

Homotopies for positive dimensional solution components of polynomial systems

Jan Verschelde

Department of Math, Stat & CS

University of Illinois at Chicago

Chicago, IL 60607-7045, USA

e-mail: jan@math.uic.edu

web: www.math.uic.edu/~jan

RAAG Summer School, Rennes, France.

30 June – 5 July, 2003

Outline of Lecture

1. Numerical Algebraic Geometry Dictionary
2. Homotopies to compute Witness Points
3. Factorization with Monodromy and Linear Traces
4. Software and Applications

Joint Work with A.J. Sommese and C.W. Wampler

- A.J. Sommese and C.W. Wampler: **Numerical algebraic geometry.** In *The Mathematics of Numerical Analysis*, ed. by J. Renegar et al., volume 32 of *Lectures in Applied Mathematics*, 749–763, AMS, 1996.
- A.J. Sommese and JV: **Numerical homotopies to compute generic points on positive dimensional algebraic sets.** *Journal of Complexity* 16(3):572–602, 2000.
- A.J. Sommese, JV and C.W. Wampler: **Numerical decomposition of the solution sets of polynomial systems into irreducible components.** *SIAM J. Numer. Anal.* 38(6):2022–2046, 2001.
- A.J. Sommese, JV and C.W. Wampler: **Using monodromy to decompose solution sets of polynomial systems into irreducible components.** In *Application of Algebraic Geometry to Coding Theory, Physics and Computation*, ed. by C. Ciliberto et al., Proceedings of a NATO Conference, February 25 - March 1, 2001, Eilat, Israel. Pages 297–315, Kluwer AP.
- A.J. Sommese, JV and C.W. Wampler: **Symmetric functions applied to decomposing solution sets of polynomial systems.** *SIAM J. Numer. Anal.* 40(6):2026–2046, 2002.
- A.J. Sommese, JV and C.W. Wampler: **Numerical irreducible decomposition using PHCpack.** In *Algebra, Geometry, and Software Systems*, edited by M. Joswig and N. Takayama, pages 109–130, Springer-Verlag, 2003.

Solution sets to polynomial systems

Polynomial in One Variable	System of Polynomials
<p>one equation, one variable</p> <p>solutions are points</p> <p>multiple roots</p> <p>Factorization: $\prod_i (x - a_i)^{\mu_i}$</p>	<p>n equations, N variables</p> <p>points, lines, surfaces, ...</p> <p>sets with multiplicity</p> <p>Irreducible Decomposition</p>
Numerical Representation	
set of points	set of witness sets

An Illustrative Example

$$f(x, y, z) = \begin{cases} (y - x^2)(x^2 + y^2 + z^2 - 1)(x - 0.5) = 0 \\ (z - x^3)(x^2 + y^2 + z^2 - 1)(y - 0.5) = 0 \\ (y - x^2)(z - x^3)(x^2 + y^2 + z^2 - 1)(z - 0.5) = 0 \end{cases}$$

Irreducible decomposition of $Z = f^{-1}(\mathbf{0})$ is

$$Z = Z_2 \cup Z_1 \cup Z_0 = \{Z_{21}\} \cup \{Z_{11} \cup Z_{12} \cup Z_{13} \cup Z_{14}\} \cup \{Z_{01}\}$$

with 1. Z_{21} is the sphere $x^2 + y^2 + z^2 - 1 = 0$,

2. Z_{11} is the line $(x = 0.5, z = 0.5^3)$,

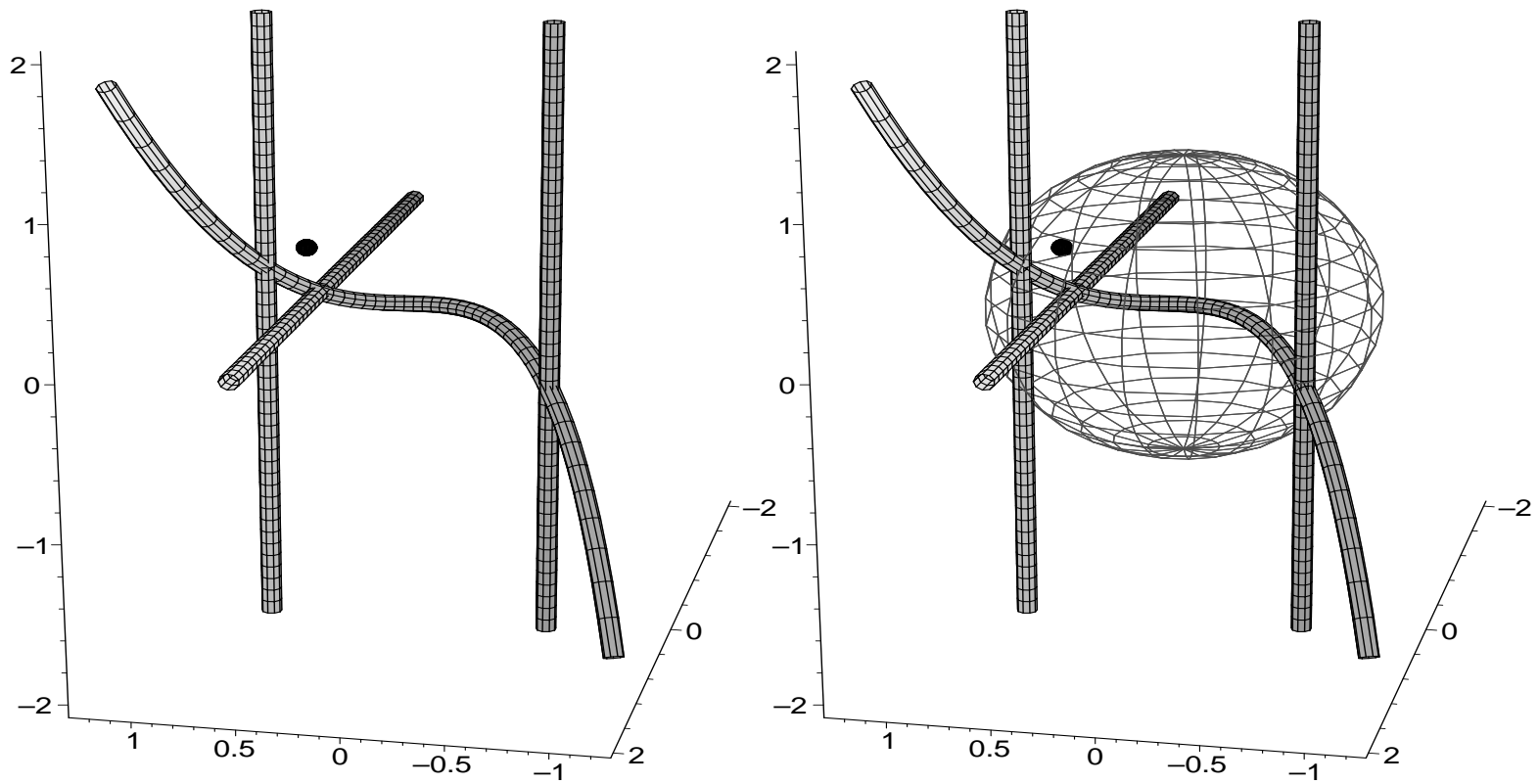
3. Z_{12} is the line $(x = \sqrt{0.5}, y = 0.5)$,

