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# THE MULTIPLICITY OF ISOLATED TWO-DIMENSIONAL HYPERSURFACE SINGULARITIES: ZARISKI PROBLEM

By STEPHEN S.-T. YAU

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**1. Introduction.** Let  $(V, 0)$  and  $(W, 0)$  be two isolated two dimensional hypersurface singularities in  $\mathbf{C}^3$ . We say that  $(V, 0)$  and  $(W, 0)$  have the same topological type if  $(\mathbf{C}^3, V, 0)$  is homeomorphic equivalent to  $(\mathbf{C}^3, W, 0)$ . The famous Zariski question [24] asks whether multiplicity of  $(V, 0)$  is the same as the multiplicity of  $(W, 0)$  if they have the same topological type. In case  $(V, 0)$  and  $(W, 0)$  are quasi-homogeneous singularities and there is a Milnor number constant family connecting them, the Zariski question was answered affirmatively and independently by Greuel [7] and O'shea [14], (see also Laufer [10]). Recently we have announced in [22] that the topological type of two dimensional isolated quasi-homogeneous singularity determines and is determined by the fundamental group of the link and characteristic polynomial of the monodromy. As a consequence, the Zariski multiplicity question has been solved for quasi-homogeneous singularities. The full detail appeared in [19]. Recall that Wagreich [18] introduced an invariant of singularity called the arithmetic genus  $p_a$  which can be computed from a resolution graph. The following theorem answers the special case of the Zariski question affirmatively.

**THEOREM A.** *Let  $(V, 0)$  and  $(W, 0)$  be two isolated two dimensional hypersurface singularities in  $\mathbf{C}^3$  having the same topological type. If  $p_a(V, 0) \leq 2$ , then  $(V, 0)$  and  $(W, 0)$  have equal multiplicity.*

As observed by Laufer [8] (see also [19]), one cannot always hope to determine the multiplicity of isolated two dimensional hypersurface singularity  $\mathbf{C}^3$  from its non-embedded topology alone, or even from the topology and the Milnor number. However, it is still very desirable to give a sharp upper bound of the multiplicity of  $(V, 0)$  in terms of the resolution graph. We have the following result which is an improvement of the result obtained by Laufer [8].

**THEOREM B.** *Let  $(V, 0)$  be an isolated two dimensional hypersurface singularity with multiplicity  $\nu$ . Let  $K$  be the canonical divisor on the minimal resolution of  $(V, 0)$ . Then*

$$-K \cdot K \geq 2 + \nu(\nu - 1)(\nu - 3).$$

One of the fundamental questions in the theory of normal two dimensional singularities is the following: What conditions are imposed on the abstract topology of  $(V, 0)$  by the hypersurface hypothesis? Recall essentially [12, Theorem 2.10, p. 18], that any isolated singularity is topologically a cone over its link. Moreover, in dimension two,  $L$  is a compact real 3-manifold whose oriented homeomorphism type determines and is determined by the weighted dual graph  $\Gamma$  of a canonically determined resolution  $\pi : (M, A) \rightarrow (V, 0)$  (cf. [13]). So, we may equivalently ask: What conditions does the existence of hypersurface representative  $(V, 0)$  put on a weighted dual graph  $\Gamma$ ? In other words, we would like to identify the image of the mapping

$$\begin{aligned} \{ \text{isolated hypersurface singularities} \} &\rightarrow \{ \text{weighted dual graphs} \} \\ (V, 0) &\rightarrow \Gamma \end{aligned}$$

A hypersurface singularity  $(V, 0)$  is Gorenstein [3], [6]. So there exists an integral cycle  $K$  on  $\Gamma$  which satisfies the adjunction formula [16].

