

# Intermediate Models of Prikry-Type Forcings

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- 1 Background
- 2 Magidor-Radin Forcing
  - The Forcing Notion
  - Examples Main result
- 3 Tree-Prikry Forcing
  - Known Results Regarding the Tree-Prikry forcing
  - Under very large cardinals
  - Cardinality greater than  $\kappa$
- 4 References

- 1 Background
- 2 Magidor-Radin Forcing
  - The Forcing Notion
  - Examples Main result
- 3 Tree-Prikry Forcing
  - Known Results Regarding the Tree-Prikry forcing
  - Under very large cardinals
  - Cardinality greater than  $\kappa$
- 4 References

- In many mathematical theories, such as groups, vector spaces, topological spaces, graphs etc., the study of submodels of a given model is indispensable to the understanding of the model and in some sense measures its complexity.
- In forcing theory, subforcings of a given forcing generate intermediate models to a generic extension by the forcing. Hence, in order to understand the subforcings of a given forcing it suffices to consider the following question, which will be the central to this talk:

## Question

*Given a forcing notion  $\mathbb{P}$ , what forcing notions  $\mathbb{Q}$  have (consistently have) generic extensions which are intermediate to a generic extension by  $\mathbb{P}$ ?*

There are numerous classification results in this spirit, for example:

## Theorem 1

- 1 (folklore [13]) *Any intermediate model of a Cohen generic extension is a Cohen generic extension.*
- 2 (D.Maharam [16]) *Any intermediate model of a random real generic extension is a random real generic extension.*
- 3 (Sacks [21]) *There are no proper intermediate models to a generic extension by the Sacks forcing.*

# Prikry-Type Forcing

In this talk we will focus on a class of forcing notion called *Prikry-Type* forcing, which is among the most important today tools in the realm of singular cardinals arithmetics and combinatorics. It traces back to Karel Prikry's celebrated work [19], where he defined the standard Prikry forcing, denoted by  $\mathbb{P}(U)$  which was designated to be an example of a forcing which preserves cardinals and changes cofinalities:

## Definition 2 (Prikry forcing)

Let  $U$  be a **normal** measure over a measurable cardinal  $\kappa$ . The conditions of  $\mathbb{P}(U)$  are of the form  $\langle \alpha_1, \dots, \alpha_n, A \rangle$  where:

- 1  $\alpha_1 < \dots < \alpha_n$  is an increasing sequence of ordinals below  $\kappa$ .
- 2  $A \in U$ ,  $\min(A) > \alpha_n$  is the set of candidates for the continuation.

The order is define as follows  $\langle \alpha_1, \dots, \alpha_n, A \rangle \leq \langle \beta_1, \dots, \beta_m, B \rangle$  iff:

- 1  $n \leq m$  and  $\alpha_i = \beta_i$  for  $1 \leq i \leq n$ .
- 2  $\beta_{n+1}, \dots, \beta_m \in A$ .
- 3  $B \subseteq A$ .

# Prikry sequence illustration

$\langle A \rangle$

# Prikry sequence illustration

$\langle A \rangle$ , Choose  $\alpha_1 \in A, A_1 \subseteq A$

# Prikry sequence illustration

$$\langle \alpha_1, A_1 \rangle$$



# Prikry sequence illustration

$\langle \alpha_1, A_1 \rangle$ , Choose  $\alpha_2 \in A_1$ ,  $A_2 \subseteq A_1$

# Prikry sequence illustration

$$\langle \alpha_1, \alpha_2, A_2 \rangle$$

# Prikry sequence illustration

$$\langle \alpha_1, \alpha_2, \alpha_3, A_3 \rangle$$

# Prikry sequence illustration

$$\langle \alpha_1, \alpha_2, \alpha_3, \alpha_4, A_4 \rangle$$

# Prikry sequence illustration

$$\langle \alpha_1, \alpha_2, \alpha_3, \dots, \alpha_n, A_n \rangle$$

# Prikry sequence illustration

$$\langle \alpha_1, \alpha_2, \alpha_3, \dots \rangle$$

# Prikry sequence illustration

$$\langle \alpha_1, \alpha_2, \alpha_3, \dots \rangle$$

This sequence, which we denote by  $C_G$  (where  $G$  is the generic filter), produced generically by  $\mathbb{P}(U)$  is an unbounded and cofinal sequence in  $\kappa$  called a *Prikry sequence for the measure  $U$* . It diagonalizes  $U$ .

