Numerical Homotopies for Decomposing Solution Sets of Polynomial Systems

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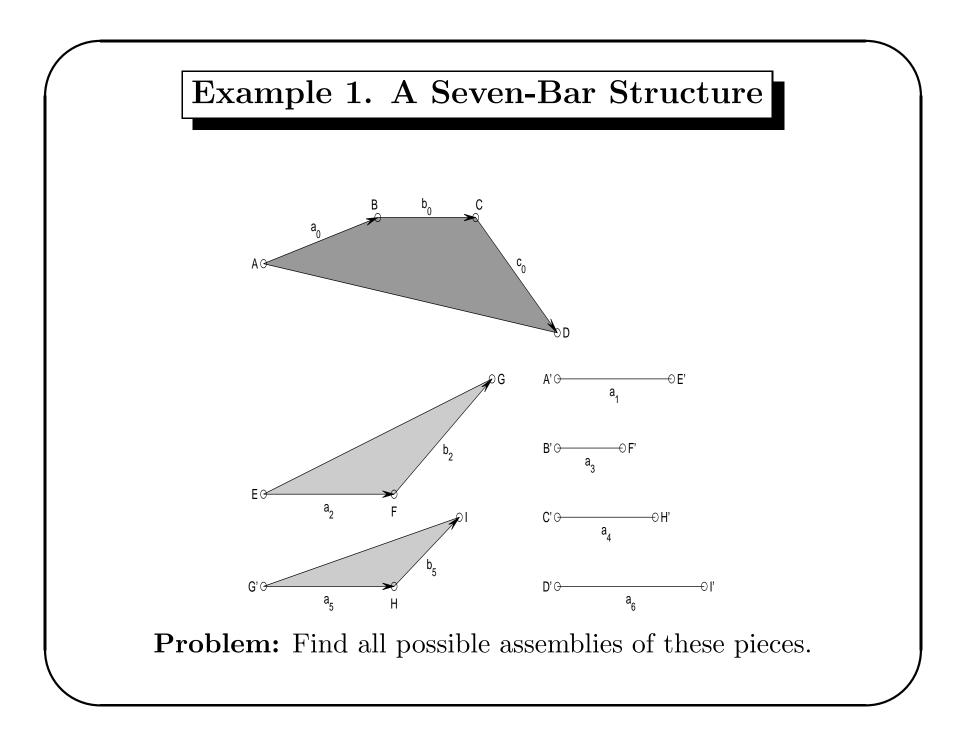
and Charles W. Wampler (General Motors R & D)

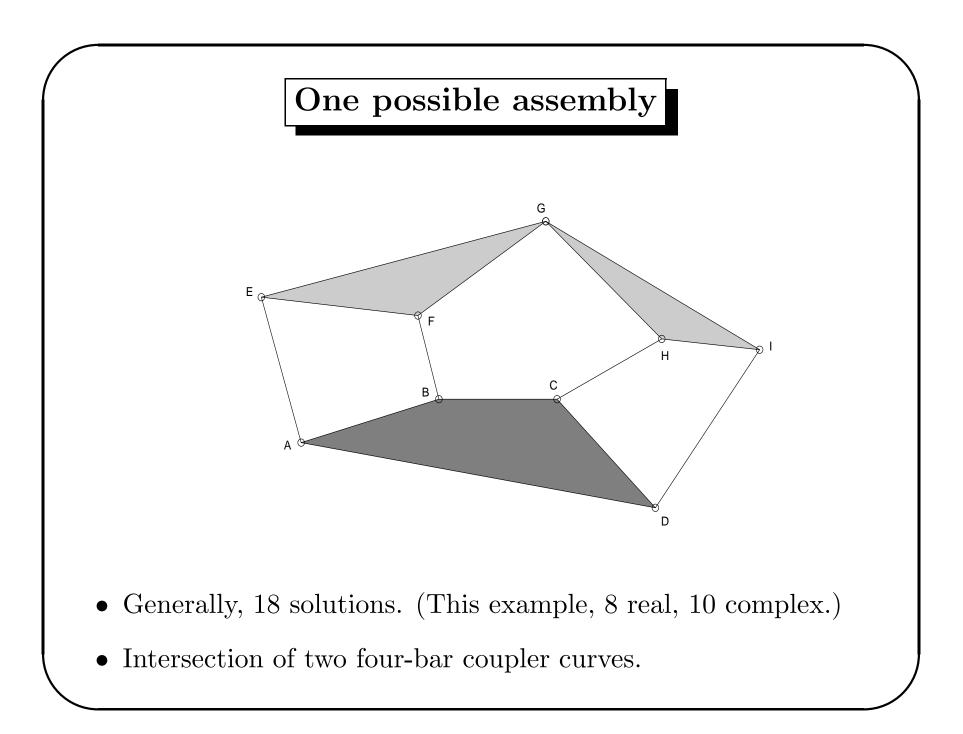
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Outline of Talk

- 1. Some Motivating Examples
- 2. Numerical Algebraic Geometry
 - homotopy continuation methods
 - numerical irreducible decomposition
- 3. Incrementally Solving Polynomial Systems
 - diagonal homotopies to intersect components
 - intrinsic and extrinsic representations
- 4. Results on the Examples





Question:

What if the four-bars have the same coupler curve (Roberts cognates)?

- Structure has mobility = 0.
- The common four-bar coupler curve (degree 6) is a solution.
- Is the four-bar curve the only solution?
- This is an overconstrained mechanism.
 - How do we treat it numerically?