**THEOREM C.** *Let  $\Gamma$  be the weighted dual graph of the minimal resolution of an isolated two dimensional hypersurface singularity. Then there is a cycle  $K = \sum k_i A_i$  on  $\Gamma$ , with integer coefficients, which satisfies the adjunction formula. Let  $Z = \sum z_i A_i$  be the fundamental cycle. Then*

$$-K \geq (-Z \cdot Z - 2)Z$$

*i.e., for all  $i$*

$$-k_i \geq (-Z \cdot Z - 2)z_i.$$

Since the fundamental cycle  $Z$  can be computed from the graph  $\Gamma$ , the above inequality gives another necessary condition for a graph  $\Gamma$  to have hypersurface surface singularity structure. The weaker form of Theorem C was obtained by Laufer in [8].

Our approach is quite different from those of Laufer [8]. Indeed it is unlikely that his method can be sharpened to produce our results (Theorem B and Theorem C). Finally, we would like to mention that in Theorem 3.3 we prove that arithmetic genus  $p_a$  and geometric genus  $p_g$  of isolated hypersurface two-dimensional singularity are invariants of topological type. This is exactly the starting point of Theorem A.

**2. Known Preliminaries.** Consider a resolution  $\pi : (M, A) \rightarrow (V, 0)$  of the normal two dimensional singularity  $(V, 0)$ . Throughout this paper  $A = \cup A_i, 1 \leq i \leq n$ , will be the decomposition of  $A$  into irreducible components. Consider the canonical bundle  $K$  on  $M$ . The adjunction formula [16] gives, for all  $i$

$$(2.1) \quad A_i \cdot K = -A_i \cdot A_i + 2g_i - 2.$$

Recall [5], that an  $A_j$  is an exceptional curve of the first kind, i.e., may be blown down without introducing a singularity, if and only if  $A_j$  is a nonsingular rational curve of self-intersection  $-1$ . Observe then from (2.1) that

$$\begin{aligned} A_i \cdot K &= -1 && \text{if } A_i \text{ is exceptional of the first kind} \\ A_i \cdot K &\geq 0 && \text{otherwise} \end{aligned}$$

$\pi$  is the minimal resolution if and only if no  $A_i$  is exceptional of the first kind.

Since the intersection matrix  $(A_i \cdot A_j)$  is nonsingular, there are unique numbers  $k_i, 1 \leq i \leq n$ , such that the rational cycle

$$(2.2) \quad \tilde{K} = \sum k_i A_k \quad 1 \leq i \leq n$$

satisfies  $A_i \cdot K = A_i \cdot \tilde{K}$  for all  $i$ .

**PROPOSITION 2.1.** *With the above notation, suppose additionally that  $\pi$  is the minimal resolution. Then  $K = 0$ , i.e., is the trivial bundle, in case  $(V, 0)$  is a rational double point. Otherwise,  $k_i < 0$  for all  $i$ .*

In case  $(V, 0)$  is Gorenstein, the  $\tilde{K}$  of (2.2) has integral coefficients. Moreover,  $\mathcal{O}(\tilde{K})$  is isomorphic to  $\mathcal{O}(K)$ . Thus in the Gorenstein case, we shall duplicate notation and use  $K$  also to denote the Cartier divisor  $\tilde{K}$ .

From now on in this paper, all “cycles” will be integral combinations of the  $A_j$ , i.e., Cartier divisors on  $M$ .

In [20], [21], we first introduced the concept of maximal ideal cycle. Let  $m$  denote the maximal ideal sheaf at 0 of  $(V, 0)$ .  $\pi^*(m)$ , the sheaf on  $M$  generated by the pull-back to  $M$  of generators of  $m$ , need not be locally principal. But there is a unique cycle  $Y > 0$ , such that  $\pi^*(m)/\mathcal{O}(-Y)$  is supported at only a finite number of points, called *embedded* points (for  $\pi^*(m)$ ), on  $A$ . Such a cycle  $Y$  is called the maximal ideal cycle. In fact

$$Y = \sum_{j=1}^n (\min_{f \in M} v_j(f)) A_j$$

where  $v_j(f)$  is the vanishing order of  $\pi^*(f)$  on  $A_j$  for  $f \in m$ .