# Prikry forcing with a normal filter

The intermediate models of the Prikry forcing are completely classified:

## Theorem 3 (Gitik, Kanovei, Koepke, 2010 [12])

*Let  $U$  be a normal measure over  $\kappa$  and  $G \subseteq \mathbb{P}(U)$  be a  $V$ -generic set producing the Prikry sequence  $C_G := \{\kappa_n \mid n < \omega\}$ . Then for every set of ordinals  $A \in V[G]$  there is  $C \subseteq C_G$ , such that  $V[A] = V[C]$ <sup>a</sup>*

<sup>a</sup>For  $A \subseteq \text{On}$ ,  $V[A]$  is the minimal ZFC model which includes  $V \cup \{A\}$ .

## Corollary 4

*In the settings of the last theorem, let  $V \subsetneq M \subseteq V[G]$  be an intermediate ZFC model definable in  $V[G]$ , then  $M = V[G']$  where  $G' \subseteq \mathbb{P}(U)$  is another  $V$ -generic filter.*

## Proof.

Every such model is of the form  $M = V[A]$  for some set  $A \in V[G]$ . By theorem 3,  $M = V[C]$  for some subsequence  $C$  of the Prikry sequence. By the Mathias criteria[17],  $C$  is itself a Prikry sequence. □



## 1 Background

## 2 Magidor-Radin Forcing

- The Forcing Notion
- Examples Main result

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- Cardinality greater than  $\kappa$

## 4 References

# Magidor-Radin Forcing

Menachem Magidor introduced [15] his forcing as an example of a forcing which preserves cardinals and changes the cofinality of some measurable cardinal  $\kappa$  of high Mitchell order to be uncountable by adding a club of low order type to  $\kappa$ . A closely related forcing is the Radin forcing[20], which also adds a club with similar to the Magidor club, but can also keep  $\kappa$  regular or even measurable. Nowadays, there are several variations of Magidor and Magidor-Radin forcings in use. The following maximality result for Magidor's original variation of Magidor forcing is due to Fuchs[8]:

## Theorem 5 (Fuchs, G. 2014)

*Let  $c, d$  be two Magidor generic clubs over  $V$ . If  $d \in V[c]$  then  $d \setminus c$  is finite.*

In other words, the only situation when two Magidor generic extensions are intermediate to one another, is if the generic clubs associated are almost included.

- 1 Background
- 2 Magidor-Radin Forcing
  - The Forcing Notion
  - Examples Main result
- 3 Tree-Prikry Forcing
  - Known Results Regarding the Tree-Prikry forcing
  - Under very large cardinals
  - Cardinality greater than  $\kappa$
- 4 References

# Magidor Forcing I

Let  $\vec{U} = \langle U(\alpha, \beta) \mid \alpha \leq \kappa, \beta < o^{\vec{U}}(\alpha) \rangle$  be a coherent sequence. We follow the variation of Magidor forcing described in [9] due to Mitchell[18]:

## Definition 6

The conditions of  $\mathbb{M}[\vec{U}]$  are of the form  $\langle \langle \alpha_1, A_1 \rangle, \dots, \langle \alpha_n, A_n \rangle, \langle \kappa, A \rangle \rangle$  where:

- 1  $\alpha_1 < \dots < \alpha_n$  is an increasing sequence below  $\kappa$ .
- 2  $A_i = \emptyset$  unless  $o^{\vec{U}}(\alpha_i) > 0$  in which case,  $A_i \in \bigcap_{\beta < o^{\vec{U}}(\alpha_i)} U(\alpha_i, \beta)$  is a measure one set with respect to **all** the measures given on  $\alpha_i$ .