Example 2. Spatial Six-Positions

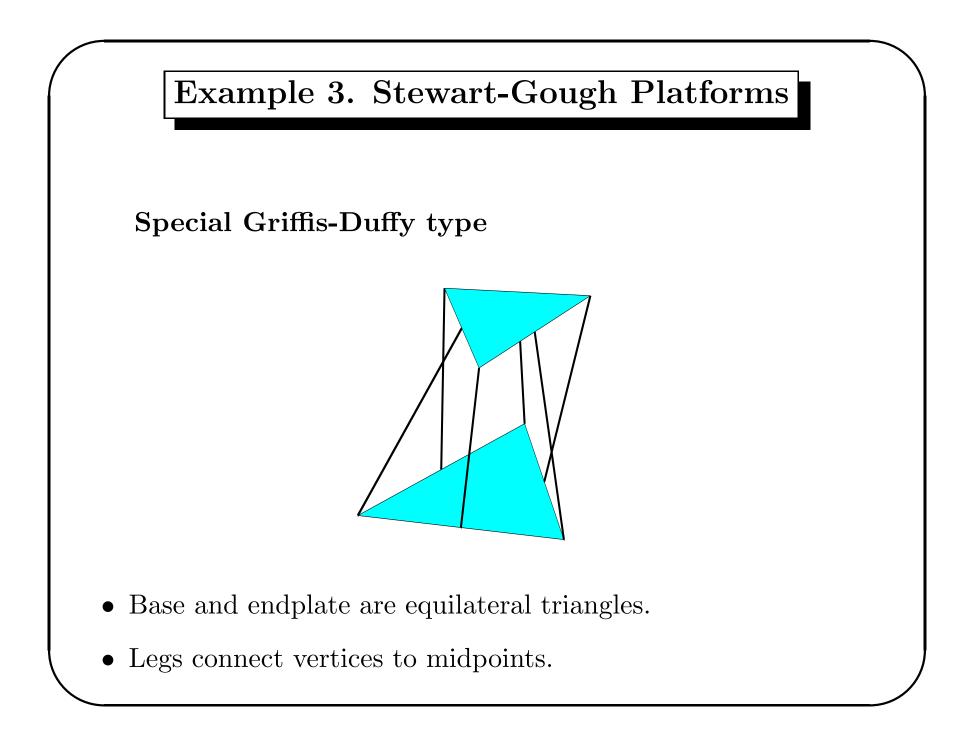
Planar Body Guidance (Burmester 1874)

- 5 positions determine 6 circle-point/center-point pairs
- 4 positions give cubic circle-point & center-point curves

Spatial Body Guidance (Shoenflies 1886)

- 7 positions determine 20 sphere-point/center-point pairs
- 6 positions give 10th-degree sphere-point & center-point curves

Question: Can we confirm this result using continuation?



Results of Husty and Karger

Self-motions of Griffis-Duffy type parallel manipulators. In Proc. 2000 IEEE Int. Conf. Robotics and Automation (CDROM), 2000.

The special Griffis-Duffy platforms move:

- Case 1: Plates not equal, legs not equal.
 - Curve is degree 20 in Euler parameters.
 - Curve is degree 40 in position.
- Case 2: Plates congruent, legs all equal.
 - Factors are degrees (4+4) + 6 + 2 = 16 in Euler parameters.
 - Factors are degrees (8+8) + 12 + 4 = 32 in position.

Question: Can we confirm these results numerically?

2. Numerical Homotopy Continuation Methods

If we wish to solve $f(\mathbf{x}) = \mathbf{0}$, then we construct a system $g(\mathbf{x}) = \mathbf{0}$ whose solutions are known. Consider the *homotopy*

$$H(\mathbf{x},t) := (1-t)g(\mathbf{x}) + tf(\mathbf{x}) = \mathbf{0}.$$

By *continuation*, we trace the paths starting at the known solutions of $g(\mathbf{x}) = \mathbf{0}$ to the desired solutions of $f(\mathbf{x}) = \mathbf{0}$, for t from 0 to 1.

homotopy continuation methods are *symbolic-numeric*: homotopy methods treat polynomials as algebraic objects, continuation methods use polynomials as functions.

Solution sets to polynomial systems

Polynomial in One Variable	System of Polynomials		
one equation, one variable	n equations, N variables		
solutions are points points, lines, surfaces, .			
double roots	sets with multiplicity		
Factorization: $\prod_{i} (x - a_i)^{\mu_i}$	Irreducible Decomposition		
Numerical Representation			
set of points	set of witness point sets		

An Illustrative Example

$$f(x, y, z) = \begin{cases} (y - x^2)(x^2 + y^2 + z^2 - 1)(x - 0.5) = 0\\ (z - x^3)(x^2 + y^2 + z^2 - 1)(y - 0.5) = 0\\ (y - x^2)(z - x^3)(x^2 + y^2 + z^2 - 1)(z - 0.5) = 0 \end{cases}$$

Irreducible decomposition of $Z = f^{-1}(\mathbf{0})$ is

 $Z = Z_2 \cup Z_1 \cup Z_0 = \{Z_{21}\} \cup \{Z_{11} \cup Z_{12} \cup Z_{13} \cup Z_{14}\} \cup \{Z_{01}\}$ with 1. Z_{21} is the sphere $x^2 + y^2 + z^2 - 1 = 0$, 2. Z_{11} is the line $(x = 0.5, z = 0.5^3)$, 3. Z_{12} is the line $(x = \sqrt{0.5}, y = 0.5)$, 4. Z_{13} is the line $(x = -\sqrt{0.5}, y = 0.5)$, 5. Z_{14} is the twisted cubic $(y - x^2 = 0, z - x^3 = 0)$, 6. Z_{01} is the point (x = 0.5, y = 0.5, z = 0.5).

