

# solving polynomial systems with Puiseux series

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# Outline

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- computing pretropisms with the Cayley embedding
- Puiseux series for algebraic sets
- application to the cyclic  $n$ -roots problem

# polynomial systems

Consider  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$ , a system of equations defined by

- $N$  polynomials  $\mathbf{f} = (f_0, f_1, \dots, f_{N-1})$ ,
- in  $n$  variables  $\mathbf{x} = (x_0, x_1, \dots, x_{n-1})$ .

A polynomial in  $n$  variables consists of a vector of nonzero complex coefficients with corresponding exponents in  $A$ :

$$f_k(\mathbf{x}) = \sum_{\mathbf{a} \in A_k} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}, \quad c_{\mathbf{a}} \in \mathbb{C} \setminus \{0\}, \quad \mathbf{x}^{\mathbf{a}} = x_0^{a_0} x_1^{a_1} \cdots x_{n-1}^{a_{n-1}}.$$

Input data:

- 1  $A = (A_0, A_1, \dots, A_{N-1})$  are sets of exponents, the *supports*.  
For  $\mathbf{a} \in \mathbb{Z}^n$ , we consider *Laurent* polynomials,  $f_k \in \mathbb{C}[\mathbf{x}^{\pm 1}]$   
 $\Rightarrow$  only solutions with coordinates in  $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$  matter.
- 2  $\mathbf{c}_A = (\mathbf{c}_{A_0}, \mathbf{c}_{A_1}, \dots, \mathbf{c}_{A_{N-1}})$  are vectors of complex coefficients.  
Although  $A$  is exact, the coefficients may be approximate.

# the cyclic 4-roots system

$$\mathbf{f}(\mathbf{x}) = \begin{cases} x_0 + x_1 + x_2 + x_3 = 0 \\ x_0x_1 + x_1x_2 + x_2x_3 + x_3x_0 = 0 \\ x_0x_1x_2 + x_1x_2x_3 + x_2x_3x_0 + x_3x_0x_1 = 0 \\ x_0x_1x_2x_3 - 1 = 0 \end{cases}$$

Cyclic 4-roots  $\mathbf{x} = (x_0, x_1, x_2, x_3)$  correspond to complex circulant Hadamard matrices:

$$H = \begin{bmatrix} x_0 & x_1 & x_2 & x_3 \\ x_3 & x_0 & x_1 & x_2 \\ x_2 & x_3 & x_0 & x_1 \\ x_1 & x_2 & x_3 & x_0 \end{bmatrix}, \quad |x_k| = 1, k = 1, 2, 3, 4$$
$$H^*H = 4I_4.$$

- Haagerup: for prime  $p$ , there are  $\binom{2p-2}{p-1}$  isolated roots.
- Backelin: for  $n = \ell m^2$ , there are infinitely many cyclic  $n$ -roots.

# solving polynomial systems

Systems like cyclic  $n$ -roots are

- Sparse: relative to the degrees of the polynomials,  
few monomials appear with nonzero coefficients  
 $\Rightarrow$  fewer roots than the Bézout bounds.
- Symmetric: solutions are invariant under permutations,  $n = 4$ :  
 $(x_0, x_1, x_2, x_3) \rightarrow (x_1, x_2, x_3, x_0)$  and  $(x_0, x_1, x_2, x_3) \rightarrow (x_3, x_2, x_1, x_0)$   
generate the permutation group.  
In addition:  $(x_0, x_1, x_2, x_3) \rightarrow (x_0^{-1}, x_1^{-1}, x_2^{-1}, x_3^{-1})$ .
- Not pure dimensional, for prime  $n$ , all solutions are isolated,  
but for  $n = \ell m^2$ , we have positive dimensional solution sets.

Our solution is to apply a hybrid symbolic-numeric approach.

# Puiseux series

The Newton polygon of  $f(x_0, x_1)$  is the convex hull, spanned by the exponents  $(a_0, a_1)$  of monomials  $x_0^{a_0} x_1^{a_1}$  that occur in  $f$  with  $c_{(a_0, a_1)} \neq 0$ .

## Theorem (the theorem of Puiseux)

Let  $f(x_0, x_1) \in \mathbb{C}(x_0)[x_1]$ :  $f$  is a polynomial in the variable  $x_1$  and its coefficients are fractional power series in  $x_0$ .