The order is define as follows,

$p := \langle \langle \alpha_1, A_1 \rangle, \dots, \langle \alpha_n, A_n \rangle, \langle \kappa, A \rangle \rangle \leq \langle q := \langle \beta_1, B_1 \rangle, \dots, \langle \beta_m, B_m \rangle, \langle \kappa, B \rangle \rangle$  iff:

$\exists 1 \leq i_1 < \dots < i_n \leq m$  such that for every  $1 \leq j \leq m$ :

- 1 If  $\exists 1 \leq r \leq n$  such that  $i_r = j$  then  $\beta_j = \alpha_r$  and  $B_j \subseteq A_r$ .
- 2 Otherwise let  $1 \leq r \leq n + 1$  such that  $i_{r-1} < j < i_r$  then:  
 $\beta_j \in A_r, B_j \subseteq A_r \cap \alpha_r$

# Magidor Sequence illustration

Assume for simplicity that  $o(\kappa) = 2$ , then we have two measures on  $\kappa$ ,  $U(\kappa, 0)$  which concentrate on  $\{\alpha \mid o^{\vec{U}}(\alpha) = 1\}$  and  $U(\kappa, 1)$  which concentrate on  $\{\alpha \mid o^{\vec{U}}(\alpha) = 0\}$ . Start with a condition with no ordinals.

$$\langle\langle \kappa, A \rangle\rangle$$

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Option 1:

$$\langle\langle \kappa, A \rangle\rangle$$

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Option 1:

$$\langle \langle \alpha_\omega, A_\omega \rangle, \langle \kappa, A' \rangle \rangle$$
$$o^{\vec{U}}(\alpha_\omega) = 1, A_\omega \in U(\alpha_\omega, 0)$$



# Magidor Sequence illustration

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Option 2:

$$\langle\langle \kappa, A \rangle\rangle$$

# Magidor Sequence illustration

Assume for simplicity that  $o(\kappa) = 2$ , then we have two measures on  $\kappa$ ,  $U(\kappa, 0)$  which concentrate on  $\{\alpha \mid o^{\vec{U}}(\alpha) = 1\}$  and  $U(\kappa, 1)$  which concentrate on  $\{\alpha \mid o^{\vec{U}}(\alpha) = 0\}$ . Start with a condition with no ordinals. we now have two options.

Option 2:

$$\langle \alpha_0, \langle \kappa, A' \rangle \rangle$$

$$o^{\vec{U}}(\alpha_0) = 0$$

# Magidor Sequence illustration

Assume we have chosen option 1:

$$\langle \langle \alpha_\omega, A_\omega \rangle, \langle \kappa, A' \rangle \rangle$$

At each stage we can do one of the following.

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Option 1:(start producing a Prikry sequence for  $\alpha_\omega$  for  $U(\alpha_\omega, 0)$ )

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# Magidor Sequence illustration

Assume we have chosen option 1:

$$\langle \langle \alpha_\omega, A_\omega \rangle, \langle \kappa, A' \rangle \rangle$$

At each stage we can do one of the following.

Option 1:(start producing a Prikry sequence for  $\alpha_\omega$  for  $U(\alpha_\omega, 0)$ )

$$\langle \alpha_1, \langle \alpha_\omega, A_\omega \rangle, \langle \kappa, A' \rangle \rangle$$

$$o_{\vec{U}}(\alpha_1) = 0,$$

# Magidor Sequence illustration

Assume we have chosen option 1:

$$\langle \langle \alpha_\omega, A_\omega \rangle, \langle \kappa, A' \rangle \rangle$$

At each stage we can do one of the following.

Option 2:(dropping another limit cardinal of the eventual sequence)

$$\langle \langle \alpha_\omega, A_\omega \rangle, \langle \kappa, A' \rangle \rangle$$

# Magidor Sequence illustration

Assume we have chosen option 1:

$$\langle\langle\alpha_\omega, A_\omega\rangle, \langle\kappa, A'\rangle\rangle$$

At each stage we can do one of the following.