Witness Point Sets

- A witness point is a solution of a polynomial system which lies on a set of generic hyperplanes.
 - The <u>number of generic hyperplanes</u> used to isolate a point from a solution component

equals the **dimension** of the solution component.

• The <u>number of witness points</u> on one component cut out by the same set of generic hyperplanes

equals the **degree** of the solution component.

A witness point set for a k-dimensional solution component consists of k random hyperplanes and a set of isolated solutions of the system cut with those hyperplanes.

Membership Test

Does the point **z** belong to a component?

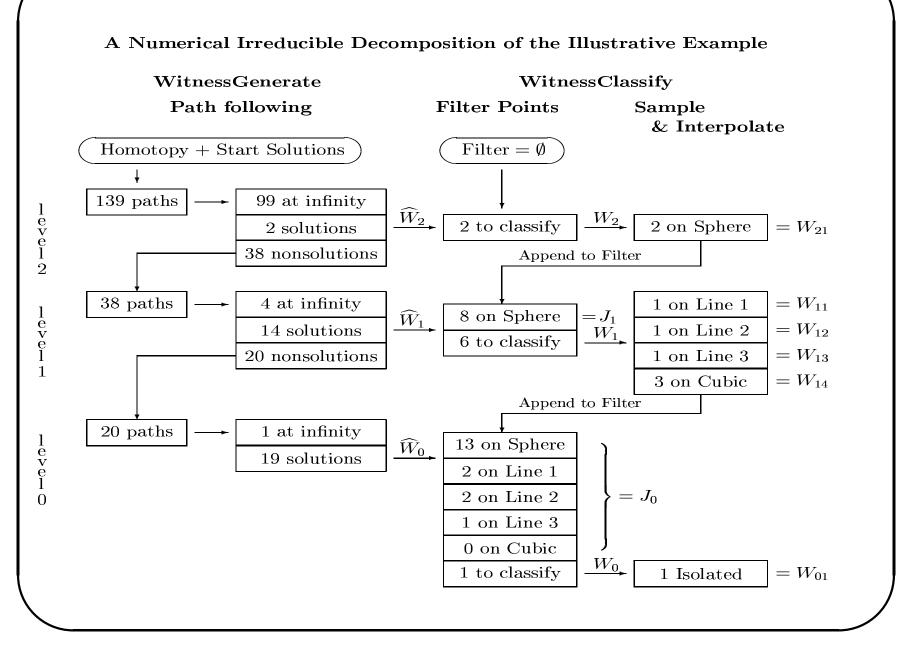
- Given: a point in space $\mathbf{z} \in \mathbb{C}^N$; a system $f(\mathbf{x}) = \mathbf{0}$; and a witness point set W, W = (Z, L): for all $\mathbf{w} \in Z : f(\mathbf{w}) = \mathbf{0}$ and $L(\mathbf{w}) = \mathbf{0}$.
- 1. Let $L_{\mathbf{z}}$ be a set of hyperplanes through \mathbf{z} , and define

$$H(\mathbf{x},t) = \begin{cases} f(\mathbf{x}) = \mathbf{0} \\ L_{\mathbf{z}}(\mathbf{x})t + L(\mathbf{x})(1-t) = \mathbf{0} \end{cases}$$

Trace all paths starting at w ∈ Z, for t from 0 to 1.
 The test (z, 1) ∈ H⁻¹(0)? answers the question above.

Numerical Algebraic Geometry Dictionary

Algebraic Geometry	example in 3-space	Numerical Analysis	
variety	collection of points, algebraic curves, and algebraic surfaces	 polynomial system + union of witness point sets, see below for the definition of a witness point 	
irreducible variety	a single point, or a single curve, or a single surface	polynomial system + witness point set + probability-one membership test	
generic point on an irreducible variety	random point on an algebraic curve or surface	point in witness point set; a witness point is a solution of polynomial system on the variety and on a random slice whose codimension is the dimension of the variety	
pure dimensional variety	one or more points, or one or more curves, or one or more surfaces	polynomial system + set of witness point sets of same dimension + probability-one membership tests	
irreducible decomposition of a variety	several pieces of different dimensions	 polynomial system + array of sets of witness point sets and probability-one membership tests 	



History of Numerical Irreducible Decomposition

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Numerical Factorization of Multivariate Polynomials

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Monodromy to Decompose Solution Components

Given: a system
$$f(\mathbf{x}) = \mathbf{0}$$
; and $W = (Z, L)$:

for all
$$\mathbf{w} \in Z : f(\mathbf{w}) = \mathbf{0}$$
 and $L(\mathbf{w}) = \mathbf{0}$.

Wanted: partition of Z so that all points in a subset of Z lie on the same irreducible factor.

Example: does f(x, y) = xy - 1 = 0 factor?

Consider
$$H(x, y, \theta) = \begin{cases} xy - 1 = 0 \\ x + y = 4e^{i\theta} \end{cases}$$
 for $\theta \in [0, 2\pi]$.

For $\theta = 0$, we start with two real solutions. At $\theta = \pi$, the real solutions have turned complex. Back at $\theta = 2\pi$, we have again two real solutions, but their order is permuted \Rightarrow irreducible.

Connecting Witness Points

1. For two sets of hyperplanes K and L, and a random $\gamma \in \mathbb{C}$

$$H(\mathbf{x}, t, K, L, \gamma) = \begin{cases} f(\mathbf{x}) = \mathbf{0} \\ \gamma K(\mathbf{x})(1-t) + L(\mathbf{x})t = \mathbf{0} \end{cases}$$

We start paths at t = 0 and end at t = 1.

