(due Dec 9)

**Problem 1.** Suppose that  $\langle A, <_A \rangle$  is a well-ordered set. Prove that if  $f : A \rightarrow A$  is order-preserving then  $f = id_A$ .

**Solution.** Otherwise, let  $D = \{x \in A \mid f(x) \neq x\} \neq \emptyset$ . Let  $x^* = \min(D)$ . Then for every  $x <_A x^*$ , f(x) = x by minimality of  $x^*$ . Hence  $f(x^*) >_A x^*$ (otherwise, f is not one-to-one). Since f is an isomorphism, there is  $y \in A$ such that  $f(y) = x^*$ . Since  $f(y) <_A f(x^*)$ , then  $y <_A x^*$ , but then  $x^* = f(y) = y$ , contradiciton.

**Problem 2.** Prove that if *A* is countable the *A* can be well-ordered.

Instruction: Split into two cases- first prove that every linear strong order on a finite set is a well order. If *A* is infinitely countable, then by taking any bijection  $f : \mathbb{N} \to A$ , we can define  $<_A$  on *A* by  $a <_A b$  if and only if  $f^{-1}(a) < f^{-1}(b)$ . Prove that  $\langle A, <_A \rangle \simeq \langle \mathbb{N}, < \rangle$  and deduce that  $\langle A, <_A \rangle$  is a well ordered set.

**Solution.** Take any injection  $f : A \to \mathbb{N}$ , we can define  $<_A$  on A by  $a <_A b$  if and only if f(a) < f(b). Then by definition, f is order-preserving and injective. Note that  $a <_A b <_A c$  then f(a) < f(b) < f(c) and therefore f(a) < f(c) so  $a <_A c$  (namely  $<_A$  is transitive). If  $a <_A b$  then f(a) < f(b) so  $f(b) \notin f(a)$  and therefore  $b \notin Aa$ . It is linear since for every  $a, b \in A$ , f(a), f(b) are comparable hence a, b are  $<_A$ -comparable. So far we proved that  $<_A$  is a strong linear order on A. Let us prove that it is a well order. Let  $X \subseteq A$ , be a non-empty set. Then  $f''X \subseteq \mathbb{N}$  is non-empty and therefore there is  $n^* = \min(f''X)$ . Let  $x \in X$  be such that  $f(x) = n^*$ , then for every  $y \in X$ ,  $f(y) \ge n^* = f(x)$  and therefore  $y \ge_A x$ . It follows that  $x = \min_{<A}(X)$ .

**Problem 3.** Prove that if  $\langle A, <_A \rangle$  is a well-ordered set and  $X \subseteq A$  is an initial segment (i.e.  $\forall x \in X \forall a \in A, a <_A x \Rightarrow a \in X$ ) then either X = A or  $\exists a \in A$  such that  $X = A_{<A}[a]$ .

Hint: If  $X \neq A$  let  $a = \min_{\leq A} (A \setminus X)$  (why does it exists?), prove that  $X = A_{\leq A}[a]$ .

**Solution.** If X = A we are done. Otherwise,  $X \subsetneq A$ , so  $A \setminus X \neq \emptyset$ . Let  $a = \min_{\langle A \rangle}(A \setminus X)$  and let us prove that  $A_{\langle A \rangle}[a] = X$  by double inclusion. If  $b <_A a$ , then  $b \in A$  and  $b \notin A \setminus X$  (otherwise this would contradict the minimality of *a*) and therefore  $b \in X$ . If  $x \in X$ , then x, *a* are  $<_A$ -comperable. If a = x, then  $a \in X$ , contradiction. If  $a <_A x$ , then  $a \in X$  since X is an initial segment. Hence  $x <_A a$ , namely  $x \in A_{\langle A \rangle}[a]$ .

**Problem 4.** Prove that the axiom of foundation implies that there is no *x* such that  $x \in x$ .

**Solution.** Suppose otherwise, and let  $x \in x$ . Define  $\{x\}$ . By the axiom of foundation there is  $y \in \{x\}$  such that  $y \cap \{x\} = \emptyset$ . But then y = x and  $x \in x \cap \{x\}$ , contradiction.