**3. Main results.** We continue with the notation of section 2. Let us first recall the following definition.

*Definition 3.1.* Let  $(V, 0)$  be a normal two dimensional singularity. Let  $\pi : (M, A) \rightarrow (V, 0)$  be a resolution with exceptional set  $A$ . The geometric genus of a normal two dimensional singularity  $(V, 0)$  is the integer

$$p_g(V, 0) = \dim_{\mathbb{C}}(R^1 \pi_* \mathcal{O}_M)_0.$$

The arithmetic genus of a normal two dimensional singularity  $(V, 0)$  is the integer

$$p_a(V, 0) = \sup_D p_a(D), \quad \text{where } D \text{ is a positive cycle.}$$

Here, the integer  $p_a(D)$  is the virtual genus of the positive cycle on  $M$ .

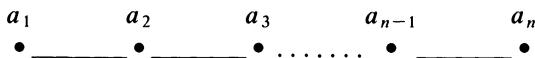
*Remark 3.2.* Both  $p_g$  and  $p_a$  are invariants of the singularity, i.e., independent of the choice of the resolutions (see for example, [18]).

**THEOREM 3.3.** *Let  $(V, 0)$  and  $(W, 0)$  be two isolated hypersurface two dimensional singularities. Suppose that they have the same topological type. Then  $p_a(V, 0) = p_a(W, 0)$  and  $p_g(V, 0) = p_g(W, 0)$ .*

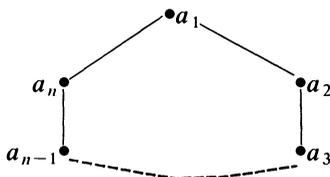
*Proof.* Since  $(V, 0)$  and  $(W, 0)$  have the same topological type, the fundamental groups of the links of  $(V, 0)$  and  $(W, 0)$  are isomorphic (see for example [15]). Thus, by the result fo Neumann [13], the minimal reso-

lution graph  $\Gamma_V$  of  $(V, 0)$  is the same as the minimal resolution graph  $\Gamma_W$  of  $(W, 0)$  except the following two cases:

*Case 1.* Both  $\Gamma_V$  and  $\Gamma_W$  are exactly those of the form below with all  $a_i \leq -2$



*Case 2.* Both  $\Gamma_V$  and  $\Gamma_W$  are exactly those of the form below with  $a_i \leq -2$  and one  $a_i \leq -3$ .



In Case 1, we have  $p_g(V, 0) = p_a(V, 0) = 0 = p_a(W, 0) = p_g(W, 0)$ , while in Case 2 we have  $p_g(V, 0) = p_a(W, 0) = 1 = p_a(W, 0) = p_g(W, 0)$ .

In order to finish the proof of the theorem, we may assume that  $\Gamma_V$  is the same as  $\Gamma_W$ . Since one can compute arithmetic genus from the resolution graph, we conclude that  $p_a(V, 0) = p_a(W, 0)$ . It is well known that Milnor number  $\mu$  of an isolated hypersurface singularity is an invariant of topological type (see for example [17]). Therefore,  $\mu(V, 0) = \mu(W, 0)$ . On the other hand, Laufer’s formula [9], says that

$$1 + \mu = K^2 + \chi_T(A) + 12p_g$$

where  $\chi_T(A)$  is the topological Euler characteristic of  $A$ . Since  $K^2$  and  $\chi_T(A)$  can be computed from the resolution graph, it follows that  $p_g(V, 0) = p_g(W, 0)$ . Q.E.D.

**THEOREM 3.4.** *Let  $(V, 0)$  and  $(W, 0)$  be two isolated two dimensional hypersurface singularities in  $\mathbb{C}^3$  having the same topological type. If  $p_a(V, 0) \leq 2$ , then  $\nu(V, 0) = \nu(W, 0)$  where  $\nu(V, 0)$  and  $\nu(W, 0)$  are the multiplicities of  $(V, 0)$  and  $(W, 0)$  respectively.*