- For α ∈ C, trace the paths defined by H(x, t, K, L, α) = 0.
 For β ∈ C, trace the paths defined by H(x, t, L, K, β) = 0.
 Compare start points of first path tracking with end points of second path tracking. Points which are permuted belong to the same irreducible factor.
- 3. Repeat the loop with other values of α and β .

Linear Traces

Consider
$$f(x, y(x)) = (y - y_1(x))(y - y_2(x))(y - y_3(x))$$

= $y^3 - t_1(x)y^2 + t_2(x)y - t_3(x)$

We are interested in the linear trace: $t_1(x) = c_1 x + c_0$.

Sample the cubic at $x = x_0$ and $x = x_1$. The samples are $\{(x_0, y_{00}), (x_0, y_{01}), (x_0, y_{02})\}$ and $\{(x_1, y_{10}), (x_1, y_{11}), (x_1, y_{12})\}$.

Solve
$$\begin{cases} y_{00} + y_{01} + y_{02} = c_1 x_0 + c_0 \\ y_{10} + y_{11} + y_{12} = c_1 x_1 + c_0 \end{cases}$$
 to find c_0, c_1 .

With t_1 we can predict the sum of the y's for a fixed choice of x. For example, samples at $x = x_2$ are $\{(x_2, y_{20}), (x_2, y_{21}), (x_2, y_{22})\}$. Then, $t_1(x_2) = c_1x_2 + c_0 = y_{20} + y_{21} + y_{22}$.

Validation of Breakup with Linear Trace

Do we have enough witness points on a factor?

- We may not have enough monodromy loops to connect all witness points on the same irreducible component.
- For a k-dimensional solution component, it suffices to consider a curve on the component cut out by k - 1 random hyperplanes. The factorization of the curve tells the decomposition of the solution component.
- We have enough witness points on the curve if the value at the linear trace can predict the sum of one coordinate of all points in the set.

Numerical Irreducible Decomposition

In computing a numerical irreducible decomposition of a given polynomial system, we typically run through the following steps:

- 1. **Embed** (phc -c) add #random hyperplanes = top dimension, add slack variables to make the system square
- 2. **Solve** (phc -b) solve the system constructed above
- 3. WitnessGenerate apply a sequence of homotopies to compute (phc -c) witness point sets on all solution components
 4. WitnessClassify filter junk from witness point sets
 - (phc -f) factor components into irreducible components

Especially step 2 is a computational bottleneck. We recently discovered and implemented a new algorithm.

3. Solving Systems Incrementally

• Extrinsic and Intrinsic Deformations

extrinsic : defined by explicit equations

intrinsic : following the actual geometry

• Diagonal Homotopies

 \rightarrow to intersect pure dimensional solution sets

• Intersecting with Hypersurfaces

adding the polynomial equations one after the other we arrive at an incremental polynomial system solver.

Extrinsic Homotopy Deformations

 $f(\mathbf{x}) = \mathbf{0}$ has k-dimensional solution components. We cut with k hyperplanes to find isolated solutions = witness points sets :

$$a_{i0} + \sum_{j=1}^{n} a_{ij} x_j = 0, \quad i = 1, 2, \dots, k, \quad a_{ij} \in \mathbb{C}$$
 random

Sample
$$\begin{cases} f(\mathbf{x}) + \gamma \mathbf{z} = 0 & \mathbf{z} = slack\\ a_{i0}(t) + \sum_{j=1}^{n} a_{ij}(t) x_j = 0 & moving \end{cases}$$

#witness points =
$$\sum_{\substack{C \subseteq f^{-1}(0)\\\dim(C) = k}} \deg(C)$$

Embedding with Slack Variables

The cyclic 4-roots system defines 2 quadrics in \mathbb{C}^4 :

$$\begin{cases} \begin{cases} x_1 + x_2 + x_3 + x_4 + \gamma_1 z = 0\\ x_1 x_2 + x_2 x_3 + x_3 x_4 + x_4 x_1 + \gamma_2 z = 0\\ x_1 x_2 x_3 + x_2 x_3 x_4 + x_3 x_4 x_1 + x_4 x_1 x_2 + \gamma_3 z = 0\\ x_1 x_2 x_3 x_4 - 1 + \gamma_4 z = 0\\ a_0 + a_1 x_1 + a_2 x_2 + a_3 x_3 + a_4 x_4 + z = 0 \end{cases}$$

Original system : 4 equations in x_1, x_2, x_3 , and x_4 .
Cut with random hyperplane to find isolated points.

Slack variable z with random γ_i , i = 1, 2, 3, 4: square system.

Solve embedded system to find 4 = 2+2 witness points as isolated solutions with z = 0.

Intrinsic Homotopy Deformations

 $f(\mathbf{x}) = \mathbf{0}$ has k-dimensional solution components. We cut with a random affine (n - k)-plane to find witness points :

$$\mathbf{x}(\lambda) = \mathbf{b} + \sum_{i=1}^{n-k} \lambda_i \mathbf{v}_i \in \mathbb{C}^n$$

The vectors \mathbf{b} and \mathbf{v}_i are choosen at random.

Sample
$$f\left(\mathbf{x}(\lambda, t) = \mathbf{b}(t) + \sum_{i=1}^{n-k} \lambda_i \mathbf{v}_i(t)\right) = \mathbf{0}$$

Points on the moving (n-k)-plane are determined by n-kindependent variables λ_i , i = 1, 2, ..., n-k.

