

# Computing Puiseux Series for Algebraic Surfaces

Jan Verschelde  
joint work with Danko Adrovic

University of Illinois at Chicago  
Department of Mathematics, Statistics, and Computer Science  
<http://www.math.uic.edu/~jan>  
[jan@math.uic.edu](mailto:jan@math.uic.edu)

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# Outline

## 1 Introduction

- our problem: solving sparse polynomial systems
- Newton polytopes, Puiseux series, initial forms, and tropisms

## 2 Solving Binomial Systems

- a very sparse class of polynomial systems
- unimodular coordinate transformations
- solutions to the cyclic 4-roots system

## 3 Polyhedral Methods for Algebraic Sets

- computing pretropisms with the Cayley embedding
- Puiseux series for algebraic sets

## 4 Application to the Cyclic $n$ -Roots Problem

- a series solution for cyclic 8-roots curves
- an exact representation for cyclic 9-roots surfaces
- a tropical interpretation of Backelin's Lemma

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## problem statement

A polynomial in  $n$  variables  $\mathbf{x} = (x_0, x_1, \dots, x_{n-1})$  consists of a vector of nonzero complex coefficients with corresponding exponents in  $A$ :

$$f(\mathbf{x}) = \sum_{\mathbf{a} \in A} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}, \quad c_{\mathbf{a}} \in \mathbb{C} \setminus \{0\}, \quad \mathbf{x}^{\mathbf{a}} = x_0^{a_0} x_1^{a_1} \cdots x_{n-1}^{a_{n-1}}.$$

Solve  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$ ,  $\mathbf{f} = (f_0, f_1, \dots, f_{N-1})$  with supports  $(A_0, A_1, \dots, A_{N-1})$ .

- Systems are **sparse**: few monomials have a nonzero coefficient.
- For  $\mathbf{a} \in \mathbb{Z}^n$ , we consider **Laurent** polynomials,  $f \in \mathbb{C}[\mathbf{x}^{\pm 1}]$   
 $\Rightarrow$  only solutions with coordinates in  $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$  matter.
- Many applications give rise to **symmetric** polynomial systems.  
The solution set is invariant under permutations of the variables.

# the cyclic $n$ -roots system

$$\mathbf{f}(\mathbf{x}) = \begin{cases} x_0 + x_1 + \cdots + x_{n-1} = 0 \\ x_0x_1 + x_1x_2 + \cdots + x_{n-2}x_{n-1} + x_{n-1}x_0 = 0 \\ i = 3, 4, \dots, n-1 : \sum_{j=0}^{n-1} \prod_{k=j}^{j+i-1} x_{k \bmod n} = 0 \\ x_0x_1x_2 \cdots x_{n-1} - 1 = 0. \end{cases}$$

## Lemma (Backelin)

*If  $m^2$  divides  $n$ , then the cyclic  $n$ -roots system has a solution set of dimension  $m - 1$ .*

*J. Backelin: Square multiples  $n$  give infinitely many cyclic  $n$ -roots. Reports, Matematiska Institutionen, Stockholms Universitet, 1989.*

# Newton polytopes and Puiseux series

The sparse structure is modeled by its Newton polytope.

## Definition

Consider the support  $A \subset \mathbb{Z}^n$  of  $f(\mathbf{x}) = \sum_{\mathbf{a} \in A} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}$ ,  $c_{\mathbf{a}} \in \mathbb{C} \setminus \{0\}$ .

The **Newton polytope of  $f$**  is the convex hull of  $A$ .

## Definition

Consider a curve  $C$  defined by  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$ .

A **Puiseux series of the curve  $C$**  has the form

$$\begin{cases} x_0 &= t^{v_0} \\ x_k &= z_k t^{v_k} (1 + O(t)), \quad k = 1, 2, \dots, n-1, \end{cases}$$

where  $(z_1, \dots, z_{n-1}) \in (\mathbb{C}^*)^{n-1}$ .

The Newton-Puiseux algorithm is in Walker's *Algebraic Curves*, 1950.

## initial forms and tropisms

Denote the inner product of vectors  $\mathbf{u}$  and  $\mathbf{v}$  as  $\langle \mathbf{u}, \mathbf{v} \rangle$ .

### Definition

Let  $\mathbf{v} \in \mathbb{Z}^n \setminus \{\mathbf{0}\}$  be a direction vector. Consider  $f(\mathbf{x}) = \sum_{\mathbf{a} \in A} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}$ .

The **initial form of  $f$  in the direction  $\mathbf{v}$**  is

$$\text{in}_{\mathbf{v}}(f) = \sum_{\substack{\mathbf{a} \in A \\ \langle \mathbf{a}, \mathbf{v} \rangle = m}} c_{\mathbf{a}} \mathbf{x}^{\mathbf{a}}, \quad \text{where } m = \min\{ \langle \mathbf{a}, \mathbf{v} \rangle \mid \mathbf{a} \in A \}.$$

### Definition

Let the system  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$  define a curve. A **tropism** consists of the leading powers  $(v_0, v_1, \dots, v_{n-1})$  of a Puiseux series of the curve.