Option 2:(dropping another limit cardinal of the eventual sequence)

$$\langle\langle\alpha_\omega, A_\omega\rangle, \langle\alpha_{\omega\cdot 2}, A_{\omega\cdot 2}\rangle, \langle\kappa, A'\rangle\rangle$$

$$o_{\vec{U}}(\alpha_{\omega\cdot 2}) = 1$$

# Magidor Sequence illustration

Assume we have chosen option 1:

$$\langle\langle\alpha_\omega, A_\omega\rangle, \langle\kappa, A'\rangle\rangle$$

At each stage we can do one of the following.

option 3:(producing a Prikry sequence for the unknown  $\alpha_{\omega.2}$ )

$$\langle\langle\alpha_\omega, A_\omega\rangle, \langle\kappa, A'\rangle\rangle$$



# Magidor Sequence illustration

Assume we have chosen option 1:

$$\langle \langle \alpha_\omega, A_\omega \rangle, \langle \kappa, A' \rangle \rangle$$

At each stage we can do one of the following.

option 3:(producing a Prikry sequence for the unknown  $\alpha_{\omega \cdot 2}$ )

$$\langle \langle \alpha_\omega, A_\omega \rangle, \alpha_{\omega+1}, \langle \kappa, A' \rangle \rangle$$

$$o^{\vec{U}}(\alpha_{\omega+1}) = 0$$

# Magidor Sequence illustration

In this fashion we continue to produce the sequence

$$\langle \langle \alpha_\omega, A_\omega \rangle, \langle \alpha_{\omega \cdot 2}, A_{\omega \cdot 2} \rangle, \langle \kappa, A' \rangle \rangle$$

# Magidor Sequence illustration

In this fashion we continue to produce the sequence

$$\langle \alpha_1, \langle \alpha_\omega, A_\omega \rangle, \langle \alpha_{\omega \cdot 2}, A_{\omega \cdot 2} \rangle, \langle \kappa, A' \rangle \rangle$$

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$$\langle \alpha_1, \langle \alpha_\omega, A_\omega \rangle, \alpha_{\omega+1}, \langle \alpha_{\omega \cdot 2}, A_{\omega \cdot 2} \rangle, \langle \alpha_{\omega \cdot 3}, A_{\omega \cdot 3} \rangle, \langle \kappa, A' \rangle \rangle$$

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# Magidor Sequence illustration

In this fashion we continue to produce the sequence

$$\langle \alpha_1, \alpha_2, \langle \cdot, \alpha_\omega, A_\omega \rangle, \alpha_{\omega+1}, \alpha_{\omega+2}, \langle \alpha_{\omega \cdot 2}, A_{\omega \cdot 2} \rangle, \alpha_{\omega \cdot 2+1}, \langle \alpha_{\omega \cdot 3}, A_{\omega \cdot 3} \rangle, \alpha_{\omega \cdot 3+1}, \langle \kappa, A' \rangle \rangle$$

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# Magidor Sequence illustration

In this fashion we continue to produce the sequence

$$\langle \alpha_1, \alpha_2, \alpha_3, \langle \alpha_\omega, A_\omega \rangle, \alpha_{\omega+1}, \alpha_{\omega+2}, \langle \alpha_{\omega \cdot 2}, A_{\omega \cdot 2} \rangle, \alpha_{\omega \cdot 2+1}, \langle \alpha_{\omega \cdot 3}, A_{\omega \cdot 3} \rangle, \alpha_{\omega \cdot 3+1}, \alpha_{\omega \cdot 3+2}, \langle \alpha_{\omega \cdot 4}, A_{\omega \cdot 4} \rangle, \langle \kappa, A' \rangle \rangle$$

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In this fashion we continue to produce the sequence

$$\langle \alpha_1, \alpha_2, \alpha_3, \alpha_4, \langle \alpha_\omega, A_\omega \rangle, \alpha_{\omega+1}, \alpha_{\omega+2}, \langle \alpha_{\omega \cdot 2}, A_{\omega \cdot 2} \rangle, \alpha_{\omega \cdot 2+1}, \langle \alpha_{\omega \cdot 3}, A_{\omega \cdot 3} \rangle, \alpha_{\omega \cdot 3+1}, \alpha_{\omega \cdot 3+2}, \langle \alpha_{\omega \cdot 4}, A_{\omega \cdot 4} \rangle, \langle \kappa, A' \rangle \rangle$$

# Magidor Sequence illustration

Generically, this forcing produces an  $\omega^2$ -sequence cofinal at  $\kappa$ .