**The polynomial  $f$  has as many series solutions as the degree of  $f$ .**  
Every series solution has the following form:

$$\begin{cases} x_0 = t^u \\ x_1 = ct^v(1 + O(t)), \quad c \in \mathbb{C}^* \end{cases}$$

where  $(u, v)$  is an inner normal to an edge of the lower hull of the Newton polygon of  $f$ .

The series are computed with the polyhedral Newton-Puiseux method.

## limits of space curves

Assume  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$  has a solution curve  $C$ , which intersects  $x_0 = 0$  at a regular point.

For  $\mathbf{v} = (v_0, v_1, \dots, v_{n-1}) \in \mathbb{Z}^n$ , consider  $\mathbf{x} = \mathbf{z}t^{\mathbf{v}}(1 + O(t))$ :

- $x_0 = z_0 t^{v_0}$ , for  $t$  close to zero,  $z_0 \neq 0$  and
- for  $k = 1, 2, \dots, n-1$ :  $x_k = z_k t^{v_k}(1 + O(t))$ ,  $z_k \neq 0$ .

Substitute  $x_0 = z_0 t^{v_0}$ ,  $x_k = z_k t^{v_k}(1 + O(t))$  in  $f_\ell(\mathbf{x}) = \sum_{\mathbf{a} \in A_\ell} c_\ell \mathbf{x}^{\mathbf{a}}$ :

$$\begin{aligned} f_\ell(\mathbf{x} = \mathbf{z}t^{\mathbf{v}}(1 + O(t))) &= \sum_{\mathbf{a} \in A_\ell} c_{\mathbf{a}} z_0^{a_0} t^{a_0 v_0} \prod_{k=1}^{n-1} z_k t^{a_k v_k} (1 + O(t)) \\ &= \sum_{\mathbf{a} \in A_\ell} c_{\mathbf{a}} z^{\mathbf{a}} t^{a_0 v_0 + a_1 v_1 + \dots + a_{n-1} v_{n-1}} (1 + O(t)). \end{aligned}$$

Because  $\mathbf{z} \in (\mathbb{C}^*)^n$ , there must be at least two terms in  $f_\ell$  left as  $t \rightarrow 0$ .

# initial forms and tropisms

Denote the inner product of vectors  $\mathbf{u}$  and  $\mathbf{v}$  as  $\langle \mathbf{u}, \mathbf{v} \rangle$ .

## Definition

Let  $\mathbf{v} \in \mathbb{Z}^n \setminus \{\mathbf{0}\}$  be a direction vector. Consider  $f(\mathbf{x}) = \sum_{\mathbf{a} \in A} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}$ .

The **initial form of  $f$  in the direction  $\mathbf{v}$**  is

$$\text{in}_{\mathbf{v}}(f) = \sum_{\substack{\mathbf{a} \in A \\ \langle \mathbf{a}, \mathbf{v} \rangle = m}} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}, \quad \text{where } m = \min\{ \langle \mathbf{a}, \mathbf{v} \rangle \mid \mathbf{a} \in A \}.$$

## Definition

Let the system  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$  define a curve. A **tropism** consists of the leading powers  $(v_0, v_1, \dots, v_{n-1})$  of a Puiseux series of the curve.

The leading coefficients of the Puiseux series satisfy  $\text{in}_{\mathbf{v}}(\mathbf{f})(\mathbf{x}) = \mathbf{0}$ .



# curves of cyclic 4-roots

$$\mathbf{f}(\mathbf{x}) = \begin{cases} x_0 + x_1 + x_2 + x_3 = 0 \\ x_0x_1 + x_1x_2 + x_2x_3 + x_3x_0 = 0 \\ x_0x_1x_2 + x_1x_2x_3 + x_2x_3x_0 + x_3x_0x_1 = 0 \\ x_0x_1x_2x_3 - 1 = 0 \end{cases}$$

One tropism  $\mathbf{v} = (+1, -1, +1, -1)$  with  $\text{in}_{\mathbf{v}}(\mathbf{f})(\mathbf{z}) = \mathbf{0}$ :

$$\text{in}_{\mathbf{v}}(\mathbf{f})(\mathbf{x}) = \begin{cases} x_1 + x_3 = 0 \\ x_0x_1 + x_1x_2 + x_2x_3 + x_3x_0 = 0 \\ x_1x_2x_3 + x_3x_0x_1 = 0 \\ x_0x_1x_2x_3 - 1 = 0. \end{cases}$$