The leading coefficients of the Puiseux series satisfy  $\text{in}_{\mathbf{v}}(\mathbf{f})(\mathbf{x}) = \mathbf{0}$ .

## some references

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## relevant software

- Maple, of course...
- `cddlib` by Komei Fukuda and Alain Prodon implements the double description method to efficiently enumerate all extreme rays of a general polyhedral cone.
- `Gfan` by Anders Jensen to compute Gröbner fans and tropical varieties uses `cddlib`.
- The Singular library `tropical.lib` by Anders Jensen, Hannah Markwig and Thomas Markwig for computations in tropical geometry.
- `Macaulay2` interfaces to `Gfan`.
- Sage interfaces to `Gfan`.
- `PHCpack` (published as Algorithm 795 ACM TOMS) provides our numerical blackbox solver.

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# binomial systems

## Definition

A **binomial system** has exactly two monomials with nonzero coefficient in every equation.

The binomial equation  $c_a \mathbf{x}^{\mathbf{a}} - c_b \mathbf{x}^{\mathbf{b}} = 0$ ,  $\mathbf{a}, \mathbf{b} \in \mathbb{Z}^n$ ,  $c_a, c_b \in \mathbb{C} \setminus \{0\}$ , has normal representation  $\mathbf{x}^{\mathbf{a}-\mathbf{b}} = c_b/c_a$ .

A binomial system of  $N$  equations in  $n$  variables is then defined by an exponent matrix  $A \in \mathbb{Z}^{N \times n}$  and a coefficient vector  $\mathbf{c} \in (\mathbb{C}^*)^N$ :  $\mathbf{x}^A = \mathbf{c}$ .

Solution sets of binomial systems are related to **toric varieties**.

Solution sets of binomial systems can be represented exactly by the first term of their Puiseux series.

## an example

Consider as an example for  $\mathbf{x}^A = \mathbf{c}$  the system

$$\begin{cases} x_0^2 x_1 x_2^4 x_3^3 - 1 = 0 \\ x_0 x_1 x_2 x_3 - 1 = 0 \end{cases} \quad A = \begin{bmatrix} 2 & 1 & 4 & 3 \\ 1 & 1 & 1 & 1 \end{bmatrix}^T \quad \mathbf{c} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}.$$

As basis of the null space of  $A$  we can for example take  $\mathbf{u} = (-3, 2, 1, 0)$  and  $\mathbf{v} = (-2, 1, 0, 1)$ .

The vectors  $\mathbf{u}$  and  $\mathbf{v}$  are tropisms for a two dimensional algebraic set.

Placing  $\mathbf{u}$  and  $\mathbf{v}$  in the first two rows of a matrix  $M$ , extended so  $\det(M) = 1$ , we obtain a coordinate transformation,  $\mathbf{x} = \mathbf{y}^M$ :

$$M = \begin{bmatrix} -3 & 2 & 1 & 0 \\ -2 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \quad \begin{cases} x_0 = y_0^{-3} y_1^{-2} y_2 \\ x_1 = y_0^2 y_1 y_3 \\ x_2 = y_0 \\ x_3 = y_1. \end{cases}$$

## monomial transformations

By construction, as  $A\mathbf{u} = \mathbf{0}$  and  $A\mathbf{v} = \mathbf{0}$ :

$$MA = \begin{bmatrix} -3 & 2 & 1 & 0 \\ -2 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 & 1 \\ 1 & 1 \\ 4 & 1 \\ 3 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 2 & 1 \\ 1 & 1 \end{bmatrix} = B.$$

The corresponding monomial transformation  $\mathbf{x} = \mathbf{y}^M$  performed on  $\mathbf{x}^A = \mathbf{c}$  yields  $\mathbf{y}^{MA} = \mathbf{y}^B = \mathbf{c}$ , eliminating the first two variables:

$$\begin{cases} y_2^2 y_3 - 1 = 0 \\ y_2 y_3 - 1 = 0. \end{cases}$$

Solving this reduced system gives values  $z_2$  and  $z_3$  for  $y_2$  and  $y_3$ . Leaving  $y_0$  and  $y_1$  as parameters  $t_0$  and  $t_1$  we find as solution

$$(\mathbf{x}_0 = z_2 t_0^{-3} t_1^{-2}, \mathbf{x}_1 = z_3 t_0^2 t_1, \mathbf{x}_2 = t_0, \mathbf{x}_3 = t_1).$$

# unimodular coordinate transformations

## Definition

A **unimodular coordinate transformation**  $\mathbf{x} = \mathbf{y}^M$  is determined by an invertible matrix  $M \in \mathbb{Z}^{n \times n}$ :  $\det(M) = \pm 1$ .

For a  $d$ -dimensional solution set of a binomial system:

- 1 The null space of  $A$  gives  $d$  tropisms, stored in the rows of a  $d$ -by- $n$  matrix  $B$ .
- 2 Compute the Smith normal form  $S$  of  $B$ :  $UBV = S$ .
- 3 There are three cases:
  - 1  $U = I \Rightarrow M = V^{-1}$
  - 2 If  $U \neq I$  and  $S$  has ones on its diagonal, then extend  $U^{-1}$  with an identity matrix to form  $M$ .
  - 3 Compute the Hermite normal form  $H$  of  $B$

and let  $D$  be the diagonal elements of  $H$ , then  $M = \begin{bmatrix} D^{-1}B \\ \mathbf{0} & I \end{bmatrix}$ .

