

## Math 549 – HW 3 Solutions

### Problem 2-7:

Let  $N \geq 1$ . We will show  $\dim C^\infty(M) \geq N$ . Let  $p_1, \dots, p_N \in M$  be distinct points and let  $U_i \ni p_i$  be pairwise disjoint open neighborhoods. For  $1 \leq i \leq N$ , let  $\varphi_i$  be a bump function with  $\varphi_i(p_i) = 1$  and  $\varphi_i = 0$  outside  $U_i$ . We claim that  $\{\varphi_i\}_{1 \leq i \leq N}$  is a linearly independent set. Indeed, suppose there is a linear combination

$$\sum_{1 \leq i \leq N} c_i \varphi_i = 0.$$

By evaluating on  $p_j$  for some  $1 \leq j \leq N$  and using  $\varphi_i(p_j) = \delta_{ij}$ , we find that  $c_j = 0$ , as desired. □

### Problem 2-10:

- (a) This is straightforward.
- (b) If  $F$  is smooth, then for any  $f \in C^\infty(N)$ , we have that  $F^*(f) = f \circ F$  is a composition of smooth maps, hence smooth.

Conversely, suppose  $F^*(C^\infty(N)) \subseteq C^\infty(M)$ . Let  $p \in M$  and  $(U, \varphi)$  and  $(V, \psi)$  be charts around  $p \in M$  and  $F(p) \in N$ , respectively. By shrinking  $U$  if necessary we can assume  $F(U) \subseteq V$ . To prove  $F$  is smooth at  $p$ , it suffices to prove  $\psi \circ F \circ \varphi^{-1}$  is smooth as a map between open subsets of Euclidean spaces. □

Let  $\psi_i (1 \leq i \leq n)$  be the components of  $\psi$ . By the extension lemma (i.e. multiplying by a bump function and extending by 0), we can choose  $W \subseteq V$  that is an open neighborhood of  $p$  such that  $\psi_i|_W$  extend to smooth functions  $\tilde{\psi}_i \in C^\infty(N)$ . By assumption,  $\tilde{\psi}_j \circ F : M \rightarrow \mathbb{R}$  is smooth, and hence the composition  $\tilde{\psi}_j \circ F \circ \varphi^{-1}$  is also smooth. Shrinking  $U$  further if necessary we can assume  $F(U) \subseteq W$ , so that the components of  $\psi \circ F \circ \varphi^{-1}$  are precisely  $\tilde{\psi}_j \circ F \circ \varphi^{-1}$ . Since  $\psi \circ F \circ \varphi^{-1}$  is a map between open sets of Euclidean spaces whose component functions are smooth, it is itself smooth. □

- (c) If  $F$  is a diffeomorphism, it is straightforward to check that  $(F^{-1})^*$  is the inverse of  $F^*$ , so  $F^*$  is an isomorphism.

Conversely, suppose  $F^*$  is an isomorphism. By the previous part,  $F$  is smooth. It remains to argue that  $(F^{-1})^*$  is smooth.

As mentioned above, it is straightforward to check that  $(F^*)^{-1} = (F^{-1})^*$  (on the algebras of continuous functions). Since  $F^*$  restricts to an isomorphism  $C^\infty(N) \rightarrow C^\infty(M)$ , its inverse is an isomorphism in the reverse direction, i.e.  $(F^{-1})^*$  is an isomorphism  $C^\infty(M) \rightarrow C^\infty(N)$ . Then again by the previous part  $F^{-1}$  is smooth. □

### Problem 2-14:

By Theorem 2.29, there exists smooth functions  $f, g : M \rightarrow \mathbb{R}$  such that  $f, g \geq 0$  and  $f$  vanishes precisely on  $A$  and  $g$  vanishes precisely on  $B$  (see below how to find such a function). Consider now  $\varphi := f/(f+g)$ . It is clear that  $\varphi$  is well-defined and  $0 \leq \varphi \leq 1$  and  $\varphi$  vanishes precisely on  $A$  and  $\varphi(x) = 1$  iff  $g(x) = 0$  iff  $x \in B$ . *Sketch of a proof of existence of  $f \geq 0$  that vanishes precisely on  $A$ :* Let  $U_n \supseteq A$  be a decreasing sequence of open neighborhoods with  $\bigcap_n U_n = A$ . We can choose a bump function  $f_n \geq 0$  such that  $f_n > 0$  outside  $\bar{U}_n$  and  $f_n = 0$  on  $A$ . The idea is to consider a function of the form  $f = \sum_{n \geq 1} a_n f_n$  for suitable choice of scalars  $a_n$ . To ensure the series and all the series of partial derivatives converge, choose  $a_n$  as follows: Let  $\{B_k\}_{k \geq 1}$  be a locally finite (countable) cover of  $M$  by regular coordinate balls.

For  $n \geq 1$ , let  $b_n$  be the maximum of the absolute value of a partial derivative of  $f_n$  on  $B_1 \cup \dots \cup B_n$  (where these partial derivatives are computed in the local coordinates on the  $B_k$ ). Set  $a_n := \exp(-\max\{n, b_n\})$ . By considering cases where there is a partial derivative that attains a value larger than  $n$  or not, it is easy to show that the maximal size of the absolute value of any partial derivative of  $a_n f_n$  on  $B_1 \cup \dots \cup B_n$  is at most  $2^{-n}$ .