*Proof.* Let  $U$  be a Stein open neighborhood of  $0$  in  $\mathbb{C}^3$ . We shall assume without loss of generality that  $V$  is a closed subvariety in  $U$  with  $0$  as

its only isolated singularity. By a theorem of Levi-Zariski, there exists a proper holomorphic map  $\varphi : \tilde{U} \rightarrow U$ , with  $\tilde{U}$  smooth, inducing an isomorphism  $\tilde{U} - \varphi^{-1}(0) \rightarrow U - \{0\}$ , and such that  $M$ , the closure in  $\tilde{U}$  of  $\varphi^{-1}(V - \{0\})$ , is smooth. (Whence the induced map  $\pi : M \rightarrow V$  is a desingularization.)  $\varphi$  is a composition of a sequence of permissible transformations, a permissible transformation being one obtained by blowing up either a point or a smooth curve. Moreover, the centers of the permissible transformations can be chosen to be either a  $\nu$ -fold point or  $\nu$ -fold curve ( $\nu \geq 2$ ) in the proper transform of  $V$  relative to the previous permissible transformations. (See [25], [26] or lecture 3 of [11a]).

$$\begin{array}{ccc} M & \xrightarrow{\iota} & \tilde{U} \\ \downarrow \pi & & \downarrow \varphi \\ V & \subseteq & U \end{array}$$

For any divisor  $D$  in  $\tilde{U}$ , we denote by  $[D]$  the associated complex line bundle over  $\tilde{U}$ . Let  $K_M$  and  $K_{\tilde{U}}$  be the canonical bundles of  $M$  and  $\tilde{U}$  respectively. Then

$$(3.1) \quad K_M = \iota^*(K_{\tilde{U}} \otimes [M]).$$

Let  $E_\varphi$  be the degenerate divisor defined by the vanishing of the Jacobian of  $\varphi$ . Then

$$(3.2) \quad K_{\tilde{U}} = \varphi^*K_U \otimes [E_\varphi].$$

Let  $B_1, \dots, B_m$  be the irreducible components of the degenerate divisor  $E_\varphi$ . Let  $A_1, \dots, A_n$  be the irreducible curves of  $\pi^{-1}(0)$ , the exceptional set in  $M$ . Then

$$(3.3) \quad \iota^*[B_i] = \prod_{j=1}^n [A_j]^{\alpha_{ij}}$$

where  $\alpha_{ij}$  are nonnegative integers; furthermore

$$(3.4) \quad [E_\varphi] = \prod_{i=1}^m [B_i]^{\rho_i}$$

and

$$(3.5) \quad \varphi^*[V] = [M] \otimes \prod_{j=1}^m [B_j]^{\gamma_j}$$

where  $\rho_i$  and  $\gamma_i$  are positive integers. Thus it follows from (3.1), (3.2), (3.4) and (3.5) that

$$\begin{aligned} K_M &= \iota^*(K_{\bar{U}} \otimes [M]) \\ &= \iota^*(\varphi^*K_U \otimes [E_\varphi]) \otimes \iota^*[M] \\ &= \iota^*(\varphi^*K_U \otimes [E_\varphi]) \otimes \iota^*\varphi^*[V] \otimes \iota^* \prod_{i=1}^m [B_i]^{-\gamma_i} \\ &= \iota^*\varphi^*(K_U \otimes [V]) \otimes \prod_{i=1}^m [B_i]^{\rho_i - \gamma_i}. \end{aligned}$$

Because by restricting to a suitable Stein open neighborhood of 0 in  $\mathbb{C}^3$ , the bundle  $K_U \otimes [V]$  is trivial, therefore

$$(3.6) \quad K_M = \prod_{i=1}^m [B_i]^{\rho_i - \gamma_i}.$$

We shall examine the behavior of  $\rho_i$  and  $\gamma_i$  in the  $\sigma$ -process. We consider a fixed  $B_i$ . There are two cases. Case 1:  $B_i$  is obtained by  $\sigma$ -process at a point  $q$ . Case 2:  $B_i$  is obtained by  $\sigma$ -process along a curve  $C$ . Let  $B_{i_1}, \dots, B_{i_k}$  be those inserted divisors in the preceding  $\sigma$ -process, which contain  $q$  (respectively  $C$ ). For the proper transform of  $V$  relative to the previous  $\sigma$ -process, let  $q$  be a point with multiplicity  $\nu_i \geq 2$  (respectively  $C$  a  $\nu_i$ -fold curve,  $\nu_i \geq 2$ ). Then