4. Z_{13} is the line $(x = -\sqrt{0.5}, y = 0.5)$,

5. Z_{14} is the twisted cubic $(y - x^2 = 0, z - x^3 = 0)$,

6. Z_{01} is the point $(x = 0.5, y = 0.5, z = 0.5)$.

An Illustrative Example - the plots



Witness Sets

A witness point is a solution of a polynomial system which lies on a set of generic hyperplanes.

- The number of generic hyperplanes used to isolate a point from a solution component equals the **dimension** of the solution component.
- The number of witness points on one component cut out by the same set of generic hyperplanes equals the **degree** of the solution component.

A witness set for a k -dimensional solution component consists of k random hyperplanes and a set of isolated solutions of the system cut with those hyperplanes.

Membership Test

Does the point \mathbf{z} belong to a component?

Given: a point in space $\mathbf{z} \in \mathbb{C}^N$; a system $f(\mathbf{x}) = \mathbf{0}$;

and a witness set W , $W = (Z, L)$:

for all $\mathbf{w} \in Z$: $f(\mathbf{w}) = \mathbf{0}$ and $L(\mathbf{w}) = \mathbf{0}$.

1. Let $L_{\mathbf{z}}$ be a set of hyperplanes through \mathbf{z} , and define

$$H(\mathbf{x}, t) = \begin{cases} f(\mathbf{x}) = \mathbf{0} \\ L_{\mathbf{z}}(\mathbf{x})t + L(\mathbf{x})(1 - t) = \mathbf{0} \end{cases}$$

2. Trace all paths starting at $\mathbf{w} \in Z$, for t from 0 to 1.

3. The test $(\mathbf{z}, 1) \in H^{-1}(\mathbf{0})$? answers the question above.

Numerical Algebraic Geometry Dictionary

Algebraic Geometry	example in 3-space	Numerical Analysis
variety	collection of points, algebraic curves, and algebraic surfaces	polynomial system + union of witness sets, see below for the definition of a witness set
irreducible variety	a single point, or a single curve, or a single surface	polynomial system + witness set + probability-one membership test
generic point on an irreducible variety	random point on an algebraic curve or surface	point in witness set; a witness point is a solution of polynomial system on the variety and on a random slice whose codimension is the dimension of the variety
pure dimensional variety	one or more points, or one or more curves, or one or more surfaces	polynomial system + set of witness sets of same dimension + probability-one membership tests
irreducible decomposition of a variety	several pieces of different dimensions	polynomial system + array of sets of witness sets and probability-one membership tests

Randomization and Embedding

Overconstrained systems, e.g.: $f = (f_1, f_2, \dots, f_5)$, with $\mathbf{x} = (x_1, x_2, x_3)$.

randomization: choose random complex numbers a_{ij} :

$$\begin{cases} f_1(\mathbf{x}) + a_{11}f_4(\mathbf{x}) + a_{12}f_5(\mathbf{x}) = 0 \\ f_2(\mathbf{x}) + a_{21}f_4(\mathbf{x}) + a_{22}f_5(\mathbf{x}) = 0 \\ f_3(\mathbf{x}) + a_{31}f_4(\mathbf{x}) + a_{32}f_5(\mathbf{x}) = 0 \end{cases}$$

embedding: z_1 and z_2 are slack variables ($a_{ij} \in \mathbb{C}$ again at random):

$$\begin{cases} f_1(\mathbf{x}) + a_{11}z_1 + a_{12}z_2 = 0 \\ f_2(\mathbf{x}) + a_{21}z_1 + a_{22}z_2 = 0 \\ f_3(\mathbf{x}) + a_{31}z_1 + a_{32}z_2 = 0 \\ f_4(\mathbf{x}) + a_{41}z_1 + a_{42}z_2 = 0 \\ f_5(\mathbf{x}) + a_{51}z_1 + a_{52}z_2 = 0 \end{cases}$$