# cyclic 4-roots and binomial systems

$$\mathbf{f}(\mathbf{x}) = \begin{cases} x_0 + x_1 + x_2 + x_3 = 0 \\ x_0x_1 + x_1x_2 + x_2x_3 + x_3x_0 = 0 \\ x_0x_1x_2 + x_1x_2x_3 + x_2x_3x_0 + x_3x_0x_1 = 0 \\ x_0x_1x_2x_3 - 1 = 0 \end{cases}$$

Looking for a special solution,  
we apply the binomial system solver to

$$\begin{cases} x_0 + x_2 = 0 \\ x_1 + x_3 = 0 \\ x_0x_1 + x_1x_2 = 0 \\ x_2x_3 + x_3x_0 = 0 \\ x_0x_1x_2 + x_2x_3x_0 = 0 \\ x_1x_2x_3 + x_3x_0x_1 = 0 \\ x_0x_1x_2x_3 - 1 = 0 \quad \dots \end{cases}$$

## the output of phc -b

```
4 5
t1 - t1 +
x0 - t1^1;
x2 - (-1 - 1.22464679914735E-16*i)*t1^1;
x1 - (-1)*t1^-1;
x3 - (1 - 1.22464679914735E-16*i)*t1^-1;
4 5
t1 - t1 +
x0 - t1^1;
x2 - (-1 - 1.22464679914735E-16*i)*t1^1;
x1 - (1 - 1.22464679914735E-16*i)*t1^-1;
x3 - (-1 + 2.44929359829471E-16*i)*t1^-1;
```

This output corresponds to the two solutions

$(x_0 = t, x_1 = -t^{-1}, x_2 = -t, x_3 = t^{-1})$  and  
 $(x_0 = t, x_1 = t^{-1}, x_2 = -t, x_3 = -t^{-1})$  of the original problem.



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## the Cayley embedding – an example

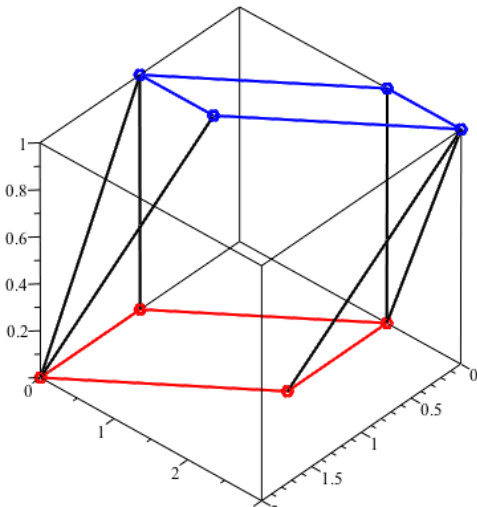
$$\begin{cases} p = (x_0 - x_1^2)(x_0 + 1) = x_0^2 + x_0 - x_1^2 x_0 - x_1^2 = 0 \\ q = (x_0 - x_1^2)(x_1 + 1) = x_0 x_1 + x_0 - x_1^3 - x_1^2 = 0 \end{cases}$$

The Cayley polytope  
is the convex hull of

$$\{(2, 0, 0), (1, 0, 0), \\ (1, 2, 0), (0, 2, 0)\}$$

∪

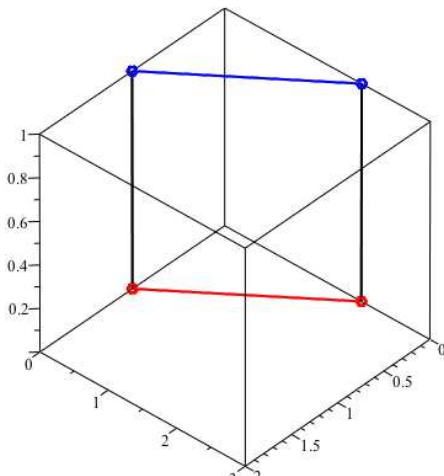
$$\{(1, 1, 1), (1, 0, 1), \\ (0, 3, 1), (0, 2, 1)\}.$$



# facet normals and initial forms

The Cayley polytope has facets spanned by  
one edge of the  
Newton polygon of  $p$   
and  
one edge of the  
Newton polygon of  $q$ .

Consider  $\mathbf{v} = (2, 1, 0)$ .



$$\begin{cases} \text{in}_{(2,1)}(p) = \text{in}_{(2,1)}(x_0^2 + x_0 - x_1^2 x_0 - x_1^2) = x_0 - x_1^2 \\ \text{in}_{(2,1)}(q) = \text{in}_{(2,1)}(x_0 x_1 + x_0 - x_1^3 - x_1^2) = x_0 - x_1^2 \end{cases}$$

# computing all pretropisms

## Definition

A nonzero vector  $\mathbf{v}$  is a **pretropism** for the system  $\mathbf{f}(\mathbf{x}) = \mathbf{0}$  if  $\#in_{\mathbf{v}}(f_k) \geq 2$  for all  $k = 0, 1, \dots, N-1$ .