We look for solutions of the form

$$(x_0 = t^{+1}, x_1 = z_1 t^{-1}, x_2 = z_2 t^{+1}, x_3 = z_3 t^{-1}).$$

## solving the initial form system

Substitute  $(x_0 = t^{+1}, x_1 = z_1 t^{-1}, x_2 = z_2 t^{+1}, x_3 = z_3 t^{-1})$ :

$\text{in}_{\mathbf{v}}(\mathbf{f})(x_0 = t^{+1}, x_1 = z_1 t^{-1}, x_2 = z_2 t^{+1}, x_3 = z_3 t^{-1})$

$$= \begin{cases} (1 + z_2)t^{+1} = 0 \\ z_1 + z_1 z_2 + z_2 z_3 + z_3 = 0 \\ (z_1 z_2 + z_3 z_1)t^{+1} = 0 \\ z_1 z_2 z_3 - 1 = 0. \end{cases}$$

We find two solutions:  $(z_1 = -1, z_2 = -1, z_3 = +1)$   
and  $(z_1 = +1, z_2 = -1, z_3 = -1)$ .

Two space curves  $(t, -t^{-1}, -t, t^{-1})$  and  $(t, t^{-1}, -t, -t^{-1})$   
satisfy the entire cyclic 4-roots system.

# overview of our polyhedral method

- finding pretropisms and solving initial forms

Initial forms with at least two monomials in every equation define the intersection points of the solution set with the coordinate hyperplanes.

- unimodular coordinate transformations

Via the Smith normal form we obtain nice representations for solutions at infinity.

Solutions of binomial systems are monomial maps.

- computing terms of Puiseux series

Although solutions to any initial forms may be monomial maps, in general we need a second term in the Puiseux series expansion to distinguish between

- ▶ a positive dimensional solution set, and
- ▶ an isolated solution at infinity.

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# binomial systems

## Definition

A **binomial system** has exactly two monomials with nonzero coefficient in every equation.

The binomial equation  $c_a \mathbf{x}^a - c_b \mathbf{x}^b = 0$ ,  $\mathbf{a}, \mathbf{b} \in \mathbb{Z}^n$ ,  $c_a, c_b \in \mathbb{C} \setminus \{0\}$ , has normal representation  $\mathbf{x}^{a-b} = c_b/c_a$ .

A binomial system of  $N$  equations in  $n$  variables is then defined by an exponent matrix  $A \in \mathbb{Z}^{N \times n}$  and a coefficient vector  $\mathbf{c} \in (\mathbb{C}^*)^N$ :  $\mathbf{x}^A = \mathbf{c}$ .

Motivations to study binomial systems:

- 1 A unimodular coordinate transformation provides a monomial parametrization for the solution set.
- 2 The leading coefficients of a Puiseux series satisfy a system of binomial equations.
- 3 Finding all solutions with zero coordinates can happen via a generalized permanent calculation.

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## an example

Consider as an example for  $\mathbf{x}^A = \mathbf{c}$  the system

$$\begin{cases} x_0^2 x_1 x_2^4 x_3^3 - 1 = 0 \\ x_0 x_1 x_2 x_3 - 1 = 0 \end{cases} \quad A = \begin{bmatrix} 2 & 1 & 4 & 3 \\ 1 & 1 & 1 & 1 \end{bmatrix}^T \quad \mathbf{c} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}.$$

As basis of the null space of  $A$  we can for example take  $\mathbf{u} = (-3, 2, 1, 0)$  and  $\mathbf{v} = (-2, 1, 0, 1)$ .

The vectors  $\mathbf{u}$  and  $\mathbf{v}$  are tropisms for a two dimensional algebraic set.