Embedding with Slack Variables

The cyclic 4-roots system defines 2 quadrics in \mathbb{C}^4 :

$$\left\{ \begin{array}{l} x_1 + x_2 + x_3 + x_4 + \gamma_1 z = 0 \\ x_1 x_2 + x_2 x_3 + x_3 x_4 + x_4 x_1 + \gamma_2 z = 0 \\ x_1 x_2 x_3 + x_2 x_3 x_4 + x_3 x_4 x_1 + x_4 x_1 x_2 + \gamma_3 z = 0 \\ x_1 x_2 x_3 x_4 - 1 + \gamma_4 z = 0 \\ a_0 + a_1 x_1 + a_2 x_2 + a_3 x_3 + a_4 x_4 + z = 0 \end{array} \right.$$

Original system : 4 equations in $x_1, x_2, x_3,$ and x_4 .

Cut with random hyperplane to find isolated points.

Slack variable z with random $\gamma_i, i = 1, 2, 3, 4$: square system.

Solve embedded system to find $4 = 2+2$ witness points as isolated solutions with $z = 0$.

A cascade of embeddings

dimension two:

$$\left\{ \begin{array}{l} f_1(\mathbf{x}) + a_{11}z_1 + a_{12}z_2 = 0 \\ f_2(\mathbf{x}) + a_{21}z_1 + a_{22}z_2 = 0 \\ f_3(\mathbf{x}) + a_{31}z_1 + a_{32}z_2 = 0 \\ L_1(\mathbf{x}) + z_1 = 0 \\ L_2(\mathbf{x}) + z_2 = 0 \end{array} \right.$$

dimension one:

$$\left\{ \begin{array}{l} f_1(\mathbf{x}) + a_{11}z_1 + a_{12}z_2 = 0 \\ f_2(\mathbf{x}) + a_{21}z_1 + a_{22}z_2 = 0 \\ f_3(\mathbf{x}) + a_{31}z_1 + a_{32}z_2 = 0 \\ L_1(\mathbf{x}) + z_1 = 0 \\ z_2 = 0 \end{array} \right.$$

dimension zero:

$$\left\{ \begin{array}{l} f_1(\mathbf{x}) + a_{11}z_1 = 0 \\ f_2(\mathbf{x}) + a_{21}z_1 = 0 \\ f_3(\mathbf{x}) + a_{31}z_1 = 0 \\ z_1 = 0 \\ z_2 = 0 \end{array} \right.$$

A cascade of homotopies

Denote \mathcal{E}_i as an embedding of $f(\mathbf{x}) = \mathbf{0}$ with i random hyperplanes and i slack variables $\mathbf{z} = (z_1, z_2, \dots, z_i)$.

Theorem (Sommese - Verschelde):

1. Solutions with $(z_1, z_2, \dots, z_i) = \mathbf{0}$ contain $\deg W$ generic points on every i -dimensional component W of $f(\mathbf{x}) = \mathbf{0}$.
2. Solutions with $(z_1, z_2, \dots, z_i) \neq \mathbf{0}$ are regular; and solution paths defined by

$$h_i(\mathbf{x}, \mathbf{z}, t) = (1 - t)\mathcal{E}_i(\mathbf{x}, \mathbf{z}) + t \begin{pmatrix} \mathcal{E}_{i-1}(\mathbf{x}, \mathbf{z}) \\ z_i \end{pmatrix} = \mathbf{0}$$

starting at $t = 0$ with all solutions with $z_i \neq 0$
reach at $t = 1$ all isolated solutions of $\mathcal{E}_{i-1}(\mathbf{x}, \mathbf{z}) = \mathbf{0}$.

A refined version of Bézout's theorem

The linear equations added to $f(\mathbf{x}) = \mathbf{0}$ in the cascade of homotopies do not increase the total degree.