Application of the Cayley embedding to  $(A_0, A_1, \dots, A_{N-1})$ :

$$E = \{ (\mathbf{a}, \mathbf{0}) \mid \mathbf{a} \in A_0 \} \cup \bigcup_{k=1}^{N-1} \{ (\mathbf{a}, \mathbf{e}_k) \mid \mathbf{a} \in A_k \} \subset \mathbb{Z}^{n+N-1},$$

where  $\mathbf{0}, \mathbf{e}_1 = (1, 0, \dots, 0), \mathbf{e}_2 = (0, 1, \dots, 0), \dots, \mathbf{e}_{N-1} = (0, 0, \dots, 1)$  span the standard unit simplex in  $\mathbb{R}^{N-1}$ .

The set of all facet normals to the convex hull of  $E$  contains all normals to facets spanned by at least two points of each support.

We used `cddlib` to compute all pretropisms of the cyclic  $n$ -roots system, up to  $n = 12$  (148.5 hours on a 3.07GHz CPU with 4GB RAM).

# cones of pretropisms

## Definition

A **cone of pretropism** is a polyhedral cone spanned by pretropisms.

If we are looking for an algebraic set of dimension  $d$  and

- if there are no cones of vectors perpendicular to edges of the Newton polytopes of  $f(\mathbf{x}) = \mathbf{0}$  of dimension  $d$ , then the system  $f(\mathbf{x}) = \mathbf{0}$  has no solution set of dimension  $d$  that intersects the first  $d$  coordinate planes properly; otherwise
- if a  $d$ -dimensional cone of vectors perpendicular to edges of the Newton polytopes exists, then that cone defines a part of the tropical prevariety.

For the cyclic 9-roots system,  
we found a two dimensional cone of pretropisms.

## the tropical prevariety of cyclic $n$ -roots

All facets normals of the Cayley polytope computed with `cddlib` on a 3.07GHz Linux computer with 4Gb RAM:

$n$	#normals	#pretropisms	#generators	user cpu time
8	831	94	11	< 1 sec
9	4,840	276	17	1 sec
12	907,923	38,229	290	148 hours 27 min

Tropical intersections with `Gfan` on a 2.26GHz MacBook:

$n$	#rays	f-vector	user cpu time
8	94	1 94 108 48	15 sec
9	276	1 276 222 54	1 min 11 sec
12	5,582	1 5582 37786 66382 42540 8712	21 hours 1 min

Note that `Gfan` can exploit permutation symmetry.

## solving the cyclic 4-roots system

$$f(\mathbf{x}) = \begin{cases} x_0 + x_1 + x_2 + x_3 = 0 \\ x_0x_1 + x_1x_2 + x_2x_3 + x_3x_0 = 0 \\ x_0x_1x_2 + x_1x_2x_3 + x_2x_3x_0 + x_3x_0x_1 = 0 \\ x_0x_1x_2x_3 - 1 = 0 \end{cases}$$

One tropism  $\mathbf{v} = (+1, -1, +1, -1)$  with  $\text{in}_{\mathbf{v}}(f)(\mathbf{z}) = \mathbf{0}$ :

$$\text{in}_{\mathbf{v}}(f)(\mathbf{x}) = \begin{cases} x_1 + x_3 = 0 \\ x_0x_1 + x_1x_2 + x_2x_3 + x_3x_0 = 0 \\ x_1x_2x_3 + x_3x_0x_1 = 0 \\ x_0x_1x_2x_3 - 1 = 0 \end{cases} \quad \begin{cases} x_0 = y_0^{+1} \\ x_1 = y_0^{-1}y_2 \\ x_2 = y_0^{+1}y_3 \\ x_3 = y_0^{-1}y_4 \end{cases}$$

The system  $\text{in}_{\mathbf{v}}(f)(\mathbf{y}) = \mathbf{0}$  has two solutions.

We find two solution curves:  $(t, -t^{-1}, -t, t^{-1})$  and  $(t, t^{-1}, -t, -t^{-1})$ .

# Puiseux series for algebraic sets

## Proposition

If  $f(\mathbf{x}) = \mathbf{0}$  is in Noether position and defines a  $d$ -dimensional solution set in  $\mathbb{C}^n$ , intersecting the first  $d$  coordinate planes in regular isolated points, then there are  $d$  linearly independent tropisms

$\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_{d-1} \in \mathbb{Q}^n$  so that the initial form system

$\text{in}_{\mathbf{v}_0}(\text{in}_{\mathbf{v}_1}(\dots \text{in}_{\mathbf{v}_{d-1}}(f) \dots))(\mathbf{x} = \mathbf{y}^M) = \mathbf{0}$  has a solution  $\mathbf{c} \in (\mathbb{C} \setminus \{0\})^{n-d}$ .