#independent variables = co-dimension

 $f(\mathbf{x}) = \mathbf{0}$ is a system with $\mathbf{x} \in \mathbb{C}^n$, \mathbf{x} lies on an affine (n - k)-plane:

$$\mathbf{x}(\lambda) = \mathbf{b} + \sum_{i=1}^{n-k} \lambda_i \mathbf{v}_i \in \mathbb{C}^n$$

where $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_{n-k})$ contains all independent variables. Correct with Newton on $f(\mathbf{x}(\lambda)) = \mathbf{0}$, a system in λ .

Solve
$$\left[\frac{\partial f}{\partial \lambda}\right] \lambda = -f(\mathbf{x}(\lambda))$$
 with $\frac{\partial f_i}{\partial \lambda_j} = \sum_{l=1}^{n-k} \frac{\partial f_i}{\partial x_l} \frac{\partial x_l}{\partial \lambda_j}.$

Overdetermined case moved from global to local level!

no slack variables needed...

Intersecting Hypersurfaces Extrinsicially

$$f_1(\mathbf{x}) = 0 \quad \mathbf{x} \in \mathbb{C}^n$$

 $L_1(\mathbf{x}) = \mathbf{0}_{n-1 \text{ hyperplanes}}$

$$f_2(\mathbf{y}) = 0 \quad \mathbf{y} \in \mathbb{C}^n$$

 $L_2(\mathbf{y}) = \mathbf{0}_{n-1 \text{ hyperplanes}}$

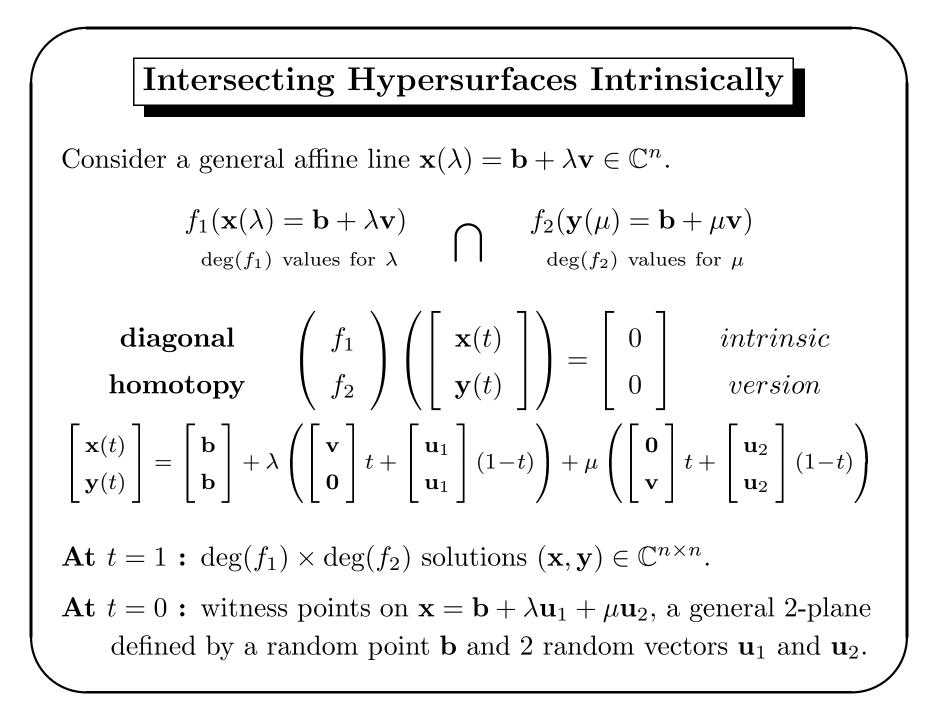
diagonal homotopy

extrinsic version

$$\begin{cases} f_1(\mathbf{x}) = 0 \\ f_2(\mathbf{y}) = 0 \\ L_1(\mathbf{x}) = \mathbf{0} \\ L_2(\mathbf{y}) = \mathbf{0} \end{cases} t + \begin{pmatrix} f_1(\mathbf{x}) = 0 \\ f_2(\mathbf{y}) = 0 \\ \mathbf{x} - \mathbf{y} = \mathbf{0} \\ M(\mathbf{y}) = \mathbf{0} \end{pmatrix} (1 - t) = \mathbf{0}$$

At t = 1: deg $(f_1) \times deg(f_2)$ solutions $(\mathbf{x}, \mathbf{y}) \in \mathbb{C}^{n \times n}$.

At t = 0: witness points $(\mathbf{x} = \mathbf{y} \in \mathbb{C}^n)$ on $f_1^{-1}(0) \cap f_2^{-1}(0)$ cut out by n - 2 hyperplanes M.



Intersecting with Hypersurfaces

Let $f(\mathbf{x}) = \mathbf{0}$ have k-dimensional solution components described by witness points on a general (n - k)-dimensional affine plane, i.e.:

$$f\left(\mathbf{x}(\lambda) = \mathbf{b} + \sum_{i=1}^{n-k} \lambda_i \mathbf{v}_i\right) = \mathbf{0}.$$

Let $g(\mathbf{x}) = 0$ be a hypersurface with witness points on a general affine line, i.e.:

$$g(\mathbf{x}(\mu) = \mathbf{b} + \mu \mathbf{w}) = 0.$$

Assuming $g(\mathbf{x}) = 0$ properly cuts one degree of freedom from $f^{-1}(\mathbf{0})$, we want to find witness points on all (k-1)-dimensional components of $f^{-1}(\mathbf{0}) \cap g^{-1}(0)$.