$$\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_\omega, \alpha_{\omega+1}, \alpha_{\omega+2}, \dots, \alpha_{\omega \cdot 2}, \alpha_{\omega \cdot 2+1}, \dots, \alpha_{\omega \cdot 3}, \dots, \alpha_{\omega \cdot 4}, \dots, \kappa$$

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$$\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_\omega, \alpha_{\omega+1}, \alpha_{\omega+2}, \dots, \alpha_{\omega \cdot 2}, \alpha_{\omega \cdot 2+1}, \dots, \alpha_{\omega \cdot 3}, \dots, \alpha_{\omega \cdot 4}, \dots, \kappa$$

If  $G \subseteq \mathbb{M}[\vec{U}]$  is a generic filter, we denote by  $C_G$  the Magidor generic sequence generated by  $G$ .

- 1 Background
- 2 Magidor-Radin Forcing
  - The Forcing Notion
  - Examples Main result
- 3 Tree-Prikry Forcing
  - Known Results Regarding the Tree-Prikry forcing
  - Under very large cardinals
  - Cardinality greater than  $\kappa$
- 4 References

# Magidor Forcing- Examples of Intermediate Models

Intermediate Models of a generic extension by  $\mathbb{M}[\vec{U}]$  are not necessarily generic extensions of  $\mathbb{M}[\vec{U}]$ :

## Example 7

Assume that  $o^{\vec{U}}(\kappa) = 2$ . Then  $\kappa$  carries two measures:  $U(\kappa, 0), U(\kappa, 1)$ . This means that typically  $\text{otp}(C_G) = \omega^2$ , denote it by  $C_G = \{C_G(i) \mid i < \omega^2\}$ . For example the intermediate model  $V[\{C_G(n) \mid n < \omega\}]$ , is a Prikry generic extension.

## Example 8

Assume that  $o^{\vec{U}}(\kappa) = \omega$ , thus  $\text{otp}(C_G) = \omega^\omega$ . Consider the intermediate extension  $V[\{C_G(\omega^n) \mid n < \omega\}]$  it is a diagonal Prikry generic extension for the sequence of measures  $\langle U(\kappa, n) \mid n < \omega \rangle$ .



## Example 9

Let  $o^{\vec{U}}(o^{\vec{U}}(\kappa)) = 1$ . There is  $G \subseteq \mathbb{M}[\vec{U}]$  which produces a Magidor sequence  $\{C_G(\alpha) \mid \alpha < \delta_0\}$  such that  $C_G(\omega) = \delta_0$ . The first Prikry sequence  $\{C_G(n) \mid n < \omega\} \in V[G]$  is a cofinal sequence in  $C_G(\omega) = \delta_0$ . Consider the sequence  $C = \{C_G(C_G(n)) \mid n < \omega\}$ . It is unbounded in  $\kappa$  and witnesses that  $\kappa$  changes cofinality. This example is quite different from the previous two in the sense that the indices of  $C$  inside  $C_G$  are  $I := \{C_G(n) \mid n < \omega\} \notin V$ .

## Example 10

Assume  $o^{\vec{U}}(\kappa) = \kappa$ . Let Again  $C_G = \{C_G(\alpha) \mid \alpha < \kappa\}$ . In  $V[G]$ , define  $\alpha_0 = C_G(0)$ , and  $\alpha_{n+1} = C_G(\alpha_n)$ . Then  $\{\alpha_n \mid n < \omega\}$  is a cofinal  $\omega$ -sequence in  $\kappa$ .

## Theorem 11 (B. (2019)[2])

$\langle \alpha_n \mid n < \omega \rangle$  is Tree-Prikry generic sequence for the measures  $\langle U(\kappa, \alpha) \mid \alpha < \kappa \rangle$ .

Actually the theorem is a Mathias-like criterion for the Tree-Prikry forcing. Clearly all these example are Prikry-Type extensions.