This solution and the tropisms are the leading coefficients and powers of a generalized Puiseux series expansion for the algebraic set:

$$x_0 = t_0^{V_{0,0}}$$

$$x_d = c_0 t_0^{V_{0,d}} t_1^{V_{1,d}} \dots t_{d-1}^{V_{d-1,d}} + \dots$$

$$x_1 = t_0^{V_{0,1}} t_1^{V_{1,1}}$$

$$x_{d+1} = c_1 t_0^{V_{0,d+1}} t_1^{V_{1,d+1}} \dots t_{d-1}^{V_{d-1,d+1}} + \dots$$

$$\vdots$$
$$\vdots$$

$$x_{d-1} = t_0^{V_{0,d-1}} t_1^{V_{1,d-1}} \dots t_{d-1}^{V_{d-1,d-1}}$$

$$x_n = c_{n-d-1} t_0^{V_{0,n-1}} t_1^{V_{1,n-1}} \dots t_{d-1}^{V_{d-1,n-1}} + \dots$$



## our polyhedral approach

For every  $d$ -dimensional cone  $C$  of pretropisms:

- 1 We select  $d$  linearly independent generators to form the  $d$ -by- $n$  matrix  $A$  and the unimodular transformation  $\mathbf{x} = \mathbf{y}^M$ .
- 2 If  $\text{in}_{\mathbf{v}_0}(\text{in}_{\mathbf{v}_1}(\cdots \text{in}_{\mathbf{v}_{d-1}}(f) \cdots))(\mathbf{x} = \mathbf{y}^M) = \mathbf{0}$  has no solution in  $(\mathbb{C}^*)^{n-d}$ , then return to step 1 with the next cone  $C$ , else continue.
- 3 If the leading term of the Puiseux series satisfies the entire system, then we report an explicit solution of the system and return to step 1 to process the next cone  $C$ .  
Otherwise, we take the current leading term to the next step.
- 4 If there is a second term in the Puiseux series, then we have computed an initial development for an algebraic set and report this development in the output.

Note: to ensure the solution of the initial form system is not isolated, it suffices to compute a series development *for a curve*.

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## applied to the cyclic 8-roots system

Our approach applied to the cyclic 8-roots system:

- 831 facet normals (computed with `cddlib` in less than one second on one core of 3.07GHz Linux computer with 4GB RAM)
- 29 pretropism generators
- 5 lead to initial forms with solutions
  - ▶  $(1, -1, 0, 1, 0, 0, -1, 0)$
  - ▶  $(1, -1, 1, -1, 1, -1, 1, -1)$
  - ▶  $(1, 0, -1, 0, 0, 1, 0, -1)$
  - ▶  $(1, 0, -1, 1, 0, -1, 0, 0)$
  - ▶  $(1, 0, 0, -1, 0, 1, -1, 0)$

For the initial form solutions we used the blackbox solver of PHCpack.

Symbolic manipulations for the computation of the second term of the Puiseux series were done with Sage.

## an initial form system

The pretropism  $\mathbf{v} = (1, -1, 0, 1, 0, 0, -1, 0)$  defines

$$\text{in}_{\mathbf{v}}(\mathbf{f})(\mathbf{x}) = \begin{cases} x_1 + x_6 = 0 \\ x_1 x_2 + x_5 x_6 + x_6 x_7 = 0 \\ x_4 x_5 x_6 + x_5 x_6 x_7 = 0 \\ x_0 x_1 x_6 x_7 + x_4 x_5 x_6 x_7 = 0 \\ x_0 x_1 x_2 x_6 x_7 + x_0 x_1 x_5 x_6 x_7 = 0 \\ x_0 x_1 x_2 x_5 x_6 x_7 + x_0 x_1 x_4 x_5 x_6 x_7 + x_1 x_2 x_3 x_4 x_5 x_6 = 0 \\ x_0 x_1 x_2 x_4 x_5 x_6 x_7 + x_1 x_2 x_3 x_4 x_5 x_6 x_7 = 0 \\ x_0 x_1 x_2 x_3 x_4 x_5 x_6 x_7 - 1 = 0 \end{cases}$$

$\mathbf{v}$  defines the unimodular coordinate transformation:  $x_0 = y_0$ ,  
 $x_1 = y_1/y_0$ ,  $x_2 = y_2$ ,  $x_3 = y_0 y_3$ ,  $x_4 = y_4$ ,  $x_5 = y_5$ ,  $x_6 = y_6/y_0$ ,  $x_7 = y_7$ .  
Using the new coordinates, we transform  $\text{in}_{\mathbf{v}}(\mathbf{f})(\mathbf{x})$ .