Intrinsic Hypersurface Intersection

The **diagonal homotopy** for (f,g) on $(\mathbf{x},\mathbf{y}) \in \mathbb{C}^{n \times n}$ starts at

$$\begin{bmatrix} \mathbf{x}(1) \\ \mathbf{y}(1) \end{bmatrix} = \begin{bmatrix} \mathbf{b} \\ \mathbf{b} \end{bmatrix} + \sum_{i=1}^{n-k} \lambda_i \begin{bmatrix} \mathbf{v}_i \\ \mathbf{0} \end{bmatrix} + \mu \begin{bmatrix} \mathbf{0} \\ \mathbf{w} \end{bmatrix}$$

and ends at

$$\begin{bmatrix} \mathbf{x}(0) \\ \mathbf{y}(0) \end{bmatrix} = \begin{bmatrix} \mathbf{b} \\ \mathbf{b} \end{bmatrix} + \sum_{i=1}^{n-k} \lambda_i \begin{bmatrix} \mathbf{v}_i \\ \mathbf{v}_i \end{bmatrix} + \mu \begin{bmatrix} \mathbf{w} \\ \mathbf{w} \end{bmatrix}$$

The diagonal homotopy

$$\begin{pmatrix} f \\ g \end{pmatrix} \left(\begin{bmatrix} \mathbf{x}(t) \\ \mathbf{y}(t) \end{bmatrix} = \begin{bmatrix} \mathbf{x}(1) \\ \mathbf{y}(1) \end{bmatrix} t + \begin{bmatrix} \mathbf{x}(0) \\ \mathbf{y}(0) \end{bmatrix} (1-t) \right) = \begin{bmatrix} \mathbf{0} \\ \mathbf{0} \end{bmatrix}$$

has $n - k + 1$ independent variables $(\lambda_1, \lambda_2, \dots, \lambda_{n-k}, \mu)$.

Computing Nonsingular Solutions Incrementally

Suppose (f_1, f_2, \ldots, f_k) defines the system $f(\mathbf{x}) = \mathbf{0}, \mathbf{x} \in \mathbb{C}^n$, whose solution set is pure dimensional of multiplicity one for all $k = 1, 2, \ldots, N \leq n$, i.e.: we find only nonsingular roots if we slice the solution set of $f(\mathbf{x}) = \mathbf{0}$ with a generic linear space of dimension n - k.

Main loop in the solver :

for k = 2, 3, ..., N - 1 do use a diagonal homotopy to intersect $(f_1, f_2, ..., f_k)^{-1}(\mathbf{0})$ with $f_{k+1}(\mathbf{x}) = 0$, to find witness points on all (n - k - 1)-dimensional solution components.

Outcomes of Hypersurface Intersections

Let V be an (n - k)-dimensional irreducible component of $(f_1, ..., f_k)^{-1}(\mathbf{0})$ and $g^{-1}(\mathbf{0})$ be an irreducible hypersurface. Three cases for $V \cap g^{-1}(\mathbf{0})$:

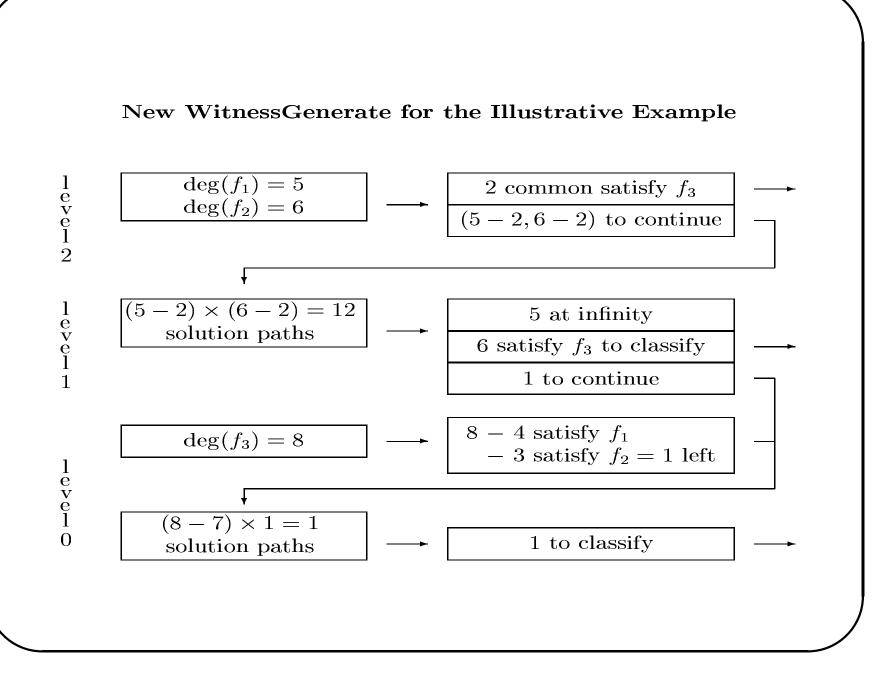
1. $V \subseteq g^{-1}(0)$ All witness points of V satisfy $g(\mathbf{x}) = 0$.

2. dim
$$(V \cap g^{-1}(0)) = k - 1$$

The diagonal homotopy gives witness points on all (k-1)-dimensional components of the intersection.