$$(3.7) \quad \gamma_i = \gamma_{i_1} + \dots + \gamma_{i_k} + \nu_i.$$

Because the  $\sigma$ -process at a point (along a curve respectively) relative to a suitable coordinate is described by the map  $(z_1, z_2, z_3) \rightarrow (z_1, z_1z_2, z_1z_3)$  ( $(z_1, z_2, z_3) \rightarrow (z_1, z_1z_2, z_3)$  respectively), and the Jacobian of this map is  $z_1^2$  ( $z_1$  respectively), one obtains

$$(3.8) \quad \rho_i = \rho_{i_1} + \cdots + \rho_{i_k} + 2 \quad \text{in case 1}$$

$$(3.9) \quad \rho_i = \rho_{i_1} + \cdots + \rho_{i_k} + 1 \quad \text{in case 2}$$

Let  $f$  be a generic holomorphic function on  $\mathbf{C}^3$  which vanishes at the origin. Let  $\mu_i$  be the order to which  $f$  vanishes along  $B_i$  so that

$$[(f)] = \prod_{i=1}^m [B_i]^{\mu_i} \otimes [D]$$

where  $D$  is the proper transform of the divisor of  $f$  in  $\mathbf{C}^3$ . By the definition of maximal ideal cycle, we have

$$(3.10) \quad [Y] = \iota^* \prod_{i=1}^m [B_i]^{\mu_i}$$

i.e.,

$$Y = \sum_{j=1}^n \left( \sum_{i=1}^m \mu_i \alpha_{ij} \right) A_j.$$

It follows from (3.7), (3.8) and (3.9) that

$$(3.11) \quad \rho_i - \gamma_i = (\pi_{i_1} - \gamma_{i_1}) + \cdots + (\rho_{i_k} - \gamma_{i_k}) + (2 - \nu_i) \quad \text{in case 1}$$

$$(3.12) \quad \rho_i - \gamma_i = (\rho_{i_1} - \gamma_{i_1}) + \cdots + (\rho_{i_k} - \gamma_{i_k}) + (1 - \nu_i) \quad \text{in case 2}$$

Notice that  $f$  is generic. So we can choose  $f$  general enough so that the proper transforms of  $f$  do not contain the centers of the blow-ups. Hence we have

$$(3.13) \quad \mu_i = \mu_{i_1} + \cdots + \mu_{i_k}.$$

We shall prove by induction that

$$(3.14) \quad \rho_i - \gamma_i \leq (2 - \nu) \mu_i$$

where  $\nu = \nu(V, 0)$  is the multiplicity of  $V$  at  $0$ . Notice that  $\mu_{i_1} = 1$  and

$\nu_{i_1} = \nu$ . It follows from (3.11) that  $\rho_{i_1} - \gamma_{i_1} = 2 - \nu_{i_1} = (2 - \nu)\mu_{i_1}$ . By induction hypothesis and (3.13), we have

$$\sum_{\lambda=1}^k (\rho_{i_\lambda} - \gamma_{i_\lambda}) \leq (2 - \nu) \sum_{\lambda=1}^k \mu_{i_\lambda} = (2 - \nu)\mu_i.$$

Clearly from (3.11) and (3.12), we have

$$\begin{aligned} (3.15) \quad \rho_i - \gamma_i &\leq (\rho_{i_1} - \gamma_{i_1}) + \cdots + (\rho_{i_k} - \gamma_{i_k}) + (2 - \nu_i) \\ &\leq (2 - \nu)\mu_i + (2 - \nu_i) \\ &\leq (2 - \nu)\mu_i. \end{aligned}$$