Placing  $\mathbf{u}$  and  $\mathbf{v}$  in the first two rows of a matrix  $M$ , extended so  $\det(M) = 1$ , we obtain a coordinate transformation,  $\mathbf{x} = \mathbf{y}^M$ :

$$M = \begin{bmatrix} -3 & 2 & 1 & 0 \\ -2 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \quad \begin{cases} x_0 = y_0^{-3} y_1^{-2} y_2 \\ x_1 = y_0^2 y_1 y_3 \\ x_2 = y_0 \\ x_3 = y_1. \end{cases}$$

## monomial transformations

By construction, as  $A\mathbf{u} = \mathbf{0}$  and  $A\mathbf{v} = \mathbf{0}$ :

$$MA = \begin{bmatrix} -3 & 2 & 1 & 0 \\ -2 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 & 1 \\ 1 & 1 \\ 4 & 1 \\ 3 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 2 & 1 \\ 1 & 1 \end{bmatrix} = B.$$

The corresponding monomial transformation  $\mathbf{x} = \mathbf{y}^M$  performed on  $\mathbf{x}^A = \mathbf{c}$  yields  $\mathbf{y}^{MA} = \mathbf{y}^B = \mathbf{c}$ , eliminating the first two variables:

$$\begin{cases} y_2^2 y_3 - 1 = 0 \\ y_2 y_3 - 1 = 0. \end{cases}$$

Solving this reduced system gives values  $z_2$  and  $z_3$  for  $y_2$  and  $y_3$ . Leaving  $y_0$  and  $y_1$  as parameters  $t_0$  and  $t_1$  we find as solution

$$(x_0 = z_2 t_0^{-3} t_1^{-2}, x_1 = z_3 t_0^2 t_1, x_2 = t_0, x_3 = t_1).$$



# unimodular coordinate transformations

## Definition

A **unimodular coordinate transformation**  $\mathbf{x} = \mathbf{y}^M$  is determined by an invertible matrix  $M \in \mathbb{Z}^{n \times n}$ :  $\det(M) = \pm 1$ .

For a  $d$  dimensional solution set of a binomial system:

- 1 The null space of  $A$  gives  $d$  tropisms, stored in the rows of a  $d$ -by- $n$ -matrix  $B$ .
- 2 Compute the Smith normal form  $S$  of  $B$ :  $UBV = S$ .
- 3 There are three cases:
  - 1  $U = I \Rightarrow M = V^{-1}$
  - 2 If  $U \neq I$  and  $S$  has ones on its diagonal, then extend  $U^{-1}$  with an identity matrix to form  $M$ .
  - 3 Compute the Hermite normal form  $H$  of  $B$

and let  $D$  be the diagonal elements of  $H$ , then  $M = \begin{bmatrix} D^{-1}B \\ \mathbf{0} & I \end{bmatrix}$ .

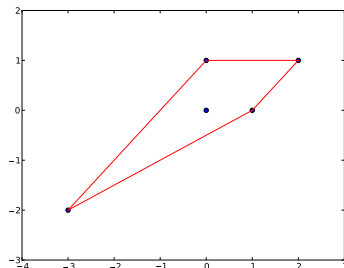
# computation of the degree

To compute the degree of  $(x_0 = z_2 t_0^{-3} t_1^{-2}, x_1 = z_3 t_0^2 t_1, x_2 = t_0, x_3 = t_1)$  we use two random linear equations:

$$\begin{cases} c_{10}x_0 + c_{11}x_1 + c_{12}x_2 + c_{13}x_3 + c_{14} = 0 \\ c_{20}x_0 + c_{21}x_1 + c_{22}x_2 + c_{23}x_3 + c_{24} = 0 \end{cases}$$

after substitution:

$$\begin{cases} c'_{10}t_0^{-3}t_1^{-2} + c'_{11}t_0^2t_1 + c_{12}t_0 + c_{13}t_1 + c_{14} = 0 \\ c'_{20}t_0^{-3}t_1^{-2} + c'_{21}t_0^2t_1 + c_{22}t_0 + c_{23}t_1 + c_{24} = 0 \end{cases}$$



## Theorem (Koushnirenko's Theorem)

*If all  $n$  polynomials in  $\mathbf{f}$  share the same Newton polytope  $P$ , then the number of isolated solutions of  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$  in  $(\mathbb{C}^*)^n \leq$  the volume of  $P$ .*

As the area of the Newton polygon equals 8, the surface has degree 8.