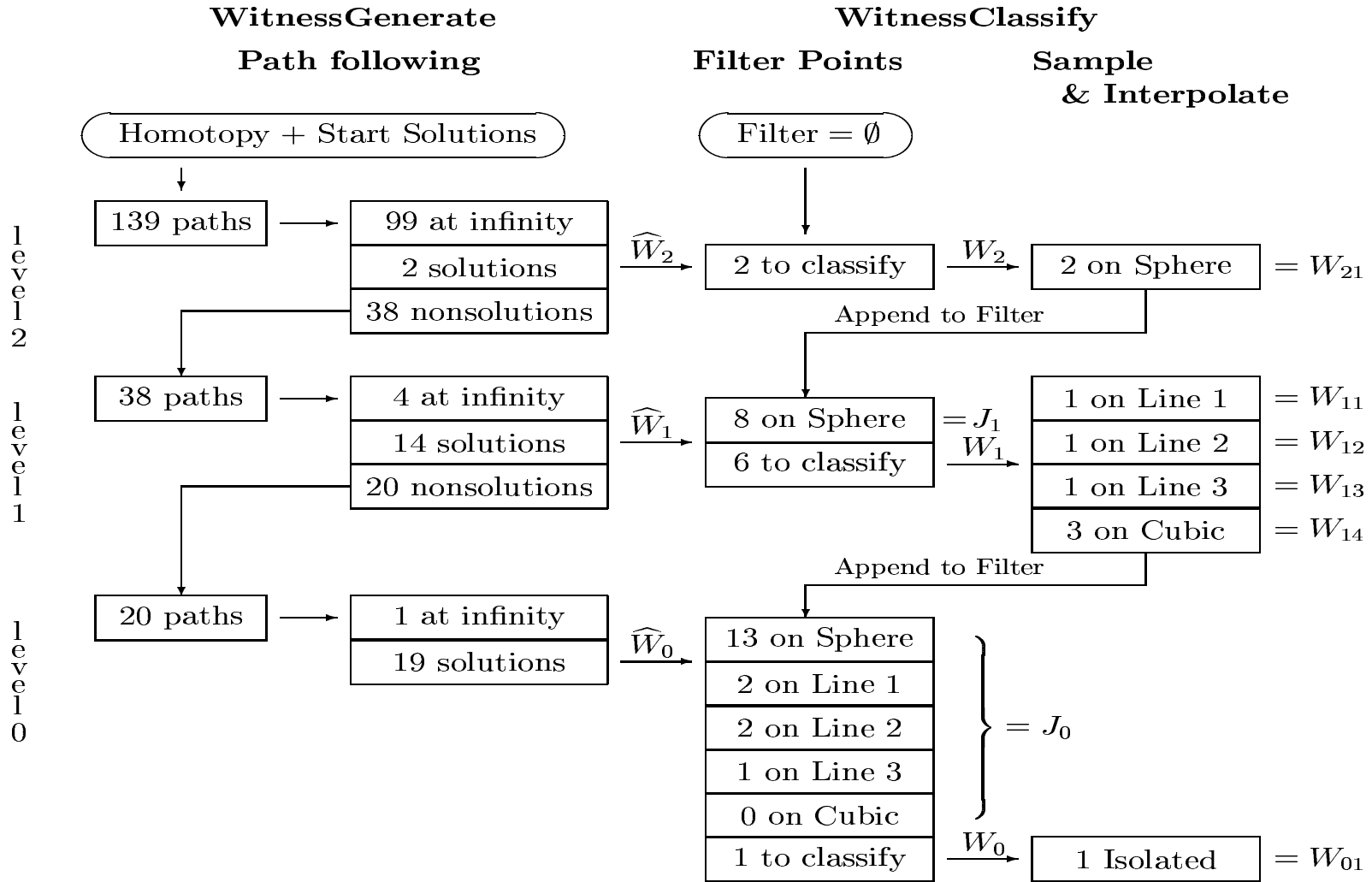
Let $f = (f_1, f_2, \dots, f_n)$ be a system of n polynomial equations in N variables, $\mathbf{x} = (x_1, x_2, \dots, x_N)$.

$$\text{Bézout bound: } \prod_{i=1}^n \deg(f_i) \geq \sum_{j=0}^N \mu_j \deg(W_j),$$

where W_j is a j -dimensional solution component of $f(\mathbf{x}) = \mathbf{0}$ of multiplicity μ_j .

Note: $j = 0$ gives the “classical” theorem of Bézout.

A Numerical Irreducible Decomposition of the Illustrative Example



Solving Systems Incrementally

- Extrinsic and Intrinsic Deformations
 - extrinsic** : defined by explicit equations
 - intrinsic** : following the actual geometry
- Diagonal Homotopies
 - to intersect pure dimensional solution sets
- Intersecting with Hypersurfaces
 - adding the polynomial equations one after the other we arrive at an incremental polynomial system solver.

Extrinsic Homotopy Deformations

$f(\mathbf{x}) = \mathbf{0}$ has k -dimensional solution components. We cut with k hyperplanes to find isolated solutions = *witness sets*:

$$a_{i0} + \sum_{j=1}^n a_{ij}x_j = 0, \quad i = 1, 2, \dots, k, \quad a_{ij} \in \mathbb{C} \text{ random}$$

$$\text{Sample} \quad \left\{ \begin{array}{ll} f(\mathbf{x}) + \gamma \mathbf{z} = 0 & \mathbf{z} = \textit{slack} \\ a_{i0}(t) + \sum_{j=1}^n a_{ij}(t)x_j = 0 & \textit{moving} \end{array} \right.$$

$$\begin{aligned} \#\text{witness points} &= \sum_{\substack{C \subseteq f^{-1}(0) \\ \dim(C) = k}} \deg(C) \end{aligned}$$

Intrinsic Homotopy Deformations

$f(\mathbf{x}) = \mathbf{0}$ has k -dimensional solution components. We cut with a random affine $(n - k)$ -plane to find witness points :

$$\mathbf{x}(\lambda) = \mathbf{b} + \sum_{i=1}^{n-k} \lambda_i \mathbf{v}_i \in \mathbb{C}^n$$

The vectors \mathbf{b} and \mathbf{v}_i are chosen at random.

$$\text{Sample } f \left(\mathbf{x}(\lambda, t) = \mathbf{b}(t) + \sum_{i=1}^{n-k} \lambda_i \mathbf{v}_i(t) \right) = \mathbf{0}$$

Points on the moving $(n - k)$ -plane are determined by $n - k$ independent variables $\lambda_i, i = 1, 2, \dots, n - k$.