**Problem 5.** Prove that if *A* is a set of ordinals then  $\bigcup A$  is an ordinal

**Solution.** First let us note that  $\bigcup A$  is a transitive set. If  $x \in y \in \bigcup A$ , then there is  $\alpha \in A$  such that  $y \in \alpha$ . Since  $\alpha$  is transitive it follows that  $x \in \alpha$ and therefore  $x \in \bigcup A$ . To see that  $\in$  well-orders  $\bigcup A$ , let  $x \in y \in z$  all in  $\bigcup A$ , there there are  $\alpha, \beta, \gamma \in A$  such that  $a \in \alpha, y \in \beta, z \in \gamma$ . Since every two ordinals are comparable, WLOG  $\alpha, \beta \subseteq \gamma$  and therefore  $x, y, z \in \gamma$ . Since  $\in$  well orders  $\gamma, x \in z$ . So  $\in$  is transitive on  $\bigcup A$ . It is then strongly

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anti-symmetric, since if  $x \in y$  and  $y \in x$ , there are  $\alpha, \beta \in A$  such that  $a \in \alpha$ and  $y \in \beta$ . WLOG  $\alpha \leq \beta$ ,  $x, y \in \beta$  but  $\in$  well orders  $\beta$ , contradiction. A similar argument shows that  $\in$  is linear on  $\bigcup A$ . Let  $X \subseteq \bigcup A$  such that  $X \neq \emptyset$ . Take any  $\alpha \in A$  such that  $X \cap \alpha \neq \emptyset$  (there exists such  $\alpha$  since  $X \subseteq \bigcup A$  is nonempty) The  $X \cap \alpha$  is a non-empty subset of  $\alpha$  and since  $\in$ well-orders  $\alpha$ , there is  $x = \min_{\in}(X \cap \alpha)$ . We claim that  $x = \min_{\in}(X)$ . Let  $y \in X$ , then  $y \in \bigcup A$ , then there is  $\beta$  such that  $y \in \beta$ . If  $\beta \leq \alpha$ , then  $y \in \alpha$ and therefore  $y \in X \cap \alpha$  in which case  $x \leq y$ . Otherwise,  $\alpha < \beta$  and then  $x, \alpha, y \in \beta$  so x, y are  $\epsilon$ -comparable if  $y \in x$  then  $y \in \alpha$  which then imply that  $y \in X \cap \alpha$  contradicting the minimality of x. Otherwise,  $x \leq y$  as wanted.

and moreover  $\bigcup A = \sup(A)$  i.e.:

1.  $\bigcup A$  is an upper bound for A, namely, for every  $\alpha \in A$ ,  $\alpha \leq \bigcup(A)$ .

**Solution.** If  $\alpha \in A$ , then  $\alpha \subseteq \bigcup A$  and therefore by the lemma we saw in class  $\alpha \leq \bigcup A$ .

2. If  $\beta \in On$  is an upper bound for *A* then  $\beta \ge \bigcup A$ .

**Solution.** If  $\beta$  is an upper bound for A, then for every  $\alpha \in A$ ,  $\alpha \leq \beta$ , namely  $\alpha \subseteq \beta$ . It follows that  $\bigcup A$  is a union of subset  $\beta$  and therefore itself a subset of  $\beta$ . we conclude that  $\bigcup A \leq \beta$ .

## Additional problems

**Problem 6.** Suppose that  $\langle A, <_A \rangle$ ,  $\langle B, <_B \rangle$  are well ordered sets such that  $A \cap B = \emptyset$ . Define  $<_+$  on  $A \uplus B$  by  $x <_+ y$  if:

## Homework 10-Solution

- $x, y \in A$  and  $x <_A y$ . or
- $x, y \in B$  and  $x <_B y$ . or
- $x \in A$  and  $y \in B$ .

Prove that  $<_+$  is a well ordering of  $A \uplus B$ .

**Problem 7.** Suppose that  $\langle A, <_A \rangle$ ,  $\langle B, <_B \rangle$  are well orders. Define the lexicographic order on  $A \times B$  as follows:

$$\langle a, b \rangle <_{Lex} \langle a', b' \rangle$$
 iff  $a <_A a' \lor (a = a' \land b <_B b')$ 

Prove that  $\langle A \times B, <_{Lex} \rangle$  is a well ordering.

**Problem 8.** Prove that if  $\alpha$  is an ordinal then  $\alpha \cup {\alpha}$  is an prdinal.

**Problem 9.** Prove that if  $C \neq \emptyset$  is a set of ordinals then  $\bigcap C$  is an ordinal and  $\bigcap C = \min_{\in}(C)$ .

**Problem 10.** Prove that if *X* is transitive than P(X) is transitive.