# The Main Result I

We obtained the first step toward a classification of the intermediate models of Magidor-Radin forcing:

## Theorem 12 (Gitik, B.[5])

*Let  $G \subseteq \mathbb{M}[\vec{U}]$  be a  $V$ -generic set producing the Magidor sequence  $C_G$ . Assume that  $\forall \alpha \in C_G \cup \{\kappa\}. o^{\vec{U}}(\alpha) < \alpha^+$ . Then for every set of ordinals  $A \in V[G]$  there is  $C \subseteq C_G$ , such that  $V[A] = V[C]$ . Where  $C_G$  is the Magidor club added by  $G$ .*

As we have seen from the examples, it is not clear which are the forcings that the models  $V[C]$  are generic extensions for. In [4], we restrict the order of  $\kappa$  to be below  $\kappa$  and define a class of "Magidor-Type" forcing notions, denoted by  $\mathbb{M}_f[\vec{U}]$ . This class is basically a Magidor forcing adding elements from measures prescribed by the function  $f$ . We then prove that the intermediate model must be finite iterations of such forcings.

- 1 Background
- 2 Magidor-Radin Forcing
  - The Forcing Notion
  - Examples Main result
- 3 Tree-Prikry Forcing
  - Known Results Regarding the Tree-Prikry forcing
  - Under very large cardinals
  - Cardinality greater than  $\kappa$
- 4 References

# The Tree-Prikry forcing

Let  $\vec{U} = \langle U_a \mid a \in [\kappa]^{<\omega} \rangle$  be a tree of  $\kappa$ -complete ultrafilters over  $\kappa$ .

## Definition 13 (Tree Prikry Forcing- $P_T(\vec{U})$ )

Conditions of  $P_T(\vec{U})$  are pairs  $\langle t, T \rangle$ , where  $T$  is a subtree of  $[\kappa]^{<\omega}$  with stem  $t$ , which is  $\vec{U}$ -splitting:

$$\forall s \in T. s \geq t \rightarrow \text{Succ}_T(s) := \{\alpha < \kappa \mid s \hat{\ } \alpha \in T\} \in U_s$$

The order is defined (Israel convention:  $q \leq p$  then  $p \dot{\vdash} q \in \dot{G}$ )  $\langle t, T \rangle \leq \langle s, S \rangle$  iff  $S \subseteq T$  (hence  $s \in T$ )

There is an equivalent forcing to  $P_T(W)$ , where  $W$  is a non-normal  $\kappa$ -complete ultrafilter (We view  $\vec{U}$  as a tree by defining for every  $a \in [\kappa]^{<\omega}$ ,  $U_a = W$ ). The conditions are of the form  $\langle t, A \rangle$  where  $A \in W$  and the sequence  $t$  is strongly increasing. It turns out (not surprisingly) that the structure of the intermediate models of the tree Prikry forcing depends on the combinatorial properties of the measures in  $\vec{U}$ .

## Theorem 14 (Koepke, Räsch, Schlicht (2013))[14]

Assume that  $\vec{U} = \langle U_\alpha \mid \alpha < \kappa \rangle$  is a sequence of distinct normal measures. Then for every  $V$ -generic filter  $G \subseteq P_T(\vec{U})^a$ , there is no proper intermediate model  $V \subsetneq M \subsetneq V[G]$ .

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<sup>a</sup>We view  $\vec{U}$  as a tree by defining for every  $a \in [\kappa]^{<\omega}$ ,  $U_a = U_{\max(a)}$ .

On the other hand:

## Theorem 15 (Gitik, B. (2021)[5])

Assume GCH and let  $\kappa$  be a measurable cardinal. There is a cofinality preserving forcing extension  $V \subseteq N$  and an ultrafilter  $W \in N$  such that forcing with  $P_T(W)$  over  $N$  adds a  $\kappa$ -Cohen real.

# Prikry introduce Cohen- Proof

## Sketch of the Proof.

The model  $N$  is obtained by forcing the Easton support iteration  $\langle P_\alpha, \mathcal{Q}_\beta \mid \alpha \leq \kappa, \beta < \kappa \rangle$ : Each  $\mathcal{Q}_\beta$  is trivial, unless  $\beta$  is inaccessible. For inaccessible  $\beta$ ,  $\mathcal{Q}_\beta$  is the lottery sum of the trivial forcing  $\{0\}$  and the  $\beta$ -Cohen real forcing  $Add(\beta, 1)$ . Let  $G_\kappa \subseteq P_\kappa$  be  $V$ -generic and  $N := V[G_\kappa]$ . The idea is to take  $U \in V$  be a normal measure over  $\kappa$  extend it to a (non-normal)  $\kappa$ -complete ultrafilter  $W$  which concentrate on the set

$$L_0 = \{\alpha < \kappa \mid G_\alpha \text{ is generic for } Add(\alpha, 1)\}$$