Intersecting Hypersurfaces Extrinsically

$$\begin{cases} f_1(\mathbf{x}) = 0 & \mathbf{x} \in \mathbb{C}^n \\ L_1(\mathbf{x}) = \mathbf{0} & n-1 \text{ hyperplanes} \end{cases}$$

$$\begin{cases} f_2(\mathbf{y}) = 0 & \mathbf{y} \in \mathbb{C}^n \\ L_2(\mathbf{y}) = \mathbf{0} & n-1 \text{ hyperplanes} \end{cases}$$

diagonal homotopy

extrinsic version

$$\left(\begin{cases} f_1(\mathbf{x}) = 0 \\ f_2(\mathbf{y}) = 0 \\ L_1(\mathbf{x}) = \mathbf{0} \\ L_2(\mathbf{y}) = \mathbf{0} \end{cases} \right) t + \left(\begin{cases} f_1(\mathbf{x}) = 0 \\ f_2(\mathbf{y}) = 0 \\ \mathbf{x} - \mathbf{y} = \mathbf{0} \\ M(\mathbf{y}) = \mathbf{0} \end{cases} \right) (1 - t) = \mathbf{0}$$

At $t = 1$: $\deg(f_1) \times \deg(f_2)$ solutions $(\mathbf{x}, \mathbf{y}) \in \mathbb{C}^{n \times n}$.

At $t = 0$: witness points $(\mathbf{x} = \mathbf{y} \in \mathbb{C}^n)$ on $f_1^{-1}(0) \cap f_2^{-1}(0)$ cut out by $n - 2$ hyperplanes M .

Intersecting Hypersurfaces Intrinsically

Consider a general affine line $\mathbf{x}(\lambda) = \mathbf{b} + \lambda\mathbf{v} \in \mathbb{C}^n$.

$$\begin{array}{ccc}
 f_1(\mathbf{x}(\lambda) = \mathbf{b} + \lambda\mathbf{v}) & \cap & f_2(\mathbf{y}(\mu) = \mathbf{b} + \mu\mathbf{v}) \\
 \text{deg}(f_1) \text{ values for } \lambda & & \text{deg}(f_2) \text{ values for } \mu
 \end{array}$$

$$\begin{array}{l}
 \text{diagonal} \\
 \text{homotopy}
 \end{array}
 \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}
 \left(\begin{bmatrix} \mathbf{x}(t) \\ \mathbf{y}(t) \end{bmatrix} \right) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}
 \begin{array}{l}
 \textit{intrinsic} \\
 \textit{version}
 \end{array}$$

$$\begin{bmatrix} \mathbf{x}(t) \\ \mathbf{y}(t) \end{bmatrix} = \begin{bmatrix} \mathbf{b} \\ \mathbf{b} \end{bmatrix} + \lambda \left(\begin{bmatrix} \mathbf{v} \\ \mathbf{0} \end{bmatrix} t + \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_1 \end{bmatrix} (1-t) \right) + \mu \left(\begin{bmatrix} \mathbf{0} \\ \mathbf{v} \end{bmatrix} t + \begin{bmatrix} \mathbf{u}_2 \\ \mathbf{u}_2 \end{bmatrix} (1-t) \right)$$

At $t = 1$: $\text{deg}(f_1) \times \text{deg}(f_2)$ solutions $(\mathbf{x}, \mathbf{y}) \in \mathbb{C}^{n \times n}$.

At $t = 0$: witness points on $\mathbf{x} = \mathbf{b} + \lambda\mathbf{u}_1 + \mu\mathbf{u}_2$, a general 2-plane defined by a random point \mathbf{b} and 2 random vectors \mathbf{u}_1 and \mathbf{u}_2 .

Intersecting with Hypersurfaces

Let $f(\mathbf{x}) = \mathbf{0}$ have k -dimensional solution components described by witness points on a general $(n - k)$ -dimensional affine plane, i.e.:

$$f \left(\mathbf{x}(\lambda) = \mathbf{b} + \sum_{i=1}^{n-k} \lambda_i \mathbf{v}_i \right) = \mathbf{0}.$$

Let $g(\mathbf{x}) = 0$ be a hypersurface with witness points on a general affine line, i.e.:

$$g(\mathbf{x}(\mu) = \mathbf{b} + \mu \mathbf{w}) = 0.$$

Assuming $g(\mathbf{x}) = 0$ properly cuts one degree of freedom from $f^{-1}(\mathbf{0})$, we want to find witness points on all $(k - 1)$ -dimensional components of $f^{-1}(\mathbf{0}) \cap g^{-1}(0)$.

Computing Nonsingular Solutions Incrementally

Suppose (f_1, f_2, \dots, f_k) defines the system $f(\mathbf{x}) = \mathbf{0}$, $\mathbf{x} \in \mathbb{C}^n$, whose solution set is pure dimensional of multiplicity one for all $k = 1, 2, \dots, N \leq n$, i.e.: we find only nonsingular roots if we slice the solution set of $f(\mathbf{x}) = \mathbf{0}$ with a generic linear space of dimension $n - k$.

Main loop in the solver :