# affine solution sets

An incidence matrix  $M$  of a bipartite graph:

$$\mathbf{f}(\mathbf{x}) = \begin{cases} x_{11}x_{22} - x_{21}x_{12} = 0 \\ x_{12}x_{23} - x_{22}x_{13} = 0 \end{cases} \quad M[\mathbf{x}^{\mathbf{a}}, x_k] = \begin{cases} 1 & \text{if } a_k > 0 \\ 0 & \text{if } a_k = 0. \end{cases}$$

Meaning of  $M[\mathbf{x}^{\mathbf{a}}, x_k] = 1$ :  $x_k = 0 \Rightarrow \mathbf{x}^{\mathbf{a}} = 0$ .

The matrix linking monomials to variables is

$$M[\mathbf{x}^{\mathbf{a}}, x_k] = \begin{array}{c|cccccc} & x_{11} & x_{12} & x_{13} & x_{21} & x_{22} & x_{23} \\ \hline x_{11}x_{22} & 1 & 0 & 0 & 0 & 1 & 0 \\ x_{21}x_{12} & 0 & 1 & 0 & 1 & 0 & 0 \\ x_{12}x_{23} & 0 & 1 & 0 & 0 & 0 & 1 \\ x_{22}x_{13} & 0 & 0 & 1 & 0 & 1 & 0 \end{array}.$$

Observe: overlapping columns  $x_{12}$  with  $x_{22}$  gives all ones.

# enumerating all candidate affine solution sets

Apply row expansion on the matrix

$$M[\mathbf{x}^a, x_k] = \begin{array}{c|cccccc} & x_{11} & x_{12} & x_{13} & x_{21} & x_{22} & x_{23} \\ \hline x_{11}x_{22} & 1 & 0 & 0 & 0 & 1 & 0 \\ x_{21}x_{12} & 0 & 1 & 0 & 1 & 0 & 0 \\ x_{12}x_{23} & 0 & 1 & 0 & 0 & 0 & 1 \\ x_{22}x_{13} & 0 & 0 & 1 & 0 & 1 & 0 \end{array}.$$

- Selecting 1 means setting the corresponding variable to zero.
- Monomials must be considered in pairs: if one monomial in an equation vanishes, then so must the other one.
- For all affine sets, we must skip pairs of rows, preventing from certain variables to be set to zero.
- To decide whether one candidate set  $C_1$  belongs to another set  $C_2$ , we construct the defining equations  $I(C_1)$  and  $I(C_2)$  and apply  $C_1 \subseteq C_2 \Leftrightarrow I(C_1) \supseteq I(C_2)$ .

# the Cayley embedding – an example

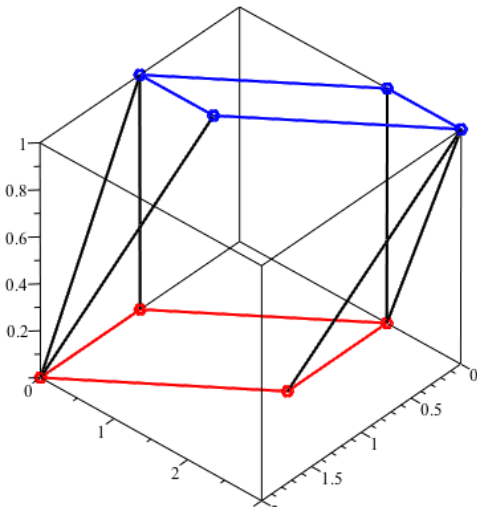
$$\begin{cases} p = (x_0 - x_1^2)(x_0 + 1) = x_0^2 + x_0 - x_1^2 x_0 - x_1^2 = 0 \\ q = (x_0 - x_1^2)(x_1 + 1) = x_0 x_1 + x_0 - x_1^3 - x_1^2 = 0 \end{cases}$$

The Cayley polytope  
is the convex hull of

$$\{(2, 0, 0), (1, 0, 0), \\ (1, 2, 0), (0, 2, 0)\}$$

∪

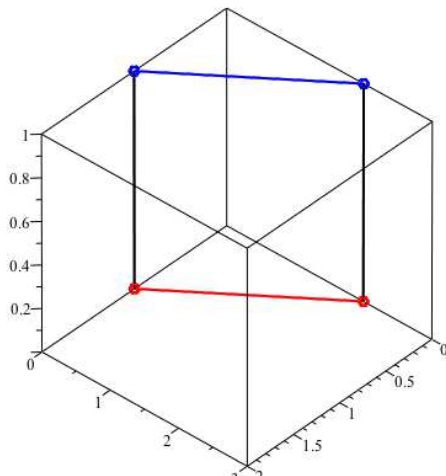
$$\{(1, 1, 1), (1, 0, 1), \\ (0, 3, 1), (0, 2, 1)\}.$$



# facet normals and initial forms

The Cayley polytope  
has facets spanned by  
one edge of the  
Newton polygon of  $p$   
and  
one edge of the  
Newton polygon of  $q$ .