(3.6), (3.10) and (3.15) imply that

$$K_M \leq (2 - \nu)Y$$

i.e., there exists a positive cycle  $D$  such that

$$(3.16) \quad -K_M = (\nu - 2)Y + D.$$

By the definition of arithmetic genus, we have for any positive integer  $s$

$$\begin{aligned} p_a &\leq p_a(sY) \\ &= \frac{1}{2} sY \cdot (sY + K_M) + 1 \\ &= \frac{1}{2} sY \cdot [sY + (2 - \nu)Y - D] + 1 \quad \text{by (3.16)} \\ &= \frac{1}{2} s(s + 2 - \nu)Y^2 - \frac{1}{2} sY \cdot D + 1 \end{aligned}$$

Since the resolution  $\pi : M \rightarrow V$  factors through the blowing up of the maximal ideal sheaf  $m$  of  $(V, 0)$  by construction, we see that  $\mathcal{O}_M$  is locally principal and  $\mathcal{O}_M = \mathcal{O}_M(-Y)$ . By the results of [18] and [21], we have  $\nu = -Y^2$  and  $Y \cdot D \leq 0$ . Therefore we have

$$\begin{aligned}
 (3.17) \quad p_a &\geq -\frac{1}{2}s(s+2-\nu)\nu + 1 \\
 &= \frac{1}{2}s\nu(\nu-s-2) + 1
 \end{aligned}$$

There are two cases:

*Case 1.*  $\nu$  is odd and  $\nu \geq 3$ : Take  $s = (\nu - 1)/2$  in (3.17). We get

$$(3.18) \quad p_a \geq \frac{\nu(\nu-1)(\nu-3)}{8} + 1$$

*Case 2.*  $\nu$  is even and  $\nu \geq 3$ : Take  $s = (\nu - 2)/2$ , we get

$$(3.19) \quad p_a \geq \frac{\nu(\nu-2)^2}{8} + 1.$$

Since  $(V, 0)$  and  $(W, 0)$  have the same topological type, by Theorem 3.3, we have  $p_a(W, 0) = p_a(V, 0) \leq 2$ . In view of (3.18) and (3.19), we see that  $\nu(V, 0) \leq 3$  and  $\nu(W, 0) \leq 3$ . Using the deep work of A'Campo [1], Lê and Teisser [11] observed that a surface in  $\mathbb{C}^3$  having at 0 a singularity of multiplicity 2 cannot have the same topological type at 0 as another surface of multiplicity different from 2. It follows immediately that  $\nu(V, 0) = \nu(W, 0)$ . Q.E.D.

*Remark 3.5.* A similar argument in the proof of Theorem 3.4 was presented by us in an Algebraic Geometry Seminar in March, 1982 at U.I.C. to give a complete topological classification of weakly elliptic two dimensional hypersurface singularities (cf. [23]). However the above results was inspired by the introduction of Laufer's paper [8].

**THEOREM 3.6.** *Let  $(V, 0)$  be an isolated two dimensional hypersurface singularity with multiplicity  $\nu$ . Let  $K$  be the canonical divisor on the minimal resolution of  $(V, 0)$ . Then*

$$-K \cdot K \geq 2 + \nu(\nu - 1)(\nu - 3).$$

*Proof.* Let  $m$  denote the maximal ideal sheaf at 0 of  $(V, 0)$ . There is a minimal resolution  $\pi' : (M', A') \rightarrow (V, 0)$  such that  $\pi'^*(m)$  has no

embedded points.  $\pi'$  is obtained by starting with the minimal resolution  $\pi$  and successively blowing up at all embedded points. Then [18],  $\nu = -Y' \cdot Y'$ . Consider any two resolutions  $\pi_1$  and  $\pi_2$  of  $(V, 0)$  with corresponding maximal ideal cycles  $Y_1$  and  $Y_2$ , canonical cycles  $K_1$  and  $K_2$  respectively. If  $\pi_2$  is obtained from  $\pi_1$  by blowing up at an embedded point  $q$ , then