This measure  $W$  is obtained by looking at the second iteration of  $U$ . For each  $\alpha \in L_0$ , let  $f_\alpha$  be the Cohen function added by  $G_\kappa$ . Force  $P_T(W)$  over  $N$ , and denote by  $C_G := \{\kappa_n \mid n < \omega\}$  the Prikry sequence. There is  $n_0 < \omega$  such that for every  $n \geq n_0$ ,  $\kappa_n \in L_0$  and therefore  $f_{\kappa_n}$  is defined. It remains to see that

$$f = \bigcup_{n_0 \leq n < \omega} f_{\kappa_n} \upharpoonright [\kappa_{n-1}, \kappa_n) \in N[C_G]$$

is  $N$ -generic for  $Add(\kappa, 1)$ . □

## 1 Background

## 2 Magidor-Radin Forcing

- The Forcing Notion
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## 3 Tree-Prikry Forcing

- Known Results Regarding the Tree-Prikry forcing
- **Under very large cardinals**
- Cardinality greater than  $\kappa$

## 4 References

# Assuming $\kappa$ is $\kappa$ -compact

## Definition 16 ( $\kappa$ -compact Cardinal)

$\kappa$  is called a  $\kappa$ -compact cardinal if every  $\kappa$ -complete filter over  $\kappa$  can be extended to a  $\kappa$ -complete ultrafilter over  $\kappa$

The ability to extend  $\kappa$ -complete filters is deeply connected to our problem:

## Theorem 17 (Gitik, Hayut, B. 2021[7])

Let  $\mathbb{P}$  be a  $\sigma$ -distributive forcing of size  $\kappa$ . The following are equivalent:

- There is a tree  $\vec{U}$  of  $\kappa$ -complete ultrafilters and a projection  $\pi: \mathbb{P}_T(\vec{U}) \rightarrow B(\mathbb{P})$ .
- For every  $p \in \mathbb{P}$ ,  $D_p(\mathbb{P})$  can be extended to a  $\kappa$ -complete ultrafilter  $U_p$ .  
Where  $D_p(\mathbb{P})$  is the filter of open subsets of  $\mathbb{P}$  which are dense above  $p$ .

## Corollary 18

If  $\kappa$  is  $\kappa$ -compact, every  $\kappa$ -distributive forcing of cardinality  $\kappa$  is a projection of a Tree-Prikry forcing.



# Lower bound for all the $\kappa$ -distributive

The assumption that  $\kappa$  is  $\kappa$ -compact is quit strong:

## Theorem 19 (Gitik [11])

*If  $\kappa$  is  $\kappa$ -compact then there is an inner model with a Woodin cardinal.*

## Question

*Can the assumption that  $\kappa$  is  $\kappa$ -compact be relaxed?*

Since we only wish to extend a relatively easily definable filter  $D_p(\mathbb{P})$ , it suffices to assume that  $\kappa$  is 1-extendable. However, we cannot hope to improve this bound much further. In [7], we found that there is a non trivial lower bound:

## Theorem 20 (Gitik, Hayut, B.)

*Let  $Q$  be the forcing shooting a club through the singulars below  $\kappa^a$ . Assume that there is a  $\kappa$ -complete ultrafilter extending the filter  $D(Q)$  of dense open subset of  $Q$ . Then either there is an inner model for  $\exists \lambda, o(\lambda) = \lambda^{++}$ , or  $o^{\mathcal{K}}(\kappa) \geq \kappa^+$ .*

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<sup>a</sup>Thus Making  $\kappa$  not Mahlo. It is  $< \kappa$ -strategically closed.