Now let  $p \in M$ . Choose  $k$  such that  $p \in B_k$  and let  $\varphi_k$  denote the corresponding chart. Then it is easy to verify that for any finite index set  $I$ , the series  $\sum_n a_n \partial^I (f_n \circ \varphi_k^{-1})(\varphi_k(p))$  is absolutely convergent (since for  $n \gg 1$ , the summand is bounded above by  $2^{-n}$ ). From this we conclude that all partials of  $f := \sum_n a_n f_n$  exist. □

**Problem 3-2:** For  $1 \leq j \leq k$ , let  $\iota_j : M_j \hookrightarrow M$  denote the inclusion  $\iota_j(x) = (p_1, \dots, p_{j-1}, x, p_{j+1}, \dots, p_k)$ . Write  $\beta := \bigoplus_j D_{p_j} \iota_j$ . Then  $\pi_j \circ \iota_j = \text{id}_{M_j}$ , so  $D_p \pi_j \circ D_{p_j} \iota_j = \text{Id}$  by the chain rule. Therefore

$$\begin{aligned} \alpha \circ \beta &= \left( \bigoplus_j D_p \pi_j \right) \circ \left( \bigoplus_j D_{p_j} \iota_j \right) \\ &= \left( \bigoplus_j D_p \pi_j \circ D_{p_j} \iota_j \right) \\ &= \bigoplus_j \text{Id}, \end{aligned}$$

so  $\alpha$  is surjective and  $\beta$  is injective. Since both are linear maps and  $\dim(\prod_j M_j) = \sum_j \dim(M_j)$  coincides with  $\dim(\bigoplus_j T_{p_j} M_j)$ , both are isomorphisms.

**Problem 3-3:**

Let  $\pi_M : M \times N \rightarrow M$  and  $\pi_N : M \times N \rightarrow N$  be the projections, so  $D\pi_M : T(M \times N) \rightarrow TM$  and  $D\pi_N : T(M \times N) \rightarrow TN$  are smooth maps. Consider  $D\pi_M \times D\pi_N : T(M \times N) \rightarrow TM \times TN$ . It is smooth since its component functions are smooth. One checks this is a bijection: First of all, if the images of  $(p, v)$  and  $(q, w)$  coincide, then  $\pi_M(p) = \pi_M(q)$  and  $\pi_N(p) = \pi_N(q)$ , so  $p = q \in M \times N$ . So we have to show  $v = w \in T_p(M \times N)$ . To show this, just note that  $D_p \pi_M \times D_p \pi_N : T_p(M \times N) \rightarrow T_{\pi_M(p)} M \times T_{\pi_N(p)} N$  is exactly the isomorphism from the previous problem. Surjectivity follows by a similar argument, again the key point being the map on each tangent space is an isomorphism.

It remains to check smoothness of the inverse. Let  $(U, \varphi)$  and  $(V, \psi)$  be charts on  $M$  and  $N$  respectively, and  $(U \times V, \varphi \times \psi)$  is a chart on  $M \times N$ . Then  $(TU, D\varphi)$  and  $(TV, D\psi)$  are charts on  $TM$  and  $TN$  and hence  $(TU \times TV, D\varphi \times D\psi)$  is a chart on  $TM \times TN$ , and  $(T(U \times V), D(\varphi \times \psi))$  is a chart on  $T(M \times N)$ . Now compute  $D\pi_M \times D\pi_N$  in these local coordinates, i.e. compute

$$(D\varphi \times D\psi) \circ (D\pi_M \times D\pi_N) \circ D(\varphi \times \psi)^{-1}.$$

Using the chain rule, it is straightforward to verify that this is just

$$D(\varphi \circ \pi_M \circ (\varphi^{-1} \times \psi^{-1})) \times D(\psi \circ \pi_N \circ (\varphi^{-1} \times \psi^{-1})).$$

**Problem 3-4:**

Consider the curve  $\gamma(t) = e^{it}$ . Note that  $\gamma'(t) \neq 0$  for all  $t$  (see Problem 3-6 from HW 2). Consider now the map

$$\tilde{F} : \mathbb{R} \times \mathbb{R} \rightarrow TS^1, (t, \lambda) \mapsto \lambda \gamma'(t).$$

Note that  $\tilde{F}$  descends to a map

$$F : S^1 \times \mathbb{R} = \mathbb{R}/(2\pi\mathbb{Z}) \times \mathbb{R} \longrightarrow TS^1$$

since  $\gamma$  is  $2\pi$ -periodic. It remains to check that  $F$  is a diffeomorphism.

**Injectivity:** It is clear that if  $\lambda \gamma'(t) = \mu \gamma'(s)$ , then the basepoints of the tangent vectors coincide so  $s = t \pmod{2\pi\mathbb{Z}}$  and since  $\gamma'(t) \neq 0$ , it follows that  $\lambda = \mu$ .

**Surjectivity:** For  $(p, v) \in S^1$ , we can choose  $t, \lambda$  such that  $\gamma(t) = p$  and  $\lambda \gamma'(t) = v$  (since  $T_p S^1$  is one-dimensional and  $\gamma'(t) \neq 0$ ).

**Smoothness of  $F$  and  $F^{-1}$ :** Let  $(U, \varphi)$  be an angle chart on  $S^1$  and let  $\varphi \times \text{id}$  and  $D\varphi$  be the corresponding charts on  $S^1 \times \mathbb{R}$  and  $TS^1$ . Then it is easy to see that in these coordinates,  $F$  (and hence also  $F^{-1}$ ) is the identity map, and  $F(U \times \mathbb{R}) = TU$ .