$$(3.20) \quad Y_2 = \lambda^{-1}(Y_1) + cA_l \quad c \geq 1$$

and

$$(3.21) \quad K_2 = \lambda^{-1}(K_1) + J$$

where  $\pi_2 = \pi_1 \circ \lambda$ ,  $\lambda^{-1}(q) = A_l$ ,  $\lambda^{-1}(Y_1)$  and  $\lambda^{-1}(K_1)$  are total transforms of  $Y_1$  and  $K_1$  respectively and  $J$  is the divisor of the Jacobian of  $\lambda$ . It follows that

$$(3.22) \quad -Y_2 \cdot Y_2 \geq 1 - Y_1 \cdot Y_1$$

$$(3.23) \quad -K_2 \cdot K_2 = K_1 \cdot K_1 + 1.$$

Hence at most  $\nu - 1$  blowups are required to reach  $\pi'$  from  $\pi$ . Then

$$(3.24) \quad -K' \cdot K' \leq -K \cdot K + (\nu - 1).$$

Let  $\pi''(M'', A'') \rightarrow (V, 0)$  be the Levi-Zariski resolution. Since the Levi-Zariski resolution is obtained by first blowing up the maximal ideal sheaf of  $0$  at  $(V, 0)$ ,  $\pi''^*(m)$  is locally principal. Then  $\pi'' = \pi' \circ \tau$  for a suitable composition of quadratic transformations  $\tau$ . By (3.16), we have

$$(3.25) \quad -K'' = (\nu - 2)Y'' + D''$$

where  $K''$  is the canonical cycle,  $Y''$  is the maximal ideal cycle and  $D''$  is a positive cycle on  $M''$ . Let  $Y' = \sum y'_i A'_i$  and  $K' = \sum k'_i A'_i$  be the maximal cycle and canonical cycle on  $M'$  respectively. From (3.20) and (3.21), we see that for each  $A'_i$ , we may associate a  $k'_i$  and  $y'_i$  which remain unchanged under taking proper transforms. In view of (3.25), we have

$$(3.26) \quad -K' = (\nu - 2)Y' + D'$$

where  $D'$  is a positive cycle on  $M'$ . Since  $A'_i \cdot Y' \leq 0$  for all  $i$ ,

$$(3.27) \quad K' \cdot K' \leq (\nu - 2)^2 Y' \cdot Y' = -\nu(\nu - 2)^2.$$

Notice that the equality in (3.27) holds if and only if  $D' = 0$  i.e.,  $-K' = (\nu - 2)Y'$ . (3.24) and (3.27) yield

$$(3.28) \quad -K \cdot K \geq \nu(\nu - 1)(\nu - 3) + 1.$$

Notice that if the equality in (3.28) holds, then exactly  $\nu - 1$  blow ups are required to reach  $\pi'$  from  $\pi$ . Since  $-Y' \cdot Y' \geq \nu - 1 - Y \cdot Y$  and  $-Y' \cdot Y' = \nu$ , we have  $Y \cdot Y = -1$ . For any  $f \in m$ , the divisor  $(f)_M$  on  $M$  is of the form

$$(f)_M = \sum_{j=1}^n (v_j(f))A_j + D_f$$

where  $D_f$  is the proper transform of the divisor  $(f)_V$  on  $V$ . Then  $Y = \sum_{j=1}^n (\min_{f \in m} v_j(f))A_j$ . Let  $q$  be an embedded point in  $A_1 \cap \dots \cap A_r$  where  $r \geq 1$ . There is a  $g \in m$  such that  $v_1(g) = y_1, v_2(g) = Y_2, \dots, v_r(g) = Y_r$ . If  $D_g$  does not pass through  $q$ , then  $m$  is locally principal around  $q$ . Thus we conclude that  $A_i \cdot \sum_{j=1}^n (v_j(g))A_j < 0$ , for  $1 \leq i \leq r$ . Now

$$\begin{aligned} Y \cdot A_i &= y_i A_i^2 + \sum_{j \neq i} y_j (A_i \cdot A_j) \\ &\leq y_i A_i^2 + \sum_{j \neq i} v_j(g) (A_i \cdot A_j) \\ &= A_i \cdot \sum_{j=1}^n (v_j(g))A_j < 0 \end{aligned}$$

