. Then

$$|\mathcal{H}| \le |\mathcal{F}| \le 2|\mathcal{H}|$$

Proof: Clearly  $|\mathcal{H}| \leq |\mathcal{F}|$ . Now let  $F_1 = \{A \in \mathcal{F} : |A| \leq \lfloor n/2 \rfloor\}$  and  $\mathcal{F}_2 = \mathcal{F} \setminus \mathcal{F}_1$ . Then notice that if  $A, B \in \mathcal{F}_1$  intersect, then  $A \cup B \neq [n]$ . Hence  $\mathcal{F}_1$  is a *G*-cross free family, which implies  $|\mathcal{F}_1| \leq |\mathcal{H}|$ . Now define  $\mathcal{F}_2^c = \{A^c : A \in \mathcal{F}_2\}$ , where  $A^c = [n] \setminus A$ . Then notice that  $A, B \in \mathcal{F}_2$  strongly-cross if and only if  $A^c, B^c \in \mathcal{F}_2^c$  strongly-cross. Also notice for  $A^c, B^c \in \mathcal{F}_2^c$  such that  $A^c \cap B^c \neq \emptyset$ , then  $A^c \cup B^c \neq [n]$ . Hence  $\mathcal{F}_2^c$  is a *G*-cross free family, which implies  $|\mathcal{F}_2| = |\mathcal{F}_2^c| \leq |H|$ . Therefore  $|\mathcal{F}| = |\mathcal{F}_1| + |\mathcal{F}_2| \leq 2|\mathcal{H}|$ .

### Acknowledgment

I would like to thank Janos Pach for introducing me to the Karzanov-Lomonosov Conjecture.

# References

- [1] P. Brass, W. Moser, and J. Pach, "Research Problems in Discrete Geometry." Berlin, Germany: Springer-Verlag, 2005.
- [2] R. P. Dilworth, A decomposition theorem for partially ordered sets, Ann. of Math. (2) 51 (1950), 161-166.
- [3] A.Dress, J.Koolen, V.Moulton, 4n-10, Annals of Combinatorics, 8, 2005, 463-471.
- [4] T. Fleiner, The size of 3-cross-free families, Combinatorica, 21 (2001), 445-448.
- [5] A.V. Karzanov, M.V. Lomonosov, Flow systems in undirected networks (in Russian), in: Mathematical Programming, O.I. Larichev, ed., Institute for System Studies, Moscow 1978, 59-66.
- [6] J. Matoušek, "Using the Borsuk-Ulam theorem", Springer Verlag, Berlin, 2003.
- [7] P. Pevzner, Non-3-crossing families and multicommodity flows, Am. Math. Soc. Trans. Series 2,158 (1994), 201-206. (Translated from: P. Pevzner, Linearity of the cardinality of 3-cross-free sets, in: Problems of Discrete Optimization and Methods for Their Solution, A. Fridman (ed.), Moscow, 1987, pp. 136-142, in Russian).
- [8] D. West, "Introduction to graph theory", 2nd Edition, Prentice Hall, 2000.