Consider  $\mathbf{v} = (2, 1, 0)$ .



$$\begin{cases} \text{in}_{(2,1)}(p) = \text{in}_{(2,1)}(x_0^2 + x_0 - x_1^2 x_0 - x_1^2) = x_0 - x_1^2 \\ \text{in}_{(2,1)}(q) = \text{in}_{(2,1)}(x_0 x_1 + x_0 - x_1^3 - x_1^2) = x_0 - x_1^2 \end{cases}$$

# computing all pretropisms

## Definition

A nonzero vector  $\mathbf{v}$  is a **pretropism** for the system  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$  if  $\# \text{in}_{\mathbf{v}}(f_k) \geq 2$  for all  $k = 0, 1, \dots, N-1$ .

Application of the Cayley embedding to  $(A_0, A_1, \dots, A_{N-1})$ :

$$E = \{ (\mathbf{a}, \mathbf{0}) \mid \mathbf{a} \in A_0 \} \cup \bigcup_{k=1}^{N-1} \{ (\mathbf{a}, \mathbf{e}_k) \mid \mathbf{a} \in A_k \} \subset \mathbb{Z}^{n+N-1},$$

where  $\mathbf{0}, \mathbf{e}_1 = (1, 0, \dots, 0), \mathbf{e}_2 = (0, 1, \dots, 0), \dots, \mathbf{e}_{N-1} = (0, 0, \dots, 1)$  span the standard unit simplex in  $\mathbb{R}^{N-1}$ .

The set of all facet normals to the convex hull of  $E$  contains all normals to facets spanned by at least two points of each support.

We used `cddlib` to compute all pretropisms of the cyclic  $n$ -roots system, up to  $n = 12$  (148.5 hours on a 3.07GHz CPU with 4GB RAM).

# cones of pretropisms

## Definition

A **cone of pretropism** is a polyhedral cone spanned by pretropisms.

If we are looking for an algebraic set of dimension  $d$  and

- if there are no cones of vectors perpendicular to edges of the Newton polytopes of  $f(\mathbf{x}) = \mathbf{0}$  of dimension  $d$ , then the system  $f(\mathbf{x}) = \mathbf{0}$  has no solution set of dimension  $d$  that intersects the first  $d$  coordinate planes properly; otherwise
- if a  $d$ -dimensional cone of vectors perpendicular to edges of the Newton polytopes exists, then that cone defines a part of the tropical prevariety.

For the cyclic 9-roots system,  
we found a two dimensional cone of pretropisms.



# the tropical prevariety of cyclic $n$ -roots

All facets normals of the Cayley polytope computed with `cddlib` on a 3.07GHz Linux computer with 4Gb RAM:

$n$	#normals	#pretropisms	#generators	user cpu time
8	831	94	11	< 1 sec
9	4,840	276	17	1 sec
12	907,923	38,229	290	148 hours 27 min

Tropical intersections with `Gfan` on a 2.26GHz MacBook:

$n$	#rays	f-vector	user cpu time
8	94	1 94 108 48	15 sec
9	276	1 276 222 54	1 min 11 sec
12	5,582	1 5582 37786 66382 42540 8712	21 hours 1 min

Note that `Gfan` can exploit permutation symmetry.

# Puiseux series for algebraic sets

## Proposition

*If  $f(\mathbf{x}) = 0$  is in Noether position and defines a  $d$ -dimensional solution set in  $\mathbb{C}^n$ , intersecting the first  $d$  coordinate planes in regular isolated points, then there are  $d$  linearly independent tropisms*

*$\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_{d-1} \in \mathbb{Q}^n$  so that the initial form system*

*$\text{in}_{\mathbf{v}_0}(\text{in}_{\mathbf{v}_1}(\dots \text{in}_{\mathbf{v}_{d-1}}(f) \dots))(\mathbf{x} = \mathbf{y}^M) = 0$  has a solution  $\mathbf{c} \in (\mathbb{C} \setminus \{0\})^{n-d}$ .*