3.
$$V \cap g^{-1}(0) = \emptyset$$

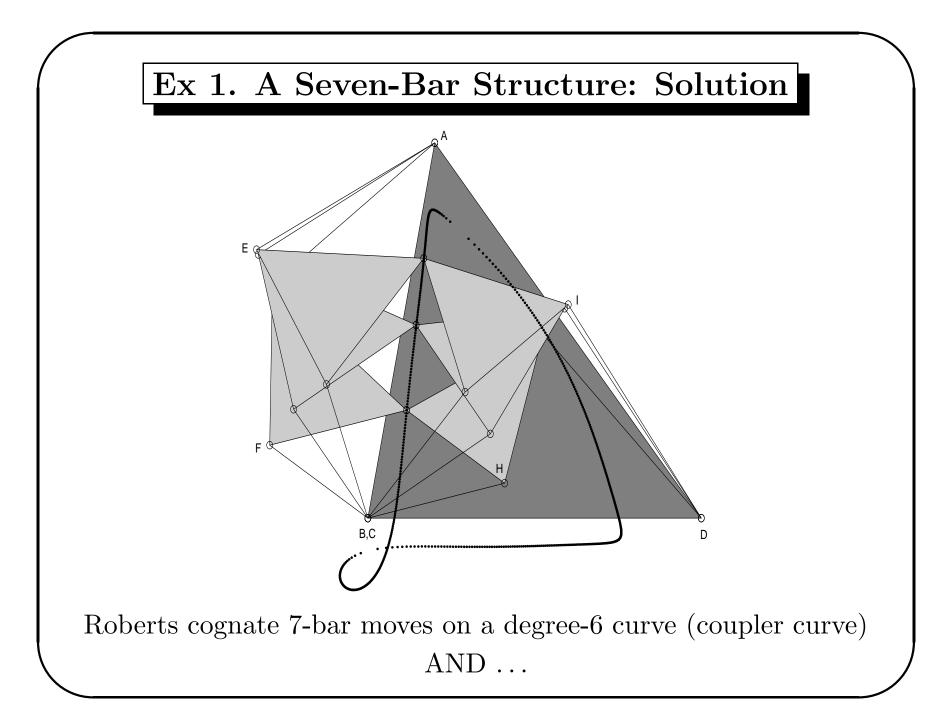
All paths in the diagonal homotopy diverge.