## the transformed initial form system

$$\text{in}_v(\mathbf{f})(\mathbf{y}) = \begin{cases} y_1 + y_6 = 0 \\ y_1 y_2 + y_5 y_6 + y_6 y_7 = 0 \\ y_4 y_5 y_6 + y_5 y_6 y_7 = 0 \\ y_4 y_5 y_6 y_7 + y_1 y_6 y_7 = 0 \\ y_1 y_2 y_6 y_7 + y_1 y_5 y_6 y_7 = 0 \\ y_1 y_2 y_3 y_4 y_5 y_6 + y_1 y_2 y_5 y_6 y_7 + y_1 y_4 y_5 y_6 y_7 = 0 \\ y_1 y_2 y_3 y_4 y_5 y_6 y_7 + y_1 y_2 y_4 y_5 y_6 y_7 = 0 \\ y_1 y_2 y_3 y_4 y_5 y_6 y_7 - 1 = 0 \end{cases}$$

Solving  $\text{in}_v(\mathbf{f})(\mathbf{y})$ , we obtain 8 solutions (all in the same orbit), e.g.:

$$y_0 = t, y_1 = -l, y_2 = \frac{-1}{2} - \frac{l}{2}, y_3 = -1, y_4 = 1 + l, \\ y_5 = \frac{1}{2} + \frac{l}{2}, y_6 = l, y_7 = -1 - l, l = \sqrt{-1}.$$

## developing a series for the solution

Taking solution at infinity, we build a series of the form:

$$y_0 = t$$

$$y_1 = -l + c_1 t$$

$$y_2 = \frac{-1}{2} - \frac{l}{2} + c_2 t$$

$$y_3 = -1 + c_3 t$$

$$y_4 = 1 + l + c_4 t$$

$$y_5 = \frac{1}{2} + \frac{l}{2} + c_5 t$$

$$y_6 = l + c_6 t$$

$$y_7 = (-1 - l) + c_7 t$$

Plugging series form into transformed system, collecting all coefficients of  $t^1$ , solving yields

$$c_1 = -1 - l$$

$$c_2 = \frac{1}{2}$$

$$c_3 = 0$$

$$c_4 = -1$$

$$c_5 = \frac{-1}{2}$$

$$c_6 = 1 + l$$

$$c_7 = 1$$

The second term in the series, still in the transformed coordinates:

$$y_0 = t$$

$$y_1 = -l + (-1 - l)t$$

$$y_2 = \frac{-1}{2} - \frac{l}{2} + \frac{1}{2}t$$

$$y_3 = -1$$

$$y_4 = 1 + l - t$$

$$y_5 = \frac{1}{2} + \frac{l}{2} - \frac{1}{2}t$$

$$y_6 = l + (1 + l)t$$

$$y_7 = (-1 - l) + t$$

# degree computations

## Definition (Branch Degree)

Let  $\mathbf{v} = (v_0, v_1, \dots, v_{n-1})$  be a tropism and let  $R$  be the set of initial roots of the initial form system  $\text{in}_{\mathbf{v}}(\mathbf{f})(\mathbf{y}) = \mathbf{0}$ .

Then the degree of the branch is  $\#R \times \left| \max_{i=0}^{n-1} v_i - \min_{i=0}^{n-1} v_i \right|$ .

Tropisms, their cyclic permutations, and degrees:

$(1, -1, 1, -1, 1, -1, 1, -1)$	$8 \times 2 = 16$
$(1, -1, 0, 1, 0, 0, -1, 0) \rightarrow (1, 0, 0, -1, 0, 1, -1, 0)$	$8 \times 2 + 8 \times 2 = 32$
$(1, 0, -1, 0, 0, 1, 0, -1) \rightarrow (1, 0, -1, 1, 0, -1, 0, 0)$	$8 \times 2 + 8 \times 2 = 32$
$(1, 0, -1, 1, 0, -1, 0, 0) \rightarrow (1, 0, -1, 0, 0, 1, 0, -1)$	$8 \times 2 + 8 \times 2 = 32$
$(1, 0, 0, -1, 0, 1, -1, 0) \rightarrow (1, -1, 0, 1, 0, 0, -1, 0)$	$8 \times 2 + 8 \times 2 = 32$
	TOTAL = 144

144 is the degree of the solution curve of the cyclic 8-root system.

## an initial form of cyclic 9-roots

$\mathbf{v}_0 = (1, 1, -2, 1, 1, -2, 1, 1, -2)$  and  $\mathbf{v}_1 = (0, 1, -1, 0, 1, -1, 0, 1, -1)$   
define the initial form system

$$\left\{ \begin{array}{rcl} & & x_2 + x_5 + x_8 = 0 \\ & & x_0x_8 + x_2x_3 + x_5x_6 = 0 \\ & & x_0x_1x_2 + x_0x_1x_8 + x_0x_7x_8 + x_1x_2x_3 + x_2x_3x_4 + x_3x_4x_5 \\ & & \quad + x_4x_5x_6 + x_5x_6x_7 + x_6x_7x_8 = 0 \\ & & x_0x_1x_2x_8 + x_2x_3x_4x_5 + x_5x_6x_7x_8 = 0 \\ & & x_0x_1x_2x_3x_8 + x_0x_5x_6x_7x_8 + x_2x_3x_4x_5x_6 = 0 \\ & & x_0x_1x_2x_3x_4x_5 + x_0x_1x_2x_3x_4x_8 + x_0x_1x_2x_3x_7x_8 \\ + & & x_0x_1x_2x_6x_7x_8 + x_0x_1x_5x_6x_7x_8 + x_0x_4x_5x_6x_7x_8 + x_1x_2x_3x_4x_5x_6 \\ & & \quad + x_2x_3x_4x_5x_6x_7 + x_3x_4x_5x_6x_7x_8 = 0 \\ & & x_0x_1x_2x_3x_4x_5x_8 + x_0x_1x_2x_5x_6x_7x_8 + x_2x_3x_4x_5x_6x_7x_8 = 0 \\ & & x_0x_1x_2x_3x_4x_5x_6x_8 + x_0x_1x_2x_3x_5x_6x_7x_8 + x_0x_2x_3x_4x_5x_6x_7x_8 = 0 \\ & & x_0x_1x_2x_3x_4x_5x_6x_7x_8 - 1 = 0 \end{array} \right.$$