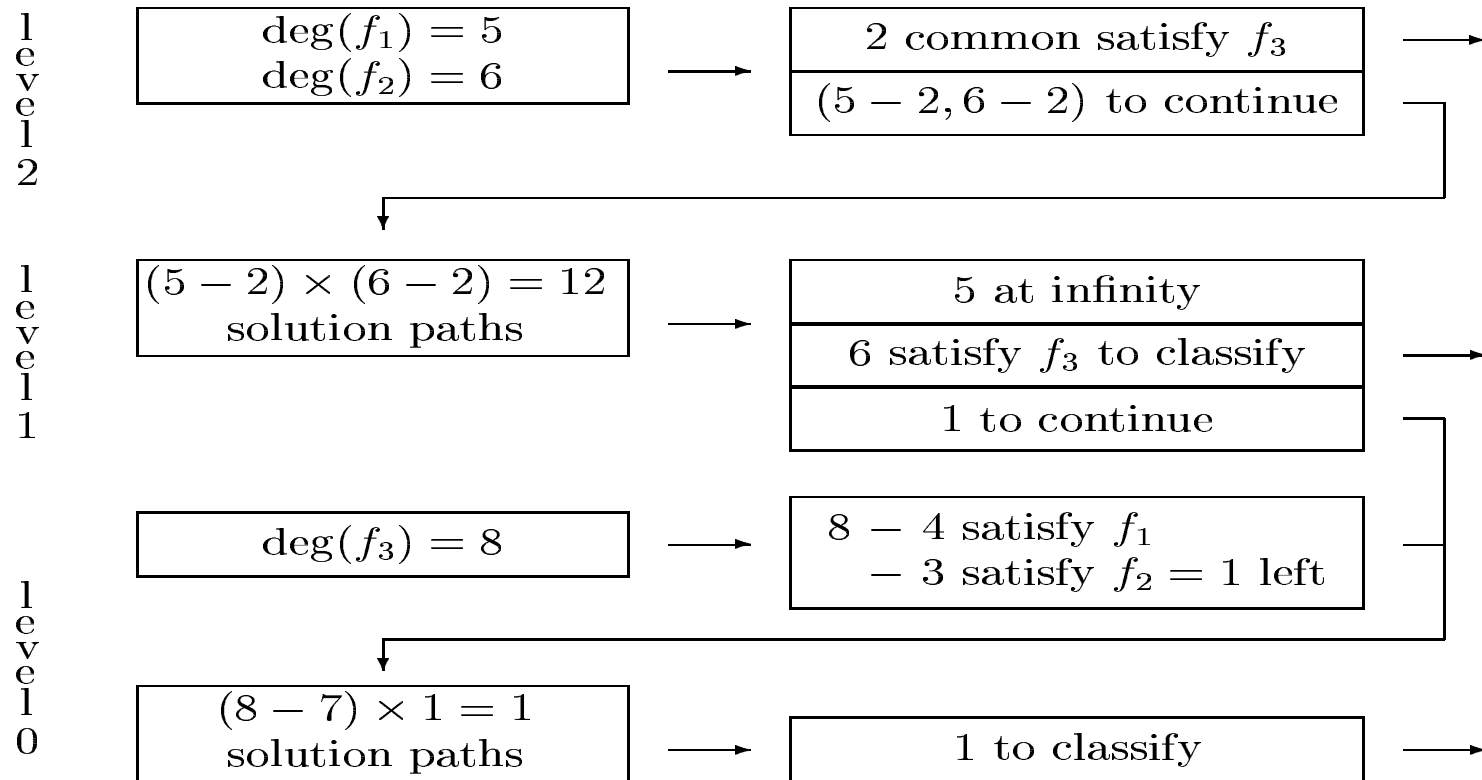
for $k = 2, 3, \dots, N - 1$ do

use a diagonal homotopy to intersect

$(f_1, f_2, \dots, f_k)^{-1}(\mathbf{0})$ with $f_{k+1}(\mathbf{x}) = 0$,

to find witness points on all $(n - k - 1)$ -dimensional solution components.

New WitnessGenerate for the Illustrative Example



Factoring Solution Components

Input: $f(\mathbf{x}) = \mathbf{0}$ polynomial system with a positive dimensional solution component, represented by witness set.

coefficients of f known approximately, work with limited precision

Wanted: decompose the component into irreducible factors,
for each factor, give its degree and multiplicity.

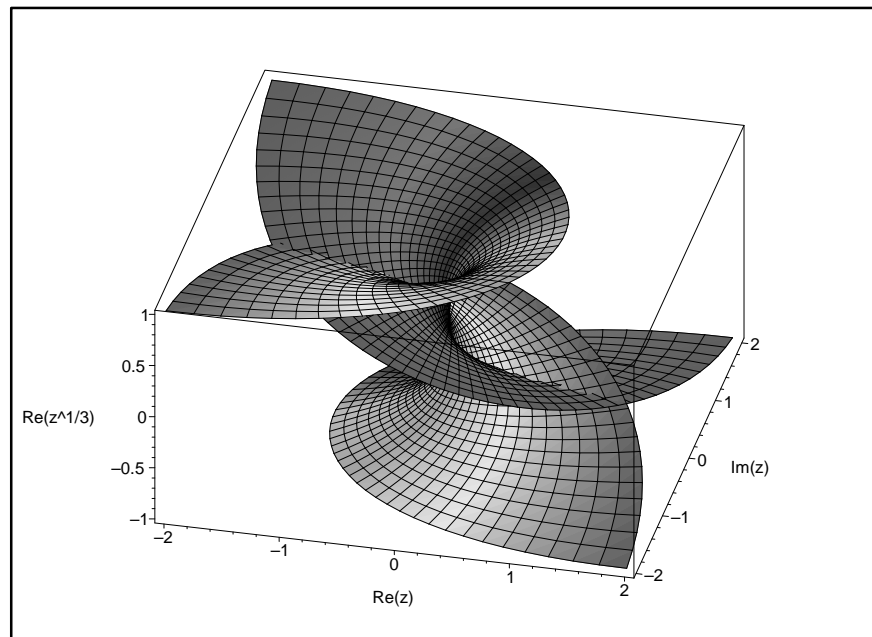
Related to numerical factorization of multivariate polynomials:

E. Kaltofen: **Challenges of symbolic computation: my favorite open problems.** *J. Symbolic Computation* 29(6): 891–919, 2000.