*This solution and the tropisms are the leading coefficients and powers of a generalized Puiseux series expansion for the algebraic set:*

$$x_0 = t_0^{v_{0,0}}$$

$$x_d = c_0 t_0^{v_{0,d}} t_1^{v_{1,d}} \dots t_{d-1}^{v_{d-1,d}} + \dots$$

$$x_1 = t_0^{v_{0,1}} t_1^{v_{1,1}}$$

$$x_{d+1} = c_1 t_0^{v_{0,d+1}} t_1^{v_{1,d+1}} \dots t_{d-1}^{v_{d-1,d+1}} + \dots$$

$$\vdots$$
$$\vdots$$

$$x_{d-1} = t_0^{v_{0,d-1}} t_1^{v_{1,d-1}} \dots t_{d-1}^{v_{d-1,d-1}}$$

$$x_n = c_{n-d-1} t_0^{v_{0,n-1}} t_1^{v_{1,n-1}} \dots t_{d-1}^{v_{d-1,n-1}} + \dots$$

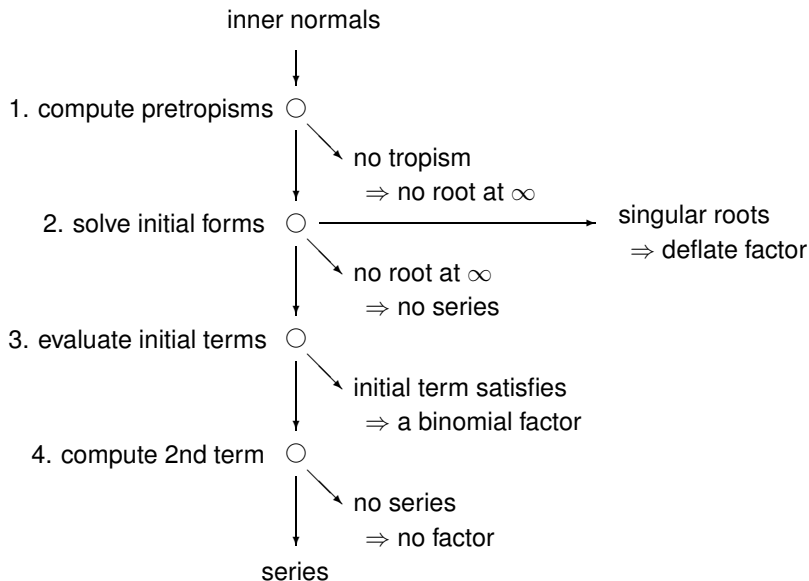
# our polyhedral approach

For every  $d$ -dimensional cone  $C$  of pretropisms:

- 1 We select  $d$  linearly independent generators to form the  $d$ -by- $n$  matrix  $A$  and the unimodular transformation  $\mathbf{x} = \mathbf{y}^M$ .
- 2 If  $\text{in}_{\mathbf{v}_0}(\text{in}_{\mathbf{v}_1}(\cdots \text{in}_{\mathbf{v}_{d-1}}(f) \cdots))(\mathbf{x} = \mathbf{y}^M) = \mathbf{0}$  has no solution in  $(\mathbb{C}^*)^{n-d}$ , then return to step 1 with the next cone  $C$ , else continue.
- 3 If the leading term of the Puiseux series satisfies the entire system, then we report an explicit solution of the system and return to step 1 to process the next cone  $C$ .  
Otherwise, we take the current leading term to the next step.
- 4 If there is a second term in the Puiseux series, then we have computed an initial development for an algebraic set and report this development in the output.

Note: to ensure the solution of the initial form system is not isolated, it suffices to compute a series development *for a curve*.

# our approach depicted in stages



## relevant software

- `cddlib` by Komei Fukuda and Alain Prodon implements the double description method to efficiently enumerate all extreme rays of a general polyhedral cone.
- `Gfan` by Anders Jensen to compute Gröbner fans and tropical varieties uses `cddlib`.
- The Singular library `tropical.lib` by Anders Jensen, Hannah Markwig and Thomas Markwig for computations in tropical geometry.
- `Macaulay2` interfaces to `Gfan`.
- `Sage` interfaces to `Gfan`.
- `PHCpack` (published as Algorithm 795 ACM TOMS) provides our numerical blackbox solver.