for all  $1 \leq i \leq r$ . Since  $Y \cdot Y = -1$ , there is a unique  $A_1$  such that  $A_1 \cdot Y = -1$  and the coefficient  $y_1$  of  $Y$  in  $A_1$  is one. Therefore there is only one embedded point in the smooth part of  $A_1 - \cup_{j \neq 1} A_j$ . The equality of (3.28) also implies  $-K' = (\nu - 2)Y'$ . Let  $\pi_1 : M_1 \rightarrow M$  be the blowing up of  $M$  at  $q$ . Then we also have  $-K_1 = (\nu - 2)Y_1$  and  $-K = (\nu - 2)Y$  since  $M'$  dominates both  $M_1$  and  $M$ . Denote  $A'_i$  be the proper transform of  $A_i$  and  $A_{n+1}^1$  be  $\pi_1^{-1}(q)$ . Let  $k'_{n+1}$  and  $y'_{n+1}$  be the coefficients of  $A_{n+1}^1$  in  $K_1$

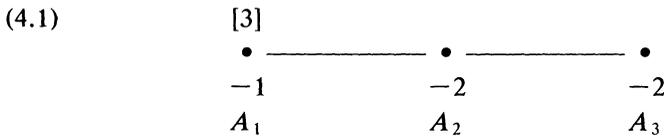
and  $Y_1$  respectively. Observe that  $k_1 = -(\nu - 2)$  and  $y_1 = 1$ . It follows from (3.20) and (3.21) that  $k_{n+1}^1 = -(\nu - 2) + 1$  and  $y_{n+1}^1 = 2$ . Since  $-k_{n+1}^1 = (\nu - 2)y_{n+1}^1$ , we have  $\nu = 1$ . This contradicts to the fact that  $V$  is singular at 0. Hence our theorem follows. Q.E.D.

We have the following necessary conditions on a weighted dual graph  $\Gamma$  to come from a hypersurface singularity.

**THEOREM 3.7.** *Let  $\Gamma$  be the weighted dual graph of the minimal resolution of an isolated two dimensional hypersurface singularity. Then there is a cycle  $K = \sum k_i A_i$  on  $\Gamma$ , with integer coefficient, which satisfies the adjunction formula. Let  $Z = \sum z_i A_i$  be the fundamental cycle. Then  $-K \geq (-Z \cdot Z - 2)Z$  i.e., for all  $i$ ,  $-k_i \geq (-Z \cdot Z - 2)z_i$ .*

*Proof.* Let  $Y$  be the maximal ideal cycle on  $A$ . Then  $Y \geq Z$ . Moreover, the multiplicity  $\nu$  satisfies  $\nu \geq -Z \cdot Z$ . Then Theorem 3.7 follows directly from (3.25), (3.20) and (3.21). Q.E.D.

**4. Example.** In this section we shall demonstrate by an example that our results are sharper than those obtained by Laufer [8]. Consider weighted dual graph  $\Gamma$  as shown below



where genera equal to 0 are omitted from the labeling. Laufer [8] has given some weighted-homogeneous representatives for  $\Gamma$  with their multiplicities and Milnor numbers

(4.2)

Equation	multiplicity	Milnor number
$z^2 + x^7 + y^{42} = 0$	$\nu = 2$	$\mu = 246$
$z^2 + y(x^{12} + y^{18}) = 0$	$\nu = 2$	$\mu = 210$
$z^3 + x^4 + y^{36} = 0$	$\nu = 3$	$\mu = 210$

Laufer knows of no isolated hypersurface singularity  $(V, 0)$  having (4.1) as the weighted dual graph of its minimal resolution which does not lie in a  $\mu$ -constant family which contains one of the three singularities in (4.2). He has shown that the multiplicity  $\nu$  of isolated hypersurface singu-

larity  $(V, 0)$  having (4.1) as the weighted dual graph of its minimal resolution is at most 6. Since  $K = -15A_1 - 10A_2 - 5A_3$ , we see that  $-K^2 = 75$ . It follows from Theorem 3.6 that  $\nu$  is actually at most 5.

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