Related Work

- Y. Huang, W. Wu, H.J. Stetter, and L. Zhi: **Pseudofactors of multivariate polynomials**. In *Proceedings of ISSAC 2000*, ed. by C. Traverso, pages 161–168, ACM 2000.
- R.M. Corless, M.W. Giesbrecht, M. van Hoeij, I.S. Kotsireas and S.M. Watt: **Towards factoring bivariate approximate polynomials**. In *Proceedings of ISSAC 2001*, ed. by B. Mourrain, pages 85–92, ACM 2001.
- A. Galligo and D. Rupprecht: **Semi-numerical determination of irreducible branches of a reduced space curve**. In *Proceedings of ISSAC 2001*, ed. by B. Mourrain, pages 137–142, ACM 2001.
- A. Galligo and D. Rupprecht: **Irreducible decomposition of curves**. *J. Symbolic Computation* 33(5):661–677, 2002.
- T. Sasaki: **Approximate multivariate polynomial factorization based on zero-sum relations**. In *Proceedings of ISSAC 2001*, ed. by B. Mourrain, pages 284–291, ACM 2001.
- R.M. Corless, A. Galligo, I.S. Kotsireas, and S.M. Watt: **A geometric-numeric algorithm for absolute factorization of multivariate polynomials**. In *Proceedings of ISSAC 2002*, ed. by T. Mora, pages 37–45, ACM 2002.
- E. Kaltofen and J. May: **On approximate irreducibility of polynomials in several variables**. To appear in *Proceedings of ISSAC 2003*.

The Riemann Surface of $z^3 - w = 0$:



R.M. Corless and D.J. Jeffrey: **Graphing elementary Riemann surfaces.**
SIGSAM Bulletin 32(1):11–17, 1998.

Monodromy to Decompose Solution Components

Given: a system $f(\mathbf{x}) = \mathbf{0}$; and $W = (Z, L)$:

for all $\mathbf{w} \in Z : f(\mathbf{w}) = \mathbf{0}$ and $L(\mathbf{w}) = \mathbf{0}$.

Wanted: partition of Z so that all points in a subset of Z lie on the same irreducible factor.

Example: does $f(x, y) = xy - 1 = 0$ factor?

Consider $H(x, y, \theta) = \begin{cases} xy - 1 = 0 \\ x + y = 4e^{i\theta} \end{cases}$ for $\theta \in [0, 2\pi]$.

For $\theta = 0$, we start with two real solutions. When $\theta > 0$, the solutions turn complex, real again at $\theta = \pi$, then complex until at $\theta = 2\pi$. Back at $\theta = 2\pi$, we have again two real solutions, but their order is permuted \Rightarrow irreducible.

Connecting Witness Points

1. For two sets of hyperplanes K and L , and a random $\gamma \in \mathbb{C}$

$$H(\mathbf{x}, t, K, L, \gamma) = \begin{cases} f(\mathbf{x}) = \mathbf{0} \\ \gamma K(\mathbf{x})(1 - t) + L(\mathbf{x})t = \mathbf{0} \end{cases}$$

We start paths at $t = 0$ and end at $t = 1$.

2. For $\alpha \in \mathbb{C}$, trace the paths defined by $H(\mathbf{x}, t, K, L, \alpha) = \mathbf{0}$.

For $\beta \in \mathbb{C}$, trace the paths defined by $H(\mathbf{x}, t, L, K, \beta) = \mathbf{0}$.

Compare start points of first path tracking with end points of second path tracking. Points which are permuted belong to the same irreducible factor.

3. Repeat the loop with other hyperplanes.

Linear Traces

$$\begin{aligned}\text{Consider } f(x, y(x)) &= (y - y_1(x))(y - y_2(x))(y - y_3(x)) \\ &= y^3 - t_1(x)y^2 + t_2(x)y - t_3(x)\end{aligned}$$

We are interested in the linear trace: $t_1(x) = c_1x + c_0$.

Sample the cubic at $x = x_0$ and $x = x_1$. The samples are $\{(x_0, y_{00}), (x_0, y_{01}), (x_0, y_{02})\}$ and $\{(x_1, y_{10}), (x_1, y_{11}), (x_1, y_{12})\}$.

$$\text{Solve } \begin{cases} y_{00} + y_{01} + y_{02} = c_1x_0 + c_0 \\ y_{10} + y_{11} + y_{12} = c_1x_1 + c_0 \end{cases} \quad \text{to find } c_0, c_1.$$

With t_1 we can predict the sum of the y 's for a fixed choice of x . For example, samples at $x = x_2$ are $\{(x_2, y_{20}), (x_2, y_{21}), (x_2, y_{22})\}$. Then, $t_1(x_2) = c_1x_2 + c_0 = y_{20} + y_{21} + y_{22}$.

Validation of Breakup with Linear Trace

Do we have enough witness points on a factor?

- We may not have enough monodromy loops to connect all witness points on the same irreducible component.
- For a k -dimensional solution component, it suffices to consider a curve on the component cut out by $k - 1$ random hyperplanes. The factorization of the curve tells the decomposition of the solution component.
- We have enough witness points on the curve if the value at the linear trace can predict the sum of one coordinate of all points in the set.

Notice: Instead of monodromy, we may enumerate all possible factors and use linear traces to certify. While the complexity of this enumeration is exponential, it works well for low degrees.

Software Tools in PHCpack

In computing a numerical irreducible decomposition of a given polynomial system, we typically run through the following steps:

1. **Embed** (phc -c) add #random hyperplanes = top dimension,
add slack variables to make the system square
2. **Solve** (phc -b) solve the system constructed above
3. **WitnessGenerate** apply a sequence of homotopies to compute
(phc -c) witness point sets on all solution components
4. **WitnessClassify** filter junk from witness point sets
(phc -f) factor components into irreducible components

Especially step 2 is a computational bottleneck...