- 1 Background
- 2 Magidor-Radin Forcing
  - The Forcing Notion
  - Examples Main result
- 3 Tree-Prikry Forcing
  - Known Results Regarding the Tree-Prikry forcing
  - Under very large cardinals
  - Cardinality greater than  $\kappa$
- 4 References

# Adding more than one Cohen and non-Galvin Ultrafilters

What limitations do we have on projections of the Tree-Prikry forcing. In terms of cardinality it should be at most  $2^\kappa$ . Also,  $\kappa$ -centered is essential:

If  $\mathbb{P} = \bigcup_{i < \kappa} A_i$  such that  $A_i$  is a directed set, and  $\pi : \mathbb{P} \rightarrow \mathbb{Q}$  is a projection, then  $\mathbb{Q} = \bigcup \pi'' A_i$  and each  $\pi'' A_i$  is a directed set.

## Corollary 21

*$Add(\kappa^+, 1)$  (Nor  $B(Add(\kappa^+, 1))$ ) is not a projection of the Tree-Prikry forcing.*

The forcing  $Add(\kappa, \kappa^+)$  on the other hand is  $\kappa$ -centered.

In a very recent joint result with Gitik we have proved that we can actually get the consistency of  $\kappa^+$ -many Cohen functions of  $\kappa$  as a subforcing of the Tree-Prikry forcing is also consistent (starting from a measurable). This is done using a non-Galvin ultrafilter.

## Definition 22

A  $\kappa$ -complete ultrafilter  $U$  is called a *Galvin-ultrafilter*, if for every  $\langle X_i \mid i < \kappa^+ \rangle \in [U]^{\kappa^+}$  there is  $I \in [\kappa^+]^\kappa$  such that  $\bigcap_{i \in I} X_i \in U$ .

Galvin proved that normal ultrafilters are Galvin [1].

For adding  $\kappa^+$ -many Cohens to  $\kappa$ , it is necessary to force with a non-Galvin ultrafilter:

## Proposition 1

*Let  $U$  is a Galvin ultrafilter and  $G \subseteq \mathbb{P}_T(U)$  be  $V$ -generic. Then for any subset  $A \in V[G]$ ,  $A \subseteq V$ ,  $|A| = \kappa^+$ , there is  $A' \in V$  such that  $|A'| = \kappa$  and  $A' \subseteq A$ .*

## Proof.

Suppose otherwise, and let  $f : \kappa^+ \rightarrow \kappa^+$  enumerating  $A$ . On one hand, translating the assumption on  $A$ , there is no  $g \in V$  such that  $|g| = \kappa$  and  $g \subseteq f$ . On the other hand, for every  $\alpha < \kappa^+$  find a condition  $p_\alpha = \langle t_\alpha, A_\alpha \rangle \in \mathbb{P}(U)$  such that  $p_\alpha$  decides the value  $\tilde{f}(\alpha)$ . Then there is  $X \subseteq \kappa^+$  and  $t^*$  such that  $|X| = \kappa^+$  and for every  $\alpha \in X$ ,  $t_\alpha = t^*$ . Consider  $\langle A_\alpha \mid \alpha \in X \rangle$  and apply the Galvin property to find  $Y \subseteq X$  such that  $|Y| = \kappa$  and  $A^* := \bigcap_{y \in Y} A_y \in U$ . Then  $\langle t^*, A^* \rangle$  decides  $\kappa$ -many values of  $\tilde{f}$ , contradiction.  $\square$

Actually the other direction is also true, that is there is no such subset in  $V[G]$  then  $U$  must be Galvin[10],[3].

## Corollary 23

*If  $U$  is Galvin then  $U$  does not add a  $\kappa^+$ -many Cohen function.*








## Proof.

Indeed if  $f : \kappa^+ \rightarrow 2$  is a  $\text{Add}(\kappa, \kappa^+)$ -generic, then by density argument the set  $A = \{\alpha < \kappa^+ \mid f(\alpha) = 1\}$  has no  $V$ -subset of cardinality  $\kappa$ .







## Theorem 24 (Gitik, B. (2022)[6])

*Starting from a measurable cardinal, it is consistent that there is a non-Galvin ultrafilter  $U$  such that forcing  $\mathbb{P}_T(U)$  adds a generic for  $\text{Add}(\kappa, \kappa^+)$ .*





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# Finish line

Thank you for your attention!