# positive dimensional sets of cyclic $n$ -roots

- $n = 8$ : Tropisms, their cyclic permutations, and degrees:

$(1, -1, 1, -1, 1, -1, 1, -1)$	$8 \times 2 = 16$
$(1, -1, 0, 1, 0, 0, -1, 0) \rightarrow (1, 0, 0, -1, 0, 1, -1, 0)$	$8 \times 2 + 8 \times 2 = 32$
$(1, 0, -1, 0, 0, 1, 0, -1) \rightarrow (1, 0, -1, 1, 0, -1, 0, 0)$	$8 \times 2 + 8 \times 2 = 32$
$(1, 0, -1, 1, 0, -1, 0, 0) \rightarrow (1, 0, -1, 0, 0, 1, 0, -1)$	$8 \times 2 + 8 \times 2 = 32$
$(1, 0, 0, -1, 0, 1, -1, 0) \rightarrow (1, -1, 0, 1, 0, 0, -1, 0)$	$8 \times 2 + 8 \times 2 = 32$
	TOTAL = 144

- $n = 9$ : A 2-dimensional cone of tropisms spanned by  $\mathbf{v}_0 = (1, 1, -2, 1, 1, -2, 1, 1, -2)$  and  $\mathbf{v}_1 = (0, 1, -1, 0, 1, -1, 0, 1, -1)$ .  
Denoting by  $u = e^{i2\pi/3}$  the primitive third root of unity,  $u^3 - 1 = 0$ :

$x_0 = t_0$	$x_3 = ut_0$	$x_6 = u^2t_0$
$x_1 = t_0t_1$	$x_4 = ut_0t_1$	$x_7 = u^2t_0t_1$
$x_2 = u^2t_0^{-2}t_1^{-1}$	$x_5 = t_0^{-2}t_1^{-1}$	$x_8 = ut_0^{-2}t_1^{-1}$

- $n = 12$ : Computed 77 quadratic space curves.

# results in the literature

Our results for  $n = 9$  and  $n = 12$  are in agreement with

- J.C. Faugère. **Finding all the solutions of Cyclic 9 using Gröbner basis techniques.** In *Computer Mathematics - Proceedings of the Fifth Asian Symposium (ASCM 2001)*, pages 1–12. World Scientific, 2001.
- R. Sabeti. **Numerical-symbolic exact irreducible decomposition of cyclic-12.** *London Mathematical Society Journal of Computation and Mathematics*, 14:155–172, 2011.

# a tropical version of Backelin's Lemma

## Lemma (Tropical Version of Backelin's Lemma)

*For  $n = m^2\ell$ , where  $\ell \in \mathbb{N} \setminus \{0\}$  and  $\ell$  is no multiple of  $k^2$ , for  $k \geq 2$ , there is an  $(m-1)$ -dimensional set of cyclic  $n$ -roots, represented exactly as*

$$\begin{aligned}x_{km+0} &= u^k t_0 \\x_{km+1} &= u^k t_0 t_1 \\x_{km+2} &= u^k t_0 t_1 t_2 \\&\vdots \\x_{km+m-2} &= u^k t_0 t_1 t_2 \cdots t_{m-2} \\x_{km+m-1} &= \gamma u^k t_0^{-m+1} t_1^{-m+2} \cdots t_{m-3}^{-2} t_{m-2}^{-1}\end{aligned}$$

*for  $k = 0, 1, 2, \dots, m-1$ , free parameters  $t_0, t_1, \dots, t_{m-2}$ , constants  $u = e^{\frac{i2\pi}{m\ell}}$ ,  $\gamma = e^{\frac{i\pi\beta}{m\ell}}$ , with  $\beta = (\alpha \bmod 2)$ , and  $\alpha = m(m\ell - 1)$ .*



# summary

Promising results on the cyclic  $n$ -roots problem give a proof of concept for a new polyhedral method to compute algebraic sets.

For the computation of pretropisms, we rely on

- `cddlib` on the Cayley embedding of the Newton polytopes, or
- `Gfan` for the tropical intersection.

To process the pretropisms, we

- use `Sage` to extract initial form systems and look for the second term in the Puiseux series;
- solve initial form systems with the blackbox solver of `PHCpack`.