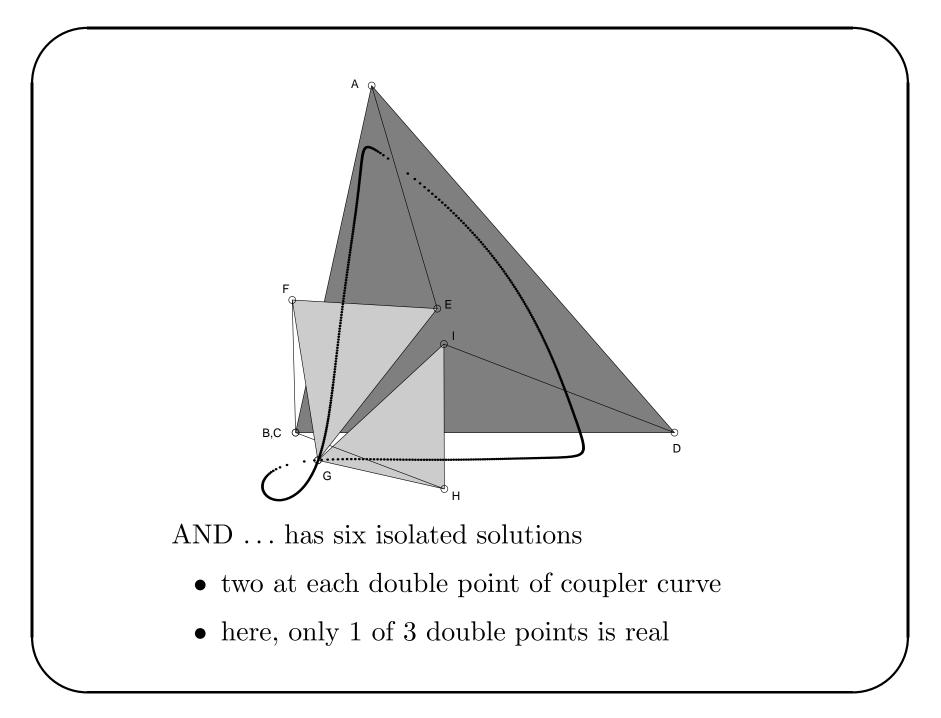


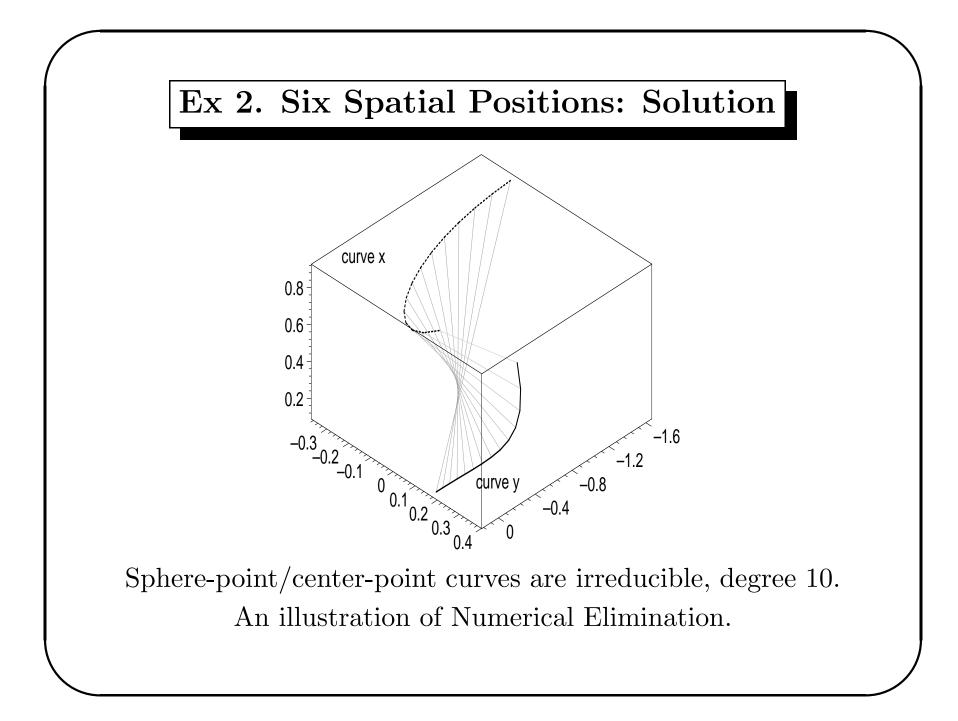
4. Test Polynomial Systems

Example 1 a 7-bar mechanism in the planeExample 2 a spatial Burmester problemExample 3 the Griffis-Duffy platform

- A.J. Sommese, J. Verschelde, and C.W. Wampler: Advances in polynomial continuation for solving problems in kinematics. In Proc. ASME Design Engineering Technical Conf. (CDROM), 2002.
- A.J. Sommese, J. Verschelde, and C.W. Wampler: Numerical irreducible decomposition using PHCpack. In Algebra, Geometry, and Software Systems ed. by M. Joswig and N. Takayama. Springer-Verlag, to appear.







Witness Points

for the Spatial Burmester Problem

- The input polynomial system consists of five quadrics in six unknowns (**x**, **y**).
- The new incremental solver computes 20 witness points in 7s 181ms on Pentium III 1Ghz Windows 2000 PC.
- Projection onto \mathbf{x} or \mathbf{y} reduces the degree from 20 to 10.

Ex 3. Griffis-Duffy Platforms: Solution

Solution components by degree

Husty & Karger		SVW			
Euler	Position	Study	Position		
General Case					
20	40	28	40		
Le	Legs equal, Plates equal				
		6	8		
4	8	6	8		
4	8	6	8		
6	12	6	12		
2	4	4	4		
16	32	28	40		

Griffis-Duffy Platforms: Factorization

Case A: One irreducible component of degree 28 (general case).

Case B: Five irreducible components of degrees 6, 6, 6, 6, and 4.

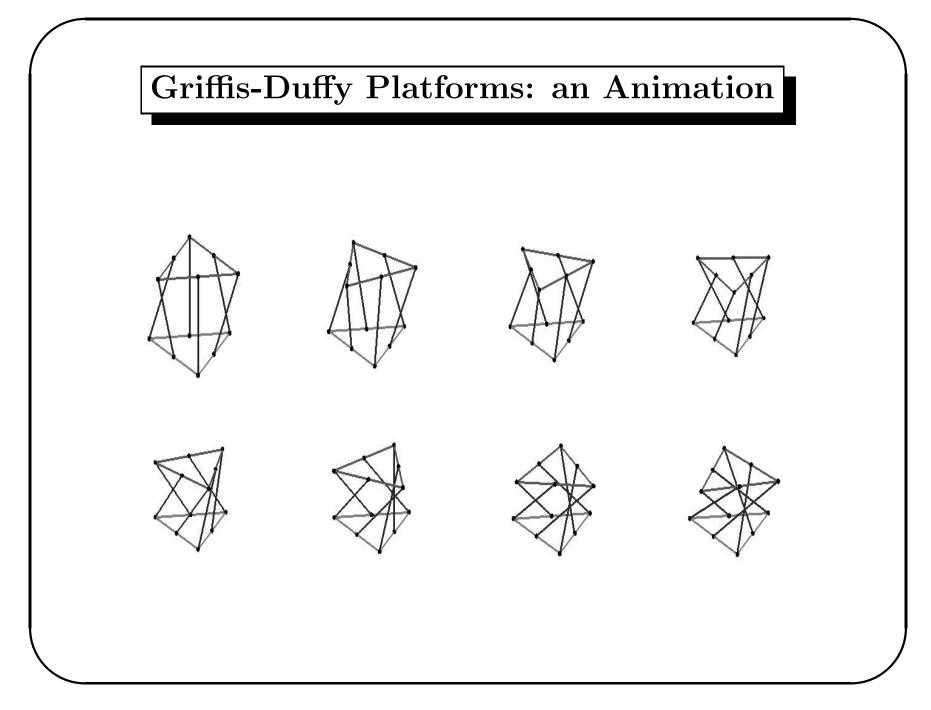
user cpu on 800Mhz	Case A	Case B	
witness points	$1 \mathrm{m} \ 12 \mathrm{s} \ 480 \mathrm{ms}$		
monodromy breakup	$33s \ 430ms$	$27\mathrm{s}~630\mathrm{ms}$	
Newton interpolation	$1h \ 19m \ 13s \ 110ms$	2m 34s 50ms	

32 decimal places used to interpolate polynomial of degree 28

linear trace	4s 750ms	$4s \ 320ms$
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Linear traces replace Newton interpolation:

 \Rightarrow time to factor independent of geometry!



Conclusions

• Feasible in practice to decompose the solution set of a polynomial system by standard machine arithmetic.

multi-precision arithmetic is needed for singular components...

• The incremental solving method with diagonal homotopies promises to unify solvers for isolated *and* solvers for components of solutions.

exploitation of structure in progress...