Numerical Elimination Methods

- Elimination = Projection
 1. slice component with hyperplanes
 2. drop coordinates from samples
 3. interpolate at projected samples
- An example: the twisted cubic $\begin{cases} y - x^2 = 0 \\ z - x^3 = 0 \end{cases}$
 1. general slice $ax + by + cz + d = 0$, random $a, b, c, d \in \mathbb{C}$, twisted cubic projects to a cubic in the plane.
 2. slice restricted to $\mathbb{C}[x, y]$, set $c = 0$, find $y - x^2 = 0$
 3. slice restricted to $\mathbb{C}[x, z]$, set $b = 0$, find $z - x^3 = 0$

Application: Spatial Six Positions

Planar Body Guidance (Burmeister 1874)

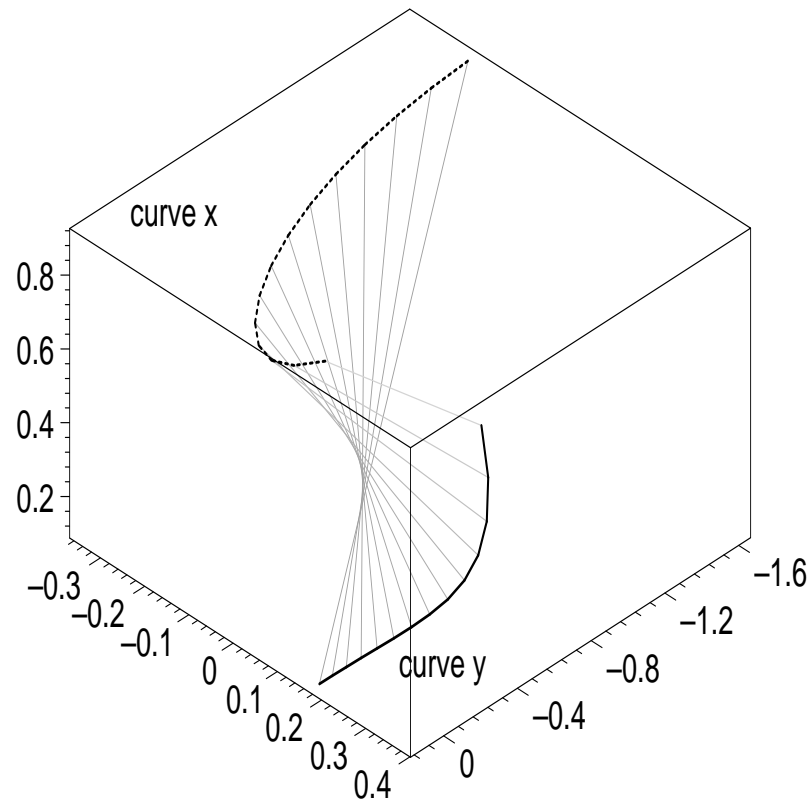
- 5 positions determine 6 circle-point/center-point pairs
- 4 positions give cubic circle-point & center-point curves

Spatial Body Guidance (Shoenflies 1886)

- 7 positions determine 20 sphere-point/center-point pairs
- 6 positions give 10th-degree sphere-point & center-point curves

Question: *Can we confirm this result using continuation?*

Spatial Six Positions: Solution



Sphere-point/center-point curves are irreducible, degree 10.

An illustration of Numerical Elimination.

Witness Points

for the Spatial Burmester Problem

- The input polynomial system consists of five quadrics in six unknowns (\mathbf{x}, \mathbf{y}) .
- The new incremental solver computes 20 witness points in 7s 181ms on Pentium III 1Ghz Windows 2000 PC.
- Projection onto \mathbf{x} or \mathbf{y} reduces the degree from 20 to 10.

Stewart-Gough Platforms

Special Griffis-Duffy type



- Base and endplate are equilateral triangles.
- Legs connect vertices to midpoints.

Results of Husty and Karger

Self-motions of Griffis-Duffy type parallel manipulators. In *Proc. 2000 IEEE Int. Conf. Robotics and Automation* (CDROM), 2000.

The special Griffis-Duffy platforms *move*:

- Case 1: Plates not equal, legs not equal.
 - Curve is degree 20 in Euler parameters.
 - Curve is degree 40 in position.
- Case 2: Plates congruent, legs all equal.
 - Factors are degrees $(4 + 4) + 6 + 2 = 16$ in Euler parameters.
 - Factors are degrees $(8 + 8) + 12 + 4 = 32$ in position.

Question: *Can we confirm these results numerically?*

Components of Griffis-Duffy Platforms

Solution components by degree

Husty & Karger		SVW	
Euler	Position	Study	Position
General Case			
20	40	28	40
Legs equal, Plates equal			
		6	8
4	8	6	8
4	8	6	8
6	12	6	12
2	4	4	4
16	32	28	40

Griffis-Duffy Platforms: Factorization

Case A: One irreducible component of degree 28 (general case).

Case B: Five irreducible components of degrees 6, 6, 6, 6, and 4.

user cpu on 800Mhz	Case A	Case B
witness points	1m 12s 480ms	
monodromy breakup	33s 430ms	27s 630ms
Newton interpolation	1h 19m 13s 110ms	2m 34s 50ms
32 decimal places used to interpolate polynomial of degree 28		
linear trace	4s 750ms	4s 320ms

Linear traces replace Newton interpolation:

⇒ time to factor independent of geometry!

Griffis-Duffy Platforms: an Animation