the unimodular transformation  $\mathbf{x} = \mathbf{y}^M$

$$M = \begin{bmatrix} 1 & 1 & -2 & 1 & 1 & -2 & 1 & 1 & -2 \\ 0 & 1 & -1 & 0 & 1 & -1 & 0 & 1 & -1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$x_0 = y_0$$

$$x_1 = y_0 y_1$$

$$x_2 = y_0^{-2} y_1^{-1} y_2$$

$$x_3 = y_0 y_3$$

$$x_4 = y_0 y_1 y_4$$

$$x_5 = y_0^{-2} y_1^{-1} y_5$$

$$x_6 = y_0 y_6$$

$$x_7 = y_0 y_1 y_7$$

$$x_8 = y_0^{-2} y_1^{-1} y_8$$

We use the coordinate change to transform the initial form system and the original cyclic 9-roots system.

## the transformed initial form system

$$\left\{ \begin{array}{rcl} y_2 + y_5 + y_8 & = & 0 \\ y_2 y_3 + y_5 y_6 + y_8 & = & 0 \\ y_2 y_3 y_4 + y_3 y_4 y_5 + y_4 y_5 y_6 + y_5 y_6 y_7 \\ + y_6 y_7 y_8 + y_2 y_3 + y_7 y_8 + y_2 + y_8 & = & 0 \\ y_2 y_3 y_4 y_5 + y_5 y_6 y_7 y_8 + y_2 y_8 & = & 0 \\ y_2 y_3 y_4 y_5 y_6 + y_5 y_6 y_7 y_8 + y_2 y_3 y_8 & = & 0 \\ y_2 y_3 y_4 y_5 y_6 y_7 + y_3 y_4 y_5 y_6 y_7 y_8 + y_2 y_3 y_4 y_5 y_6 \\ + y_4 y_5 y_6 y_7 y_8 + y_2 y_3 y_4 y_5 + y_2 y_3 y_4 y_8 \\ + y_2 y_3 y_7 y_8 + y_2 y_6 y_7 y_8 + y_5 y_6 y_7 y_8 & = & 0 \\ y_3 y_4 y_6 y_7 + y_3 y_4 + y_6 y_7 & = & 0 \\ y_4 y_7 + y_4 + y_7 & = & 0 \\ y_2 y_3 y_4 y_5 y_6 y_7 y_8 - 1 & = & 0 \end{array} \right.$$

A solution is

$$y_2 = -\frac{1}{2} - \frac{\sqrt{3}l}{2}, \quad y_3 = -\frac{1}{2} + \frac{\sqrt{3}l}{2}, \quad y_4 = -\frac{1}{2} + \frac{\sqrt{3}l}{2}, \quad y_5 = 1, \quad y_6 = -\frac{1}{2} - \frac{\sqrt{3}l}{2}, \\ y_7 = -\frac{1}{2} - \frac{\sqrt{3}l}{2}, \quad y_8 = -\frac{1}{2} + \frac{\sqrt{3}l}{2}, \quad \text{where } l = \sqrt{-1}.$$

# an exact representation of a two dimensional set

$$x_0 = y_0$$

$$x_1 = y_0 y_1$$

$$x_2 = y_0^{-2} y_1^{-1} y_2$$

$$x_3 = y_0 y_3$$

$$x_4 = y_0 y_1 y_4$$

$$x_5 = y_0^{-2} y_1^{-1} y_5$$

$$x_6 = y_0 y_6$$

$$x_7 = y_0 y_1 y_7$$

$$x_8 = y_0^{-2} y_1^{-1} y_8$$

$$y_0 = t_1$$

$$y_1 = t_2$$

$$y_2 = -\frac{1}{2} - \frac{\sqrt{3}l}{2}$$

$$y_3 = -\frac{1}{2} + \frac{\sqrt{3}l}{2}$$

$$y_4 = -\frac{1}{2} + \frac{\sqrt{3}l}{2}$$

$$y_5 = 1$$

$$y_6 = -\frac{1}{2} - \frac{\sqrt{3}l}{2}$$

$$y_7 = -\frac{1}{2} - \frac{\sqrt{3}l}{2}$$

$$y_8 = -\frac{1}{2} + \frac{\sqrt{3}l}{2}$$

$$x_0 = t_1$$

$$x_1 = t_1 t_2$$

$$x_2 = t_1^{-2} t_2^{-1} \left(-\frac{1}{2} - \frac{\sqrt{3}l}{2}\right)$$

$$x_3 = t_1 \left(-\frac{1}{2} + \frac{\sqrt{3}l}{2}\right)$$

$$x_4 = t_1 t_2 \left(-\frac{1}{2} + \frac{\sqrt{3}l}{2}\right)$$

$$x_5 = t_1^{-2} t_2^{-1}$$

$$x_6 = t_1 \left(-\frac{1}{2} - \frac{\sqrt{3}l}{2}\right)$$

$$x_7 = t_1 t_2 \left(-\frac{1}{2} - \frac{\sqrt{3}l}{2}\right)$$

$$x_8 = t_1^{-2} t_2^{-1} \left(-\frac{1}{2} + \frac{\sqrt{3}l}{2}\right)$$

## a tropical interpretation of Backelin's Lemma

Denoting by  $u = e^{i2\pi/3}$  the primitive third root of unity,  $u^3 - 1 = 0$ :

$$\begin{array}{lll} x_0 = t_0 & x_3 = t_0 u & x_6 = t_0 u^2 \\ x_1 = t_0 t_1 & x_4 = t_0 t_1 u & x_7 = t_0 t_1 u^2 \\ x_2 = t_0^{-2} t_1^{-1} u^2 & x_5 = t_0^{-2} t_1^{-1} u & x_8 = t_0^{-2} t_1^{-1} u. \end{array}$$

Introducing new variables  $y_0 = t_0$ ,  $y_1 = t_0 t_1$ , and  $y_2 = t_0^{-2} t_1^{-1} u^2$ :

$$\begin{array}{lll} x_0 = y_0 & x_3 = y_0 u & x_6 = y_0 u^2 \\ x_1 = y_1 & x_4 = y_1 u & x_7 = y_1 u^2 \\ x_2 = y_2 & x_5 = y_2 u & x_8 = y_2 u^2 \end{array}$$

which modulo  $y_0^3 y_1^3 y_2^3 u^9 - 1 = 0$  satisfies by plain substitution the cyclic 9-roots system, as in the proof of Backelin's Lemma, see J.C. Faugère. **Finding all the solutions of Cyclic 9 using Gröbner basis techniques.** In *Computer Mathematics - Proceedings of the Fifth Asian Symposium (ASCM 2001)*, pages 1–12. World Scientific, 2001.

## degree computations

For  $u^3 = 1$ , our representation of the solution set is

$$\begin{array}{lll} x_0 = t_0 & x_3 = t_0 u & x_6 = t_0 u^2 \\ x_1 = t_0 t_1 & x_4 = t_0 t_1 u & x_7 = t_0 t_1 u^2 \\ x_2 = t_0^{-2} t_1^{-1} u^2 & x_5 = t_0^{-2} t_1^{-1} u & x_8 = t_0^{-2} t_1^{-1} u. \end{array}$$

We compute the degree of the surface using two random hyperplanes:

$$\begin{cases} \alpha_1 t_0 + \alpha_2 t_0 t_1 + \alpha_3 t_0^{-2} t_1^{-1} & = 0 \\ \alpha_4 t_0 + \alpha_5 t_0 t_1 + \alpha_6 t_0^{-2} t_1^{-1} & = 0, \quad \alpha_1, \alpha_2, \dots, \alpha_6 \in \mathbb{C}. \end{cases}$$

Simplifying, the system becomes

$$\begin{cases} t_0^{-2} t_1^{-1} - \beta_1 & = 0 \\ t_1 - \beta_2 & = 0, \quad \beta_1, \beta_2 \in \mathbb{C}. \end{cases}$$

There are 3 solutions, so we have a cubic surface of cyclic 9 roots.  
Applying symmetry, we find an orbit of 6 cubic surfaces.

# cyclic $m^2$ -roots

## Proposition

For  $n = m^2$ , there is an  $(m - 1)$ -dimensional set of cyclic  $n$ -roots, represented exactly as

$$\begin{aligned}x_{km+0} &= u_k t_0 \\x_{km+1} &= u_k t_0 t_1 \\x_{km+2} &= u_k t_0 t_1 t_2 \\&\vdots \\x_{km+m-2} &= u_k t_0 t_1 t_2 \cdots t_{m-2} \\x_{km+m-1} &= u_k t_0^{-m+1} t_1^{-m+2} \cdots t_{m-3}^{-2} t_{m-2}^{-1}\end{aligned}$$

for  $k = 0, 1, 2, \dots, m - 1$  and  $u_k = e^{i2k\pi/m}$ .

The degree of this solution set equals  $m$ .

## conclusion

Promising results on the cyclic  $n$ -roots problem give a proof of concept for a new polyhedral method to compute algebraic sets.

Version 2.3.68 of PHCpack solves binomial systems with `phc -b`.

For the computation of pretropisms, we rely on

- `cddlib` on the Cayley embedding of the Newton polytopes, or
- `Gfan` for the tropical intersection.

To process the pretropisms, we

- use `Sage` to extract initial form systems and look for the second term in the Puiseux series;
- solve initial form systems with the blackbox solver of `PHCpack`.